

Think sepsis, write sepsis, code sepsis –patient characteristics associated with sepsis (under-)coding in administrative health data

Daniel Thomas-Rüddel, Norman Rose, Carolin Fleischmann-Struzek, Konrad Reinhart, Beate Boden, Heike Dorow, Andreas Edel, Falk A. Gonnert, Jürgen Götz, Matthias Gründling, Markus Heim, Kirill Holbeck, Ulrich Jaschinski, Christian Koch, Christian Künzer, Khanh Le Ngoc, Simone Lindau, Ngoc B. Mehlmann, Patrick Meybohm, Holger Neb, Michael Nordine, Dominique Quart, Christian Putensen, Michael Sander, Jens-Christian Schewe, Peter Schlattmann, Götz Schmidt, Gerhard Schneider, Claudia Spies, Ferdinand Steinsberger, Christopher Tam, Kai Zacharowski, Sebastian Zinn, Daniel Schwarzkopf

Angaben zur Veröffentlichung / Publication details:

Thomas-Rüddel, Daniel, Norman Rose, Carolin Fleischmann-Struzek, Konrad Reinhart, Beate Boden, Heike Dorow, Andreas Edel, et al. 2026. "Think sepsis, write sepsis, code sepsis –patient characteristics associated with sepsis (under-)coding in administrative health data." *Infection* 54 (1): 421–32. <https://doi.org/10.1007/s15010-025-02685-8>.



Think sepsis, write sepsis, code sepsis – patient characteristics associated with sepsis (under-)coding in administrative health data

Daniel Thomas-Rüddel^{1,2} · Norman Rose³ · Carolin Fleischmann-Struzek³ · Konrad Reinhart¹¹ · Beate Boden⁵ · Heike Dorow¹ · Andreas Edel⁴ · Falk A. Gonnert⁶ · Jürgen Götz⁵ · Matthias Gründling⁷ · Markus Heim⁸ · Kirill Holbeck⁸ · Ulrich Jaschinski⁹ · Christian Koch¹⁰ · Christian Künzer⁴ · Khanh Le Ngoc⁶ · Simone Lindau^{12,13} · Ngoc B. Mehlmann⁹ · Patrick Meybohm¹⁴ · Holger Neb¹³ · Michael Nordine¹³ · Dominique Quart¹ · Christian Putensen¹⁵ · Michael Sander¹⁰ · Jens-Christian Schewe^{15,16} · Peter Schlattmann¹⁷ · Götz Schmidt¹⁰ · Gerhard Schneider⁸ · Claudia Spies⁴ · Ferdinand Steinsberger¹⁰ · Christopher Tam² · Kai Zacharowski¹³ · Sebastian Zinn¹³ · Daniel Schwarzkopf¹

Received: 19 May 2025 / Accepted: 2 November 2025 / Published online: 8 December 2025
© The Author(s) 2025

Abstract

Purpose Sepsis is a leading cause of morbidity and mortality, yet its documentation and coding in administrative health data remain unreliable. Accurate coding is essential for epidemiological surveillance, quality assurance, and reimbursement. This study aims to identify patient characteristics associated with under-diagnosis and under-coding of sepsis in German inpatient administrative health data (IAHD).

Methods This secondary analysis of the multicenter OPTIMISE study included 10,334 hospital cases from ten German hospitals (2015–2017). Sepsis cases were identified via structured chart review and compared to ICD-coded diagnoses. Logistic regression and classification tree analyses were used to determine predictors of under-diagnosis and under-coding, including ICU admission, organ dysfunction, and infection source.

Results Among 1,310 cases fulfilling severe sepsis-1 criteria, only 30.7% were correctly coded. The strongest predictor for coding accuracy was explicit mention of sepsis in the medical chart (OR 19.58). ICU treatment, organ dysfunction severity, and mechanical ventilation were also associated with higher coding rates, while pneumonia as the infection source was linked to a lower probability of sepsis being named and coded.

Conclusion Sepsis coding in administrative data is frequently inaccurate. Explicit naming of sepsis and severity markers strongly influence correct coding. As Germany introduces mandatory sepsis quality assurance in 2026, targeted interventions – including enhanced clinician documentation and electronic coding support – are essential to improve coding reliability and patient care.

Keywords Sepsis · Epidemiology · Quality assurance, health care · Sensitivity and specificity · Administrative claims, healthcare · Hospital records

Introduction

Sepsis, a life-threatening condition caused by the body's response to infection [1], remains a major challenge in healthcare due to its high morbidity and mortality rates. Accurate identification and coding of sepsis cases are crucial for epidemiological surveillance, quality assurance, and optimizing patient outcomes. Correct sepsis coding in

administrative health data (AHD) plays a pivotal role in hospital reimbursement, resource allocation, policy-making, and quality management. However, the reliability of sepsis coding in AHD is often low, given the potential for under-coding or misclassification, which can lead to significant biases in sepsis surveillance [2–5]. While there is a secular trend towards increased sepsis coding previous efforts to enhance coding have been of limited effect [6–8].

In a multicenter validation study of sepsis coding in German inpatient administrative health data (IAHD) we found that only one third of clinical sepsis cases were ICD-coded and that only half of the sepsis cases had been explicitly named as “sepsis” in the charts [3]. The reasons for such shortcomings in diagnosis and coding have not been studied extensively so far, with only one previous study analyzing predictors of under-coding of sepsis [9]. Understanding these factors would be essential for improving coding practices, enhancing the quality of sepsis surveillance, and ultimately, bettering patient care and outcomes. This is particularly relevant in light of the fact that a mandatory quality assurance procedure based on coding in IAHD for sepsis care will be introduced in Germany in 2026 [10]. We therefore aim to analyze the characteristics associated with under-diagnosis and under-coding of sepsis.

Materials and methods

Study design and setting

This manuscript presents secondary analyses based on data from the multicenter, retrospective, observational OPTIMISE study [3, 11]. The original study evaluated the validity of sepsis coding in IAHD with clinical sepsis diagnoses obtained via structured chart review. Results on the precision of sepsis coding (e.g. sensitivity, specificity) in comparison to the reference standard diagnosis, as well as results on the proportion of correctly naming sepsis in the charts have been published [3]. We now report on additional analyses conducted to identify predictors of misclassification by regressions and decision trees. Methodological details of the original study have been reported elsewhere [3, 11], and are not reiterated in full here. The study is reported in accordance with the RECORD [12] and adapted STARD guidelines for administrative data research [13].

Sample

The study utilized data from ten hospitals across Germany, recruited through existing sepsis research and quality improvement networks. These included a mix of university and tertiary teaching hospitals. A stratified sample of hospital episodes from patients aged 15 years and older, treated between 2015 and 2017, was drawn from each site. Given the low prevalence of sepsis in hospital cases, we used a disproportional stratified sampling approach to increase the proportion of true sepsis cases. Strata were defined by the combination of ICU procedure code (*Operationen- und Prozedurenschlüssel* 8-890: yes vs. no), length of stay (≤ 6 vs. >6 days), and year of discharge (2015–2017), and 100

cases were sampled from each of the 12 strata within every hospital. The aim of the study was to review at least 1000 of the 1200 sampled episodes per hospital in random order. Full methodological details, including sampling and representativeness strategies, have been described elsewhere [3].

Chart review

Clinical data were abstracted by trained study physicians from patient charts between July 2019 and October 2021. Prior to data collection, interrater reliability was established. Data were entered into an electronic case report form (eCRF) via OpenClinica (version 3.1. Copyright © OpenClinica LLC and collaborators, Waltham, MA, USA, www.OpenClinica.com). Reviewers were blinded to sepsis coding in the IAHD but could not be blinded to codes present in the medical documentation. The chart review data were subsequently linked to IAHD using pseudonymized identifiers. Further details have been previously reported [3].

Variables

Variables derived from chart review

The eCRF was informed by prior literature and piloting [2, 4, 14]. The complete CRF has been presented in the study protocol [11]. Sepsis was assessed by study physicians based on both sepsis-1 and sepsis-3 definitions [1, 15, 16]. Since sepsis-1 criteria were in use for coding during the complete study period, analyses focus on severe sepsis-1 cases, which also align closely with clinical sepsis-3 presentations [17]. For patients with sepsis, it was documented, if “sepsis” had been named in the chart or discharge letter and trained study nurses recorded further clinical characteristics. The explicit naming of sepsis is an indicator for the adequacy of the process of recognition and clinical documentation of sepsis during the course of the treatment. Further details have been reported previously [3].

Candidate variables for investigation of associations with misclassification of sepsis were selected based on clinical reasoning: age, sex, degree of confirmation of infection, origin of infection, focus of infection, number and type of SIRS-criteria, presence of organ dysfunctions, presence of shock, treatment on ICU (yes vs. no), number and type of organ support measures and duration of mechanical ventilation (<24 h vs. ≥ 24 h).

Variables derived from administrative health data

The study is based on IAHD, which are used for the reimbursement of hospitals in the German diagnosis-related groups (DRG) system. Accordingly, the ICD codes recorded

in these data reflect a retrospective process that takes into account the clinical documentation as well as complex reimbursement rules and is frequently supported by specialized software. Severe sepsis-1 cases (including septic shock-1) were identified via explicit ICD-10 codes valid during the study period (R65.1 and R57.2). In addition, we defined all sepsis-1 cases by including every explicit sepsis code (e.g. A40.- and A41.-; see the supplement of the previous publication for details [3]).

Statistical methods

Cases with a reference standard diagnosis based on chart review of severe sepsis-1 or septic shock-1 were included in the analysis. The aim of our analysis was to explore and describe typical patterns of under-diagnosis and under-coding. Therefore, we investigated the relation of candidate variables for misclassification for two classification problems: (a) naming of sepsis (true positives) vs. non-naming of sepsis (false negatives) in the chart; (b) coding of severe sepsis-1 (true positives) vs. non-coding of severe sepsis-1 (false negatives). Any mention of sepsis in the chart was considered, regardless of whether severe sepsis was explicitly named. For false negative coding of severe sepsis-1, we calculated the frequency of occurrence of any other sepsis code. For classification problem b) the naming of sepsis was itself a predictor. We did descriptive comparison between the defined groups. To assess significance of individual predictor variables, we calculated simple logistic regression analyses of the two classifications on each individual predictor and report odds-ratios (OR) with their 95% confidence intervals (CI). To identify and describe typical constellations of predictors and to incorporate complex interactions we then calculated classification trees. The minimal leaf size (i.e., the minimal number of cases in the terminal nodes) was set to 2.5% of the sample size. We used pruning to avoid overfitting of the trees to the data based on the optimal complexity parameter found empirically by means of cross-validation. Analyses were conducted using the statistical software *R* [18]. The R-package *survey* was used to calculate descriptive statistics and logistic regressions for complex data [19], which addresses sampling weights as well as the clustering within hospitals. Classification trees were calculated using the R-package *rpart*, which also allows to take sampling weights into account [20], but cannot adjust for the clustering. Missing values due to lacking information in medical records were treated by missing-data adjusted sampling weights to prevent bias by over- or underrepresentation of strata [21]. Significance tests were conducted at a bidirectional alpha level of 0.05.

Results

Characteristics of the study sample have previously been reported [3]: A total of 10,334 charts were reviewed. Severe sepsis-1 criteria were fulfilled by 1310 cases in the sample, corresponding to 3.3% [95% CI: 2.6%, 4.1%] of cases in the full unweighted population (adjusted for sampling weights). The cases showed a mean age of 66.5 years, 40.8% were female, 65.8% had been treated on the ICU, and 50.7% had a septic shock-1.

Table 1 presents new descriptive statistics comparing false negatives with true positives for the naming of sepsis and the coding of sepsis. Only 29% of non-coded sepsis cases had been named as sepsis in the chart, while this was true for 88.9% of coded sepsis cases. Among false negatives regarding coding of severe sepsis-1 (R65.1 or R57.2), only 14.4% had received another explicit sepsis code (e.g. A40.-).

Predictors of naming sepsis

All the following results are presented for the whole population taking sampling weights into account. In univariate regression analysis (Fig. 1), indicators of a more severe critical illness were associated with increased odds of sepsis being named in the charts (number of SIRS criteria, number of organ dysfunctions, number of organ support measures). Characteristics of the infection also showed significant influences. While other means of infection confirmation (compared to microbiological), onset of infection during the current hospital stay, and pneumonia as source of sepsis as identified by chart review decreased the odds of naming sepsis, cardiovascular, thoracic, and urogenital foci increased the odds.

Results obtained by complex logistic regression models adjusting for the sampling design. Red color marks odds ratios (OR), which are significantly different from 1 ($p \leq 0.05$). OR for continuous variables were obtained comparing the 1st quartile (reference) to the 3rd quartile. Statistical significance and the fact that the 95% confidence interval (CI) excludes 1 can differ slightly from each other in complex logistic regression.

Results of the classification tree analysis are presented in Fig. 2. Only 49.8% of cases with severe sepsis-1 had been named as having sepsis in the chart. In total, the tree identified six terminal nodes representing distinct groups with different probabilities of being named with sepsis, showing a fair discrimination (AUC=0.78). The strongest separation between true positives and false negatives was achieved by the number of organ dysfunctions at a cut-off of ≥ 4 . Additional relevant variables were type of confirmation of

Table 1 Characteristics of cases with severe sepsis-1 according to the chart review

Variable	N of missings	All cases	Naming of sepsis in the chart		Coding of severe sepsis-1 in IAHD	
			Not named (false negatives)	Named (true positives)	Not coded (false negatives)	Coded (true positives)
Sex: female	0	40.8%	42.2%	39.3%	43.4%	35.9%
Age (years)	0	68.5 (14.8)	68.5 (15.4)	68.5 (14.2)	68.7 (15.2)	68.3 (14.1)
Degree of confirmation of infection	0					
microbiologically proven		55.8%	47.8%	64%	51.7%	63.6%
clinically suspected		17.7%	17.9%	17.5%	16.1%	20.7%
other confirmation		26.5%	34.3%	18.6%	32.2%	15.6%
Origin of infection	4					
present on admission, nosocomial		11.9%	9.1%	14.6%	12.1%	11.4%
present on admission, not nosocomial		46.3%	44.6%	48.1%	45.8%	47.4%
present on admission, unknown origin		8.4%	9.3%	7.6%	8.7%	8%
onset during stay, nosocomial		23%	24.8%	21.3%	22.8%	23.5%
onset during stay, not nosocomial		4.4%	4.7%	4.1%	4.2%	4.9%
onset during stay, unknown origin		2.3%	3.3%	1.2%	2.6%	1.6%
more than one infection		3.6%	4.2%	3%	3.8%	3.3%
Focus: catheter-related	79	4.2%	2.3%	6.2%	3.1%	6.5%
Focus: central nervous system	79	2.2%	2.7%	1.6%	2.2%	2.1%
Focus: cardiovascular	79	2%	0.8%	3.4%	1.3%	3.5%
Focus: pneumonia	79	56.7%	65.1%	48.7%	60.2%	50.8%
Focus: other upper/lower respiratory infections	79	5.6%	7.2%	4.1%	7%	3.1%
Focus: thoracic (empyema / mediastinitis)	79	1.6%	0.9%	2.3%	0.5%	3.8%
Focus: intraabdominal	79	14.6%	8.6%	21.1%	9.7%	24.1%
Focus: gastrointestinal	79	9.7%	10.8%	8.5%	10.3%	8.8%
Focus: urogenital	79	22%	16.4%	27.5%	22.5%	20.3%
Focus: bones / soft tissue	79	10%	8.3%	11.9%	10.6%	9.4%
Focus: primary bacteremia	79	8.1%	4.9%	10.2%	3.9%	14.6%
Focus: other	79	1.7%	2.1%	1.2%	1.6%	1.8%
Number of initial SIRS criteria	0	2.7 (0.7)	2.6 (0.7)	2.8 (0.75)	2.6 (0.7)	2.8 (0.8)
SIRS: tachycardia	0	67.9%	63%	72.8%	65%	73.4%
SIRS: tachypnoea	0	52.7%	50.6%	54.8%	50%	57.7%
SIRS: leukocytosis	0	80%	79.7%	80.2%	78.4%	83%
SIRS: hypothermia	0	62.4%	59.3%	65.4%	63.8%	59.6%
Number of initial organ dysfunctions	354	3.1 (1.6)	2.3 (1.3)	3.7 (1.5)	2.6 (1.4)	4 (1.4)
ODF: acute encephalopathy	246	43.6%	38.7%	48%	40.6%	48.6%
ODF: thrombocytopenia	29	29.5%	18.4%	40.7%	19.5%	49.2%
ODF: arterial hypoxemia	56	72.4%	75.6%	72.4%	71.5%	75.5%
ODF: renal dysfunction	33	40.9%	27.8%	54%	30.3%	61.1%
ODF: metabolic acidosis	46	46.4%	34.4%	59%	36.9%	64.5%
Septic shock-1	28	50.7%	36.4%	66%	40.9%	69.6%
Treated on ICU	0	65.8%	58.7%	73%	60.8%	75.4%
Number of organ support measures	48	1.6 (1)	1.4 (1)	1.9 (1)	1.4 (1)	2.1 (1)
Extracorporeal membrane oxygenation	3	2.4%	1.7%	3%	1.6%	3.9%
Renal replacement therapy	5	20.2%	10.1%	30.3%	11%	37.6%
Liver replacement therapy	1	0.1%	0%	0.2%	0.2%	0%
Vasopressor use	21	51%	39.9%	62.2%	42.4%	67.2%
Ventilation (including non-invasive)	30					
no		46.1%	51.9%	40.4%	52%	35.2%
<24 h		9.9%	10.1%	9.5%	9.7%	10%

Table 1 (continued)

Variable	N of missings	All cases	Naming of sepsis in the chart		Coding of severe sepsis-1 in IAHD	
			Not named (false negatives)	Named (true positives)	Not coded (false negatives)	Coded (true positives)
≥24 h		44.1%	38.1%	50.1%	38.2%	55%
Naming of sepsis in chart	0	49.7%			29%	89%

Descriptive statistics for $N=1310$ cases with severe sepsis-1 according to chart review given as relative frequencies (%) or mean (SD) and calculated adjusting for the sampling design. Values are missing, if the chart did not contain enough information to obtain the data. IAHD: inpatient administrative health data. ICU: intensive care unit. SIRS: systemic inflammatory response syndrome. ODF: Organ dysfunction

infection, presence of pneumonia, presence of hypoxemia and origin of infection.

The absolute numbers presented are standardized to a sample of $N=1000$ cases with severe sepsis-1 to improve interpretability of the chart. The tree achieved an area under the curve (AUC) of 0.78.

Predictors of coding of severe sepsis-1

In the overall sample, 30.7% of severe sepsis-1 cases were correctly coded. The strongest predictor of true positive coding of severe sepsis-1 in univariate regression was the naming of sepsis in the medical chart (OR 19.58 [12.06; 31.75], Fig. 3). Like for naming of sepsis, several indicators of a more severe critical illness increased the odds of a correct coding. While other types of confirmation of the infection and a respiratory focus of infection decreased the odds of coding, a cardiovascular focus, a thoracic focus and primary bacteremia increased the odds.

Results obtained by complex logistic regression models adjusting for the sampling design. Red color marks odds ratios (OR), which are significantly different from 1 ($p \leq 0.05$). Statistical significance and the fact that the 95% confidence interval (CI) excludes 1 can differ slightly from each other in complex logistic regression.

The classification tree analysis on correct coding of severe sepsis-1 identified four leaf nodes (Fig. 4), showing a good discrimination (AUC=0.87). Naming of sepsis achieved the strongest separation between false negatives and true positives. If a case was not named as sepsis, it had a very low probability of being coded in administrative data (5.5%), but even among named sepsis cases, only 56.2% were coded. Among these, additional separation was achieved involving the variables number of organ dysfunctions and conduction of mechanical ventilation.

The absolute numbers presented are standardized to a sample of $N=1000$ cases with severe sepsis-1 to improve interpretability of the chart. The tree achieved an area under the curve (AUC) of 0.87.

Discussion

Sepsis coding in IAHD is often inaccurate. Extending previously reported results from our validation study [3], our further analyses found that the explicit naming of sepsis in medical charts was the strongest predictor for accurate sepsis coding. Strong predictors of sepsis naming were several measures of disease severity, ICU treatment and organ support and an extra-pulmonary focus of infection.

Our findings about organ support and ICU admission as strong predictors of sepsis coding are in line with other studies [9, 22–25]. The same has been reported previously for severity of illness assessed by APACHE II and the presence of shock [9] or APACHE II and SOFA score [25], while in other studies severity of illness was measured by mortality only [22, 23, 26]. Interestingly in one study this difference disappeared when a wider list of ICD codes, especially for pneumonia, infection exacerbated COPD and urinary tract infection, was used to identify sepsis [25].

The association of documenting sepsis in the medical chart and correct coding has been described previously in a review of 100 patients [9]. 27 cases were correctly coded as severe sepsis or septic shock and all (100%) had sepsis named in the medical record. 73 cases were wrongly not coded as sepsis and only 3 (4%) had sepsis mentioned in the medical record. In a multivariable logistic regression model this paper found that higher baseline APACHE II scores, the presence of shock at admission, higher initial serum lactate levels, bacteremia as the source of infection and admission to an ICU had unique predictive power for assigning an ICD-9 code specific for severe sepsis or septic shock [9]. Those results are all in line with our findings, while the association of pneumonia and under-coding was not seen in this study from 2013. For pneumonia an extremely high variation between hospitals regarding sepsis coding has been reported before [27, 28]. As mentioned above, adding codes for pneumonia and infection exacerbated COPD to an ICD-10 based sepsis definition increased sensitivity with only small decreases in specificity. As respiratory infections are the most frequent focus of infection in sepsis patients [29, 30], this results in a high overall rate of under-coding

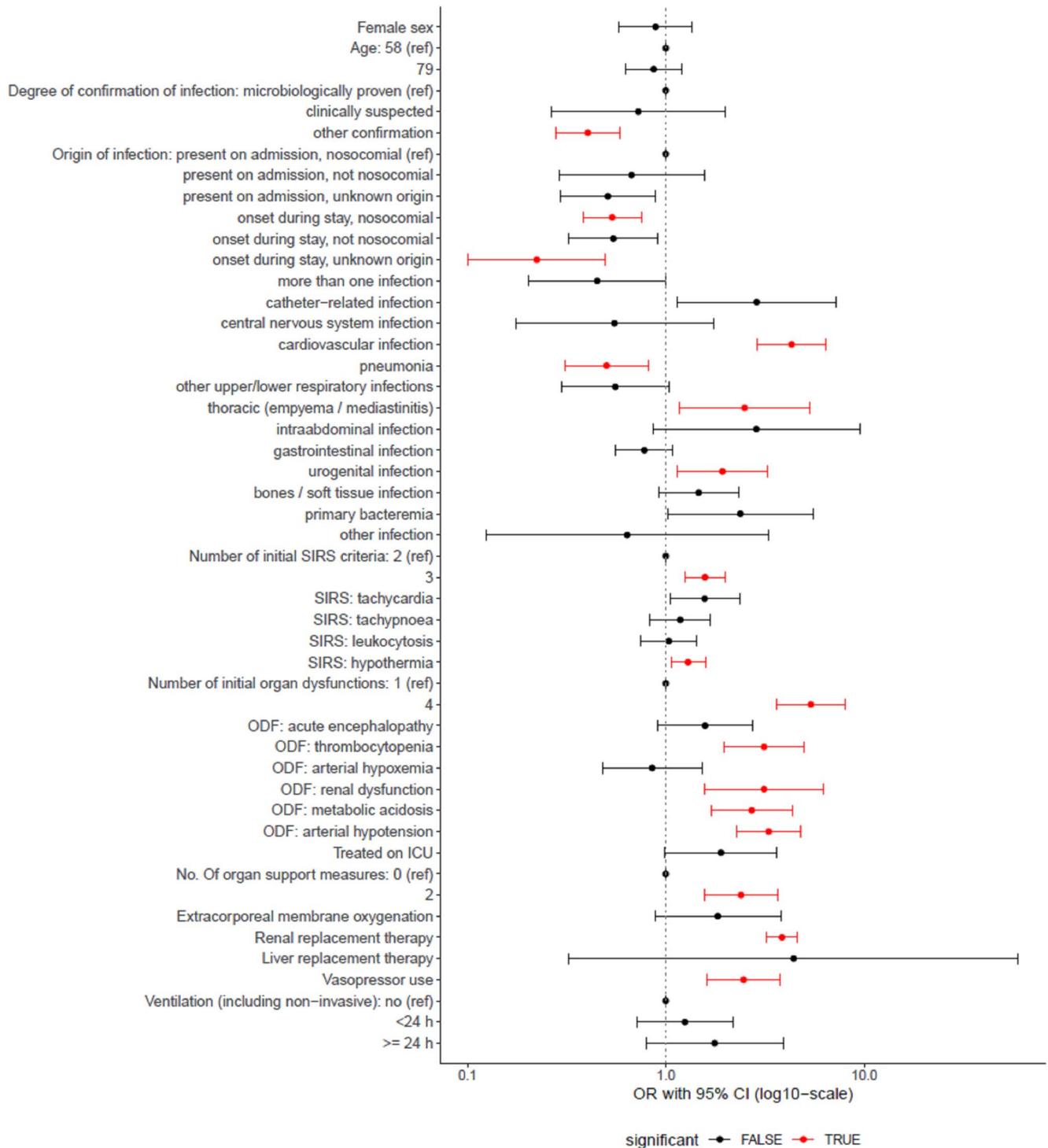


Fig. 1 Odds ratios (log. scale) from univariate logistic regressions for the prediction of correct naming of sepsis in the charts of severe sepsis-1 cases

and changes in coding of pneumonia with organ dysfunction have great influence on overall numbers.

Looking at the overall low reliability of coding – even in cases where sepsis was named in the chart – it seems to be questionable if training and awareness alone are sufficient

to improve coding practices to a sufficient degree. The fact that sepsis can occur in almost all departments of a hospital makes usual improvement strategies of training and feedback additionally challenging. Electronic support systems embedded into electronic health records might be more

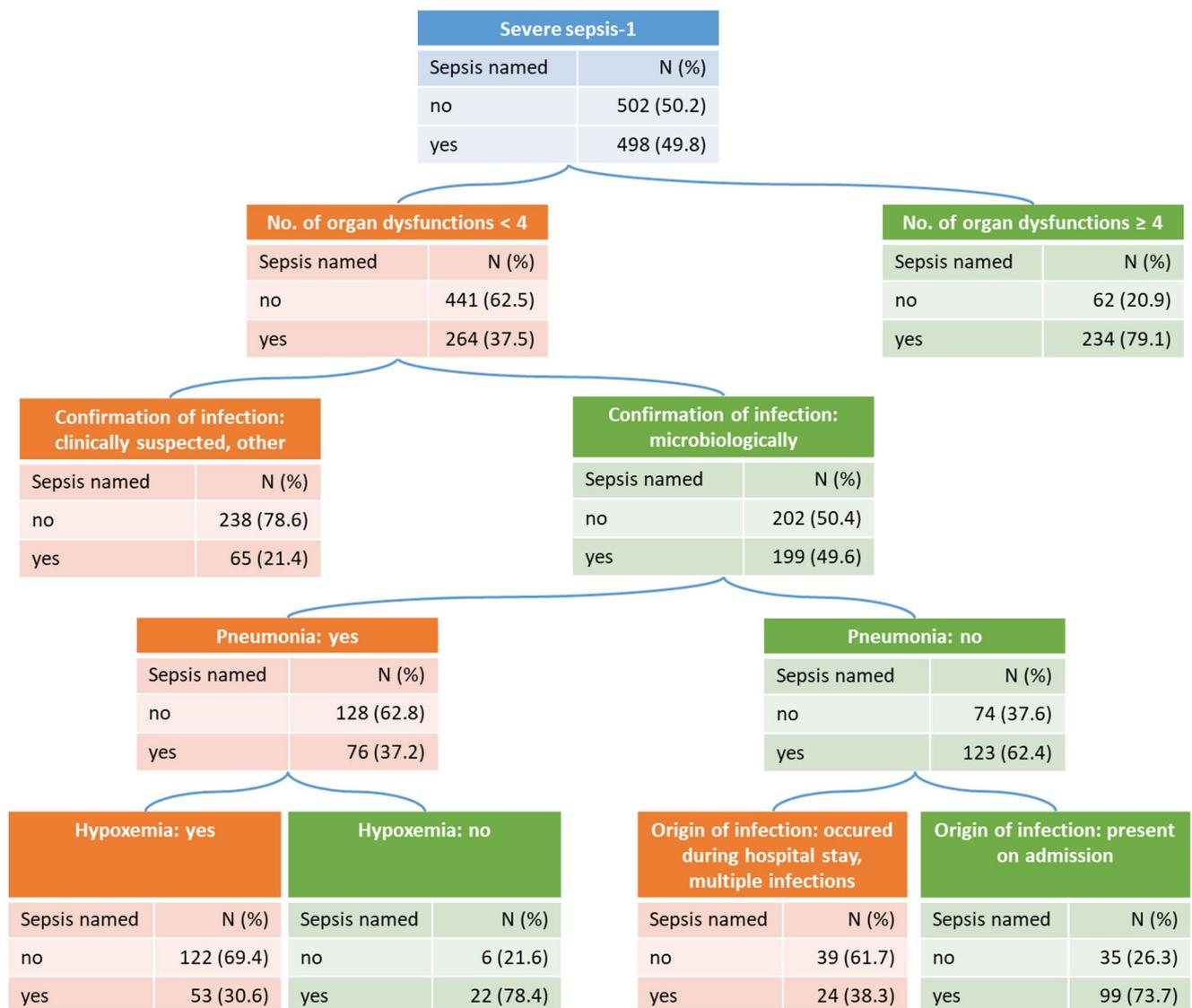


Fig. 2 Pruned classification tree for the prediction of naming of sepsis in the patient's charts among severe sepsis-1 cases identified by chart review, adjusted for sample weights

reliable and already show promise in sepsis diagnosis and prediction [31]. Another possible reason for under-coding of sepsis might be denial of the diagnosis by payers. In the US, sepsis was reported to be one of the top most denied codes [32]. There are no respective statistics for Germany, but this observation was repeatedly confirmed by medical controllers in our discussions. Missing documentation of clinical indicators are the most common reasons for denials [32], which might partly explain why sepsis cases with fewer organ dysfunctions were not coded as sepsis in our data. Experiencing denials and associated penalties might also incentivise a habit of under-coding among medical controllers. This complex issue needs further investigation.

Our study has several strengths. It is the first to investigate predictors of naming sepsis in the chart and the interrelation

between naming and coding of sepsis, it uses a complete chart review and a multicenter design, while previous studies on validity of sepsis coding were largely monocentric [4]. As the heterogeneity between hospital is large in our cohort, but also in other studies [26, 28], a greater number of study centers might be needed to get really representative results, but single-center studies have to be interpreted with great caution. Since, to our knowledge, there are no appropriate statistical methods to incorporate pattern differences between clusters in complex samples, we cannot exclude that systematic differences in coding practices between hospitals have biased our results. Information might not be missing at random, which could also bias our results. As this study was performed in Germany it may not be fully generalizable to other countries with different national ICD-10 versions and

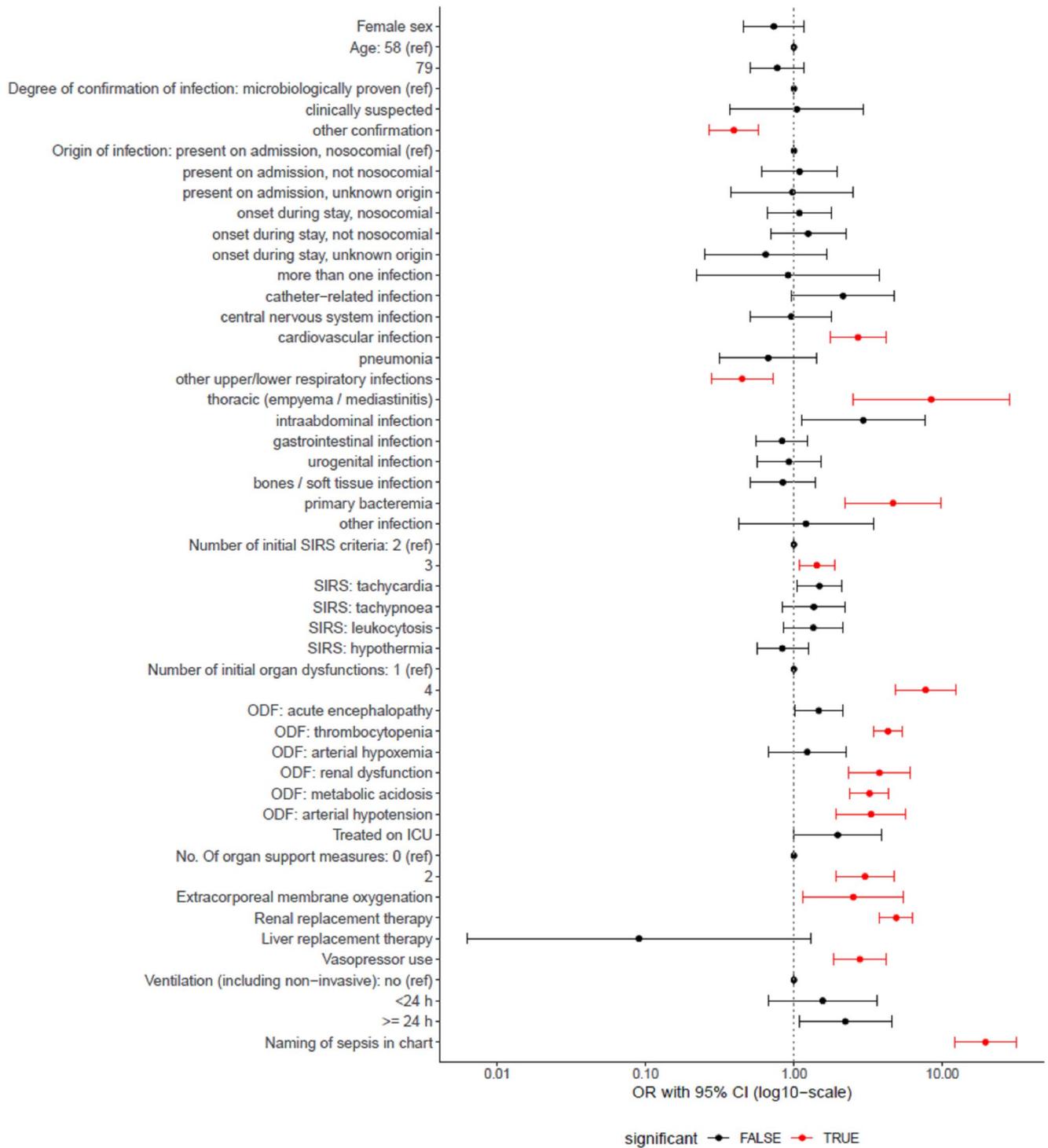


Fig. 3 Odds ratios (log. scale) from univariate logistic regressions for the prediction of true positive coding of severe sepsis-1

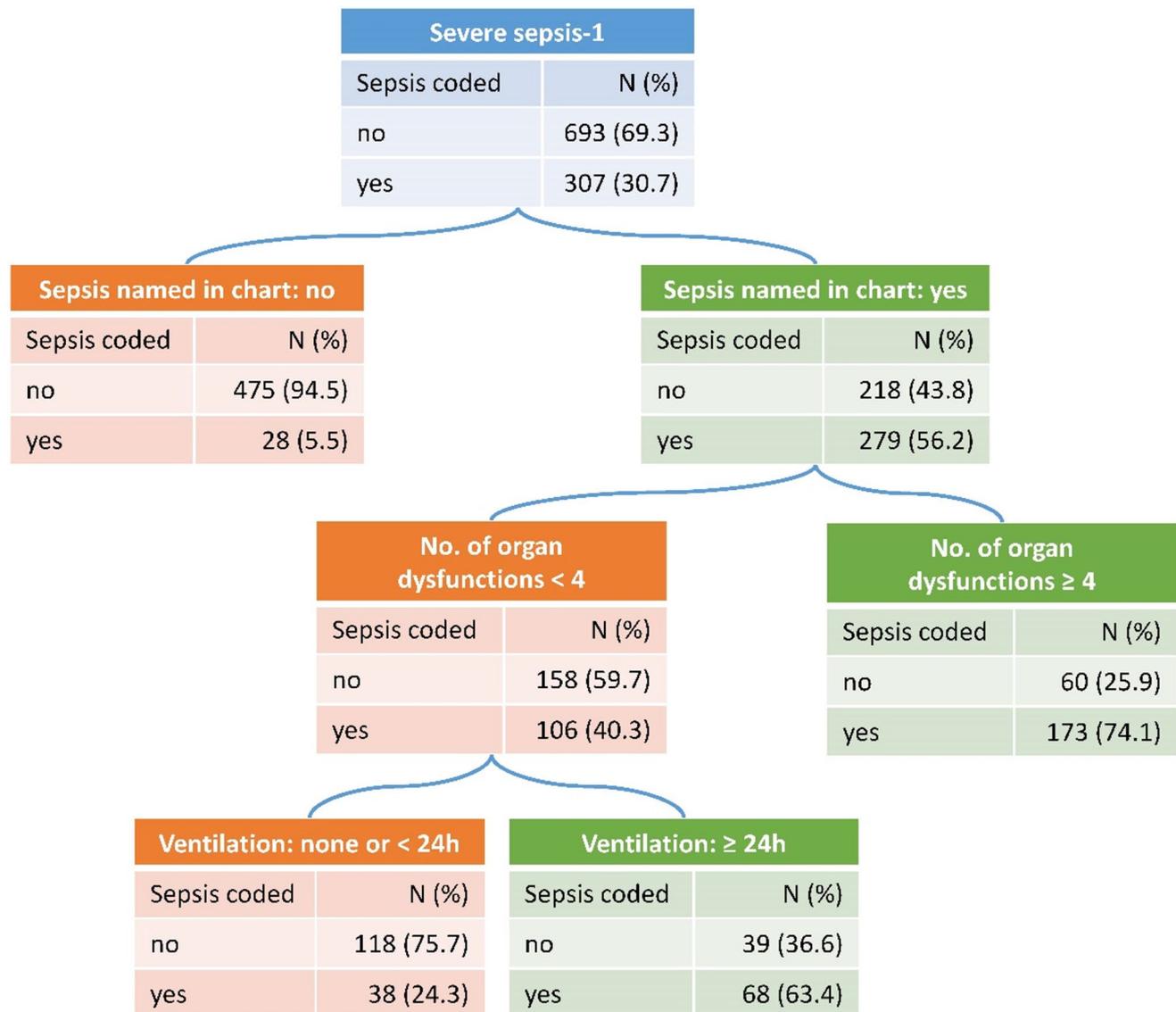


Fig. 4 Pruned classification tree for the prediction of coding severe sepsis-1 in inpatient administrative health data among severe sepsis-1 cases identified by chart review, adjusted for sample weights

different coding and reimbursement rules. SEPSIS-3 criteria were published in 2016 during the analyzed period but not implemented in the coding guidelines in Germany before 2020. Since the changes in coding rules may have materially altered documentation and coding behavior, our results might not be fully applicable to current practice and need replication. We conducted a retrospective analysis and can only show associations but no prediction of actual decision processes of clinicians or medical coders.

Conclusion

Sepsis coding in administrative health data is frequently inaccurate, with explicit naming in medical charts and severity of illness being the strongest predictors for correct coding. Future efforts to improve coding should focus on cases that are most often not coded like those treated on normal wards and those with pneumonia as a focus. The German Quality Network Sepsis along with the German Sepsis Society has issued a guide to standardize and clarify rules for documentation and coding of sepsis [33]. Clinical staff needs to be trained on documentation requirements, also to prevent denials of sepsis diagnoses by payers. Likewise, coding staff needs to be aware of the clinical criteria

of sepsis. Collaboration between physicians, medical coders and quality assurance professionals is essential to improve the data quality for the upcoming mandatory quality assurance procedure of sepsis care in Germany. Electronic support systems may also help to achieve adequate coding.

Author contributions Assessment and verification of data: D.S., N.R. Conceptualization: D.T.-R., D.S., C.F.-S., K.R. Methodology: D.S., N.R., C.F.-S., H.D., P.S., K.R. Validation: D.S., H.D. Formal analysis: N.R. Investigation: B.B., H.D., A.E., J.G., M.H., K.H., U.J., C.K., C.Kü., K.L.N., S.L., N.B.M., D.O., J.-C.S., G.S., F.S., S.Z. Resources: F.A.G., J.G., M.G., U.J., P.M., C.P., M.Sa., G.Sch., C.S., K.Z. Data curation: D.S. Writing – original draft: D.T.-R., D.S. Writing – review & editing: N.R., C.F.-S., K.R., B.B., H.D., A.E., F.A.G., J.G., M.G., M.H., K.H., U.J., C.K., C.Kü., K.L.N., S.L., N.B.M., P.M., H.N., M.N., D.O., C.P., M.Sa., J.-C.S., P.S., G.S., G.Sch., C.S., F.S., C.T., K.Z., S.Z. Visualization: D.S., N.R. Project administration: D.S. Funding acquisition: D.S., C.F.-S., P.S., K.R. D.S. and N.R. had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. All authors accept responsibility to submit for publication.

Funding Open Access funding enabled and organized by Projekt DEAL. The study was funded by grant 01VSF17010 from the German Innovations Fund of the Federal Joint Committee. The funder took no influence in study design; in the collection, analysis, and interpretation of data; in the writing of the report; or in the decision to submit the paper for publication.

Data availability The study protocol has been published with open access (<https://bmjopen.bmj.com/content/10/10/e035763.info>). Deidentified participant data are available from the corresponding author on reasonable request (E-Mail: Daniel.Schwarzkopf@med.uni-jena.de). Access to anonymised data might be granted following review and permission of a study proposal by the ethics commission and data protection officer of the Jena University Hospital, as well as by the involved study centers.

Declarations

Ethics approval This study was conducted in accordance with the 1964 Helsinki Declaration and its later amendments as well as the relevant national and institutional ethical standards. It was approved by the ethics commission of the Jena University Hospital (No. 2018–1065-Daten).

Consent Since only routinely collected clinical data were used, the need for informed consent was waived by the ethics commission of the Jena University Hospital.

Competing interests Daniel Thomas-Rüddel has no conflict of interest. Norman Rose received funding from the German Innovations Fund of the Federal Joint Committee unrelated to this work. Carolin Fleischmann-Struzek received funding from the German Innovations Fund of the Federal Joint Committee unrelated to this work. Konrad Reinhart received funding for this work by grant 01VSF17010 from the German Innovations Fund of the Federal Joint Committee, is shareholder with less of 0.5% of InflaRx NV a Jena /Germany based Biotech Company that evaluates a immunomodulatory approach for the adjunctive treatment of COVID-19. Beate Boden has no conflict of interest. Heike Dorow was funded for this work by grant 01VSF17010 from the German Innovations Fund of the Federal Joint Commit-

tee. The institution of Andreas Edel received payments or honoraria for lectures and expert testimony from Gilead Sciences GmbH. Andreas Edel hold shares fo BioNTech, Novavax and Bavarian Nordic (<5000€). Falk (A) Gonnert has no conflict of interest. Jürgen Götz has no conflict of interest. Matthias Gründling has no conflict of interest. Markus Heim has no conflict of interest. Kirill Holbeck has no conflict of interest. Ulrich Jaschinski has no conflict of interest. Christian Koch has no conflict of interest. Christian Künzer has no conflict of interest. Khanh Le Ngoc has no conflict of interest. Simone Lindau has no conflict of interest. Ngoc (B) Mehlmann has no conflict of interest. Patrick Meybohm has no conflict of interest. Holger Neb has no conflict of interest. Michael Nordine has no conflict of interest. Dominique Ouart was funded for this work by grant 01VSF17010 from the German Innovations Fund of the Federal Joint Committee. Christian Putensen has no conflict of interest. Michael Sander reports no COI for this work, outside this work he reports a research grant from Edwards Lifesciences. Jens-Christian Schewe reports no COI for this work, outside this work he reports speaker fees by ZOLL Medical Germany, DRÄGER, and Mitsubishi Tanabe Pharma GmbH. Peter Schlattmann has no conflict of interest. Götz Schmidt has no conflict of interest. Gerhard Schneider has no conflict of interest. Claudia Spies reports grants from German Federal Joint Committee (Gemeinsamer Bundesausschuss G-BA), German Federal Ministry of Education and Research (BMBF), Philips Electronics Nederland BV, Max-Planck Society, Sintetica GmbH, Dr. F. Köhler Chemie GmbH, Georg Thieme Verlag, German Federal Ministry for Economic Affairs and Climate Action (BMWI), European Society of Anaesthesiology and Intensive Care, Stifterverband (non-profit society promoting science and education), Charité inner university grants, Einstein Foundation Berlin, German Aerospace Center (Deutsches Zentrum für Luft- und Raumfahrt e.V. DLR) and German Research Society (Deutsche Forschungsgemeinschaft) during the conduct of the study. In addition, Claudia Spies has different patents and an unpaid leadership or fiduciary role in association of the Scientific Medical Societies in Germany (AMWF), German Research Foundation (Deutsche Forschungsgemeinschaft) and German National Academy of Sciences – Leopoldina (Deutsche Akademie der Naturforscher Leopoldina e. V.). Ferdinand Steinsberger has no conflict of interest. Christopher Tam has no conflict of interest. Kai Zacharowski declares that the Department of Anaesthesiology, Intensive Care Medicine & Pain Therapy of the University Hospital Frankfurt, Goethe University received support from B. Braun Melsungen, CSL Behring, Fresenius Kabi, and Vifor Pharma for the implementation of Frankfurt's Patient Blood anagement program. KZ has received honoraria for participation in advisory board meetings for Haemonetics and Vifor and received speaker fees from CSL Behring, Masimo, Pharmacosmos, Boston Scientific, Salus, iSEP, Edwards and GE Healthcare. He is the Principal Investigator of the EU-Horizon 2020 project ENVISION (Intelligent plug-and-play digital tool for real-time surveillance of COVID-19 patients and smart decision-making in Intensive Care Units) and Horizon Europe 2021 project Covend (Biomarker and AI-supported FX06 therapy to prevent progression from mild and moderate to severe stages of COVID-19). Sebastian Zinn has no conflict of interest. Daniel Schwarzkopf was funded for this work by grant 01VSF17010 from the German Innovations Fund of the Federal Joint Committee and received additional funding from this source unrelated to this work; he leads the coordinating bureau of the German Quality Network sepsis—a quality initiative to improve hospital care for sepsis – and was partly funded via this project from 2015 to 2022.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this

article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

References

- Singer M, Deutschman CS, Seymour CW, Shankar-Hari M, Annane D, Bauer M, Bellomo R, Bernard GR, Chiche JD, Cooper-Smith CM, et al. The third international consensus definitions for sepsis and septic shock (Sepsis-3). *JAMA-J Am Med Assoc*. 2016;315(8):801–10.
- Fleischmann-Struzek C, Thomas-Rüddel DO, Schettler A, Schwarzkopf D, Stacke A, Seymour CW, Haas C, Dennler U, Reinhart K. Comparing the validity of different ICD coding abstraction strategies for sepsis case identification in German claims data. *PLoS ONE*. 2018;13(7):e0198847.
- Schwarzkopf D, Rose N, Fleischmann-Struzek C, Boden B, Dorow H, Edel A, Friedrich M, Gonnert FA, Gotz J, Grundling M, et al. Understanding the biases to sepsis surveillance and quality assurance caused by inaccurate coding in administrative health data. *Infection*. 2024;52(2):413–27.
- Jolley RJ, Sawka KJ, Yergens DW, Quan H, Jette N, Doig CJ. Validity of administrative data in recording sepsis: a systematic review. *Crit Care*. 2015;19:12.
- Kumar A, Hammond N, Grattan S, Finfer S, Delaney A. Accuracy of international classification of disease coding methods to estimate sepsis epidemiology: a scoping review. *J Intensive Care Med*. 2024;39(1):3–11.
- Rhee C, Murphy MV, Li L, Platt R, Klompas M, Centers for Disease C, Prevention Epicenters P. Comparison of trends in sepsis incidence and coding using administrative claims versus objective clinical data. *Clin Infect Dis*. 2015;60(1):88–95.
- Gohil SK, Cao C, Phelan M, Tjoa T, Rhee C, Platt R, Huang SS. Impact of policies on the rise in sepsis incidence, 2000–2010. *Clin Infect Dis*. 2016;62(6):695–703.
- Rhee C, Dantes R, Epstein L, Murphy DJ, Seymour CW, Iwashyna TJ, Kadri SS, Angus DC, Danner RL, Fiore AE, et al. Incidence and trends of sepsis in US hospitals using clinical vs claims data, 2009–2014. *JAMA*. 2017;318(13):1241–9.
- Whittaker SA, Mikkelsen ME, Gaieski DF, Koshy S, Kean C, Fuchs BD. Severe sepsis cohorts derived from claims-based strategies appear to be biased toward a more severely ill patient population. *Crit Care Med*. 2013;41(4):945–53.
- Qualitätssicherungsverfahren „Diagnostik. Therapie und Nachsorge der Sepsis - Machbarkeitsprüfung - Indikatorenset V2.1 [<https://iqtig.org/veroeffentlichungen/abschlussbericht-sepsis/>]
- Schwarzkopf D, Fleischmann-Struzek C, Schlattmann P, Dorow H, Quart D, Edel A, Gonnert FA, Gotz J, Grundling M, Heim M, et al. Validation study of German inpatient administrative health data for epidemiological surveillance and measurement of quality of care for sepsis: the OPTIMISE study protocol. *BMJ Open*. 2020;10(10):e035763.
- Benchimol EI, Smeeth L, Guttman A, Harron K, Moher D, Petersen I, Sørensen HT, von Elm E, Langan SM, Committee RW. The reporting of studies conducted using observational routinely-collected health data (RECORD) statement. *PLoS Med*. 2015;12(10):e1001885.
- Benchimol EI, Manuel DG, To T, Griffiths AM, Rabeneck L, Guttman A. Development and use of reporting guidelines for assessing the quality of validation studies of health administrative data. *J Clin Epidemiol*. 2011;64(8):821–9.
- Iwashyna TJ, Odden A, Rohde J, Bonham C, Kuhn L, Malani P, Chen L, Flanders S. Identifying patients with severe sepsis using administrative claims: patient-level validation of the angus implementation of the international consensus conference definition of severe sepsis. *Med Care*. 2014;52(6):e39–43.
- Bone RC, Balk RA, Cerra FB, Dellinger RP, Fein AM, Knaus WA, Schein RMH, Sibbald WJ, Abrams JH, Bernard GR et al. American-College of Chest Physicians Society of Critical Care Medicine Consensus Conference - definitions for sepsis and organ failure and guidelines for the use of innovative therapies in sepsis. *Crit Care Med*. 1992;20(6):864–874.
- Levy MM, Fink MP, Marshall JC, Abraham E, Angus D, Cook D, Cohen J, Opal SM, Vincent JL, Ramsay G et al. 2001 SCCM/ESICM/ACCP/ATS/SIS International Sepsis Definitions Conference. *Crit Care Med*. 2003;31(4):1250–1256.
- Shankar-Hari M, Harrison DA, Rubinfeld GD, Rowan K. Epidemiology of sepsis and septic shock in critical care units: comparison between sepsis-2 and sepsis-3 populations using a national critical care database. *Br J Anaesth*. 2017;119(4):626–36.
- R Core Team. R: a language and environment for statistical computing. Vienna, Austria: R Foundation for Statistical Computing; 2021.
- Lumley T. survey: analysis of complex survey samples (version 4.4-2) [Computer software]. Available from: <https://cran.r-project.org/web/packages/survey/index.html>: The Comprehensive R Archive Network; 2024.
- rpart. Recursive partitioning and regression trees [<https://cran.r-project.org/web/packages/rpart/index.html>]
- Beaumont J-F. On the use of data collection process information for the treatment of unit nonresponse through weight adjustment. *Surv Methodol*. 2004;31(2):227–331.
- Barbash IJ, Davis BS, Saul M, Hwa R, Brant EB, Seymour CW, Kahn JM. Association between medicare's sepsis reporting policy (SEP-1) and the documentation of a sepsis diagnosis in the clinical record. *Med Care*. 2024;62(6):388–95.
- Mellhammar L, Wollter E, Dahlberg J, Donovan B, Olsén CJ, Wiking PO, Rose N, Schwarzkopf D, Friedrich M, Fleischmann-Struzek C, et al. Estimating sepsis incidence using administrative data and clinical medical record review. *JAMA Netw Open*. 2023;6(8):e2331168.
- Jafarzadeh SR, Thomas BS, Marschall J, Fraser VJ, Gill J, Warren DK. Quantifying the improvement in sepsis diagnosis, documentation, and coding: the marginal causal effect of year of hospitalization on sepsis diagnosis. *Ann Epidemiol*. 2016;26(1):66–70.
- Jolley RJ, Quan H, Jetté N, Sawka KJ, Diep L, Goliath J, Roberts DJ, Yipp BG, Doig CJ. Validation and optimisation of an ICD-10-coded case definition for sepsis using administrative health data. *BMJ Open*. 2015;5(12):e009487.
- Rhee C, Jentzsch MS, Kadri SS, Seymour CW, Angus DC, Murphy DJ, Martin GS, Dantes RB, Epstein L, Fiore AE, et al. Variation in identifying sepsis and organ dysfunction using administrative versus electronic clinical data and impact on hospital outcome comparisons. *Crit Care Med*. 2019;47(4):493–500.
- Lindenauer PK, Lagu T, Shieh MS, Pekow PS, Rothberg MB. Association of diagnostic coding with trends in hospitalizations and mortality of patients with pneumonia, 2003–2009. *JAMA*. 2012;307(13):1405–13.
- Rothberg MB, Pekow PS, Priya A, Lindenauer PK. Variation in diagnostic coding of patients with pneumonia and its association with hospital risk-standardized mortality rates: a cross-sectional analysis. *Ann Intern Med*. 2014;160(6):380–8.
- SepNet Critical Care Trials G. Incidence of severe sepsis and septic shock in German intensive care units: the prospective, multi-centre INSEP study. *Intensive Care Med*. 2016;42(12):1980–9.

30. Bloos F, Ruddel H, Thomas-Rüddel D, Schwarzkopf D, Pausch C, Harbarth S, Schreiber T, Grundling M, Marshall J, Simon P, et al. Effect of a multifaceted educational intervention for anti-infectious measures on sepsis mortality: a cluster randomized trial. *Intensive Care Med.* 2017;43(11):1602–12.
31. Boussina A, Shashikumar SP, Malhotra A, Owens RL, El-Kareh R, Longhurst CA, Quintero K, Donahue A, Chan TC, Nemati S, et al. Impact of a deep learning sepsis prediction model on quality of care and survival. *NPJ Digit Med.* 2024;7(1):14.
32. Sand J, Kuqi A. Current challenges in sepsis documentation and coding: a review of the literature. *Perspect Health Inf Manag.* 2023;20(3):1g. eCollection 2023 Summer-Fall.
33. Kodierleitfaden, Sepsis. 3.0 [https://www.uniklinikum-jena.de/dq/Publikationen/Sepsis_Kodierleitfaden.html]

Authors and Affiliations

Daniel Thomas-Rüddel^{1,2} · Norman Rose³  · Carolin Fleischmann-Struzek³  · Konrad Reinhart¹¹ · Beate Boden⁵ · Heike Dorow¹ · Andreas Edel⁴  · Falk A. Gonnert⁶ · Jürgen Götz⁵ · Matthias Gründling⁷ · Markus Heim⁸  · Kirill Holbeck⁸ · Ulrich Jaschinski⁹ · Christian Koch¹⁰ · Christian Künzer⁴  · Khanh Le Ngoc⁶ · Simone Lindau^{12,13} · Ngoc B. Mehlmann⁹ · Patrick Meybohm¹⁴  · Holger Neb¹³ · Michael Nordine¹³ · Dominique Quart¹ · Christian Putensen¹⁵ · Michael Sander¹⁰  · Jens-Christian Schewe^{15,16}  · Peter Schlattmann¹⁷ · Götz Schmidt¹⁰  · Gerhard Schneider⁸  · Claudia Spies⁴  · Ferdinand Steinsberger¹⁰ · Christopher Tam² · Kai Zacharowski¹³  · Sebastian Zinn¹³  · Daniel Schwarzkopf¹ 

✉ Daniel Schwarzkopf
daniel.schwarzkopf@med.uni-jena.de

¹ Department of Anesthesiology and Intensive Care Medicine, Jena University Hospital, Am Klinikum 1, 07747 Jena, Germany

² Department of Anesthesiology, Montefiore Medical Center, Albert Einstein College of Medicine, Bronx, NY, USA

³ Institute of Infectious Diseases and Infection Control, Jena University Hospital, Erlanger Allee 103, 07747 Jena, Germany

⁴ Department of Anesthesiology and Operative Intensive Care Medicine (CCM, CVK), Charité-Universitätsmedizin Berlin, corporate member of Freie Universität Berlin and Humboldt-Universität zu Berlin, Augustenburger Platz 1, 13353 Berlin, Germany

⁵ Department of Internal Medicine II – Intensive Care, Klinikum Lippe GmbH, Röntgenstraße 18, 32756 Detmold, Germany

⁶ Department of Anaesthesiology and Intensive Care Medicine, SRH Wald-Klinikum, Straße des Friedens 122, 07548 Gera, Germany

⁷ Department of Anaesthesiology, Intensive Care Medicine, Emergency Medicine and Pain Medicine, University Medicine Greifswald, Ferdinand-Sauerbruch-Straße, 17475 Greifswald, Germany

⁸ TUM School of Medicine and Health, Department of Anesthesiologie and Intensive Care Medicine, Technical University of Munich, TUM University Hospital, Munich, Germany

⁹ Department of Anaesthesiology and Surgical Intensive Care Medicine, Universitätsklinikum Augsburg, Stenglinstr. 2, 86156 Augsburg, Germany

¹⁰ Department of Anesthesiology, Intensive Care Medicine and Pain Therapy, University Hospital Gießen, UKGM, Justus-Liebig University Gießen, Rudolf-Buchheim-Straße 7, 35392 Gießen, Germany

¹¹ Visiting Professor for Sepsis Awareness and Advocacy, Department of Anesthesiology and Operative Intensive Care Medicine (CCM, CVK), Charité-Universitätsmedizin Berlin, corporate member of Freie Universität Berlin and Humboldt-Universität zu Berlin, Augustenburger Platz 1, 13353 Berlin, Germany

¹² Faculty of Medicine, Department of Anesthesiology and Intensive Care Medicine, University of Cologne, University Hospital Cologne, Kerpener Str. 62, 50937 Cologne, Germany

¹³ Department of Anaesthesiology, Intensive Care Medicine & Pain Therapy, University Hospital Frankfurt, Goethe University, Theodor-Stern-Kai 7, 60590 Frankfurt am Main, Germany

¹⁴ Department of Anaesthesiology, Intensive Care, Emergency and Pain Medicine, University Hospital Würzburg, Oberduerrbacher Straße 6, 97080 Würzburg, Germany

¹⁵ Department of Anaesthesiology and Intensive Care Medicine, University Hospital Bonn, Venusberg-Campus 1, 53127 Bonn, Germany

¹⁶ Department of Anaesthesiology, Intensive Care Medicine, Emergency Medicine and Pain Medicine, University Medical Centre Rostock, Schillingallee 35, 18057 Rostock, Germany

¹⁷ Institute for Medical Statistics, Computer Science and Data Science, Jena University Hospital, Bachstraße 18, 07743 Jena, Germany