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IMPACT OF SPASTICITY ON FUNCTIONING IN SPINAL CORD INJURY: AN APPLICATION OF GRAPHICAL MODELLING

Cristina EHRMANN, PhD^{1,2}, Seyed Mahdi MAHMOUDI, PhD³, Birgit PRODINGER, PhD^{1,2,4}, Carlotte KIEKENS, MD^{5,6} and Per ERTZGAARD, MD⁷

From the ¹Swiss Paraplegic Research (SPF), Nottwil, ²Department Health Sciences and Medicine, University of Lucerne, Lucerne, Switzerland, ³Faculty of Mathematics, Statistics and Computer Science, Semnan University, Semnan, Iran, ⁴Faculty of Applied Health and Social Sciences, University of Applied Sciences Rosenheim, Rosenheim, Germany, ⁵Spinal Unit, Montecatone Rehabilitation Institute, Imola (BO), Italy, ⁶Department of Physical and Rehabilitation Medicine, University Hospitals Leuven, Belgium; KU Leuven – University of Leuven, Department of Development and Regeneration, Belgium, and ⁷Department of Rehabilitation Medicine and Department of Medical and Health Sciences, Linköping University, Linköping, Sweden

Objective: To identify the impact of moderate-to-severe spasticity on functioning in people living with spinal cord injury.

Design: Secondary analysis of cross-sectional survey data using graphical modelling.

Subjects: Individuals ($n=1,436$) with spinal cord injury aged over 16 years with reported spasticity problems.

Methods: Spasticity and 13 other impairments in body functions were assessed using the spinal cord injury Secondary Conditions Scale. Impairments in mental functions were assessed using the Mental Health subscale of the 36-item Short Form (SF-36). Independence in activities was measured with the Spinal Cord Injury Independence Measure Self-Report. Restrictions in participation were measured with the Utrecht Scale for Evaluation Rehabilitation – Participation.

Results: Fifty-one percent of participants reported moderate-to-severe spasticity. Graphical modelling showed that Chronic pain, Contractures, Tiredness, Doing housework, and Respiratory functions were associated with spasticity and were the top 5 potential targets for interventions to improve the experience of spasticity. The associations and intervention targets were dependent on the level and completeness of the lesion.

Conclusion: This is the first application of graphical modelling in studying spasticity in people living with spinal cord injury. The results can be used as a basis for studies aiming to optimize rehabilitation interventions in people with moderate-to-severe spasticity.

Key words: spinal cord injury; spasticity; International Classification of Functioning, Disability and Health; patient-reported outcome measures; undirected graph; directed acyclic graph.

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Correspondence address: Cristina Ehrmann, Swiss Paraplegic Research, 6207 Nottwil, Switzerland. E-mail: cristina.bostan@paraplegie.ch

To increase the economic and social participation of people living with spinal cord injury (SCI), knowledge of functioning needs to be complemented with information on how secondary complications

LAY ABSTRACT

Spasticity is one of the most common complications of spinal cord injury. It influences limitations in functioning. Comprehensive evidence on the impact of spasticity on all domains of functioning may be beneficial to optimize rehabilitation interventions aimed at reducing the effects of spasticity. This is the first application of graphical modelling to study and visualize the impact of moderate-to-severe spasticity on functioning in people living with spinal cord injury. The results show that chronic pain, contractures, tiredness, doing housework, and respiratory functions were the functioning domains associated with spasticity. These are therefore the top 5 potential targets for interventions to improve the experience of spasticity. In addition, the level and completeness of lesions should be considered when studying spasticity in relation to all domains of functioning. These results should be used as a basis for studies aiming to optimize rehabilitation interventions in people with moderate-to-severe spasticity.

influence functioning limitations in the context of SCI. Evidence demonstrates continuing high levels of complications, such as respiratory infections, urinary tract infections, spasticity, pain, blood pressure problems, and pressure sores, with more than one complication occurring concurrently, even many years after injury. Therefore, people living with SCI are more likely to be re-hospitalized and to have a disrupted daily life (1–4).

An important focus of rehabilitation interventions is to improve functioning by counteracting the effects of complications on functioning. However, a causal relation between these complications and functioning has yet to be established. According to the International Classification of Functioning, Disability and Health (ICF), the impact should be studied across the components of functioning, from body functions and structures to activities and participation (5). Each component is divided into domains (e.g. “mental functions” for the component body functions and structures, or “mobility” for the component activities and participation). The domains are divided into categories at varying levels of granularity (up to 4 levels). The ICF categories are units of the ICF classification and reflect the various levels of the hierarchical code system.

Spasticity is one of the most common complications after SCI (6). There is still no consensus on the definition of spasticity, although a commonly used one is “disordered sensorimotor control, resulting from an upper motor neuron lesion, presenting as intermittent or sustained involuntary activation of muscles” (7). Spasticity has both positive and negative effects. The term “disabling spasticity” has been used when people perceive that spasticity limits their activities in daily life (8), and is therefore a target for intervention. Evidence pointing to the relationship between spasticity and functioning has shown significant interference with daily activities in people with more severe, self-reported spasticity compared with people with no or only mild spasticity (9). The impact of severe spasticity on body functions and structures and on performance in different daily activities is poorly documented. Apart from the vicious cycle between spasticity and different secondary complications after SCI, such as pressure sores (10), the effect of improving functioning by reducing spasticity was studied on the overall performance of activities of daily living, rather than on specific functioning domains, such as mobility or self-care (11). Comprehensive evidence on the relationship between spasticity and all domains of functioning is required to optimize rehabilitation interventions aimed at reducing the impact of spasticity.

Graphical modelling is a probabilistic tool that has not yet been utilized to analyse and display the relationships between spasticity and different categories of functioning (12). A graph consists of nodes standing for variables of interest and connections (edges) representing conditional dependencies. Graphical modelling has been used to study the epidemiology of functioning in people living with SCI. This consists of visualizing complex associations between domains of functioning, reducing dimensions, comparing association structures, and estimating potential intervention targets to improve self-reported general health (13). Graphical modelling does not make any a priori assumptions about directionality when studying these associations, as do other multivariate exploratory methods (e.g. multiple regression analysis, structural equation modelling, and factor analysis) (13).

The present study used a graphical modelling approach to investigate the impact of moderate-to-severe spasticity on people’s functioning by: (i) identifying and visualizing the categories of functioning associated with spasticity; and (ii) identifying the potential targets for interventions to reduce the impact of spasticity on functioning. Conceptually based on the ICF, the Swiss Spinal Cord Injury (SwiSCI) Community Survey (14) includes information on spasticity, other complications after SCI and functioning in different domains, such as self-care, mobility and involvement in life situations. These data have already been used successfully to describe the complex inter-

relationship between mild-to-severe spasticity and other categories of functioning (14). However, as spasticity is damaging in its more severe forms, and people with self-reported moderate spasticity tend to shift towards reporting more severe spasticity over time, additional information on factors that may improve the experience of reported spasticity is needed (15).

METHODS

Participants and design

This is a secondary analysis of cross-sectional survey data from the SwiSCI Community Survey. Swiss residents with traumatic or non-traumatic SCI aged 16 years or older were invited to participate in the survey (16). People were recruited using a contacts database established through the national association for people living with SCI (Swiss Paraplegic Association), 3 specialized SCI rehabilitation centres and a SCI-specific home-care institution. Exclusion criteria were: (i) congenital conditions leading to SCI, (ii) new SCI in the context of palliative care, (iii) neurodegenerative disorders, and (iv) the Guillain-Barré syndrome. Survey participants returned: (i) informed consent, (ii) the initial short questionnaire on socio-demographics, lesion characteristics and the care situation, and (iii) a longer questionnaire covering functioning and environmental factors. Non-missing data about spasticity were considered.

Data were collected between September 2011 and March 2013, and this survey will continue to be conducted again every 5 years following initial data collection. Brinkhof et al. demonstrated a minimum impact of response bias with regards to personal characteristics on survey results (17). Moreover, self-reported demographics (age, sex) and SCI characteristics (years of SCI, lesion level and lesion severity) showed high reliability by linking this self-reported information with available medical record data (17). The inverse probability weight was used to correct for the non-response bias. The data were collected using written or online questionnaires and, in special cases, conducting telephone interviews. The SwiSCI study was approved by the regional research ethics committees on research involving humans of Cantons of Lucerne, Basel-Stadt and Valais.

Outcome measures

The questions from SCI Secondary Conditions Scale that assess how much spasticity affected the subject’s activity and independence in the previous 3 months on a 4-point Likert scale (“not existing or insignificant”, “mild or infrequent”, “moderate or occasional”, or “significant or chronic”) was used for the spasticity outcome (18).

Data on the severity of 13 other impairments in body functions, including injury caused by loss of sensation, chronic pain, postural hypotension, circulatory problems, respiratory problems, bowel dysfunction, urinary tract infection, bladder dysfunction, sexual function, contractures, decubitus, sleep disorders, and autonomic dysreflexia, during the previous 3 months was collected with the SCI Secondary Conditions Scale (18).

The Mental Health sub-scale of the 36-item Short Form (SF-36) was used to assess impairments in mental functions.

Independence in performing activities was evaluated using the SCI Independence Measure Self-Report, a self-reported version of SCI Independence Measure III developed for this survey (19).

Performance problems in participation were evaluated using

the Utrecht Scale for Evaluation Rehabilitation – Participation (20).

A detailed description of each item used to operationalize each category of functioning is shown in Table I. For each functioning item, the answers were dichotomized into 0, for “not existing or insignificant problems/difficulty”, and one, for “existing and significant problems/difficulty”. For impairments in body functions, the answer “mild/infrequent problem” was coded as 0 (“not existing or insignificant problems/difficulty”).

Statistical analysis

R version 3.5 was used for all analyses (21), in particular R package missForest for imputing the missing data (22), R package pcalg for identifying functioning categories associated with spasticity (23), and R syntax, developed by Mahmoudi et al., for determining the potential intervention targets for improving spasticity (24). The sample characteristics were evaluated using descriptive statistics. The International Spinal Cord Society recommendations were used to categorize age, SCI aetiology, severity of injury and time since injury (25).

As in a previous study, each category of functioning was operationalized in terms of the items in these instruments. Moreover, *Partner relationship* and *Work/education* items were excluded from the analysis, since 22.92% and 29.20% of the 1,436 study participants did not participate in these activities (14).

Functioning categories associated with spasticity

The skeleton of a directed acyclic graph (DAG) model was used to identify and visualize the functioning categories associated with spasticity. This model is an undirected graph, in which nodes (*circles*) represent the functioning categories and the undirected edges (*lines*) represent the conditional associations among the considered functioning categories. Fig. 1a shows a simple example of a skeleton model. The nodes stand for functioning categories *Sports*, *Skin functions* and *Spasticity*. Any undirected edge in this model indicates a strong dependence when controlling for the remaining functioning categories. The absence of an undirected edge between *Sports* and *Spasticity* indicates conditional independence, meaning that information about *Sports* does not provide any information about *Spasticity* when controlling for *Skin functions* (and vice versa). For constructing the skeleton model, any 2 variables were tested for conditional independence given any subsets of the remaining variables (first part of the Peter and Clark (PC) algorithm for determining a DAG with a significance level of 0.01 (23). To enhance the accuracy and validity of model selection, the skeleton was estimated for each of the 100 samples generated from the imputed data using the Gibbs sampling procedure derived by Hoff, given that univariate histograms of all variables and Shapiro–Wilk’s test indicated non-normality (26). The results were aggregated in a summary graph (27). The thickness of undirected edges corresponds to the strength of the association: the 20% with the strongest associations are printed in bold, and the 20% with the weakest associations are printed in grey.

To visualize the differences in the association structure between paraplegic and tetraplegic SCI populations, and between complete and incomplete SCI populations, respectively, the association structure was estimated for each subgroup, as described previously.

Potential targets for interventions for improving spasticity

The potential targets for interventions for improving spasticity were identified using Pearl’s do-calculus of intervention implemented by Mahdi Mahmoudi & Wit. in R (24). In the first step of this calculus,

Table I. Functioning items grouped by International Classification of Functioning, Disability and Health (ICF) functioning domains and dichotomization strategy

| ICF domain | Instrument | Instrument label | Study label | Dichotomization strategy |
|---------------------------------|--|------------------------------------|------------------------------------|---|
| Impairments in body functions | SCI Secondary-Conditions Scale (SCI-SCS) | Injury caused by loss of sensation | Injury caused by loss of sensation | 0 = No problem; Mild/infrequent problem |
| | | | Chronic pain | 1 = /Moderate/occasional problem/Significant/chronic problem |
| | | | Postural hypotension | |
| | | | Circulatory problems | |
| | | | Respiratory problems | |
| | | | Bowel dysfunction | |
| | | | Urinary tract infection | |
| | | | Bladder dysfunction | |
| | | | Sexual dysfunction | |
| | | | Contractures | |
| | | | Spasticity | |
| | | | Decubitus | |
| | | | Sleep disturbance | |
| | | | Autonomic dysreflexia | |
| Impairments in mental functions | 36-Item Short Form (SF-36) | Type of mood: Nervous | Nervous | 0 = None of the time/Rarely |
| | | | Down | 1 = All of the time/Most of the time/A good bit of the time/Some of the time |
| | | | Depressed | 0 = All of the time/Rarely; |
| | | | Calm | 1 = Most of the time/A good bit of the time/Some of the time/None of the time |

Table 1. Cont.

| ICF domain | Instrument | Instrument label | Study label | Dichotomization strategy |
|---------------------------------------|--|---|--|--|
| Independence in performing activities | SCI Independence Measure Self-Report (SCIM-SR) | Moving around (Turning upper body in bed; - Turning lower body in bed; Sitting up in bed; Doing push-ups in wheelchair (with or without adaptive devices)) Transfers: wheelchair-car | Moving around Transfer wheelchair-car | 0 = All of them; 1 = None, I need assistance in all these activities/One activity/Two or three activities. 0 = I do not need assistance or adaptive devices/I do not use a wheelchair- 1 = I need total assistance/I need partial assistance, supervision or adaptive devices. 0 = I do not need assistance/I do not use a wheelchair; 1 = I need total assistance. 0 = I transfer independently/I do not use a wheelchair; 1 = I need total assistance/I need partial assistance, supervision or adaptive devices. |
| | | Transfers: floor-wheelchair | Transfer floor-wheelchair | 0 = I do not need assistance/I do not use a wheelchair; 1 = I need total assistance. |
| | | Transfers: bed-wheelchair | Transfer bed-wheelchair | 0 = I transfer independently/I do not use a wheelchair; 1 = I need total assistance/I need partial assistance, supervision or adaptive devices. |
| | | Transfers: wheelchair-toilet/tub | Transfer wheelchair-toilet/tub | 0 = I transfer independently/I do not use a wheelchair; 1 = I need total assistance/I need partial assistance, supervision or adaptive devices. |
| | | Ascending or descending stairs | Stairs | 0 = I ascend or descend at least 3 steps without any assistance, supervision or devices 1 = I am unable to ascend or descend stairs/I can ascend or descend at least 3 steps, but only with assistance or supervision/I can ascend or descend at least 3 steps, but only with devices (e.g. handrail, crutch, cane). |
| | | Moving around indoors | Moving indoors | 0 = I use a wheelchair and move independently in a manual wheelchair/I walk indoors, and I walk without aids. 1 = I use a wheelchair, and I need total assistance/I use a wheelchair, and I need an electric wheelchair or partial assistance to operate a manual wheelchair/I can walk moderate distances, but I need supervision while walking (with or without aids)/I walk indoors with a walking frame or crutches, swinging forward with both feet at a time/I walk indoors, and I walk with crutches or two canes, setting one foot before the other/I walk indoors and I walk with one cane)/(I walk indoors and I walk with a leg orthosis only (e.g. leg splint). |
| | | Moving over moderate distances (10 to 100 m) | Moving <100 m Moving outdoors > 100 m | 0 = I am completely independent and don't need adaptive devices or a specific setting. 1 = I need total assistance/I need partial assistance/I do not need assistance, but I need adaptive devices or a specific setting. 0 = I use the toilet independently without any adaptive devices or a special setting. |
| | | Moving around outdoors for more than 100 m | Washing upper body and head Washing lower body Grooming Toileting | 0 = I am completely independent and don't need adaptive devices or a specific setting. 1 = I need total assistance/I need partial assistance and cannot clean myself/I need partial assistance, but I can clean myself/I use the toilet independently, but I need adaptive devices (e.g. bars) or a special setting (e.g. wheelchair accessible toilet). |
| | | Washing upper body and head Washing lower body Grooming Toileting | Dressing upper body | 0 = I am completely independent in dressing my upper body. 1 = I need total assistance/I need partial assistance, even with easy-to-dress clothes/I do not need assistance with easy-to-dress clothes, but I need adaptive devices or a specific setting with them/I am independent in dressing my upper body with easy-to-dress clothes and only need assistance or adaptive devices or a specific setting with difficult-to-dress clothes. |
| | | Dressing upper body | Dressing lower body | 0 = I am completely independent in dressing my lower body. 1 = I need total assistance/I need partial assistance, even with easy-to-dress clothes/I do not need assistance with easy-to-dress clothes, but I need adaptive devices or a specific setting with them/I am independent in dressing my lower body with easy-to-dress clothes and only need assistance or adaptive devices or a specific setting with difficult-to-dress clothes. |

Table 1. Cont.

| ICF domain | Instrument | Instrument label | Study label | Dichotomization strategy |
|---------------------------------------|--|--|-----------------------------|---|
| Performance problems in participation | Utrecht Scale for Evaluation-Rehabilitation-Participation (USER-P) | Eating and drinking | Eating and drinking | 0 = I eat/drink independently without assistance or adaptive devices. 1 = I need parental feeding or I have a gastrostomy/I need total assistance with eating/drinking/I need partial assistance with eating/drinking or for putting on/taking off adaptive devices/I can eat/drink independently, but I need adaptive devices or assistance for cutting food, pouring or opening containers. 0 = Without difficulty; 1 = With difficulty/With assistance/Not possible; NA = Not applicable. |
| | | Limitation in household duties | Doing housework | |
| | | Limitation in outdoor mobility | Transportation | |
| | | Limitation in relationships | Relationships | |
| | | Limitation in contacting others | Telephone/computer contacts | |
| | | Limitation in work or education | Work/education | |
| | | Limitation in sports | Sports | |
| | | Limitation in going out | Going out | |
| | | Limitation in day trips | Activities outdoors | |
| | | Limitation in leisure activities at home | Leisure activities indoors | |
| | | Limitation in visiting family or friends | Visits to family/friends | |
| | | Limitation in being visited by family or friends | Visits from family/friends | |

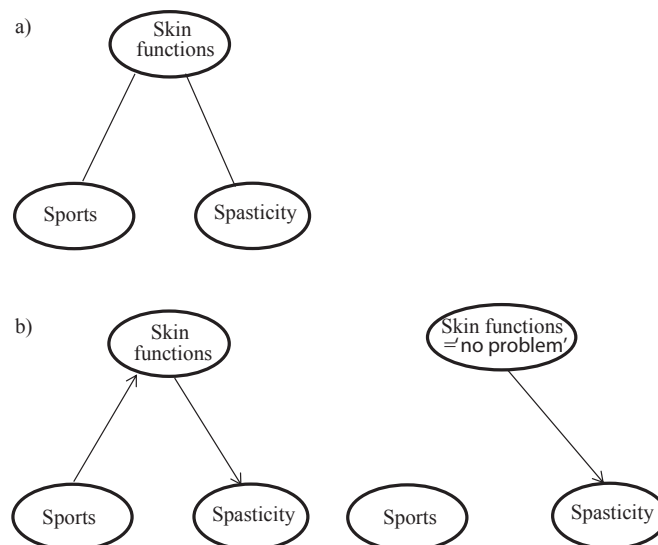


Fig. 1. A simple example of: (a) a skeleton of a directed acyclic graphical model (DAG), (b) a possible transformation of the skeleton from (a) in a DAG, and its corresponding intervention graph. The nodes represent the functioning categories: Sports, Skin functions and Spasticity.

each skeleton identified in the association analysis is transformed in a DAG by applying the second part of the PC algorithm (28, 29). Fig. 1b shows a possible transformation of the skeleton of a DAG, represented in Fig. 1a, into a DAG. The arrow between *Skin functions* and *Spasticity* indicates that *Skin functions* contains information that influences *Spasticity*. *Skin functions* is referred to as a parent of *Spasticity* in graphical-modelling theory. In a DAG there is no cycle, such as *Sports* → *Skin functions* → *Spasticity* → *Sports*. In the second step of this calculus, for each obtained DAG, a clinical intervention was statistically simulated for each variable by forcing the variable's values from "problem" to "no problem" one after another. The causal effect of *Skin functions* on *Spasticity* was estimated by regressing *Spasticity* on *Skin functions* when controlling for the functioning variables that are parents for *Skin functions* (*Sports*). This is the first-order Taylor estimator proposed by Madhi Mahmoudi & Wit (24). The effect of such a simulation for each variable on *Spasticity* was then estimated for each of the 100 data-sets. The mean for all the estimates for each variable was calculated, and the variables were ranked according to the size of the effect of the simulated intervention.

RESULTS

Sample characteristics

From a total of 3,144 eligible persons contacted by post, 1,539 individuals participated in the study, with spasticity data being available for 1,436. Table II shows detailed participants' characteristics and the missing percentage, and the mean and standard deviation of functioning items. Approximately two-thirds of the sample had paraplegia (68.8%), while approximately half had an incomplete lesion (57.4%). Missing data on functioning items ranged from 0.3% (*Grooming*) to 13.64% (*Bladder management*). A total of 51.7% reported moderate-to-severe problems in *Spasticity*. The

Table II. Participants' characteristics, initial missing percentage (IMP) and the mean and standard deviation of functioning categories

| | Total (n = 1,436) | Tetraplegia (n = 448 (31.2%)) | Paraplegia (n = 988 (68.8%)) | Complete (n = 612 (42.6%)) | Incomplete (n = 824 (57.4%)) |
|---|----------------------|----------------------------------|---------------------------------|-------------------------------|---------------------------------|
| <i>Characteristics</i> | | | | | |
| Sex, n (%) | | | | | |
| Male | 1039 (72.4) | 338 (75.4) | 701 (70.9) | 475 (77.6) | 564 (68.4) |
| Female | 397 (27.6) | 110 (24.6) | 287 (29.1) | 137 (22.4) | 260 (31.6) |
| Age, median (Q1, Q3) | 51 (41-62) | 51 (41-62) | 51 (41-62) | 50 (41-60) | 53 (42-64) |
| 16-30 years | 125 (8.7) | 46 (10.3) | 79 (8.0) | 53 (8.6) | 72 (8.7) |
| 31-45 years | 361 (25.1) | 112 (25.0) | 249 (25.2) | 174 (28.4) | 187 (22.7) |
| 46-60 years | 535 (37.3) | 161 (35.9) | 374 (37.8) | 244 (39.8) | 291 (35.3) |
| 61-75 years | 339 (23.6) | 109 (24.3) | 230 (23.3) | 122 (19.9) | 217 (26.3) |
| ≥ 76 years | 76 (5.3) | 20 (4.5) | 56 (5.7) | 19 (3.3) | 57 (7.0) |
| Years of education, median (Q1, Q3) | 13 (12-15) | 13 (12-15) | 13 (12-16) | 13 (12-16) | 13 (12-15) |
| <i>Spinal cord injury characteristics</i> | | | | | |
| Aetiology traumatic, n (%) | 1139 (79.3) | 392 (87.5) | 747 (75.6) | 544 (88.9) | 595 (72.2) |
| Time since injury, years, median (Q1, Q3) | 14 (6-25) | 12 (12-15) | 13 (12-16) | 13 (12-16) | 13 (12-15) |
| <i>Functioning categories (IMP and mean (standard deviation))</i> | | | | | |
| Skin functions (IMP=6.33%) | 19.1 (39.3) | 19.9 (40) | 18.8 (39.1) | 15.5 (36.2) | 23.8 (42.6) |
| Urinary tract infections (IMP=3.78%) | 40.8 (49.2) | 38 (48.6) | 42.3 (49.4) | 34.5 (47.6) | 49.2 (50) |
| Sexual functions (IMP=7.06%) | 57.4 (49.5) | 53.1 (50) | 59.2 (49.2) | 53.5 (49.9) | 62.5 (48.5) |
| Spasticity (IMP=0%) | 51.7 (50) | 58.5 (49.3) | 48.6 (50) | 53.2 (49.9) | 49.8 (50) |
| Chronic pain (IMP=1.59%) | 57.2 (49.5) | 54.4 (49.9) | 58.2 (49.3) | 58.5 (49.3) | 55.1 (49.8) |
| Respiratory functions (IMP=2.15%) | 11.4 (31.7) | 15.8 (36.6) | 9.2 (28.9) | 12.1 (32.6) | 10.4 (30.5) |
| Sleep functions (IMP=1.90%) | 37.4 (48.4) | 38.3 (48.7) | 36.9 (48.3) | 39.2 (48.9) | 34.9 (47.7) |
| Injury caused by loss of sensation (IMP=3.04%) | 10.3 (30.4) | 10.1 (30.1) | 10.5 (30.7) | 10.2 (30.3) | 10.6 (30.8) |
| Contractures (IMP=2.79%) | 35 (47.7) | 35.7 (48) | 34.8 (47.6) | 40.1 (49) | 28.5 (45.2) |
| Bladder dysfunction (IMP=3.92%) | 43.3 (49.6) | 41.6 (49.4) | 44.3 (49.7) | 42.1 (49.4) | 45.1 (49.8) |
| Bowel dysfunction (IMP=2.68%) | 42 (49.4) | 41.1 (49.3) | 42.4 (49.4) | 42.5 (49.5) | 41.6 (49.3) |
| Autonomic dysreflexia (IMP=4.14%) | 14.3 (35) | 17.7 (38.2) | 12.5 (33.1) | 14.4 (35.2) | 14 (34.7) |
| Postural hypotension (IMP=4.08%) | 8.8 (28.3) | 13.6 (34.3) | 6.6 (24.9) | 9.3 (29.1) | 8.1 (27.4) |
| Circulatory problems (IMP=3.97%) | 19.3 (39.5) | 21.7 (41.2) | 18.2 (38.6) | 19.2 (39.4) | 19.5 (39.7) |
| Tiredness (IMP=2.60%) | 42.9 (49.5) | 43.2 (49.6) | 42.7 (49.5) | 47.2 (50) | 37 (48.3) |
| Nervous (IMP=5.69%) | 37.1 (48.3) | 35.3 (47.8) | 37.6 (48.5) | 38.5 (48.7) | 34.7 (47.6) |
| Down (IMP=6.53%) | 21.4 (41) | 22.4 (41.7) | 20.8 (40.6) | 24.7 (43.2) | 16.7 (37.3) |
| Calm (IMP=4.04%) | 42.1 (49.4) | 42.9 (49.6) | 41.6 (49.3) | 44.4 (49.7) | 38.9 (48.8) |
| Depressed (IMP=5.21%) | 35.5 (47.9) | 37.9 (48.6) | 34.2 (47.5) | 38.8 (48.8) | 30.7 (46.2) |
| Happy (IMP=5.09%) | 53.3 (49.9) | 56.8 (49.6) | 51.7 (50) | 53.5 (49.9) | 52.9 (50) |
| Eating/Drinking (IMP=1.09%) | 19.4 (39.6) | 51.9 (50) | 4.7 (21.2) | 18.5 (38.9) | 20.7 (40.5) |
| Washing upper body (IMP=1.03%) | 49 (50) | 65 (47.8) | 41.8 (49.3) | 42.4 (49.4) | 58 (49.4) |
| Washing lower body (IMP=1.32%) | 61 (48.8) | 74 (43.9) | 55 (49.8) | 53.5 (50) | 75.1 (43.3) |
| Dressing upper body (IMP=0.82%) | 33.1 (47.1) | 65.2 (47.7) | 18.6 (38.9) | 30.5 (46.1) | 36.8 (48.3) |
| Dressing lower body (IMP=0.83%) | 38.6 (48.7) | 66.4 (47.3) | 26.2 (44) | 34.9 (47.7) | 43.9 (49.7) |
| Grooming (IMP=0.30%) | 18.3 (38.7) | 41 (49.2) | 8 (27.1) | 17.6 (38.1) | 19.3 (39.5) |
| Breathing (IMP=4.67%) | 8.5 (27.8) | 19.4 (39.6) | 3.4 (18.2) | 6.8 (25.2) | 10.8 (31) |
| Toileting (IMP=2.29%) | 62.8 (48.3) | 70.1 (45.8) | 59.6 (49.1) | 47.3 (50) | 84.1 (36.6) |
| Moving around (IMP=1.68%) | 36.5 (48.2) | 58.8 (49.3) | 26.5 (44.2) | 29.7 (45.7) | 45.7 (49.9) |
| Transfer bed-wheelchair (IMP=1.95%) | 26.7 (44.3) | 48.5 (50) | 16.9 (37.5) | 17.9 (38.4) | 38.6 (48.7) |
| Transfer wheelchair-toilet/tub (IMP=3.02%) | 44.5 (49.7) | 61.1 (48.8) | 37.1 (48.3) | 30.2 (45.9) | 63.7 (48.1) |
| Moving indoors (IMP=3.16%) | 24.6 (43.1) | 32.7 (47) | 20.9 (40.7) | 32.4 (46.8) | 14.6 (35.3) |
| Moving <100 m (IMP=3.50%) | 36.2 (48.1) | 50.3 (50.1) | 29.9 (45.8) | 45.7 (49.8) | 24.1 (42.8) |
| Moving outdoors >100 m (IMP=2.68%) | 45.9 (49.9) | 63 (48.3) | 38.4 (48.7) | 52.5 (50) | 37.7 (48.5) |
| Stairs (IMP=5.03%) | 82.3 (38.2) | 80.2 (39.9) | 83.4 (37.3) | 70.3 (45.7) | 99.1 (9.3) |
| Transfer wheelchair-car (IMP=2.89%) | 39.1 (48.8) | 58.9 (49.3) | 30.4 (46) | 29.6 (45.7) | 51.8 (50) |
| Transfer floor-wheelchair (IMP=3.58%) | 53.1 (49.9) | 66.7 (47.2) | 47.2 (49.9) | 35.8 (48) | 76.4 (42.5) |
| Doing housework (IMP=11.09%) | 82.3 (38.2) | 87 (33.6) | 80.2 (39.9) | 78.1 (41.4) | 87.7 (32.9) |
| Transportation (IMP=8.08%) | 66.2 (47.3) | 76 (42.8) | 61.8 (48.6) | 60.8 (48.9) | 73.4 (44.2) |
| Sports (IMP=16.12%) | 70.1 (45.8) | 81.7 (38.7) | 64.8 (47.8) | 70.2 (45.8) | 70 (45.9) |
| Going out (IMP=7.47%) | 60 (49) | 74 (43.9) | 53.8 (49.9) | 57.1 (49.5) | 64.1 (48) |
| Activities outdoors (IMP=10.80%) | 68.8 (46.3) | 80 (40) | 63.8 (48.1) | 66.7 (47.2) | 71.7 (45.1) |
| Leisure activities indoors (IMP=8.25%) | 28.9 (45.4) | 49.2 (50.1) | 19.7 (39.8) | 29.2 (45.5) | 28.8 (45.3) |
| Visits to family/friends (IMP=7.43%) | 59.6 (49.1) | 72.7 (44.6) | 53.7 (49.9) | 55.1 (49.8) | 65.7 (47.5) |
| Visits from family/friends (IMP=7.07%) | 32.5 (46.9) | 43.1 (49.6) | 27.8 (44.8) | 33.2 (47.1) | 31.8 (46.6) |
| Telephone/computer contacts (IMP=5.87%) | 10.1 (30.1) | 19.5 (39.7) | 5.7 (23.3) | 11.1 (31.4) | 8.8 (28.3) |
| Bowel management (IMP=5.09%) | 45.9 (49.9) | 63.5 (48.2) | 38.1 (48.6) | 43.5 (49.6) | 49.5 (50) |
| Bladder management (IMP=13.64%) | 31.3 (46.4) | 52.1 (50) | 22.1 (41.5) | 25.6 (43.7) | 39.1 (48.9) |

Q1 = first quartile; 25% of data are smaller or equal this value; Q3 = third quartile: 75% of data are smaller or equal this value.

most frequently reported functioning problems were *Doing housework* (82.3%), climbing *Stairs* (82.3%) and performing *Sports* (70.1%).

Functioning categories associated with spasticity
Fig. 2 shows the functioning categories conditionally associated with Spasticity in the overall sample. The

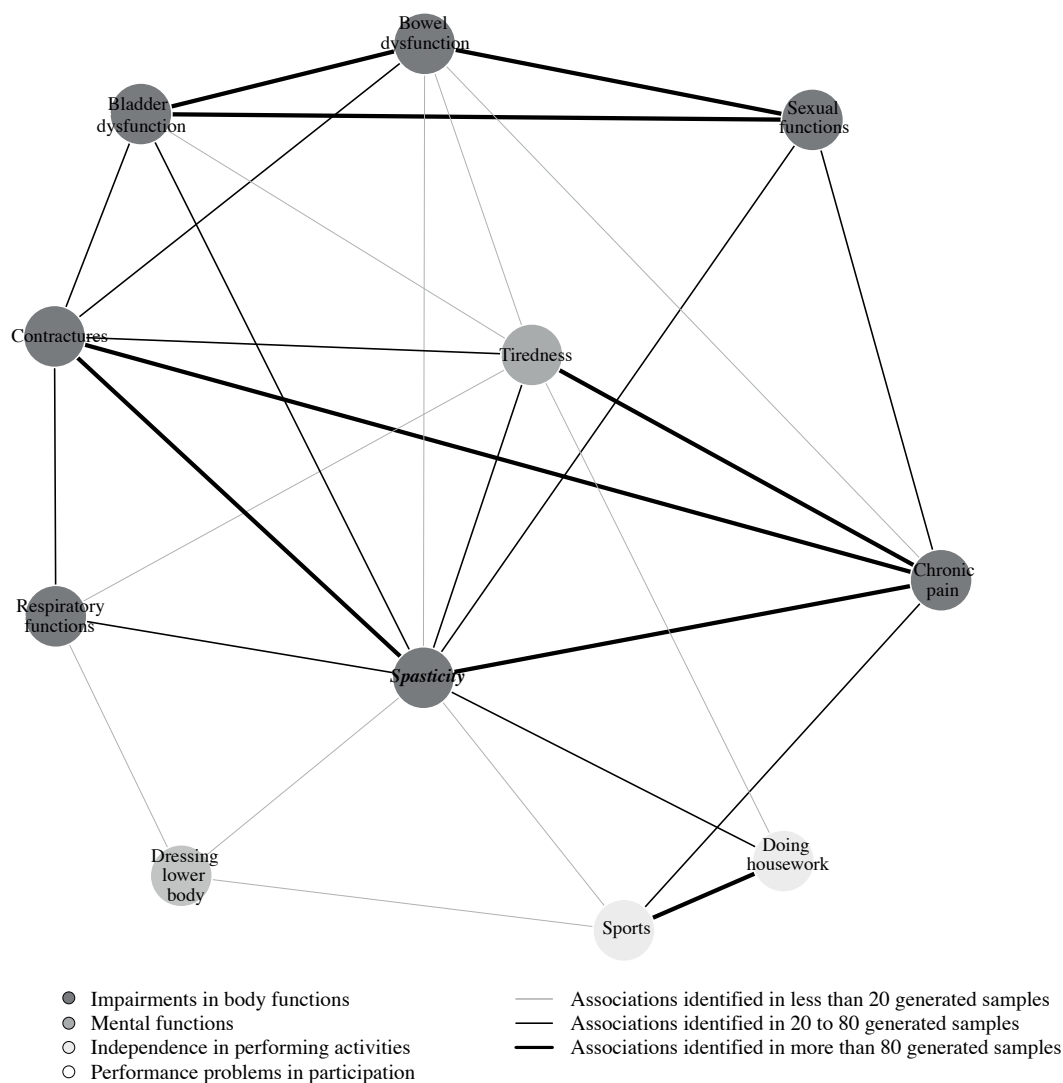


Fig. 2. The associations identified around Spasticity for the overall spinal cord injury (SCI) sample ($n = 1,436$).

skeleton model showed stable (identified in more than 20 generated samples) associations between *Spasticity* and functioning categories from all ICF domains of functioning: impairments in body functions: *Chronic Pain*, *Contractures*, *Sexual functions*, *Bladder dysfunction*, *Respiratory functions*, and *Bowel dysfunction*; impairments in mental functions, *Tiredness*; independence in performing activities: *Dressing lower body*; performance problems in participating: *Doing housework*, *Sports* activities.

Comparison of spinal cord injury subsamples

Paraplegic compared with tetraplegic SCI subsamples.

Fig. 3 shows the results of the skeleton model when comparing the association structures around *Spasticity* between paraplegic and tetraplegic SCI subsamples. The associations of *Spasticity* with *Chronic pain*, *Contractures* and *Sexual functions* were stable as-

sociations identified in both SCI subsamples. In the paraplegic SCI subsample, strong associations (more than 20 generated samples) were identified between *Spasticity* and *Bladder dysfunction*. Moreover, spasticity is associated with having problems with doing housework or dressing lower body. In the tetraplegic SCI subsample, association between *Spasticity* and *Bowel dysfunction* were strong. Weak associations (less than 20 generated samples) were shown between *Spasticity* and *Tiredness*, *Skin functions* and *Circulatory functions* in the paraplegic SCI subsample, and between *Spasticity* and *Respiratory functions* in the tetraplegic SCI subsamples.

Incomplete compared with Complete SCI subsamples.

Fig. 4 shows the results of the skeleton model when comparing the association structures around *Spasticity* between incomplete and complete SCI subsamples. In more than 20 generated samples, *Spasticity* was as-

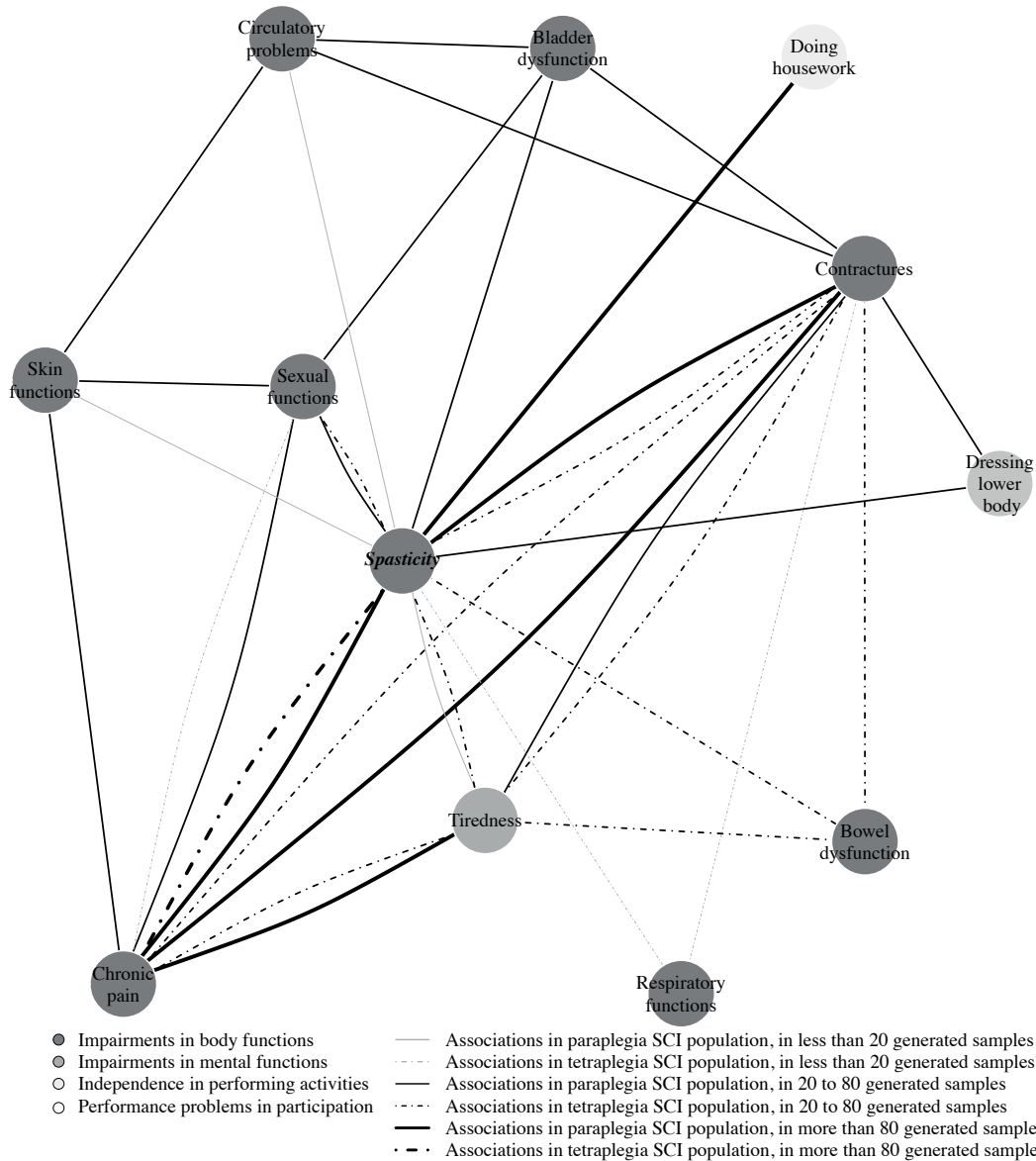


Fig. 3. The resulting functioning categories conditionally dependent with Spasticity when comparing the association structure between paraplegic spinal cord injury (SCI) population ($n=988$) and tetraplegic SCI populations ($n=448$).

sociated with: (i) *Chronic pain* and *Contractures* for both SCI subsamples, (ii) having problems in *Doing housework* and *Sexual functions* for incomplete SCI subsamples, and (iii) *Bladder dysfunction*, *Urinary tract infection* and having *Telephone/computer contacts* for the subpopulation with complete SCI. Weak associations (in less than 20 generated samples) were identified between *Spasticity* and (i) *Tiredness*, *Autonomic dysreflexia* and having problems in *Dressing upper body* for both SCI subsamples, (ii) having problems in *Dressing lower body* and *Circulatory functions* for the incomplete SCI subsample, and (iii) *Spasticity* and *Respiratory functions*, *Tiredness* and *Sexual functions* for the complete SCI subsample.

Potential intervention targets for improving spasticity

The total causal effect of *Chronic pain* (mean_{over 100 samples} =0.925, SD_{over 100 samples} =0.108), *Contractures* (mean_{over 100 samples} =0.880, SD_{over 100 samples} =0.108), *Tiredness* (mean_{over 100 samples} =0.725, SD_{over 100 samples} =0.111), *Doing housework* (mean_{over 100 samples} =0.726, SD_{over 100 samples} =0.134) and *Respiratory functions* (mean_{over 100 samples} =0.679, SD_{over 100 samples} =0.132) on *Spasticity* were the top 5. Moreover, *Contractures* and *Chronic pain* were identified as functioning categories for which an intervention may diminish the functional impacts of moderate-to-severe spasticity for all SCI subsamples (Appendix II).

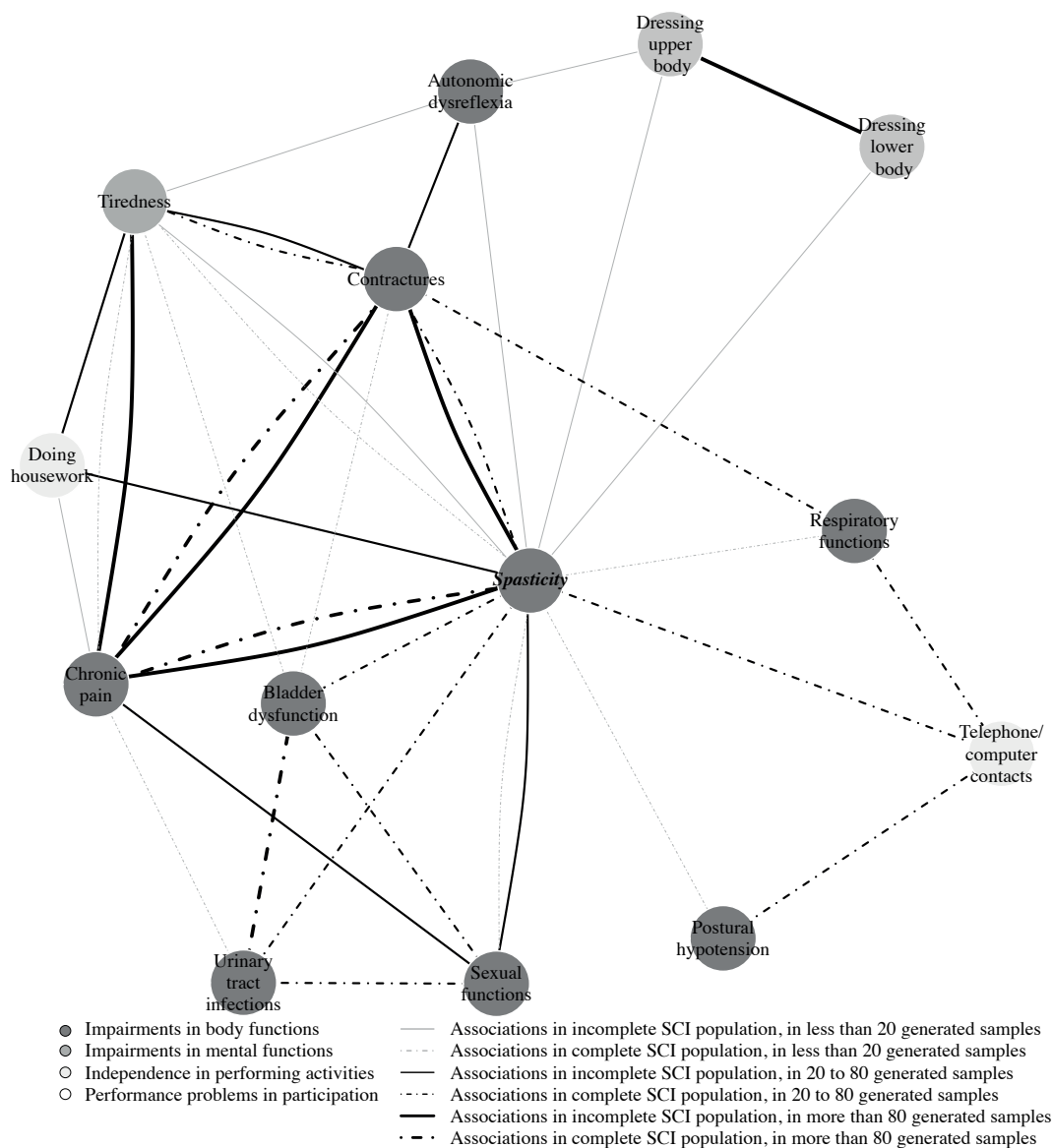


Fig. 4. The resulting functioning categories conditionally dependent with Spasticity when comparing the association structure between complete spinal cord injury (SCI) population ($n = 612$) and incomplete SCI populations ($n = 428$).

DISCUSSION

This is the first study to explore the relationship between spasticity and all domains of functioning, as defined in the ICF, using a graphical modelling approach. The association structures of problems in spasticity and all categories of functioning, according to lesion level and lesion completeness, was further compared and visualized. Moreover, functioning categories in which an intervention may change the effect of spasticity on functioning from moderate-to-severe to none-to-mild were identified.

With no a priori hypothesis, a number of associations identified by previous research between *Spasticity* and the other measured categories of functioning were confirmed, which supports the face validity of the

method used. For example, this study showed that *Contractures* is associated with *Spasticity* (6) and that both *Spasticity* and *Contractures* may contribute to *Chronic pain* and *Tiredness* (30). The results of this study also confirmed that muscle spasms are associated with *Bladder dysfunction* (31) and may interfere with participating in sexual activities (32). The graphical representation of conditional dependence relationships as a map may help clinicians to better understand the dynamic nature of spasticity.

A triangle formed by spasticity and 2 other functioning categories, e.g. (i) *Spasticity*, *Chronic Pain* and *Contractures*; (ii) *Spasticity*, *Chronic Pain* and *Tiredness*; (iii) *Spasticity*, *Chronic Pain* and *Sexual functions*; (iv) *Spasticity*, *Contractures* and *Tiredness*;

(v) *Spasticity, Bladder dysfunction and Sexual functions*; (vi) *Spasticity, Contractures and Respiratory functions*; (vii) *Spasticity, Bladder dysfunction and Bowel dysfunction* and (viii) *Spasticity, Sexual functions and Bowel dysfunction* indicates that *Spasticity* may be a confounding variable in the association of the other 2 variables. However, it remains essential for treating clinicians to appreciate that the contextual factors can also influence the efficacy of interventions.

Graphical modelling gave different results in the SCI subsamples under consideration. This confirms that the prevalence of spasticity in different SCI subgroups should be kept in mind when recognizing the features of spasticity of people's functioning (33). While previous research has stated that the relationship between *Spasticity* and *Tiredness* is unclear, this study provides empirical evidence that *Tiredness* is associated with high levels of *Spasticity* present mostly in the tetraplegic population (34). Moreover, the mixed results of 2 prospective studies on a relationship between the presence of *Urinary tract infection* and increased *Spasticity* may be explained by our results. *Spasticity* may indicate the presence of *Urinary tract infection* as a medical problem, and may also be associated with *Bladder dysfunction* in people with complete SCI (35, 36). Further studies are needed to confirm these associations.

Graphical modelling allowed us to describe the interactions of spasticity with different categories of functioning, which are all relevant and should be considered when setting goals during the rehabilitation process of people with SCI. For example, the path *Spasticity–Doing housework* indicates that spasticity includes information that could influence performance in this daily activity, while the path *Spasticity–Contractures–Moving < 100 m* or the path *Spasticity–Respiratory functions–Breathing* indicates that spasticity is associated with the performance in daily activities as the result of its association with different secondary complications after SCI (see Appendix II).

Study limitations

This study has some limitations. Firstly, all analyses were limited by cross-sectional, missing and dichotomized data. Therefore, for interfering causality, it is recommended that these results are validated using follow-up data and inference at both population and individual levels (37). Secondly, the presence of spasticity and the range of its effect on functioning was based on a single question in the SCI Secondary Conditions Scale; a scale for which reliability, validity and sensitivity to change need be tested with larger and diverse SCI samples (18). However, to date there is no recommended instrument for assessing spasticity, since

previous research showed that the Ashworth Scale is not sufficiently valid and reliable as a measure for spasticity (38). Thirdly, the small sample for the SCI subsamples considered might produce sparse graphs. Fourthly, the Pearl intervention calculus may introduce “collider bias” when conditioning on a collider node in the regression analysis is used. A collider node is a variable that has both the preceding and the subsequent nodes with directed edges going to this variable (39). Fifthly, the exact level and the lesion completeness, based on the American Spinal Injury Association system, were not collected (40).

Conclusion

This study shows that graphical models are a flexible tool for studying and visualizing the impact of moderate-to-severe spasticity on the functioning of people living with SCI. With no a priori assumptions, meaningful associations were identified between spasticity and functioning categories from all ICF components of functioning and intervention targets for improving functioning by reducing spasticity that depend on the level and completeness of the lesion. On this basis, graphs as presented in this paper may be used as a new tool to describe and understand the impact of a problem on a specific ICF category on overall functioning.

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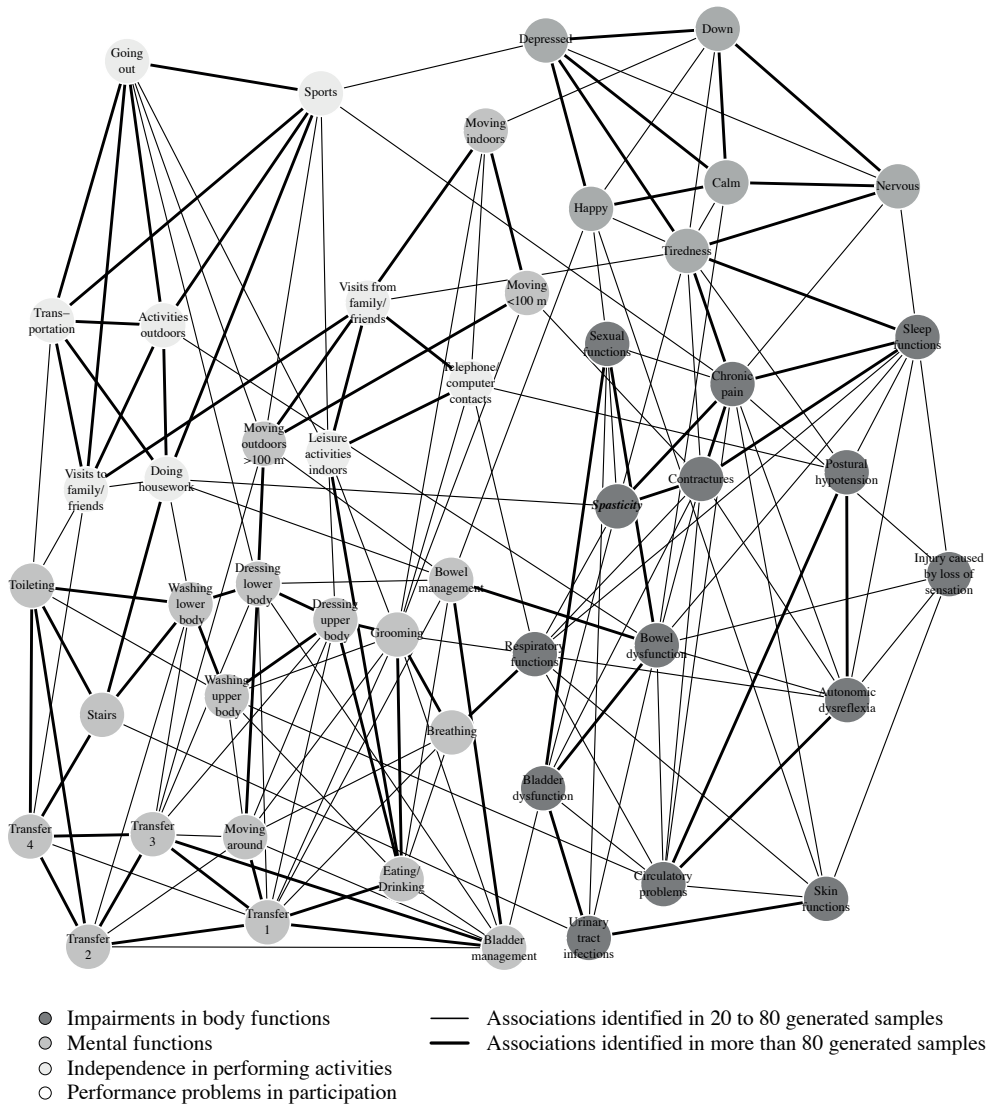
The authors have no conflicts of interest to declare.

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Appendix I. The association structure among the categories of functioning for the overall spinal cord injury (SCI) sample identified in more than 20 generated samples.



Appendix II. The output from Pearl's intervention calculus assessing the effect of a simulated statistical intervention on each functioning category on *Spasticity*. The mean of the intervention effects (regression coefficients) and of the standard deviation over the 100 samples are provided for each functioning category. The top 5 targets for intervention are shown in **bold**.

| | Total | Paraplegia | Tetraplegia | Incomplete | Complete |
|------------------------------------|----------------|----------------|----------------|----------------|----------------|
| – | 0.467 | 0.529 | 0.055 | 0.611 | 0.482 |
| Skin functions | (0.118) | (0.146) | (0.024) | (0.145) | (0.184) |
| Urinary tract infections | 0.513 | 0.598 | 0.044 | 0.335 | 0.750 |
| | (0.109) | (0.134) | (0.023) | (0.133) | (0.173) |
| Sexual functions | 0.517 | 0.478 | 0.096 | 0.533 | 0.58 |
| | (0.106) | (0.131) | (0.022) | (0.125) | (0.172) |
| Chronic pain | 0.925 | 0.971 | 0.134 | 0.913 | 1.044 |
| | (0.108) | (0.132) | (0.022) | (0.126) | (0.171) |
| Respiratory functions | 0.679 | 0.653 | 0.111 | 0.703 | 0.782 |
| | (0.132) | (0.168) | (0.026) | (0.153) | (0.217) |
| Sleep functions | 0.337 | 0.299 | 0.095 | 0.533 | 0.256 |
| | (0.110) | (0.136) | (0.022) | (0.128) | (0.179) |
| Injury caused by loss of sensation | 0.282 | 0.224 | 0.073 | 0.292 | 0.399 |
| | (0.134) | (0.165) | (0.028) | (0.161) | (0.216) |
| Contractures | 0.880 | 0.788 | 0.130 | 0.869 | 0.864 |
| | (0.108) | (0.133) | (0.022) | (0.126) | (0.177) |
| Bladder dysfunction | 0.581 | 0.622 | 0.075 | 0.434 | 0.852 |
| | (0.111) | (0.137) | (0.023) | (0.131) | (0.177) |
| Bowel dysfunction | 0.571 | 0.518 | 0.110 | 0.512 | 0.673 |
| | (0.110) | (0.135) | (0.023) | (0.132) | (0.176) |
| Autonomic dysreflexia | 0.567 | 0.497 | 0.093 | 0.683 | 0.39 |
| | (0.128) | (0.163) | (0.025) | (0.152) | (0.209) |
| Postural hypotension | 0.593 | 0.420 | 0.092 | 0.506 | 0.724 |
| | (0.141) | (0.187) | (0.027) | (0.165) | (0.233) |
| Circulatory problems | 0.487 | 0.576 | 0.044 | 0.662 | 0.318 |
| | (0.119) | (0.146) | (0.024) | (0.139) | (0.192) |
| Tiredness | 0.725 | 0.617 | 0.119 | 0.714 | 0.719 |
| | (0.111) | (0.137) | (0.023) | (0.132) | (0.179) |
| Nervous | 0.285 | 0.353 | 0.032 | 0.355 | 0.305 |
| | (0.117) | (0.145) | (0.025) | (0.133) | (0.186) |
| Down | 0.551 | 0.56 | 0.054 | 0.587 | 0.323 |
| | (0.123) | (0.151) | (0.028) | (0.145) | (0.214) |
| Calm | 0.302 | 0.221 | 0.036 | 0.316 | 0.234 |
| | (0.114) | (0.146) | (0.025) | (0.136) | (0.184) |
| Depressed | 0.388 | 0.437 | 0.067 | 0.474 | 0.495 |
| | (0.121) | (0.145) | (0.024) | (0.132) | (0.198) |
| Happy | 0.236 | 0.082 | 0.060 | 0.370 | 0.161 |
| | (0.115) | (0.144) | (0.022) | (0.129) | (0.186) |
| Eating/Drinking | 0.465 | 0.594 | 0.006 | 0.629 | 0.083 |
| | (0.127) | (0.208) | (0.028) | (0.164) | (0.29) |
| Washing upper body | 0.431 | 0.383 | 0.056 | 0.706 | 0.141 |
| | (0.120) | (0.149) | (0.023) | (0.130) | (0.201) |
| Washing lower body | 0.415 | 0.296 | - | 0.251 | 0.072 |
| | (0.123) | (0.152) | - | (0.166) | (0.193) |
| Dressing upper body | 0.499 | 0.503 | - | 0.780 | 0.167 |
| | (0.132) | (0.167) | - | (0.184) | (0.218) |
| Dressing lower body | 0.678 | 0.772 | - | 0.831 | 0.264 |
| | (0.132) | (0.163) | - | (0.166) | (0.234) |
| Grooming | 0.196 | 0.473 | 0.022 | 0.575 | 0.117 |
| | (0.139) | (0.183) | (0.027) | (0.142) | (0.207) |
| Breathing | 0.289 | 0.471 | 0.023 | 0.675 | 0.135 |
| | (0.147) | (0.228) | (0.025) | (0.177) | (0.233) |
| Toileting | 0.230 | 0.350 | - | 0.207 | 0.270 |
| | (0.130) | (0.148) | - | (0.166) | (0.198) |
| Moving around | 0.290 | 0.458 | 0.022 | 0.428 | 0.301 |
| | (0.115) | (0.142) | (0.025) | (0.136) | (0.172) |
| Transfer bed-wheelchair | 0.399 | 0.508 | 0.008 | 0.443 | 0.398 |
| | (0.123) | (0.161) | (0.035) | (0.162) | (0.204) |
| Transfer wheelchair-toilet/tub | 0.205 | 0.369 | 0.007 | 0.244 | 0.068 |
| | (0.119) | (0.132) | (0.030) | (0.180) | (0.183) |
| Moving indoors | 0.290 | 0.279 | 0.037 | 0.439 | 0.186 |
| | (0.120) | (0.157) | (0.024) | (0.128) | (0.213) |
| Moving 100 m | 0.378 | 0.444 | 0.035 | 0.589 | 0.168 |
| | (0.129) | (0.134) | (0.027) | (0.125) | (0.183) |
| Moving outdoors >100 m | 0.315 | 0.351 | 0.035 | 0.560 | 0.165 |
| | (0.130) | (0.149) | (0.026) | (0.127) | (0.195) |
| Stairs | 0.419 | 0.624 | 0.099 | 0.675 | - |
| | (0.133) | (0.153) | (0.033) | (0.138) | - |
| Transfer wheelchair-car | 0.265 | 0.347 | 0.058 | 0.598 | 0.102 |
| | (0.142) | (0.149) | (0.039) | (0.162) | (0.242) |
| Transfer floor-wheelchair | 0.277 | 0.406 | 0.009 | 0.297 | 0.318 |
| | (0.131) | (0.142) | (0.023) | (0.167) | (0.188) |
| Doing housework | 0.726 | 0.817 | 0.085 | 0.780 | 0.587 |
| | (0.134) | (0.156) | (0.027) | (0.151) | (0.216) |
| Transportation | 0.476 | 0.62 | 0.063 | 0.670 | 0.244 |
| | (0.135) | (0.152) | (0.024) | (0.155) | (0.201) |
| Sports | 0.563 | 0.605 | 0.087 | 0.767 | 0.49 |
| | (0.126) | (0.146) | (0.025) | (0.138) | (0.197) |
| Going out | 0.535 | 0.565 | 0.066 | 0.722 | 0.43 |
| | (0.134) | (0.152) | (0.03) | (0.145) | (0.208) |
| Activities outdoors | 0.621 | 0.527 | - | 0.809 | 0.363 |
| | (0.134) | (0.175) | - | (0.176) | (0.179) |
| Leisure activities indoors | 0.365 | 0.385 | 0.061 | 0.535 | 0.195 |
| | (0.121) | (0.150) | (0.022) | (0.139) | (0.194) |
| Visits to family/friends | 0.432 | 0.391 | 0.058 | 0.383 | 0.388 |
| | (0.125) | (0.153) | (0.031) | (0.159) | (0.18) |
| Visits from family/friends | 0.374 | 0.186 | 0.047 | 0.527 | 0.093 |
| | (0.111) | (0.144) | (0.024) | (0.131) | (0.185) |
| Telephone/computer contacts | 0.552 | 0.472 | 0.061 | 0.403 | 0.729 |
| | (0.138) | (0.192) | (0.027) | (0.162) | (0.226) |
| Bowel management | 0.312 | 0.337 | 0.02 | 0.506 | 0.178 |
| | (0.112) | (0.135) | (0.023) | (0.128) | (0.179) |
| Bladder management | 0.187 | 0.276 | 0.009 | 0.348 | 0.130 |
| | (0.125) | (0.145) | (0.028) | (0.140) | (0.182) |