# Multi-wheat-model ensemble responses to interannual climate variability

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## 1. Introduction

Process-based crop simulation models have become increasingly prominent in the last several decades in climate impact research owing to their utility in understanding interactions among genotype, environment, and management to aid in planning key farm decisions including cultivar selection, sustainable farm management, and economic planning amidst a variable and changing climate (e.g., Ewert et al., 2015). In the coming decades climate change is projected to pose additional and considerable challenges for agriculture and food security around the world (Porter et al., 2014; Rosenzweig et al., 2014). Process-based crop simulation models have the potential to provide useful insight into vulnerability, impacts, and adaptation in the agricultural sector by simulating how cropping systems respond to changing climate, management, and variety choice. Such gains in insight require high-quality models and better understanding of model uncertainties for detailed agricultural assessment (Rötter et al., 2011). Although there have been a large number of studies utilizing crop models to assess climate impacts (Challinor et al., 2014a), a lack of consistency has made it very difficult to compare results across regions, crops, models, and climate scenarios (White et al., 2011a). The Agricultural Model Intercomparison and Improvement Project (AgMIP; Rosenzweig et al., 2013, 2015) was launched in 2010 to establish a consistent climate-crop-economics modeling framework for agricultural impacts assessment with an emphasis on multi-model analysis, robust treatment of uncertainty, and model improvement.

A crop model's response to interannual climate variability provides a useful first indicator of model responses to variation in environmental conditions (Arnold and de Wit, 1976). A simulation model's ability to capture historical grain yield variability has shown it can serve as a sensible basis on which to demonstrate the utility of crop models among stakeholders and decision-makers (e.g., Dobermann et al., 2000). Considering the effort required in collecting data and calibrating a crop model for a particular application, previous studies have often relied upon only a single crop model and limited sets of observational data. This approach overlooks differences in plausible calibration methodologies as well as biases introduced in the selection of a single crop model and its parameterization sets; all of which may affect climate sensitivities (Pirttioja et al., 2015). The final decision-supporting information may therefore be biased depending on the amount of calibration data available and the crop model selected for simulations.

Here we present an agro-climatic analysis of 27 wheat models that participated in the AgMIP Wheat Model Intercomparison Pilot (described briefly in the next section and more completely in the text and supporting materials of Asseng et al., 2013; and Martre et al., 2015), with a focus on how interannual climate variability affects yield simulations and uncertainties across models. This is just one of several studies to emerge from the unprecedented Wheat Pilot multi-model intercomparison and it is intended to contribute to the overall effort by highlighting important areas for continuing analysis, model improvement, and data collection. As most climate impacts assessments cannot afford to run all 27 wheat models, for the first time we examine the consistency of agro-climatic responses across locations, models, and the extent of calibration information to determine whether a simpler, smaller multi-model assessment may be a suitable representation of the full AgMIP Wheat Pilot ensemble. The design of the AgMIP Wheat Pilot also enables a novel comparison of yield responses to interannual climate variability and to mean climate changes, testing the notion that the response to historical climate variability provides a reasonable analog for future climate conditions. The purpose of this analysis is to identify differences in model behaviors, data limitations, and areas for continuing research and model improvement.

## 2. Materials and methods

### 2.1. The AgMIP Wheat Pilot

A total of 27 wheat modeling groups participated in the first

phase of the AgMIP Wheat Model Intercomparison Pilot in order to investigate model performance across a variety of climates, management regimes, and climate change conditions (focusing on response sensitivity to temperature and carbon dioxide). This represented the largest multi-model intercomparison of crop models to date. Major climate change results for grain yields were presented by Asseng et al. (2013), while Martre et al. (2015) compared model performance across output variables against field observations. As those studies thoroughly documented the protocols and participating models of the Wheat Pilot's first phase, here we summarize the major elements with an emphasis on factors affecting interannual grain yield variability as simulated at four sites over the 1981−2010 historical period. Additional work from the Wheat Pilot's second phase have focused on response to increases in average temperature (Asseng et al., 2015), and the models are largely the same as those utilized in phase 1 and analyzed below.

### 2.1.1. Locations

The four locations simulated by participating wheat model groups are shown in Table 1, herein referred to as Argentina (AR), Australia (AU), India (IN), and the Netherlands (NL). Each location corresponded to a field trial ranked as either "gold" or "platinum" in AgMIP's field data standards (Boote et al., 2015), allowing for detailed model calibration and analysis with high-quality initial conditions, in-season measurements, phenology, and end-of-season records. Calibration in this study refers to the process of configuring a crop model for application at a given site, which typically entails the representation of soil properties, agricultural management, and coefficients representing the genetic properties of the cultivar planted; the core biophysical processes are properties of the model developed from extensive experimentation and are typically not adjusted to match field observations at these sites. These high-quality seasonal data unfortunately do not correspond to coincident long-term variety trials using the same management, cultivars, and soils that would be ideal to calibrate interannual variability (corresponding crop growth observations and long-term variety trials are quite rare, particularly in developing countries). Even where long-term variety trial data exist (and are publically available), considerable analysis is needed to attempt a direct comparison with multi-season crop model simulation given shifts in cultivars every 3−5 years (Piper et al., 1998; Dobermann et al., 2000; Mavromatis et al., 2001; Singh et al., 2014; Boote et al., 2015). As a result, analysis here follows many crop modeling studies in utilizing a single-year or short-period (~5 years or less) field dataset for calibration and then relying on soil properties, plant genetics, and established model biophysics to determine interannual variability rather than specifically calibrating internal parameters of response. Palosuo et al. (2011) examined the

potential of a smaller multi-model ensemble to reproduce inter-annual yield variability of variety trial for wheat having only two sites with a longer yield series (14+ seasons) but limited data for calibration, finding errors in each model but much improved statistics for the multi-model ensemble mean. Rötter et al. (2012) came up with similar results for barley model simulations.

Daily climate data (maximum and minimum temperatures, solar radiation, precipitation, wind speed, vapor pressure, dew-point temperature, and relative humidity) were compiled from local observations with missing data filled using the NASA Modern Era Retrospective-analysis for Research and Applications (MERRA; Rienecker et al., 2011) and the NASA/GEWEX Solar Radiation Budget (Stackhouse et al., 2011; White et al., 2011b). The Indian site was irrigated according to the field trial applications. The irrigation (date and amount) of the experimental year (Table 1) was used as input to the models for simulating the 30-years historical period although this may not be sufficient for each year. The other sites were rain-fed. Calibration procedures varied from model to model (generally using the field data to detail crop management and soil properties and then configuring cultivar parameters to match growth stage periods). To isolate the climatic signal, the same configuration was used for the historical simulations, future simulations, and the temperature and $CO_2$ sensitivity tests at each site. The specific calibration approaches were discussed by Challinor et al. (2014b), who found no clear relationship between the number of parameters calibrated and the relative error of harvest index or grain yield. They further noted that this was consistent with compensating errors that can be a benefit of multi-model ensembles but found no evidence of over-tuning in the AgMIP Wheat Pilot.

Additional observations of yields in these regions potentially provide a target for accurate interannual variability that the models are challenged to match. We therefore examined 1981−2010 national level yield data from the UN Food and Agricultural Organization (http://faostat.fao.org/), overlapping district-level yields (in Australia; India: Ministry of Agriculture, Government of India; and the Netherlands: Central Bureau of Statistics, the Hague, STATLINE), and nearby variety trials (in Argentina: RET, www.inase.gov.ar; and the Netherlands: Central Bureau of Statistics, the Hague, STATLINE) as a point of comparison against simulated yields. It is not expected that these four modeling locations are precise representations of the surrounding region; each represents carefully-controlled field trials in one location within countries characterized by substantial differences in soils, climates, cultivars, and management practices.

### 2.1.2. Wheat models

Table 2 lists the 27 wheat models that simulated each of the four sites. Details of the processes and parameter settings that distinguish each of these models are provided in the supplementary

**Table 1**
Locations simulated in AgMIP Wheat Pilot (for more details see Martre et al., 2015).

| Parameter | Location | | | |
|---|---|---|---|---|
| | Argentina | Australia | India | Netherlands |
| Location | Balcarce | Wongan Hills | Delhi | Wageningen |
| Latitude | 37.75°S | 30.89°S | 28.38°N | 51.97°N |
| Longitude | 58.30°W | 116.72°E | 77.12°E | 5.63°E |
| Cultivar | Oassis | Gamenya | HD2009 | Arminda |
| Irrigated | No | No | Yes (383 mm) | No |
| N fertilizer (kg N ha$^{-1}$) | 120 | 50 | 120 | 160 |
| Planting date | 10 August | 12 June | 23 November | 21 October |
| Anthesis date | 23 November | 1 October | 18 February | 20 June |
| Harvest date | 28 December | 16 November | 3 April | 1 August |
| Year of experiment | 1992 | 1984 | 1984−1985 | 1982−1983 |

**Table 2**
Crop models included in AgMIP Wheat Pilot (in alphabetical order; for more information and details on the processes modeled in each model see supplementary materials of Asseng et al., 2013).

| Model | Version | Model description and applications | Web address |
|---|---|---|---|
| APES-ACE | V.0.9.0.0 | (Donatelli et al., 2010; Ewert et al., 2011a) | http://www.apesimulator.it/default.aspx |
| APSIM-Nwheats | V.1.55 | (Asseng et al., 2004; Asseng et al., 1998; Keating et al., 2003) | http://www.apsim.info |
| APSIM-wheat | V.7.3 | (Keating et al., 2003) | http://www.apsim.info/Wiki/ |
| AquaCrop | V.3.1+ | (Steduto et al., 2009) | http://www.fao.org/nr/water/aquacrop.html |
| CropSyst | V.3.04.08 | (Stockle et al., 2003) | http://www.bsyse.wsu.edu/CS_Suite/CropSyst/index.html |
| DSSAT-CERES-Wheat | V.4.0.1.0 | (Hoogenboom and White, 2003; Jones et al., 2003), (Ritchie et al., 1985) | http://www.icasa.net/dssat/ |
| DSSAT-CROPSIM-Wheat | | (Hunt and Pararajasingham, 1995; Jones et al., 2003) | http://www.icasa.net/dssat/ |
| Ecosys | | (Grant et al., 2011) | https://portal.ales.ualberta.ca/ecosys/ |
| EPIC wheat | | (Kiniry et al., 1995; Williams et al., 1989) | http://epicapex.brc.tamus.edu/ |
| Expert-N − CERES − wheat | ExpertN 3.0.10 Ceres 2.0 | (Biernath et al., 2011; Priesack et al., 2006; Ritchie et al., 1987; Stenger et al., 1999) | http://www.helmholtz-muenchen.de/en/iboe/expertn/ |
| Expert-N − GECROS − wheat | ExpertN 3.0.10 | (Biernath et al., 2011; Yin and van Laar, 2005; Stenger et al., 1999) | http://www.helmholtz-muenchen.de/en/iboe/expertn/ |
| Expert-N − SPASS − wheat | ExpertN 3.0.10 | (Biernath et al., 2011; Priesack et al., 2006; Stenger et al., 1999; Wang and Engel, 2000) | http://www.helmholtz-muenchen.de/en/iboe/expertn/ |
| Expert-N − SUCROS − wheat | ExpertN 3.0.10 Sucros2 | (Biernath et al., 2011; Goudriaan and Van Laar, 1994; Priesack et al., 2006; Stenger et al., 1999) | http://www.helmholtz-muenchen.de/en/iboe/expertn/ |
| FASSET | V.2.0 | (Berntsen et al., 2003) (Olesen et al., 2002) | http://www.fasset.dk |
| GLAM-wheat | V.2 | (Challinor et al., 2004; Li et al., 2010) | http://see-web-01.leeds.ac.uk/research/icas/climate_change/glam/download_glam.html |
| HERMES | V.4.26 | (Kersebaum, 1995; Kersebaum, 2007; Kersebaum, 2011; Kersebaum and Beblik, 2001) | www.zalf.de/en/forschung/institute/lsa/forschung/oekomod/hermes |
| InfoCrop | V.1 | (Aggarwal et al., 2006) | http://www.iari.res.in |
| LINTUL-4 | v.1 | (Shibu et al., 2010; Spitters and Schapendonk, 1990) | http://models.pps.wur.nl/models |
| LPJmL | | (Bondeau et al., 2007; Fader et al., 2010; Waha et al., 2012) | http://www.pik-potsdam.de/research/projects/lpjweb |
| MCWLA-Wheat | V2.0 | (Tao et al., 2009a; Tao and Zhang, 2010; Tao et al., 2009b; Tao and Zhang, 2011) | — |
| MONICA | V.1.0 | (Nendel et al., 2011) | http://monica.agrosystem-models.com |
| O'Leary-model | V.7 | (Latta and O'Leary, 2003; OLeary and Connor, 1996a; b; Oleary et al., 1985) | Primary documentation for V7 (V3 (O'Leary and Connor, 1996a; b)), with incremental documentation thereafter. |
| SALUS | V.1.0 | (Basso et al., 2010; Senthilkumar et al., 2009) | www.salusmodel.net |
| Sirius2010 | | (Jamieson and Semenov 2000; Jamieson et al., 1998; Lawless et al., 2005; Semenov and Shewry, 2011) | http://www.rothamsted.ac.uk/mas-models/sirius.php |
| SiriusQuality | V.2.0 | (Ferrise et al., 2010; He et al., 2012; He et al., 2010; Martre et al., 2006) | http://www1.clermont.inra.fr/siriusquality |
| STICS | V.1.1 | (Brisson et al., 2003; Brisson et al., 1998) | http://www6.paca.inra.fr/stics_eng/ |
| WOFOST | V.7.1 | (van Diepen et al., 1989; Supit et al., 1994; Boogard et al., 1998) | http://www.wofost.wur.nl |

material (particularly Table S2) of Asseng et al. (2013). The AgMIP Wheat Pilot's first phase agreed on a policy of model anonymity in the presentation of results, so for the purpose of this study the models will be referred to only by a number assigned at random. This allowed us to still determine the range of responses across these models' native configurations and elucidate how the selection of a crop model contributes to uncertainty in interannual yield simulations and related decisions. The specific mechanisms for each model's response are being considered in ongoing analyses and future intercomparison design.

### 2.1.3. Types of simulation exercises

Wheat Pilot protocols were designed to investigate whether limitations in data (which hamper the calibration of crop models in many locations) substantially affect the accuracy of yield simulation and/or alter the simulated sensitivity to climate variability and climate changes. Participants were therefore instructed to perform simulations in two steps:

1) *Low-information simulations*: Weather data, planting, crop emergence, flowering, and physiological maturity dates, field management information, and soil characteristics and initial conditions were provided but no information was provided on end-of-season yields or in-season crop growth and soil water and nitrogen (N) dynamics. This subset of field experiment data was referred to as "blind test" simulations by Asseng et al. (2013), and represent the types of data that may be accessible for a large number of locations.

2) *High-information simulations*: In addition to the above data modelers were also provided with in-season growth dynamics from the same years' field trial, including, leaf area index (all sites but AU), total above ground biomass and N, root biomass (at IN only), cumulative evapotranspiration (at AU and IN only), plant available soil water and soil inorganic N contents within the season (at AU and NL only), and end-of-season grain yield and protein concentration, and grain density measurements. Plant components (green leaves, dead leaves, stem, and chaff) biomass and N contents were also available at NL. This full set of experimental data was referred to as "full calibration" simulations by Asseng et al. (2013) and is equivalent to the more rare gold or platinum standards set by Kersebaum et al. (2015) and Boote et al. (2015).

Analysis by Asseng et al. (2013) revealed a considerable reduction of biases between field observations and yields using the high-information simulations, but noted that both the low- and high-information simulations showed a similar response to changes in

mean temperature and $CO_2$ concentrations.

The 1981−2010 historical simulations that form the bulk of these analyses also served as the historical basis for climate change simulations conducted by each wheat-modeling group. The same model configurations were therefore forced by the same climate time series and baseline carbon dioxide concentrations but with historical temperatures adjusted by −3 °C, +3 °C, +6 °C, and +9 °C every day of the year. As initial soil conditions and crop management (including sowing date and nitrogen fertilizer application) were kept constant over the 30-year period, these simulations allow for a comparison between model responses to interannual climate variability and to mean climate changes. The re-initialization of soil conditions each year reduces the carry-over effects of multi-year droughts, which reduces overall interannual variability. This is common in agricultural modeling applications (particularly those that examine future climate change where the sequence of events is more difficult to project than mean conditions), but sequential simulations are an important developmental priority for more accurate representation of extreme events and soil degradation and crop rotation effects (Kollas et al., 2015).

## 2.2. Performance of ensemble

Martre et al. (2015) compared grain yield, protein content concentration, and in-season and end-of-season variables within the 27 wheat model simulations against observations at each of the four pilot locations. Although some models had the closest match to specific observations, across all observed variables the 27-model unweighted arithmetic ensemble mean performed best, in line with earlier findings based on smaller model ensembles even when used to reproduce interannual yield statistics (Palosuo et al., 2011; Rötter et al., 2012). Thus, while each wheat model has its own biases and accuracies, the errors across models tended to compensate and the resulting ensemble had additional value (see also Challinor et al., 2014b). The superior performance of the ensemble also reflected that wheat models have evolved with enough independence in approaches to achieve a random distribution of biases for most variables rather than leading to the emergence of common biases.

In light of the superior performance of the 27-member ensemble mean in reproducing field observations across the four sites (and the lack of long-term historical yield observations at each location), for the purposes of this study we utilize the full, 27-model unweighted arithmetic mean ensemble as the basis for comparison of each model's climate response.

## 2.3. Methods of analysis

### 2.3.1. Agro-climatic correlations

As each of the simulations held management constant throughout the 1981−2010 simulation period and soils were re-initialized each year (with the exception of LPJmL, which did not reinitialize soil water), interannual yield variability is a result of model responses to climate factors. Chief among these are precipitation, temperature, and solar radiation, which are likely to affect crop growth on a number of time scales. Here we focus on the effects of variability in mean values over the growing season, using Pearson's correlations against grain yield to determine key sensitivities within each crop model. Additional variance is likely explained by climate variables at sub-seasonal time scales (particularly when extreme conditions align with vulnerable phenological stages), which merits further examination in future studies. Correlation was chosen as a simple illustration of association between climate and crop model response, although aspects related to non-linearity and thresholds may not be captured. Future work may also

consider associative metrics such as the probability of detection for extreme events as a way of isolating important properties of observations and models (Glotter et al., 2016).

As most studies will not have the luxury of running all 27 wheat models, we investigate the expected benefit of adding each additional member to a multi-model subset to converge on behaviors captured by the full 27-model ensemble. Without running the full analysis it is not possible to know whether the models that are available are among the best or worst for a given site's climate variability response, so we utilize an 80%-exceedance threshold as a practical risk in simulation design. Results therefore focus on the correlations that would be exceeded by 80% of the possible combinations for any number of combined models.

### 2.3.2. Agro-climatic clustering

We employed the k-means clustering technique to form clusters of wheat models that are characterized by similar correlations between yield and growing season temperature, precipitation, and solar radiation (with equal weighting for all). K-means is an iterative process by which models are regrouped until silhouette values (i.e., similarity between each model and the other members of its cluster) are maximized. For each location we examined the results with three, four, and five clusters and visually selected the number that best captured cohesive groupings in the climate-sensitivity space (this resulted in three clusters in both Argentina and India and four clusters in both Australia and the Netherlands). Fewer clusters than this grouped models with substantially different yield sensitivities to climate variability in the same cluster, while more clusters tended to unnecessarily divide similarly-responsive models. As each model belongs to a specific cluster at each location, we utilize the frequency that two models appear in the same clusters across the four sites as a metric of model similarity.

## 3. Results and discussion

### 3.1. Baseline interannual variability

Fig. 1 presents the 1981−2010 yields for the four Wheat Pilot locations from 27 wheat models, the full model ensemble, and national and regional yields. These high-information simulation results indicate uncertainty across the model ensemble, although common differences in mean yield across the four locations are clear (as discussed by Asseng et al., 2013, and Martre et al., 2015). Simulations exceed national and regional yields in each location, as wheat models often do not include the effects of pests, diseases, poor crop management due to labor or equipment shortages, waterlogging, and other factors that are common on farms outside of experimental plots. Model results are therefore more representative of yield potential (Evans and Fischer, 1999) than the more complex conditions of a typical farmer's field. The other source of variation in the gray lines within Fig. 1 comes from the less explored interannual variability of simulated yields, which is the focus of analyses below. Interannual variability is reduced in the model ensemble, as would be expected from averaging, although noteworthy variations suggest that there are common behaviors across the crop model responses. Simulated yields (which examine a single field) are characterized by greater interannual variance compared to the national and regional level observations, likely because heterogeneities in soils, climate, cultivars, and management reduces extreme year anomalies when aggregated to scales that may exceed those of a given extreme event (Ewert et al., 2011b). Only variety trials (in Argentina and the Netherlands) contain mean and variance of yields that are similar to the simulations, although differences in management and the varieties cultivated also reduce the utility of these records as a basis for truth
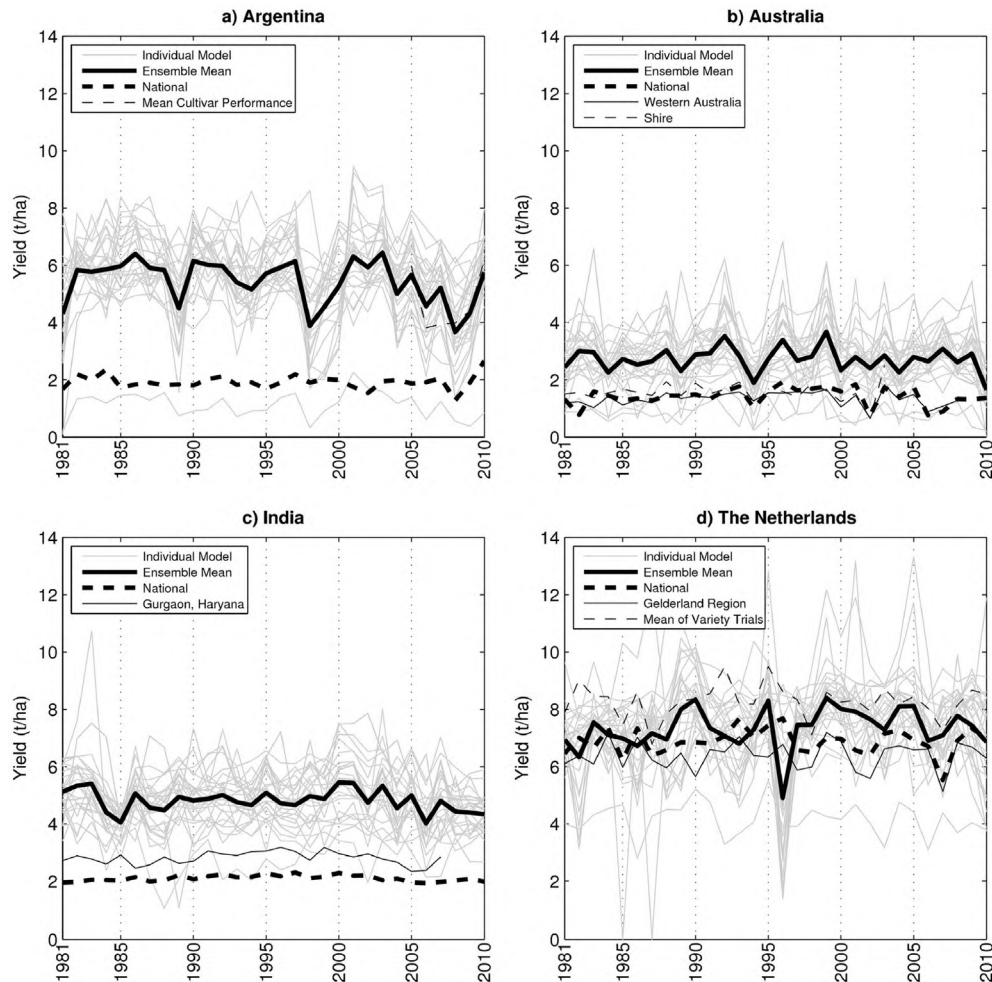
**Fig. 1.** Historical period grain yields for a) Argentina, b) Australia, c) India, and d) The Netherlands, including the individual crop models at single simulation locations (gray lines), multi-model ensemble mean (black solid line), and observations from national, regional, and local field trial data. Linear trends were removed from observational data at all but the Argentinian site (which had no significant trend). Modeled yields are the result of the high-information calibration simulations.

in the comparison of models.

Discrepancies between various observational sources and the experimental field simulated by the wheat models are large enough to caution against an expectation that the models would reproduce national, regional, or trial-based observational records over the historical period. These discrepancies are often due to the set up of the simulations from the single field experiment not representing the diversity of soils, management and cultivars which affected the regional and national yield data (but are not documented). Also, yield variability is often driven by factors other than weather (Ray et al., 2015) and models that are driven by variations in weather only are bound to not reproduce observational records. As noted above, we therefore turn to the High-information ensemble average (dark line in Fig. 1) as the standard for the individual crop models given its superior performance in producing the full range of field observations (Martre et al., 2015). The ensemble also reduces interannual variability through the averaging of multiple models' potentially uncorrelated anomalies.

### 3.2. Effect of calibration on climate sensitivity

The Wheat Pilot's protocol for Low-information and High-information experiments provides a useful examination of the ways in which model calibration has the potential to affect the

resulting response to climate variability. Fig. 2 illustrates this sensitivity to calibration information via the correlation of each individual model's low-information results with the full ensemble of Low-information simulations (LL), the correlation of each model's Low-information result with the full ensemble of High-information simulations (LH), and the correlation of each model's High-information results with the full ensemble of High-information simulations (HH).

Correlations do not change dramatically between the Low- and High-information simulations for the vast majority of wheat models at each of the four locations. The exceptions feature both substantial improvements (e.g., Model #25 in Argentina) and declines (e.g., Model #10 in Australia) in correlations as additional information is provided. In these cases calibration to cultivars, soil conditions, or other internal parameters may have improved the experimental year's results but also affected climate sensitivity via shifts in the resilience to heat, water, and/or frost stresses. Effects of calibration strategy on simulations of climate change impact were also examined by Challinor et al. (2014b) and for simulations of crops across Europe (Angulo et al., 2013). The relative lack of different sensitivities between the Low- and High-information simulations could also be explained by the fact that each was simulated by the same model experts for a given model, and that additional data provided for the High-information simulations
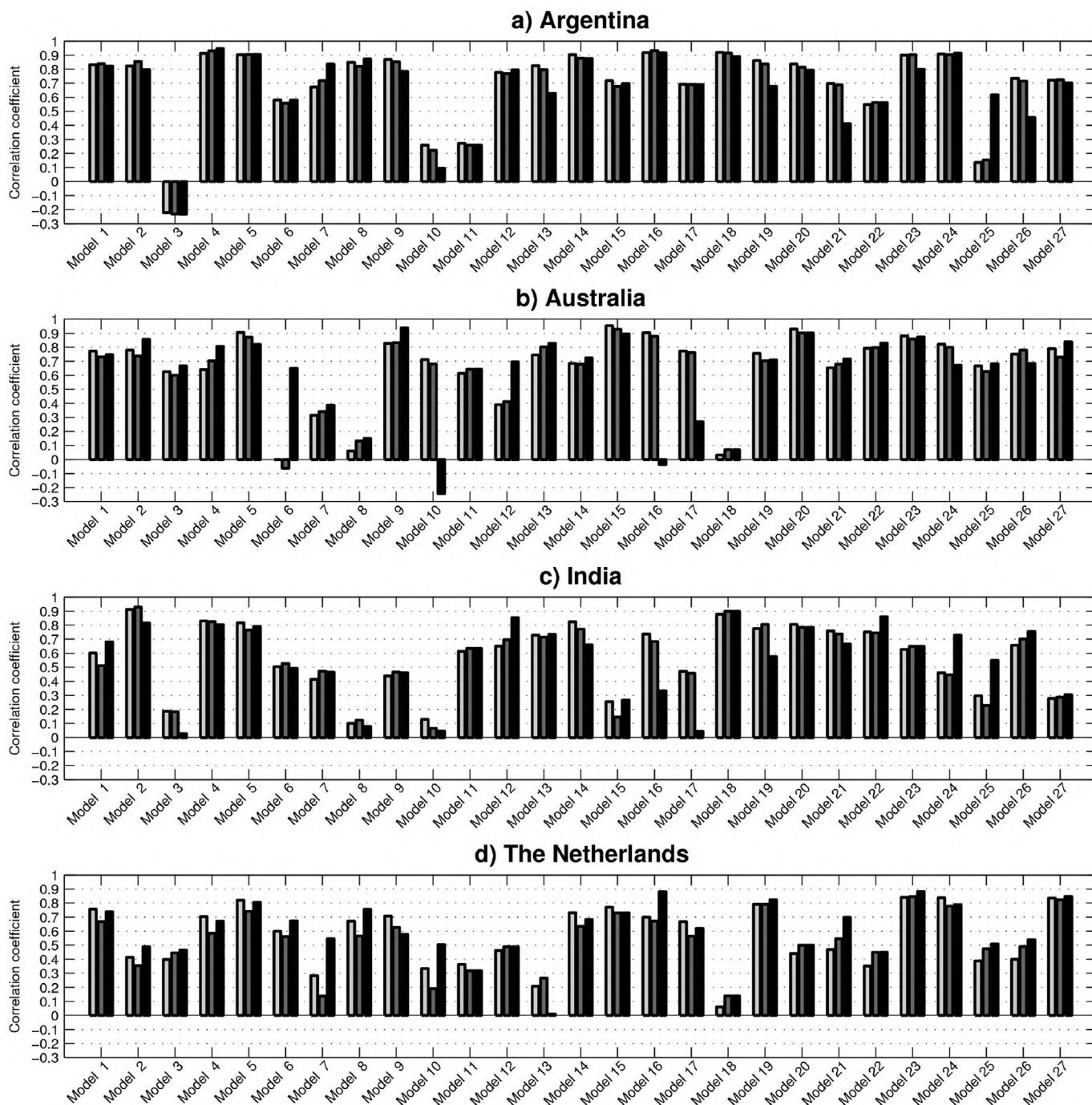
**Fig. 2.** Single model run correlations against ensemble mean during 1981−2010 for (a) Argentina; (b) Australia; (c) India; and (d) The Netherlands. The correlation between the Low-information model runs and the Low-information ensemble mean (LL) is displayed in light gray, the correlation between the Low-Information model runs and the High-information ensemble mean (LH) is displayed in dark gray, and the correlation between the High-information model runs and the High-information ensemble mean (HH) is displayed in black.

were mostly limited to details on the crop itself. Additional information about the soil environment, in particular, would have potentially altered the sensitivity to interannual rainfall anomalies.

A comparison between the LL and HH correlations indicates that most models have the same relationship with the full ensemble regardless of the level of calibration information. Where LH and HH correlations are similar for a given model there is little benefit from additional calibration in terms of interannual climate response, as the Low-information results perform just as well as the High-information results against the High-information ensemble

standard. HH correlations are at least higher than LL correlations in the majority of cases, suggesting that additional calibration information does tighten the spread of models around the ensemble mean and thus improve the performance of several models. This benefit is blurred by the likelihood that the fully-calibrated set of models would be expected to have closer agreement among members; however, it is important to note that calibration data at each site were only provided for a single year, making it impossible to directly calibrate the interannual variability examined here. This is a typical limitation for crop model simulations, as there are few

long-term field trials that would allow full calibration of interannual variability. Also calibration in many cases focuses on minimizing error between modelled and observed results for the calibration dataset, which may have little influence on model responses to variation in environmental conditions that may be controlled by model structure and parameters other than those in focus for the calibration. The remainder of this study will focus on the High-information simulation sets, as these are likely to be of highest fidelity. Agro-climatological mechanisms at the root of these correlations are explored in Section 3.4 below.

### 3.3. Benefit of multi-model ensemble

The 27-model community approach of the AgMIP Wheat Pilot is not possible in the vast majority of crop model applications. Instead, what is needed is prior information that aids in the construction of a practical subset of models with a high likelihood of representing the larger ensemble. Beginning on the left-hand side of Fig. 3 (representing the use of a randomly selected single model), the plotted value represents the Pearson's correlation (against the full High-information ensemble) that would be exceeded by 80% of the individual models. This value is highest for Argentina (where 80% of the models exceed $r = 0.50$) and lowest for India ($r = 0.28$). Introducing a second model results in $(27 \times 26)/2 = 351$ possible combinations, but 80% of them have a correlation of at least $r = 0.71$ in Argentina and $r = 0.53$ in India. Across the four sites, the benefit of adding a second model to a climate variability analysis is therefore an increase of $+0.23$ in its likely correlation with the full ensemble, with gains highest in Australia ($+0.33$) and lowest in the Netherlands ($+0.13$). Adding a third model also substantially increases the 80%-likely correlation, although the average increase is reduced ($+0.11$). The additions of a fourth and fifth model (increasing correlations by an average of 0.06 and 0.04, respectively) to the subset are also beneficial and lead to very high correlations, but the increases begin to be small in comparison to the effort likely required to calibrate an additional model (and collaborate with an additional modeling group) for the effort.

Efforts to include a second and third model therefore provide substantial benefit to climate variability simulations; however, investment in including additional models has a diminishing return. These results suggest a benefit at smaller subsets to account for interannual climate variability than the 5- to 10-member subsets that AgMIP crop model pilots identified as beneficial by comparing multi-model convergence against the 13.5% error that is common in field observations for wheat (Asseng et al., 2013) and maize (Bassu et al., 2014) or the 15% observational error for rice (Li et al., 2014). The analyses were also conducted using a 70% and 90% threshold, with consistent patterns of benefit but the higher thresholds further emphasizing the risks of the worst model being randomly selected.

### 3.4. Agro-climatic sensitivity

Correlations of the 1981–2010 modeled grain yields and observed grain yields with mean growing season solar radiation, temperature, and precipitation are shown in Fig. 4 across the four locations. In Argentina simulated grain yields are positively correlated with wet seasons in all but one model, with more than 75% of the models demonstrating significant correlations. A strong sensitivity to rainfall anomalies is also seen in the cultivar trials; however, national grain yields are not significantly correlated with the precipitation at Balcarce, Argentina, as the wheat area covers a much larger region. The simulations and cultivar trials agree that lower temperatures significantly favor grain yields, with even the national grain yields following suit as warm and cooler seasons

tend to spread more widely than the precipitation anomalies. At all sites, for both temperature and precipitation, the magnitude of the ensemble average's correlation is substantially higher than that of the median model; indicating that precipitation and temperature sensitivities are a unifying factor describing grain yield across the model members. Solar radiation variability is not significantly correlated for the bulk of models.

The Australian location is characterized by an even stronger sensitivity to rainfall. This site is also significantly sensitive to solar radiation anomalies, with negative correlations suggesting interdependence as cloudier seasons correspond with wetter conditions. National and regional yields are less responsive to precipitation anomalies and are governed more by temperature, as temperature anomalies may be widespread while droughts in the east are often offset by wetter conditions in the west.

Simulated yields at the Indian site are significantly correlated with precipitation despite irrigation applications totaling 383 mm over the growing season using fixed application dates (as applied in the field experiment). While an irrigation amount of 383 mm was sufficient for the 1984–1985 field trial, in other years the amount and timing of these applications may not have been adequate to prevent water stresses from influencing crop growth and final yields. It is also possible that precipitation anomalies are correlated with particular temperature and solar radiation regimes that are favorable for irrigated wheat growth. Cool seasons here are favorable for wheat production, and solar radiation correlations are not significant. National level correlations with the Delhi weather series are understandably weaker for all variables, as heterogeneous climate across India's wheat-growing regions reduces the prominence of anomalies and results in insignificant correlations in all but average temperature.

Wheat at the Netherlands site follows a different agro-climatic pattern from that at the other three sites. Warm seasons are positively correlated with yields in the bulk of models, suggesting a growing degree day limitation. Simulations and observations also suggest a radiation limitation at this high latitude, with sunnier seasons (and the associated temperature and rainfall patterns) favoring higher yields. The field site is notably different from the regional and national level observations in that the aggregated observations are either not correlated with temperature or suggest that yields favor cooler temperatures. The models also indicate stronger yields in wet years, while observations indicate better production during drier seasons. This likely comes from the fact that local and regional management of shallow groundwater tables in this region helps control against water stress but this management is not considered in the models at the test site. Contrary to the models' perception of drought, elevated regional yields are recorded in dry seasons as higher solar radiation and groundwater provisions increase yield potential (Asseng et al., 2000).

### 3.5. Clusters of agro-climatic response

Fig. 5 shows each of the 27 wheat models as plotted on a three-dimensional space of temperature, precipitation, and solar radiation correlations with that model's grain yield. Models falling in the same agro-climatic cluster are represented with a common symbol and color. The full ensemble average and cluster averages do not fall as an average of the individual model members' correlations as the ensemble averaging reduces individual models' yearly anomalies to produce a unique time series. The results illustrate that the model spread is not randomly distributed in the agro-climatic sensitivity space, but rather distinct families of responses are evident. Several clusters also correspond much more closely with the full ensemble average responses.

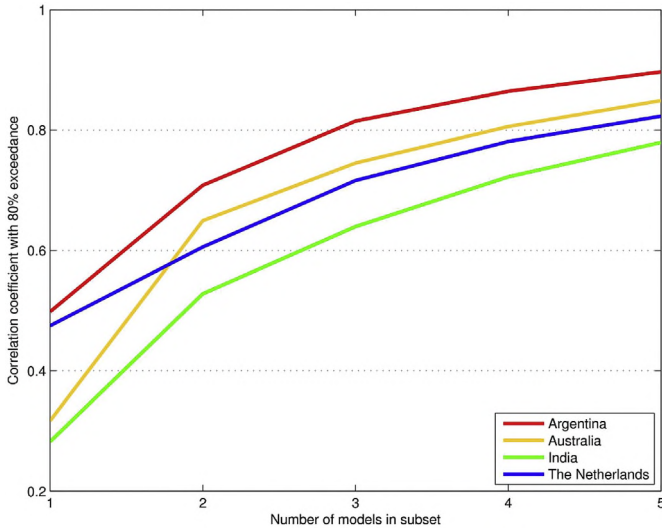Fig. 6 shows the spread of model correlations within each

**Fig. 3.** Improvement in correlations with each additional model within a multi-model subset of the full ensemble. For each number of models included in the subset $N$, the value shown represents Pearson's correlation coefficient between the subset's mean yield and the full ensemble's mean yield and that would be exceeded 80% of the time given a random selection of $N$ models from the full set of 27 wheat models. Simulations were performed at single locations in each country (see Table 1) after calibration with High information, and all possible combinations of N models were tested.

cluster as well as the cluster ensemble correlations against the full 27-model ensemble's interannual yield variability. One or two clusters at each location demonstrate substantially better coherence to the ensemble average than the others. Even within a given cluster there are substantial differences in correlation between individual models and the ensemble average; particularly among clusters that are furthest from the ensemble average sensitivities (e.g., the "x" cluster in Argentina or the diamond cluster in Australia). The ensemble average for each cluster is also a marked improvement on the median model within that cluster, although occasionally there is one model that outperforms even the cluster mean.

Despite the fact that many of these wheat models have common heritage in pioneering crop modeling groups and approaches developed in the last 30 years, only two pairs of models

(#1/#5 and #20/#22 from Fig. 2; making <0.3% of possible combinations and thus potentially just a coincidence) fall in the same agro-climatic cluster at all four Pilot locations. 7% of model pairs fall in the same cluster at three of the four sites, while 24% of model pairs are never in the same cluster. The remaining 69% of model pairs share one or two clusters, which would be expected for independent models. No individual model stands out as being particularly divergent from the others, as each model has at least three other models that never appear in the same cluster, and at least four models that fall in the same cluster for two or more sites. Only one model falls into the highest-correlating cluster at all four locations, and likewise only a single model always falls into the lowest-correlating cluster. In total 15 different models are included in the lowest-correlating cluster for at least one site, and 21 different models are part of the highest-correlating cluster at least once. This independence likely contributes to the strength of the full ensemble, as more independent models are less likely to share common response biases. Model similarities and differences from site to site also cautions against assuming that performance of a given model at a limited number of sites is indicative of its likely performance at a new site. The high sensitivity of the models' response to climate variability demonstrates high sensitivity to location, representing different growing environments. Results suggest that there is little basis on which to categorize groups of models based upon expected commonalities in climate variability response, as these responses show high sensitivity to location rather than models imposing the same response to all sites.

We created subsets of models with the rule that only one model could be drawn from each cluster to test the hypothesis that diverse model combinations would more efficiently capture responses of the full ensemble than would a random combination of wheat models. However, performance of these subsets was not significantly different from the random subsets tested in Section 3.3 above. Selecting more diverse models via cluster analysis is therefore not an effective strategy for creating multi-model subsets for new studies, although the construction of subsets based upon model structure and parameter sets (rather than response characteristics) merits further study. Additional work may also explore agro-climatic responses in perturbed physics ensembles as an alternative to multi-model ensembles (PPEs and MMEs, respectively; Wallach et al., 2015).
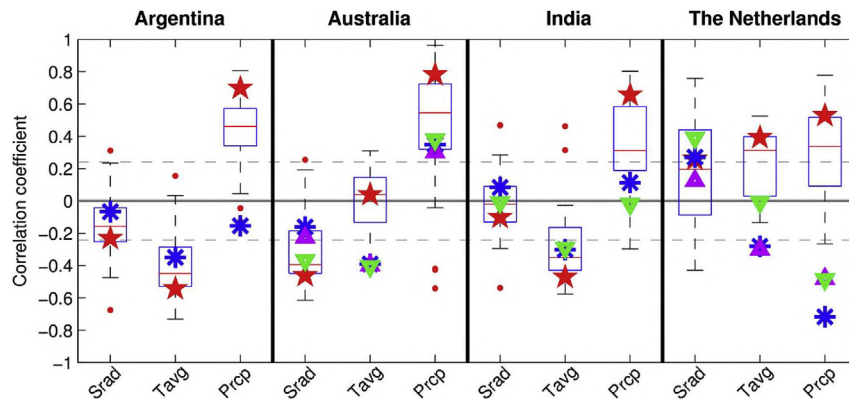


**Fig. 4.** Box-and-whiskers plots of Pearson's correlation coefficients between the 27 wheat models' 1981–2010 simulated grain yields at single locations in each country and corresponding growing season mean solar radiation (Srad), average temperature (Tavg) and precipitation (Prcp). The median of the model simulations is marked by the red line, the box contains the middle two quartiles (from 25% to 75%), and the whiskers extend to the most extreme data points of the simulations that are not considered outliers (displayed as red dots). The correlation of the ensemble performance (red star), national observations (blue asterisk), regional observations (magenta triangles; where available), and the mean of other field trial results or local observations (green triangles) over the years data were available are also presented (as in Fig. 1). Dashed lines indicate thresholds for correlations that are significant at the 90th percentile (t-test).
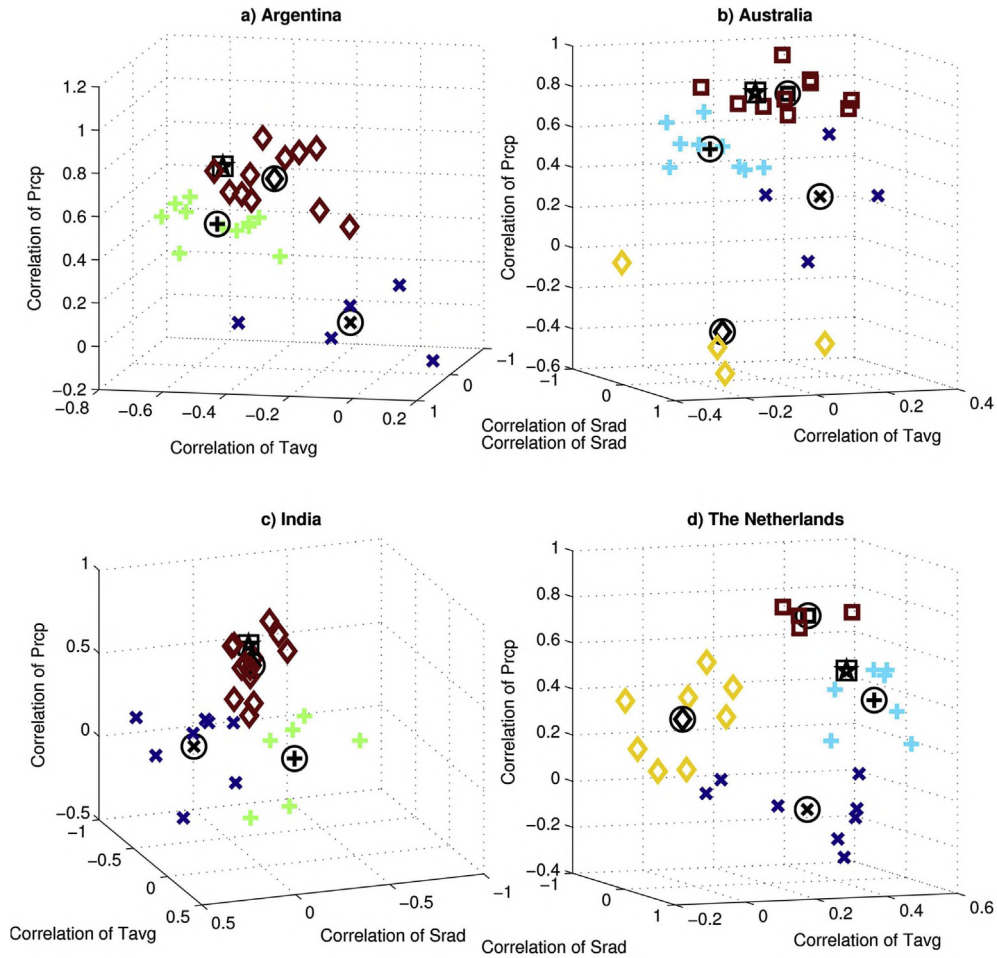
**Fig. 5.** Clusters of the 27 wheat model simulations (cluster membership denoted by shape of symbols), the ensemble average, and observational data according to their grain yield correlation coefficients versus mean growing season solar radiation (Srad), average temperature (Tavg), and precipitation (Prcp) from 1981 to 2010 for single locations in (a) Argentina; (b) Australia; (c) India; and (d) The Netherlands. The correlation coefficients of the ensemble yield performance (boxed star) and the centroids of the clusters (corresponding symbols with circles) are also presented. Note that the perspective is rotated and axes limits adjusted in each panel in order to best visualize the differences in the model clusters.

## 3.6. Relationship between interannual and climatological temperature sensitivities

While the above analyses focused on the ways in which simulated grain yields are sensitive to interannual variability in temperature, rainfall, and solar radiation, the temperature sensitivity tests (−3 °C, +3 °C, +6 °C, and +9 °C) isolate the effect of mean changes in temperature. Popular impressions of climate change impacts are often based upon *temporal proxies*, or the assumption that an *x*-degree warmer mean climate at a given location would have grain yields similar to the yields observed in that location in past years when an *x*-degree anomaly occurred. Empirical models based upon historical regressions are often premised on such an assumption, although developed to a greater extent (e.g., Lobell and Burke, 2010). This is indeed a logical hypothesis as one would expect that a crop's response to mean warming would mimic its response to interannual temperature anomalies. Models that are most responsive to interannual temperature variability would therefore be expected to also be the most sensitive to mean temperature changes.

For example, consider two models: Model A (which simulates higher yields in warm years and thus whose response is positively correlated with interannual temperatures) and Model B (which simulates lower yields in warm years and thus whose response is negatively correlated with interannual temperatures). A temporal proxy assumption would anticipate that Model A would have more positive simulated yield changes (as a percentage of the historical simulations' yields) than Model B if both were exposed to warmer mean conditions. Likewise, if both models were simulated under cooler mean conditions Model A would have more negative yield changes than Model B. These comparisons between climate variability sensitivities and climate change responses are informative not only for the relationship of a single given model, but the pattern of the full ensemble provides a basis on which to evaluate model consistency and simple statistical modeling approaches.

The 27 wheat models' interannual temperature sensitivity and mean temperature change responses are compared for each of the temperature sensitivity tests and each of the four locations in Fig. 7, with each dot representing a single wheat model. A model's position on the x-axis represents the correlation of its interannual yields against growing season temperature anomalies in the 1980−2010 period, and its position on the y-axis represents the percentage change in mean yield (over the 30 growing seasons) for each of the temperature sensitivity tests in comparison to the 1980−2010 mean yield (with $CO_2$ held at historical concentrations of 360 ppm). A linear fit is also drawn for each color-coded sensitivity test (quadratic fits were not substantially better).

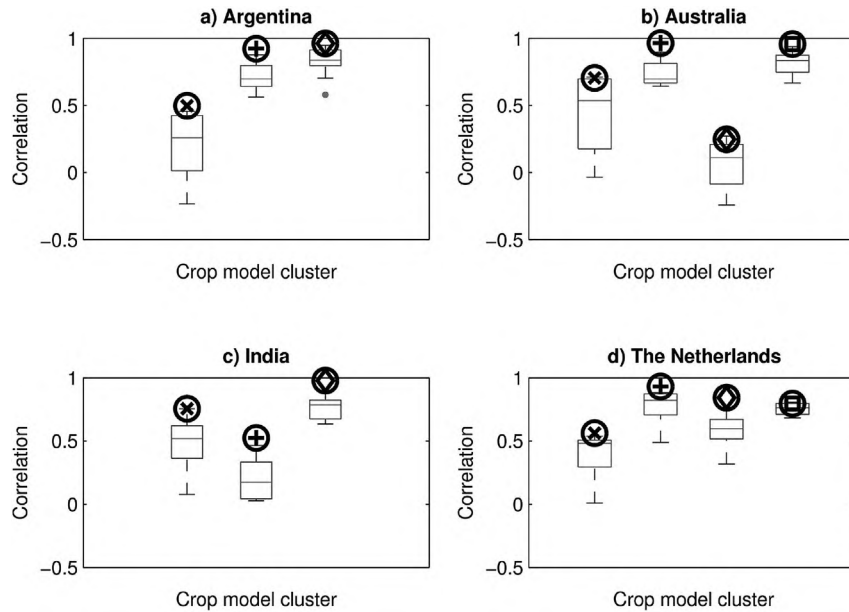As expected, the slopes of the linear fits indicate that models

**Fig. 6.** Correlations between simulated grain yield by the wheat models against the 27-member ensemble average series of interannual grain yields for single locations in *(a)* Argentina; *(b)* Australia; *(c)* India; and *(d)* the Netherlands. The correlations of the cluster ensembles are shown in the dark black symbol above the box-and-whiskers distribution of individual models within that cluster (corresponding to the symbols from Fig. 5).

with greater interannual temperature sensitivity are more sensitive to mean temperature changes. The +3 °C, +6 °C, and +9 °C sensitivity tests' linear fits have a positive slope at all sites. This indicates that the mean warming tended to lead to relatively higher simulated grain yields in models with more positive correlations between interannual temperature and grain yield compared to models with more negative correlations (which had lower simulated grain yield in the sensitivity tests). Also as expected, the −3 °C sensitivity test's linear fit has a negative slope, as decreases in mean temperature lead to larger grain yield losses when models' interannual temperature anomalies are more positively correlated with yields compared to models.

While the slopes of these lines support the use of temporal proxies for climate impact analyses, other aspects of the analysis cast serious doubt on the utility of the temporal proxy approach (even when $CO_2$ is held constant). Firstly, there is a dramatic spread among the 27 wheat models around the fitted line, with the sign of many models' mean temperature change responses opposite from what would be predicted by the interannual temperature response. As shown in Fig. 7, $R^2$ correlations are quite low (between 0 and 0.24), with lowest values in the +9 °C sensitivity test. Correlations are particularly low in Australia ($R^2 \leq 0.07$) where interannual temperature sensitivity was weak in most models, and are highest in the +3 °C and +6 °C sensitivity tests for India ($R^2 = 0.24$) where irrigation likely enabled a stronger temperature signal. *t*-test evaluations of the least-squares fit reveal many instances where the slopes are not statistically significant at the p = 0.05 level, particularly in Australia and for the higher temperature change sensitivity tests (where only India is significant at the p < 0.1 level). Together, these low correlations and the weak significance of fitted slopes suggest that the temporal proxy cannot be reliably applied, especially for conditions that are substantially warmer than the calibration period.

Secondly, a temporal proxy would predict that models with no sensitivity to interannual temperature variability would have no response to climate change (as represented by the temperature sensitivity tests), and therefore all linear fits should intersect at the origin of the axes. This is not the case as nearly all temperature

sensitivity test lines fall below the origin with increasing distance as temperatures rise, suggesting that additional factors impart a mean grain yield reduction above what would be expected from examining the impacts of historical temperature variability. Several potential explanations for these differences merit further study.

A first candidate factor is that this simple temporal proxy based solely on temperature lends itself to biases as a result of interdependence of climate variables (Sheehy et al., 2006). For example, temperature anomalies may correlate with yield losses only because they coincide with dry seasons, which would suggest that a rainfall-based empirical model would be more appropriate. Interdependence of climate variables would somewhat explain the deviations of the wheat models around the least-squares fitted lines in Fig. 7 as the interannual correlation would not be solely a temperature sensitivity. This factor cannot explain the extent of these deviations, however, nor is this explanation sufficient to explain the offset at the origin.

A second factor is the non-linearity in grain yield responses as mean climate change pushes systems beyond critical thresholds and tipping points, some of which may not have been present in the historical conditions. Within each temperature sensitivity test there are 30 years of climate variability including warm seasons with extreme events that are amplified by an increasing mean temperature and which may have a disproportionate impact on the mean yield shift. In combination, the mean warming and interannual extremes can produce conditions never experienced during the 1981−2010 period. In many cases this leads to a non-linear impact on grain yields beyond a simple extrapolation of interannual proxies (Porter and Semenov, 1995). For example, Lobell et al. (2012) found an acceleration of leaf senescence in Indian wheat during extreme heat events beyond what would have been expected from average temperatures alone. Interactions with other variables can also compound yield losses. Chief among these are increases in water stress during critical growth stages, as warmer temperatures lead to increased vapor pressure deficit and higher potential evapotranspiration (although accumulated water requirements may be partially counter-balanced by a shorter growing season). Non-linear effects could be identified if particular years in
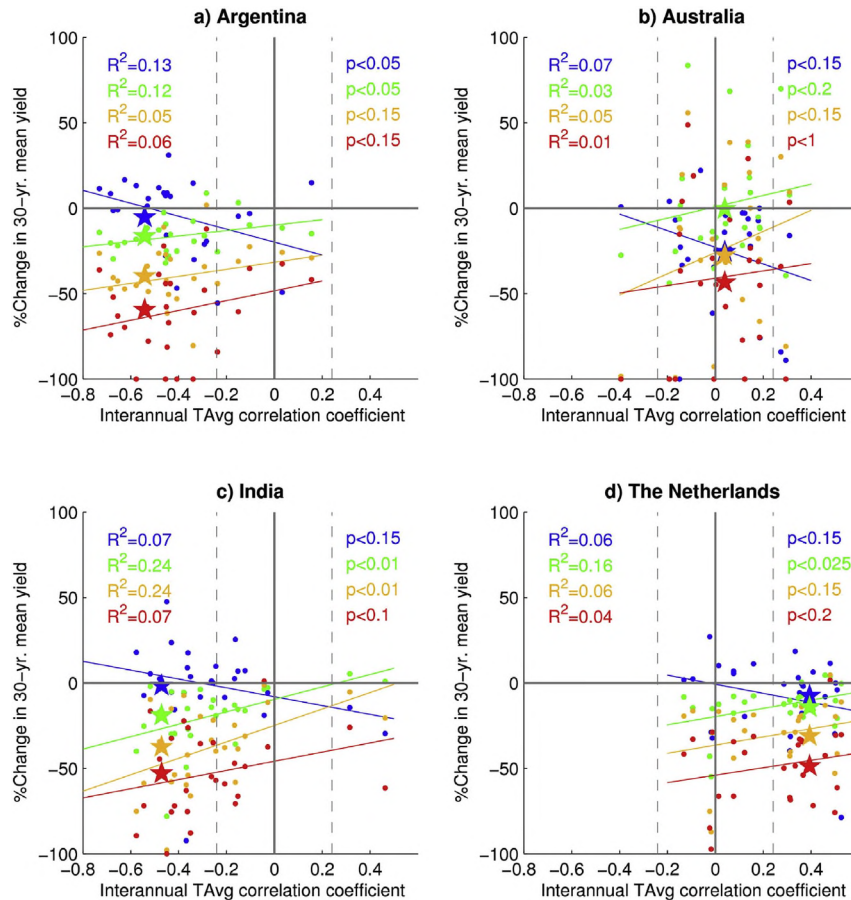
**Fig. 7.** Comparison between each model's Pearson's correlation coefficient of interannual temperature and grain yield with its response to mean temperature change sensitivity tests ($-3\ ^\circ$C, blue; $+3\ ^\circ$C, green; $+6\ ^\circ$C, orange; $+9\ ^\circ$C, red; each compared against 1981–2010 historical period at single location in each country). Ensemble averages for each sensitivity test are represented by a star, and colored lines represent the least-squares linear fit for each sensitivity test with $R^2$ correlation and t-test significance documented for each fit. Vertical dashed lines indicate t-test significance at the 90th-percentile level for interannual correlations between average temperature and simulated grain yield. The $R^2$ correlation and significance level for the fitted slope of each least-squares-fitted line is also presented in text of the corresponding color in each panel. p-levels presented for the slope were the lowest possible among 0.005, 0.01, 0.025, 0.05, 0.1, 0.15, 0.2, 0.25, and 1.

the sensitivity tests experienced much larger losses than the average year (compared to the historical climate). Thresholds and plant stresses at critical growth stages can also lead to complete loss of grain yields, as is clear in the number of models reporting 100% grain yield loss under the highest temperature conditions (Fig. 7).

A third factor relates to different responses of grain yield to temperature variability and change during different parts of the crop growing season or during different parts of the year. This is probably particularly relevant for crops with a long growing period such as winter wheat in the Netherlands. An example of this is winter wheat in Denmark, where Kristensen et al. (2011) found a positive response of yield to increased temperature at low temperatures during winter, but a highly negative response during summer. Also Liu et al. (2013) found differential effects of warming on winter wheat yield in the North China Plain depending on whether the warming mainly affected winter or summer conditions. The effects of warming for crops that have long growing seasons with large seasonal differences may therefore be obscured by positive effects of warming in some parts of the growing season and negative ones in other parts of the growth period.

A final candidate factor for the differences between interannual temperature variability and mean warming is the extent of within-season climate variability. In the historical record extremely warm

seasons tend to be only marginally warm on the average day but feature a substantial heat wave (or several), which has a fundamentally different effect on plant function from that of a season where a slight warming is relentless (even if the average temperature is the same). With prolonged warming maturation is accelerated and yields may be reduced as a result of lower net radiation interception. There is also an increased chance that warm temperatures will negatively affect key phenological stages and/or interact with precipitation or solar radiation to create evaporative demand that the plants cannot meet. These alterations to phenological development and/or heat and water stresses can have cascading effects on plant growth throughout the season with net yield reductions on average compared to the historical temperature variability. The models respond to high temperatures according to a large variety of parameterizations (Alderman et al., 2013), with responses to extreme heat an area in particular need of development (Lobell et al., 2012).

## 4. Conclusions and next steps

Analysis of the 27 models participating in the AgMIP Wheat Model Intercomparison Pilot reveals substantial differences in the ways that models respond to interannual variations in rainfall, temperature, and solar radiation at four diverse locations. These

differences provide useful context to differences in the abilities of the same models to reproduce detailed field observations (Martre et al., 2015) and climate change responses (Asseng et al., 2013, 2015). The large differences apparent in interannual climate sensitivity suggest that multiple years of consistent field trials are desirable to enable proper initialization of field conditions, and field experiments during extreme conditions would benefit the calibration of crop models for both mean yields and interannual variability. Such long-term agricultural research datasets are rare, unfortunately, so in typical applications such as those done here it is likely that any biases in calibration are amplified when a single-year's calibration is used for multiple seasons. It is therefore useful to take advantage of the tendency of multi-model ensemble statistics to reduce overall errors beyond the calibration period.

The AgMIP Wheat Pilot offers a far larger multi-model sample than would be expected in the applications for which each of the participating models was designed; however several of the interannual response results help guide the formation of practical subsets and application protocols. Although calibration information has been shown to reduce errors in mean yields and details in crop growth (Asseng et al., 2013), the results presented here suggest that interannual yield variability for most models is not strongly affected by the availability of more detailed field observations (e.g., evapotranspiration, biomass, leaf-area index, plant available soil moisture) for calibration. This is encouraging as high-information field trials are much less common. Adding a second (and third) wheat model dramatically increases the likelihood that the simulated results will reproduce the interannual behavior of the full 27-model ensemble, with a diminishing benefit to efforts that utilize additional models beyond that. This information is directly relevant to the design of new studies looking to take advantage of multi-model ensemble statistics despite resource constraints, including AgMIP efforts to form crop modeling tools that may link with global agricultural monitoring and outlooks on a sub-seasonal to seasonal scale (Singh et al., 2012; Vitart et al., 2012). Use of an ensemble also highlights the sensitivity of simulated yields to interannual climate variability as common features rise above the ensemble's diminished noise more easily than the individual models' larger noise.

The wheat models demonstrate several common patterns of climate variability response at each tested location. In some cases there is a fundamental disagreement between models about whether grain yield responds positively or negatively to a given anomaly, although interdependence of climate variables (e.g., wet and cool years vs. hot and dry years) muddles the picture. Even when two models respond in a very similar manner at one location, differences in calibration method and quality, parameters, model structure, and environmental conditions can lead to strong deviations in model response at other sites. These results therefore suggest that there are still strong differences in wheat models' climate sensitivities, and that further work is needed to create models that are truly applicable across a wide range of current and future conditions. The analysis presented here focuses on mean growing season climate anomalies at four locations; however consideration of intra-seasonal variability and extremes (e.g., heat waves, dry spells, frosts, floods, waterlogging, monsoon dynamics) require further study. Comparing multi-model simulation experiments against long-term field trials (e.g., Dobermann et al., 2000) would also be desirable in order to provide true observations upon which to evaluate simulated outputs (rather than assuming the value of the ensemble average as done here).

The effects of interannual temperature variability and mean climate warming were shown to be only weakly related among the 27 wheat models, indicating that a temporal proxy for climate change is likely oversimplified. State-of-the-art empirical models use far more than interannual temperature for climate impacts

projection, however these findings underscore the importance of considering complex interactions between variables and non-linear responses that may not be present in the historical period datasets to which models are fit. Further work is needed to elucidate additional physiological factors that differentiate the effects of a warm season from those of a warmer climate (Porter and Semenov, 2005).

Follow-on phases of the AgMIP Wheat Pilot are focusing on more sites and experiments designed to better distinguish between heat waves and warmer mean climate conditions. The analyses presented here would also be of interest for other completed AgMIP Crop Model Pilots (e.g., for maize, Bassu et al., 2014; rice, Li et al., 2014; and sugarcane, Singels et al., 2013) as well as pilots planned for millet and sorghum, potato, canola, and grasslands. AgMIP's Coordinated Climate-Crop Modeling Project (C3MP; Ruane et al., 2014; McDermid et al., 2015) and Global Gridded Crop Model Intercomparison (GGCMI; Rosenzweig et al., 2014; Elliott et al., 2015), as well as the impact response surface studies conducted in FACCE MACSUR (Pirttioja et al., 2015) provide additional fora in which to compare climate sensitivities across multiple locations and crop models, assuming that observational yield data also are available for those points or aggregated grid cells. This study's yield response analyses are currently being applied to GGCMI's historical period intercomparison, helping to determine the causes for differences in interannual yield variation for more than a dozen models with global coverage of multiple crops (Elliott et al., 2015). Wheat model development would benefit from a future intercomparison centered upon a region where long-term variety trials overlap with similar detailed field experiments so that calibration and the response to interannual climate variability may be more comprehensively evaluated. Of particular interest would be the way in which interannual yield observations affect calibration and the resulting climate variability and climate change sensitivities.

Results from this study underscore the need for model intercomparison results to avoid anonymity in order to enable careful analysis of structural and parameter differences that cause differences in yield response. Current and future phases of the AgMIP Wheat intercomparisons no longer hold the models anonymous, and evaluation of the mechanisms driving different climate responses is a crucial line of continuing inquiry (as was performed for the AgMIP Rice Pilot; Li et al., 2014). Through these activities the efforts of the AgMIP Wheat Pilot will better accomplish integrated assessments of climate impact on the agricultural sector.

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