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# Pluriharmonic Maps, Loop Groups and Twistor Theory

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# 0. Introduction

Any simply connected minimal surface in 3-space allows a one-parameter family ('associated family') of isometric deformations preserving the principal curvatures while rotating the principal curvature directions; the most famous example is the deformation of the catenoid into the helicoid. This property holds also in a much more general situation: It is valid for harmonic maps of a surface M into a symmetric space P = G/K. If M is simply connected, any harmonic map  $f: M \to G/K$  determines a smooth family  $f_{\lambda}: \tilde{M} \to G/K$  of harmonic maps defined on the universal cover  $\tilde{M}$  of M, parametrized by  $\lambda = e^{-i\theta} \in S^1$ , such that the differential  $df_{\lambda}$  is obtained essentially from a rotation of df by the angle  $\theta$ , and this property characterizes harmonic maps. It may happen that this associated family is trivial, i.e.  $f_{\lambda}$  is congruent to f for all  $\lambda$ , in which case the harmonic map is called isotropic. In particular this happens for  $M = S^2$  if the target space is an n-sphere or a complex projective space. This case was studied by many authors, starting 1967 with Calabi's work [6]. One application was the explicit description of all minimal

2-spheres in the 4-sphere by Bryant [1]. A description of all isotropic harmonic maps into arbitrary symmetric spaces was given in [5]: They arise as projections from holomorphic and 'superhorizontal' maps  $\hat{f}$  into a so called *twistor space* Z which is an adjoint G-orbit fibering over G/K where *superhorizontal* means that  $d\hat{f}$  takes values in a certain distribution on Z.

If the target space is a compact Lie group or a compact symmetric space other than  $S^n$  or  $\mathbb{C}P^n$ , harmonic spheres are no longer isotropic. They were investigated by Uhlenbeck [22] for U(n) and Burstall and Guest [4] in the general case. Then the associated family  $f_{\lambda}$  could be considered as a map from M into the loop group  $\Lambda G$  consisting of all sufficiently regular maps  $\gamma \colon S^1 \to G$ . Using the Cartan embedding these results then extend to harmonic maps into arbitrary compact symmetric spaces. However, in these papers the associated family  $f_{\lambda}$  is differently defined and not harmonic for most values of  $\lambda$ .

Ideas contained in [22] and in the work of Pohlmeyer [20] and the Russian soliton school were used in [8] to generate harmonic maps from certain almost arbitrarily chosen meromorphic (1,0)-forms, so called *normalized potentials*, on a simply connected domain  $M \subset \hat{\mathbb{C}}$ ; like in the case of minimal surfaces in Euclidean space this construction was called a *Weierstrass representation* for harmonic maps. As an application of this one can change the setting in [22] or [4] slightly and does, indeed, obtain an associated family of harmonic maps from a given harmonic map into an arbitrary compact symmetric space G/K, without using the Cartan embedding.

Of course one also wants to replace the surface M by a simply connected manifold of higher dimension. Since complex analysis plays an essential rôle in the theory, one assumes that M (like a surface) is a complex manifold. But harmonicity is too weak in higher dimensions; instead one assumes that f is *pluriharmonic* which means harmonicity along any complex curve in M. It has been shown first by Ohnita and Valli (cf. [11, 19]) that these maps are also characterized by associated families. The twistor theory for *isotropic* pluriharmonic maps was developed in [11].

In the present paper we show that the method of [8] essentially can be extended to the pluriharmonic setting. However, a few new features arise. Since we are dealing with several variables, holomorphic (1,0)-forms are not automatically locally integrable. As a matter of fact, while the normalized potentials are trivially integrable in the one-dimensional case their higher-dimensional analogues are closely related to the 'curved flats' of Ferus and Pedit [12], thus permitting to construct arbitrary pluriharmonic maps from certain given curved flats. Also, while in the one-dimensional case a simply connected Riemann surface (other than  $\hat{\mathbb{C}}$ ) is contractible and can be realized as an open subset of  $\mathbb{C}^1$ , the analogous result is not true for higher-dimensional complex manifolds. Thus globally defined framings do not exist automatically, opposite to the one-dimensional case. Of course, locally extended framings  $F: M_o \to \Lambda$  exist, where  $M_o$  is a contractible open subset of M and  $\Lambda$  is a group of (twisted) loops  $g: S^1 \to G$ .

It turns out, however, that after projecting the local extended framings from  $\Lambda$  to  $\mathcal{Z} = \Lambda/K$  (where K is embedded into  $\Lambda$  as constant loops) our approach works globally. Since  $\mathcal{Z}$  fibres naturally over G/K, our description can be viewed as a generalized twistor theory where the twistor space Z is replaced with Z which is an infinite-dimensional adjoint orbit. As in the isotropic case, every pluriharmonic map  $f \colon M \to G/K$  arises by projecting a holomorphic and 'superhorizontal' map  $\hat{f} \colon M \to Z$  into G/K. The classical finite-dimensional twistor theory embeds nicely.

We start our paper with a geometric foundation of the basic notions. In Section 1, given a simply connected manifold M, a vector bundle E over M and an E-valued 1-form  $\delta \in \operatorname{Hom}(TM, E)$ , we investigate when there does exist a smooth map  $f \colon M \to P = G/K$  such that  $E \cong f^*TP$  and  $\delta \cong \mathrm{d}f$ ; such  $\delta$  will be called *integrable*. In Section 2 we introduce the rotations  $R_{\lambda} = (\cos \theta)I + (\sin \theta)J$ , where  $\lambda = e^{-i\theta}$  and J denotes the complex structure of M. We show that for any map  $f \colon M \to P$  the 1-form  $\delta_{\lambda} = \mathrm{d}f \circ R_{\lambda}$  is integrable if and only if f is pluriharmonic. Thus we have introduced the loop parameter in a geometric fashion.

In Section 3 we consider locally extended framings  $F: M_o \to \Lambda$  for pluriharmonic maps  $f: M \to G/K$  from M to a symmetric space G/K. We show that F can be defined globally as a map from M to  $\Lambda/K$ . The following section, Section 4, discusses the Maurer–Cartan forms of extended framings. Here we show in particular that our geometrically defined associated family coincides with the one introduced in [8].

The next two sections present for pluriharmonic maps constructions related to the techniques of [8]. In particular, we introduce locally the notion of holomorphic framings (Section 5) and globally the notion of normalized framings (Section 6). In this section the contrast with the one-dimensional theory is best visible. Formulas (38) and (39) are trivial in the one-dimensional case. In general they define a curved flat (see e.g. [12]).

Section 7 briefly presents the notion of dressing, both from the point of view of extended framings with values in  $\mathbb Z$  and the usual 'group splitting' point of view. In Section 8 we discuss, as an example, the isotropic case. With formula (53) we make also contact with [14],  $\S 2(1)$ , and [7]. The last section (Section 9) discusses pluriharmonic maps into Lie groups. In particular we draw the connection of our approach to the slightly different one of Uhlenbeck.

# 1. Integrability of 1-Forms

Let M and P be smooth manifolds. Suppose that P carries a Riemannian metric. On any Riemannian manifold there is a canonical differentiation of tangent vector fields, the *Levi-Civita covariant derivative* D which in turn defines *parallel displacements* of vectors along curves. Let  $f: M \to P$  be a smooth map. Its differential is considered as a bundle homomorphims  $\mathrm{d} f \in \mathrm{Hom}(TM, f^*TP)$  where  $f^*TP$  is the pull back bundle over M with fibre  $(f^*TP)_z = T_{f(z)}P$  at

any point  $z \in M$ . Hence df is a 1-form on M with values in the vector bundle  $E = f^*TP$ , and in fact it is *closed*, i.e. for any vector fields X, Y on M we have

$$d^{D} df(X, Y) := D_{X}(df(Y)) - D_{Y}(df(X)) - df([X, Y]) = 0.$$
 (1)

If M also carries a Riemannian metric, we can define the Hessian  $D df: TM \otimes TM \to f^*TP$ ,

$$D df(X,Y) = D_X(df(Y)) - df(D_XY), \tag{2}$$

and (1) says that this bilinear map is symmetric.

Now we ask the converse question: Given manifolds M and P and a metric vector bundle E over M with a compatible covariant derivative D and an E-valued 1-form  $\delta \in \operatorname{Hom}(TM, E)$ , when does there exist a smooth map  $f: M \to P$  such that  $E \cong f^*TP$  and  $\delta \cong \operatorname{d} f$ ? More precisely

$$\Phi \circ \delta = \mathrm{d}f \tag{3}$$

for some bundle isometry  $\Phi \colon E \to f^*TP$  which is *parallel*, i.e. invariant under parallel displacements. Such a  $\delta$  will be called *integrable*. By (1), a necessary condition for integrability is  $d^D\delta = 0$ . Clearly, if M is simply connected and  $P = \mathbb{R}^n$  with its Euclidean inner product, this condition is also sufficient.

But instead of  $\mathbb{R}^n$  we want to consider a *symmetric space*. This is a Riemannian manifold P such that for every  $p \in P$  there is an isometry  $s_p$  fixing p with  $(\mathrm{d} s_p)_p = -I$ , called *geodesic symmetry* or *point reflection* at p; clearly  $s_p^{-1} = s_p$ . In particular, P is *homogeneous*, i.e. its (full) isometry group G acts transitively, and hence P may be viewed as a coset space G/K where K is the isotropy group (stabilizer subgroup) of some chosen point  $o \in P$ . Clearly, the point reflection  $s_o$  commutes with K, hence K is fixed by the *Cartan involution*  $\sigma \in \mathrm{Aut}(G)$ ,

$$\sigma(g) = s_o g s_o^{-1}. \tag{4}$$

In fact  $Fix(\sigma) = \{g \in G; \ \sigma(g) = g\}$  contains K as a normal subgroup of finite index. A local characterization of symmetric spaces is the *parallelity of its*  $Riemannian\ curvature\ tensor\ R^P(X,Y,Z) = [D_X,D_Y]Z - D_{[X,Y]}Z$ . The trilinear map on the tangent spaces defined by  $R^P$  is called a *Lie triple product*.

Now  $d^D \delta = 0$  is no longer sufficient for the integrability of  $\delta$ , but a further condition is needed: We assume that on the vector bundle E there is also a parallel Lie triple product  $R^P \colon E \otimes E \otimes E \to E$  whose restriction to any fibre is isometrically isomorphic to the curvature tensor on any tangent space of P. We call  $(E, D, R^P)$  a vector bundle *of type* P. We have (cf. [10]):

THEOREM 1. Let M be simply connected and  $(E, D, R^P)$  a vector bundle of type P over M and let  $R^E$ :  $TM \otimes TM \otimes E \rightarrow E$  be its curvature tensor. Given  $\delta \in \text{Hom}(TM, E)$ , there exists  $f: M \rightarrow P = G/K$  and a parallel bundle isometry  $\Phi: E \rightarrow f^*TP$  such that  $\Phi \circ \delta = df$  if and only if

$$d^D \delta = 0, (5)$$

$$R^{E}(X,Y)\xi = R^{P}(\delta X,\delta Y)\xi \tag{6}$$

for all sections X, Y of TM and  $\xi$  of E. The map f is unique up to isometries of P, i.e. any other solution is of the form  $g \circ f$  for some  $g \in G$ .

# 2. Complex Rotations and the Levi Form

From now on let M be always a simply connected *complex* manifold. The almost complex structure on TM will be called J. Consider the eigenspace decomposition of J on the complexified tangent bundle

$$T^c M = T' M + T'' M, (7)$$

where J=i I on T'M and J=-i I on T''M. Let  $\theta\in[0,2\pi]$ . Put  $\lambda=e^{-i\theta}$  and

$$R_{\lambda} := (\cos \theta)I + (\sin \theta)J. \tag{8}$$

Then  $R_{\lambda} = \lambda^{-1} I$  on T'M and  $R_{\lambda} = \lambda I$  on T''M.

Let  $f: M \to P = G/K$  be a smooth map and put  $\delta_{\lambda} = \mathrm{d} f \circ R_{\lambda} \in \mathrm{Hom}(TM, f^*TP)$ . Under which conditions is  $\delta_{\lambda}$  integrable for any  $\lambda \in S^1$ ? The answer was given in [11]: if and only if f is *pluriharmonic*, i.e. if  $f|_C$  is harmonic for any complex one-dimensional submanifold  $C \subset M$  or equivalently if the *Levi form* of f vanishes, Lf = 0.\* The Levi form can be explained best by using a local *Kähler metric* on M, i.e. a Riemannian metric such that J is orthogonal and parallel. In particular, the eigenbundles T'M and T''M are parallel. Locally, such a metric always exists on a complex manifold, e.g. the flat metric in a complex coordinate chart has this property. Then the Hessian  $h = D \, \mathrm{d} f$  is defined and its complex linear extension to  $T^cM$  splits as  $h = h^{(2,0)} + h^{(1,1)} + h^{(0,2)}$ : For any  $X, Y \in T^cM$  we put  $h^{(2,0)}(X, Y) = h(X', Y')$ ,  $h^{(0,2)}(X, Y) = h(X'', Y'')$ , and

$$h^{(1,1)}(X,Y) = h(X',Y'') + h(X'',Y') = \frac{1}{2}(h(X,Y) + h(JX,JY)), \tag{9}$$

where X'=(1/2)(X-iJX) and X''=(1/2)(X+iJX) are the components of X with respect to the splitting (7). The (1, 1)-part given by (9) is called *Levi form*  $Lf=h^{(1,1)}$ . Surprisingly, this is *independent of the Kähler metric*. In fact,  $h(X,Y)=D_X(\mathrm{d} f(Y))-\mathrm{d} f(D_XY)$ , and only the second term depends on the metric of M. If X and Y are complex vector fields with  $X\in T'M$  and  $Y\in T''M$ , then by parallelity of these bundles we have  $D_XY\in T''M$  and  $D_YX\in T'M$ . Hence  $D_XY=D_YX\in T'\cap T''=0$  if [X,Y]=0. Using a local basis of commuting vector fields in T' and T'', e.g.  $\partial/\partial z_i$  and  $\partial/\partial \bar{z}_j$  for a complex coordinate system  $z=(z_1,\ldots,z_m)$  on M, we see the independence of  $Lf=D\,\mathrm{d} f^{(1,1)}$  from the chosen Kähler metric.

<sup>\*</sup> A slightly different definition was given by Ohnita [17]: He calls f pluriharmonic if  $df \circ J$  is integrable. This is easily seen to be equivalent to our definition.

THEOREM 2. Let M be a simply connected complex manifold, P = G/K a symmetric space with either nonnegative or nonpositive curvature and  $f: M \to P$  be a smooth map. Then  $\delta_{\lambda} = \mathrm{d} f \circ R_{\lambda}$  is integrable for all  $\lambda \in S^1$  if and only if f is pluriharmonic.

*Remark.* The proof of Theorem 2 is contained in [11]. As a matter of fact, the conclusion ' $\Rightarrow$ ' is easy: the pluriharmonicity follows from (5) alone. To see this observe that  $\delta_{\lambda} = \lambda \,\mathrm{d} f$  on T'M and  $\delta_{\lambda} = \lambda^{-1} \,\mathrm{d} f$  on T'M, hence  $(D_{X'}\delta)Y'' = \lambda h(X',Y'')$  while  $(D_{Y''}\delta)X' = \lambda^{-1}h(Y'',X')$ . Due to the symmetry of  $h = D \,\mathrm{d} f$ , these expressions are equal for  $\lambda \neq \pm 1$  only if h(X',Y'') = 0; this is the pluriharmonicity of f. The converse conclusion ' $\Leftarrow$ ', in particular the proof of (5) for  $\delta_{\lambda}$  is more complicated and needs the semi-definiteness of the curvature operator of P.

COROLLARY 1. Pluriharmonic maps come in families (so called associated families)  $f_{\lambda}$ ,  $\lambda \in S^1$  where  $df \circ R_{\lambda} \cong df_{\lambda}$ , more precisely

$$\Phi_{\lambda} \circ \mathrm{d}f \circ R_{\lambda} = \mathrm{d}f_{\lambda} \tag{10}$$

for some parallel bundle isometry  $\Phi_{\lambda}$ :  $f^*TP \to f_{\lambda}^*TP$  preserving the curvature tensor  $R^P$ .

The fact that  $\Phi_{\lambda}$  is isometric and preserves  $R^P$  is equivalent to saying that for any  $z \in M$  we have  $\Phi_{\lambda}(z) = dg_{f(z)}$  for some isometry  $g \in G$ , more precisely, for every  $z \in M$  there exists  $g \in G$  (depending on z) with  $g(f(z)) = f_{\lambda}(z)$  and  $dg_{f(z)} = \Phi_{\lambda}(z) \in \operatorname{Hom}(T_{f(z)}P, T_{f_{\lambda}(z)}P)$  where dg is the differential of g considered as an isometry  $g: P \to P$ . Viewing G as a group acting on TP we may simply say  $\Phi_{\lambda}(z) = g \in G$ . From now on we will call  $f_{\lambda}$ , or more precisely  $(f_{\lambda}, \Phi_{\lambda})$ , the *associated family* of f.

Let us consider the special case  $\lambda = -1$ . Then  $R_{-1} = -I$  and we may easily write down a solution of (10):  $f_{-1} = f$  and  $\Phi_{-1} = -I$ . More generally, if  $(f_{\lambda}, \Phi_{\lambda})$  is a solution for some  $\lambda$ , we have  $R_{-\lambda} = -R_{\lambda}$  and hence  $(f_{\lambda}, -\Phi_{\lambda})$  is a solution for  $-\lambda$ . Considering  $\Phi_{\lambda}(z)$  as an element of G we may express this by saying  $\Phi_{-\lambda}(z) = \Phi_{\lambda}(z)s_{f(z)}$  or shortly

$$\Phi_{-\lambda} = \Phi_{\lambda} s_f, \tag{11}$$

where  $s_p \in G$  is the geodesic symmetry at  $p \in P$ .

*Remark.* We would like to point out that the construction of an associated family as explained above requires M to be simply connected.

# 3. Loop Group Formulation

Let  $f: M \to P = G/K$  be any smooth map. We will always assume that  $f(z_o)$  is the base point  $o = eK \in G/K$  for some fixed  $z_o \in M$ . If M is contractible, f

can be lifted to a smooth mapping  $F: M \to G$  such that  $f(z) = F(z)K \in G/K$ ; this will be called a *framing* for f. Any other framing is obtained as  $\tilde{F} = Fk$  for some smooth mapping (*gauge transformation*)  $k: M \to K$ . Passing to Fk with  $k = F(z_o)^{-1} \in K$ , we may assume  $F(z_o) = e$  (= unit element of G). If M is arbitrary, such framings are possibly no longer defined globally, but on any contractible open subset  $M_o \subset M$ . From now on  $M_o$  will aways denote such a subset of M.

If f is pluriharmonic, we obtain the associated family  $(f_{\lambda}, \Phi_{\lambda})$  to which we assign the framing

$$F_{\lambda} = g_{\lambda} \Phi_{\lambda} F, \tag{12}$$

where  $g_{\lambda} = \Phi_{\lambda}(z_o)^{-1} \in G$ . In fact  $F_{\lambda}$  is a framing of  $g_{\lambda} \circ f_{\lambda}$  since  $\Phi_{\lambda}(z)$  (viewed as an element of G and hence as an isometry of P) maps f(z) onto  $f_{\lambda}(z)$ . Moreover, by (11) we have

$$F_{-\lambda} = (g_{\lambda}s_o)^{-1}\Phi_{\lambda}s_f F = s_o^{-1}g_{\lambda}\Phi_{\lambda}Fs_o = \sigma(F_{\lambda})$$
(13)

where  $\sigma(g) = s_o g s_o^{-1}$  is the Cartan involution of G corresponding to the symmetric space P. Thus  $F_{\lambda}$  can be considered as an element of the *twisted loop group* 

$$\Lambda := \Lambda_{\sigma}G := \{ \gamma \colon S^1 \to G; \ \gamma(-\lambda) = \sigma(\gamma(\lambda)) \}, \tag{14}$$

where the loops  $\gamma \in \Lambda$  need to satisfy certain regularity assumptions (e.g.  $H^1$  or  $C^{\infty}$ ). Thus starting from the pluriharmonic map  $f: M \to G/K$  we have defined a map

$$\mathcal{F}: M_o \to \Lambda, \quad \mathcal{F}(z)(\lambda) = F_{\lambda}(z).$$
 (15)

We can make  $\mathcal{F}$  unique and hence globally defined on M by composing it with the canonical projection

$$\pi: \Lambda \to \mathcal{Z} := \Lambda/K,$$
 (16)

where  $K \subset \Lambda$  denotes the subgroup of constant loops in  $\Lambda$  with values in K. Note that the twisting condition  $\gamma(-\lambda) = \sigma(\gamma(\lambda))$  implies that constant loops automatically lie in the fixed group of  $\sigma$  in which K is normal and of finite index. Summing up the preceding discussion we obtain the following theorem:

THEOREM 3. Let M be a simply connected complex manifold and  $z_o \in M$ . Then for any pluriharmonic map  $f: M \to P$  with  $f(z_o) = o$  there exists precisely one map  $\tilde{\mathcal{F}}: M \to \mathcal{Z} = \Lambda/K$  with  $ev_1 \circ \tilde{\mathcal{F}} = f$  and with local lifts  $\mathcal{F} = (F_\lambda): M_o \to \Lambda$  given by (12) such that  $F_\lambda F_1^{-1}$  is parallel along f.

#### 4. The Maurer-Cartan Form

Now we assume that the isometry group G of the symmetric space P is a (closed) matrix group:  $G \subset GL(\mathbb{R}^N) \subset \mathbb{R}^{N \times N}$  for some N. Let  $\mathfrak{g}$  denote its Lie algebra. To any smooth map  $F \colon M \to G$  we assign a  $\mathfrak{g}$ -valued 1-form

$$\alpha = F^{-1} \, \mathrm{d}F \in \Omega^1(M; \mathfrak{g}),\tag{17}$$

which satisfies  $d\alpha = -F^{-1} dF F^{-1} \wedge dF = -\alpha \wedge \alpha$ . Vice versa, given any  $\alpha \in \alpha^1(M,\mathfrak{g})$  on a simply connected manifold M, it is an easy consequence of the Frobenius theorem that there exists a map  $F \colon M \to G$  with  $\alpha = F^{-1} dF$  if and only if

$$d\alpha = -\alpha \wedge \alpha, \tag{18}$$

and this F is unique up to left translations with (constant) elements of G. Using the Cartan decomposition  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  corresponding to the symmetric space P = G/K, the Maurer–Cartan form splits accordingly as

$$\alpha = \alpha_k + \alpha_p. \tag{19}$$

A second splitting compatible to the first one is obtained from the complex structure:

$$\alpha = \alpha' + \alpha'',\tag{20}$$

where  $\alpha'(X) = \alpha(X')$  and  $\alpha''(X) = \alpha(X'')$ .

Now let  $f: M \to G/K$  be pluriharmonic. As explained in the previous sections, we have the associated family  $(f_{\lambda}, \Phi_{\lambda})$  with framing  $F_{\lambda} = g_{\lambda} \Phi_{\lambda} F$  as given in (12). The corresponding Maurer–Cartan form is

$$\alpha_{\lambda} = F_{\lambda}^{-1} dF_{\lambda} = F^{-1} \Phi_{\lambda}^{-1} d\Phi_{\lambda} F + \alpha. \tag{21}$$

Due to the parallelity of  $\Phi_{\lambda}$ , the first term on the right-hand side of (21) takes values in p as the subsequent lemma shows:

LEMMA 1. Let  $f, \tilde{f}: M \to P = G/K$  be smooth maps such that  $\Phi \circ d\tilde{f} = df$  for some linear isometry  $\Phi: f^*TP \to \tilde{f}^*TP$  preserving the curvature tensor of P. Thus  $\Phi$  can be considered also as a smooth map  $\Phi: M \to G$ . Let  $F: M \to G$  be a framing of f. Then  $\Phi$  is parallel as a section of  $\operatorname{Hom}(f^*TP, \tilde{f}^*TP)$  if and only if  $F^{-1}\Phi^{-1}d\Phi F \in \mathfrak{p}$ .

*Proof.* The parallel displacements on P are given by curves g(t) in G which are horizontal with respect to the principal fibration  $G \to G/K$ . Hence  $\Phi$  is parallel iff it maps horizontal curves in G again onto horizontal curves. More precisely, if z(t) is a curve in M and  $t \mapsto g(t) \in G$  a horizontal lift of  $t \mapsto f(z(t)) \in G/K$ , then  $t \mapsto \Phi(z(t))g(t) \in G$  is a horizontal lift of  $t \mapsto \tilde{f}(z(t))$ . In other words,  $(\Phi(z)g)' = \Phi(z)'g + \Phi(z)g'$  is horizontal. But g' and hence  $\Phi(z)g'$  are horizontal vectors anyway since left translation by the element  $\Phi(z(t)) \in G$  preserves horizontality. Thus  $\Phi(z)'g$  is horizontal for any curve z(t) in M and every  $g \in G$ . Therefore, the 1-forms  $d\Phi F$  and  $F^{-1}\Phi^{-1}d\Phi F$  take horizontal values iff  $\Phi$  is parallel. This proves the claim since the horizontal space at  $e \in G$  is  $\mathfrak{p} \subset \mathfrak{g} = T_eG$ .

As a consequence, we obtain from (21):

$$(\alpha_{\lambda})_k = \alpha_k \tag{22}$$

for any  $\lambda \in S^1$ . The other component  $(\alpha_{\lambda})_p$  is just the horizontal lift of  $df_{\lambda}$ , up to isometries of P, and from (10) we obtain

$$(\alpha_{\lambda})_{p} = \alpha_{p} \circ R_{\lambda} = \lambda^{-1} \alpha'_{p} + \lambda \alpha''_{p}. \tag{23}$$

A smooth map  $\mathcal{F}: M_o \to \Lambda$  with

$$\mathcal{F}^{-1} \, \mathrm{d}\mathcal{F} = \alpha_k + \lambda^{-1} \alpha_p' + \lambda \, \alpha_p'' \tag{24}$$

for some  $\alpha \in \alpha^1(M, \mathfrak{g})$  will be called an *extended framing*.

THEOREM 4. Let M be a simply connected complex manifold. A smooth map  $f: M \to G/K$  is pluriharmonic if and only if there is locally an extended framing  $\mathcal{F}$  such that  $f = \pi \circ \mathcal{F}(1)$  where  $\pi: G \to G/K$  is the canonical projection. The map  $\tilde{\mathcal{F}} = \pi \circ \mathcal{F}: M \to \Lambda/K$  is globally defined and uniquely determined by f.

*Proof.* It only remains to show that  $f = \pi \circ \mathcal{F}(1)$  is pluriharmonic if  $\mathcal{F}: M \to \Lambda$  satisfies (24). This follows from Theorem 2: Putting  $F_{\lambda} = \mathcal{F}(\lambda)$  and  $f_{\lambda} = \pi \circ F_{\lambda}$ , we obtain

$$df_{\lambda} = d\pi.dF_{\lambda} = F_{\lambda}.d\pi.(F_{\lambda}^{-1} dF_{\lambda}) = F_{\lambda}.d\pi.(\alpha_{k} + \alpha_{p} \circ R_{\lambda}) = F_{\lambda}.(\alpha_{p} \circ R_{\lambda}).$$

In particular we have  $\mathrm{d} f_1 = F_1 \, \alpha_p$  and hence  $\mathrm{d} f_\lambda = \tilde{\Phi}_\lambda \, \mathrm{d} f_1 \circ R_\lambda$  with  $\tilde{\Phi}_\lambda = F_\lambda F_1^{-1}$ . From (24) and the previous lemma we see that  $\tilde{\Phi}_\lambda$  is parallel which finishes the proof.

# 5. Holomorphic Framings

Let us assume further that  $G \subset GL(\mathbb{R}^N)$  can be complexified in the following sense: We complexify the Lie algebra  $\mathfrak{g} \subset \mathbb{R}^{N \times N}$  by putting  $\mathfrak{g}^c = \mathfrak{g} \oplus i\mathfrak{g} \subset \mathbb{C}^{N \times N}$  and we assume that  $\mathfrak{g}^c$  is the Lie algebra of a closed subgroup  $G^c \subset GL(\mathbb{C}^N)$  such that  $G = \{g \in G^c; \overline{g} = g\}$ .\* Moreover, we require that the Cartan involution  $\sigma$  on G extends holomorphically to  $G^c$  and commutes with the complex conjugation. Now we consider the complex extension of the twisted loop group

$$\Lambda^c := \Lambda_\sigma G^c = \{ \gamma \colon S^1 \to G^c \}. \tag{25}$$

Any  $\gamma \in \Lambda^c$  can be written as a matrix Fourier series

$$\gamma(\lambda) = \sum_{j=-\infty}^{\infty} a_j \lambda^j. \tag{26}$$

<sup>\*</sup> Obviously, this holds if G is the zero set of a polynomial on  $\mathbb{R}^{N \times N}$  which is true for all classical groups and for all adjoint representations of semisimple groups; note that the automorphism group of a real Lie algebra is defined by such polynomials.

Let  $\Sigma$  denote the space of all such Fourier series (26) and consider the subspaces

$$\Sigma^+ = \left\{ \sum_{j \geq 0} a_j \lambda^j 
ight\}, \quad \Sigma^- = \left\{ \sum_{j < 0} a_j \lambda^j 
ight\}.$$

We define the subgroups'

$$\Lambda^{+} = \{ \gamma \in \Lambda^{c}; \ \gamma, \gamma^{-1} \in \Sigma^{+} \},$$

$$\Lambda^{-} = \{ \gamma \in \Lambda^{c}; \ \gamma, \gamma^{-1} \in I + \Sigma^{-} \}.$$
(27)

LEMMA 2 (cf. [8, 17]). If  $\mathcal{F}: M_o \to \Lambda$  is an extended framing, there exists some  $\mathcal{V}_+: M_o \to \Lambda^+$  such that  $\mathcal{H} = \mathcal{F}\mathcal{V}_+: M_o \to \Lambda^c$  is holomorphic on  $M_o$ . In other words,  $\mathcal{F}$  is holomorphic modulo  $\Lambda^+$ .

*Proof.* Consider  $\alpha = \mathcal{F}^{-1} d\mathcal{F}$  and

$$\eta = \mathcal{H}^{-1} \, d\mathcal{H} = \mathcal{V}_{+} \alpha \, \mathcal{V}_{\perp}^{-1} + \mathcal{V}_{\perp}^{-1} \, d\mathcal{V}_{+}. \tag{28}$$

To establish the holomorphicity of  $\mathcal{H}$  we need to find  $\mathcal{V}_+$  such that the antilinear part  $\eta''$  of  $\eta$  vanishes. But  $\eta'' = \mathcal{V}_+^{-1}(\alpha''\mathcal{V}_+ + d''\mathcal{V}_+)$  vanishes if and only if

$$d''\mathcal{V}_{+} = -\alpha''\mathcal{V}_{+}.\tag{29}$$

Since  $\alpha'' = \mathcal{F}^{-1}d''\mathcal{F}$ , the integrability condition for (29) is satisfied. Moreover,  $\alpha'' = \alpha''_k + \lambda \alpha''_p$  takes values in the Lie algebra of  $\Lambda^+$ , hence we can find a solution  $\mathcal{V}_+$  with values in  $\Lambda^+$ .

*Remark.* From (28) we see that the Fourier series of  $\eta$  like that of  $\alpha$  starts with  $\lambda^{-1}$ :

$$\eta = \sum_{j>-1} \eta_j \lambda^j. \tag{30}$$

The choice of  $\mathcal{H}$  is not unique; in fact, each solution (29) takes precisely the form  $\tilde{V}_+ = V_+ W_+$  for some holomorphic map  $W_+: M_o \to \Lambda^+$ . In the next section we will use this freedom to choose a very special  $\eta$  where all but the first coefficient  $\eta_{-1}$  vanish.

Now consider the group  $\hat{K} = \text{Fix}(\sigma) = \{g \in G; \ \sigma(g) = g\}$  which contains the isotropy group K as a normal subgroup of finite index (cf. Section 1). Let  $\Gamma = \hat{K}/K$ .

LEMMA 3. The inclusion  $\Lambda \subset \Lambda^c$  induces an equivariant isomorphism of the coset spaces  $\Lambda/\hat{K} \xrightarrow{\cong} \Lambda^c/\Lambda^+$ . Consequently, Z is a finite covering of  $\Lambda^c/\Lambda^+$ :

$$\bar{\mathcal{Z}} := \Lambda^c / \Lambda^+ \cong \mathcal{Z} / \Gamma. \tag{31}$$

<sup>\*</sup> Without using coordinates,  $\Lambda^+$  contains all  $\gamma \colon S^1 \to G^c$  which are the boundary values of a holomorphic map  $\hat{\gamma} \colon D \to G^c$ , where  $D \subset \mathbb{C}$  denotes the open unit disk, while  $\gamma \in \Lambda^-$  gives the boundary values of a holomorphic map  $\hat{\gamma} \colon \hat{\mathbb{C}} \setminus \bar{D} \to G^c$  with  $\gamma \in \mathbb{C} = \mathbb{C} \cup \{\infty\}$ .

*Proof.* We show first that  $\Lambda \subset \Lambda^c$  acts transitively on the coset space  $\Lambda^c/\Lambda^+$ . This is the so called *Iwasawa decomposition* (cf. [8, 16, 21]): Any  $\gamma^c \in \Lambda^c$  can be decomposed as  $\gamma^c = \gamma \gamma^+$  with  $\gamma \in \Lambda$  and  $\gamma^+ \in \Lambda^+$ . Thus

$$\Lambda^c/\Lambda^+ = \Lambda/(\Lambda^+ \cap \Lambda).$$

But  $\Lambda \cap \Lambda^+$  contains only the constant loops since  $\overline{\sum_j a_j \lambda^j} = \sum_j \overline{a_j} \lambda^{-j}$ . Since the constant loops in  $\Lambda = \Lambda_{\sigma}(G)$  are fixed by  $\sigma$  they belong to  $\hat{K}$ .

Let L,  $L^c$ ,  $L^+$ ,  $L^-$  be the Lie algebras of the loop groups  $\Lambda$ ,  $\Lambda^c$ ,  $\Lambda^+$ ,  $\Lambda^-$ , respectively. The tangent space  $\mathfrak{z} = L^c/L^+ = (L^- \oplus L^+)/L^+$  of  $\mathbb Z$  at the base point  $e\Lambda^+$  can be identified with the Lie algebra  $L^-$  and has a filtration by finite-dimensional subspaces  $\mathfrak{z}_1 \subset \mathfrak{z}_2 \subset \cdots \subset \mathfrak{z}$  with

$$\mathfrak{z}_r = \left\{ \sum_{j=1}^r a_{-j} \lambda^{-j} \in L^c \right\}. \tag{32}$$

Viewed as subspaces of  $\mathfrak{z}=L^c/L^+$ , the  $\mathfrak{z}_r$  are invariant under  $\mathrm{Ad}(\Lambda^+)$  and hence they determine distributions  $\hat{\mathfrak{z}}_r$  on  $\bar{\mathcal{Z}}$  and on  $\mathcal{Z}$ . The first of these spaces,  $\mathfrak{z}_1$ , generates all the others under the Lie product; it is called the *superhorizontal subspace*. A mapping into  $\mathcal{Z}$  is called *superhorizontal* if its differential takes values in  $\hat{\mathfrak{z}}_1$ .

THEOREM 5. Let M be simply connected. The pluriharmonic maps  $f: M \to P = G/K$  are in 1:1 correspondence to the superhorizontal and holomorphic maps  $\tilde{\mathcal{F}}: M \to \mathcal{Z} = \Lambda/K$ , and we have  $f = ev_1 \circ \tilde{\mathcal{F}}$  where  $ev_1: \Lambda/K \to G/K$ ,  $\gamma K \mapsto \gamma(1)K$  is the evaluation map at  $\lambda = 1$ .

*Proof.* If a pluriharmonic map  $f\colon M\to P$  is given and  $\mathcal{F}\colon M_o\to \Lambda$  is an extended frame, then  $\bar{\mathcal{F}}=\pi\circ\mathcal{F}\colon M\to \mathcal{Z}$  has the desired properties by the preceding lemmas and (24). It remains to show that  $f=ev_1\circ\bar{\mathcal{F}}$  is pluriharmonic provided that  $\bar{\mathcal{F}}\colon M\to \mathcal{Z}$  is holomorphic and superhorizontal. Let  $\mathcal{F}\colon M\to \Lambda$  locally be a lift of  $\bar{\mathcal{F}}$  with respect to  $\pi\colon \Lambda\to \Lambda/K=\mathcal{Z}$ . Since  $\bar{\mathcal{F}}$  is superhorizontal,  $\mathrm{d}\pi(\mathcal{F}^{-1}\,\mathrm{d}\mathcal{F})$  takes values in  $\mathfrak{z}_1$ , in other words,  $\tilde{\omega}=\mathcal{F}^{-1}\,\mathrm{d}\mathcal{F}$  takes values in  $\mathfrak{z}_1+L^+$  which shows that  $\alpha=\sum_{j\geq -1}\alpha_j\lambda^j$ . But since  $\alpha$  is real, i.e.  $\overline{\alpha}=\alpha$ , all  $\alpha_j$  with  $j\geq 2$  vanish and we obtain

$$\alpha = \alpha_{-1}\lambda^{-1} + \alpha_0 + \alpha_1\lambda. \tag{33}$$

From the twisting condition  $\sigma\alpha(-\lambda) = \alpha(\lambda)$  we see that  $\alpha_j \in \mathfrak{k}^c$  for even j and  $\alpha_j \in \mathfrak{p}^c$  for odd j which shows that  $\alpha_0 \in \mathfrak{k}$  and  $\alpha_{\pm 1} \in \mathfrak{p}^c$ . On the other hand, from the holomorphicity of  $\bar{\mathcal{F}}$  we obtain  $\alpha'' \equiv 0 \mod L^+$ , hence

$$\alpha'' = \alpha_0'' + \alpha_1''\lambda + \cdots. \tag{34}$$

Comparing the last two equations we infer that  $\alpha''_{-1} = 0$  and hence by reality we have  $\alpha'_{1} = 0$ . This implies that  $\alpha$  is of the form (24),

$$\alpha = \lambda^{-1} \alpha_p' + \alpha_k + \lambda \, \alpha_p'' \tag{35}$$

which finishes the proof.

*Remarks.* (1) We may as well replace  $Z = \Lambda/K$  by  $\bar{Z} = \Lambda/\hat{K} = \Lambda^c/\Lambda^+$  since Z is a covering of  $\bar{Z}$  and M is simply connected: Maps from M into Z can be projected to  $\bar{Z}$ , and those into  $\bar{Z}$  can be lifted to Z, and holomorphicity and superhorizontality are preserved.

(2) Theorem 5 shows that the rôle of Z is very similar to that of the *twistor spaces* for the special case of isotropic (pluri)harmonic maps (cf. [5] and Section 8 below). Therefore, Z will be called *general twistor space*\* and  $\tilde{\mathcal{F}}$  the *general twistor lift* of f.

#### 6. The Normalized Potential

The last theorem has shown that any pluriharmonic map  $f\colon M\to P=G/K$  can be obtained from holomorphic data, the map  $\bar{\mathcal{F}}\colon M\to \mathcal{Z}$ . Though the target space  $\mathcal{Z}$  is infinite dimensional, the differential  $d\bar{\mathcal{F}}$  takes values in the pull back of the finite-dimensional superhorizontal subbundle. In the present section we will replace this bundle-valued (1,0)-form by a vector valued but meromorphic one, called  $\eta_{-1}$ , which still determines f. For harmonic maps on surfaces this construction was carried out in [8], reducing harmonic maps of a surface into a symmetric space to certain meromorphic differentials on the surface. A similar construction was known since long time for minimal surfaces in Euclidean space, the Weierstrass representation, and therefore the representation of f in terms of f in terms

In fact, let  $\mathcal{F}: M_o \to \Lambda$  be an extended framing of f. In Section 5 we constructed a holomorphic framing  $\mathcal{H}: M_o \to \Lambda^c$  with  $\mathcal{H} = \mathcal{F}\mathcal{V}_+$  for some  $\mathcal{V}_+: M_o \to \Lambda_+$ . At all points  $z \in M_o$  where  $\mathcal{H}(z)$  is not too far from the unit element we may split  $\mathcal{H}$  uniquely as

$$\mathcal{H} = \mathcal{H}_{-}\mathcal{H}_{+},\tag{36}$$

where  $\mathcal{H}_{\pm}$  takes values in  $\Lambda^{\pm}$  (*Birkhoff decomposition*) In fact as in [8] it follows that  $\mathcal{H}_{\pm}$  are meromorphic on all of  $M_o$ . Accordingly both factors  $\mathcal{H}_{\pm}$  are meromorphic (i.e. all coefficients of the Fourier series (26) of  $\mathcal{H}$  depend meromorphically on  $z \in M_o$ ). Now we claim

$$\mathcal{H}_{-} = \mathcal{F}_{-},\tag{37}$$

where  $\mathcal{F} = \mathcal{F}_{-}\mathcal{F}_{+}$  is the Birkhoff decomposition of  $\mathcal{F}$ . In fact,

$$\mathcal{F}_{-}\mathcal{F}_{+}=\mathcal{F}=\mathcal{H}\,\mathcal{V}_{+}^{-1}=\mathcal{H}_{-}\mathcal{H}_{+}\mathcal{V}_{+}^{-1},$$

<sup>\*</sup> Like the finite-dimensional twistor spaces,  $\mathcal Z$  can be viewed formally as an adjoint orbit, using the embedding  $\varphi \colon \mathcal Z \to L$ ,  $\varphi(\gamma K) = \gamma' \gamma^{-1}$ . For trivial K this embedding was discussed in [21, ch. 8.9]. In fact, since  $\phi(g\gamma K) = g\phi(\gamma K)g^{-1} + g'g^{-1}$  for any  $g \in \Lambda$ , the image  $\varphi(\mathcal Z)$  is an adjoint orbit of the affine Kac–Moody group extending  $\Lambda$ .

and by the uniqueness of the Birkhoff decomposition we obtain  $\mathcal{F}_{-} = \mathcal{H}_{-}$ .

Hence we may use  $\mathcal{F}_-$  in place of  $\mathcal{H}$  as a holomorphic framing in the sense of Lemma 2 (with  $\mathcal{V}_+ = \mathcal{F}_+^{-1}$ ); we will call it the *normalized framing*. Note that  $\mathcal{F}_-$  is independent of the chosen extended framing  $\mathcal{F}$  since any other extended framing is of the form  $\mathcal{F}' = \mathcal{F} k$  for some mapping  $k: M_o \to K$ . Since  $K \subset \Lambda^+$ , the  $(\Lambda^-)$ -components of  $\mathcal{F}$  and  $\mathcal{F}'$  with respect to the Birkhoff decomposition agree. Hence  $\mathcal{F}_-$  is a meromorphic mapping defined globally on M.

From the remark subsequent to Lemma 2 we see that the Fourier series of  $\eta_- := \mathcal{F}_-^{-1} d\mathcal{F}_-$  starts with  $\eta_{-1}\lambda^{-1}$ , but on the other hand it takes values in  $L^-$ , hence there are no other terms. Thus

$$\eta_{-} = \mathcal{F}_{-}^{-1} \, \mathrm{d}\mathcal{F}_{-} = \eta_{-1} \lambda^{-1} \tag{38}$$

for some meromorphic  $\eta_{-1} \in \Omega^{(1,0)}(M_o, \mathfrak{g}^c)$ . From the integrability condition  $d\eta_- = -\eta_- \wedge \eta_-$  which follows from (38) we obtain by comparing coefficients for the powers of  $\lambda$ :

$$d\eta_{-1} = 0, (39)$$

$$\eta_- \wedge \eta_- = 0. \tag{40}$$

THEOREM 6. The pluriharmonic maps  $f: M \to P = G/K$  are locally in 1:1 correspondence with meromorphic (1,0)-forms  $\eta_{-1} \in \Omega^{(1,0)}(M,\mathfrak{p}^c)$  satisfying (39) and (40).

*Proof.* It remains to construct a pluriharmonic map  $f\colon M_o\to P$  from a given holomorphic  $\eta_{-1}\in\Omega^{(1,0)}(M_o,\mathfrak{g}^c)$ . We put  $\eta_-=\eta_{-1}\lambda^{-1}$ . This is a holomorphic (1,0)-form with values in  $\Lambda^-$  which is integrable by (39) und (40). Thus there exists a holomorphic map  $F_-\colon M_o\to\Lambda^-\subset\Lambda^c$ , This descends to a holomorphic superhorizontal map  $\bar{\mathcal{F}}=\pi\circ\mathcal{F}_-\colon M_o\to\Lambda^c/\Lambda^+=\mathcal{Z}$ , and by Theorem 5,  $f=ev_1\circ\bar{\mathcal{F}}$  is pluriharmonic. More precisely, we can get a (real) extended framing  $\mathcal{F}$  of f by the Iwasawa decomposition of  $\mathcal{F}_-$ :

$$\mathcal{F}_{-} = \mathcal{F} \mathcal{V}_{+} \tag{41}$$

with 
$$\mathcal{F}(z) \in \Lambda$$
 and  $V_+(z) \in \Lambda^+$  for all  $z \in M_o$  (in fact  $\mathcal{V}_+ = \mathcal{F}_+^{-1}$ ).

These computations can be carried out explicitly in the case of *finite uniton number*. A pluriharmonic map  $f \colon M \to G/K$  is called *of finite uniton number* if the corresponding extended frames  $\mathcal{F}$  take values in the *algebraic loop group*  $\Lambda^{\text{alg}} \subset \Lambda$  which consists of those loops  $\gamma \in \Lambda$  such that  $\gamma$  and  $\gamma^{-1}$  are *finite* Laurent series.\* The finite uniton number property holds if and only if  $\eta_-$  takes values in some nilpotent subalgebra of  $\mathfrak{g}^c$ , cf. [4, 14]. We will discuss a subcase in Section 8.

<sup>\*</sup> This holds iff  $\gamma$  extends to an algebraic map  $\hat{\gamma} \colon \mathbb{C}^* \to G^c$ .

#### 7. The Dressing Action

For a simply connected complex manifold M the pluriharmonic maps  $f \colon M \to G/K$  correspond bijectively to the superhorizontal holomorphic maps  $\bar{\mathcal{F}} \colon M \to \bar{\mathcal{Z}} = \Lambda^c/\Lambda^+$  (cf. Section 5). Moreover we have seen that the action of  $\Lambda^c$  on  $\hat{\mathcal{Z}} = \Lambda^c/\Lambda^+$  by left translations is holomorphic and preserves the superhorizontal distribution  $\hat{\mathfrak{J}}_1$ . Thus for every  $\gamma \in \Lambda^c$  and any superhorizontal holomorphic map  $\bar{\mathcal{F}} \colon M \to \bar{\mathcal{Z}}$  we get another such map  $\gamma \bar{\mathcal{F}} \colon M \to \bar{\mathcal{Z}}$  with  $(\gamma \bar{\mathcal{F}})(z) = \gamma \bar{\mathcal{F}}(z)$  for all  $z \in M$ . By Theorem 5 this induces an action of  $\Lambda^c$  on the set of pluriharmonic maps  $f \colon M \to G/K$  called the *dressing action*:

$$\gamma * f := ev_1(\gamma \bar{\mathcal{F}}).$$

Note that the dressing action of the *real* loop group  $\Lambda$  does not give anything new: For  $\gamma \in \Lambda$  we have  $\gamma * f = \gamma_1 F \mod K = \gamma_1 f$  which is congruent to f since  $\gamma_1 \in G$ . Thus due to the Iwasawa splitting  $\Lambda^c = \Lambda \Lambda^+$  the dressing action essentially can be restricted to  $\Lambda^+$ .

THEOREM 7. For any pluriharmonic map  $f: M \to G/K$  and any  $\gamma \in \Lambda^c$  there is another pluriharmonic map  $f' = \gamma * f: M \to G/K$  such that the corresponding general twistor lifts satisfy  $\widetilde{\mathcal{F}}' = \gamma \widetilde{\mathcal{F}}$ . The normalized holomorphic framing  $\mathcal{F}'_-$  is the  $(\Lambda_-)$ -part of  $\gamma \mathcal{F}_-$  with respect to the Birkhoff decomposition, in other words there is a map  $\mathcal{V}_+ = V_0 + V_1 \lambda + V_2 \lambda^2 + \cdots : M \to \Lambda^+$  with

$$\mathcal{F}_{-}^{\prime}\mathcal{V}_{+} = \gamma \mathcal{F}_{-}.\tag{42}$$

For the normalized potentials  $\eta_{-}$  and  $\eta'_{-}$  we obtain

$$\eta' = \operatorname{Ad}(V_0)\eta_- \tag{43}$$

where  $V_0 = \mathcal{V}|_{\lambda=0}$  takes values in  $\hat{K}^c = \text{Fix}^c(\sigma)$ .

*Proof.* Equation (42) follows since  $\mathcal{F}'_- \equiv \mathcal{F}' \equiv \gamma \mathcal{F} \equiv \gamma \mathcal{F}_- \mod \Lambda_+$ . Further, from  $F'_- = \gamma \mathcal{F}_- \mathcal{V}_+^{-1}$  we obtain  $\eta'_- = \mathcal{V}_+ \eta_- \mathcal{V}_+^{-1} - d\mathcal{V}_+ \mathcal{V}_+^{-1}$ . We have  $\eta_- = \eta_{-1}\lambda^{-1}$  and  $\mathcal{V}^{-1} = V_o^{-1} + W_1\lambda + W_2\lambda^2 + \cdots$  and hence  $\eta' \equiv V_0\eta V_o^{-1} \mod L^+$ . But both sides have no component in  $L^+$  whence we obtain (43). Moreover,  $V_0$  takes values in  $\hat{K}^c$  since  $\sigma V_0 = V_0$  by the twisting condition (14).

#### 8. The Isotropic Case

A pluriharmonic map  $f: M \to P = G/K$  is called *isotropic* if its associated family is trivial,  $f_{\lambda} = f$  up to congruence for all  $\lambda \in S^1$ , i.e.  $f_{\lambda} = g_{\lambda}f$  for some  $g_{\lambda} \in G$  independent of z. This is the realm of the 'classical' twistor theory (cf. [5, 11]) saying that such maps arise as projections from holomorphic superhorizontal maps into certain flag manifolds fibering over G/K. One of the most spectacular applications was Bryant's explicit formula for all minimal 2-spheres in the 4-sphere

using the twistor fibration  $\mathbb{C}P^3 \to S^4$ , see [1]. We will show that twistor theory is generalized by our theory in Section 5.

Let us briefly recall the classical twistor theory as represented in [11]. We will always assume that f is full, i.e. it does not take values in a proper totally geodesic subspace of P. From (10) we obtain a family of parallel maps  $\Phi_{\lambda}$  with

$$df = \Phi_{\lambda} \circ df \circ R_{\lambda} \tag{44}$$

but this time each  $\Phi_{\lambda}(z)$  is an automorphism of  $T_{f(z)}P$ , in other words,  $\Phi_{\lambda}(z)$  lies in the isotropy subgroup of the point  $f(z) \in P$  which is F(z)K  $F(z)^{-1}$  (where  $F: M_o \to G$  is a frame along f). From (44) and  $R_{\lambda}R_{\mu} = R_{\lambda\mu}$  and  $R_{-1} = -I$  we see

$$\Phi_{\lambda}\Phi_{\mu} = \Phi_{\lambda\mu}, \quad \Phi_{-1} = -I, \tag{45}$$

i.e. the map  $\Phi(z)$ :  $\lambda \mapsto \Phi_{\lambda}(z)$ :  $S^1 \to G$  is an homomorphism with  $\Phi_{-1}(z) = s_{f(z)}$ . Moreover, by the parallelity of  $\Phi_{\lambda}$  the value set  $\{\Phi_{\lambda}(z); z \in M\} \subset G$  is contained in a conjugacy class for every  $\lambda \in S^1$ ; recall that parallel translations on P are restrictions of isometries, i.e. elements of G. Thus there is a circle subgroup  $q = (q_{\lambda})$ :  $S^1 \to G$  with

$$q_{-1} = s_o,$$
 (46)

such that  $\Phi: z \mapsto \Phi(z)$  takes values in the conjugacy class of q, more precisely

$$\Phi_{\lambda} = F q_{\lambda} F^{-1}. \tag{47}$$

The conjugacy class of the circle q is the twistor space  $Z_q = G/C_q$  where

$$C_q = \{ g \in G; \ gq_{\lambda}g^{-1} = q_{\lambda} \ \forall_{\lambda} \}. \tag{48}$$

This is a complex manifold: If we let  $G_+, G_- \subset G^c$  be the Lie subgroups corresponding to the Lie subalgebras

$$\mathfrak{g}_{+} = \sum_{j \ge 0} \mathfrak{g}_{j}, \quad \mathfrak{g}_{-} = \sum_{j < 0} \mathfrak{g}_{j}, \tag{49}$$

where  $\mathfrak{g}^c = \sum_j \mathfrak{g}_j$  denotes the eigenspace decomposition of  $\mathrm{Ad}(q_\lambda)$ :

$$\mathfrak{g}_j = \ker(\operatorname{Ad}(q_\lambda) - \lambda^{-j}I) \subset \mathfrak{g}^c.$$
 (50)

Then we have

$$Z_q = G/C_q = G^c/G_+, (51)$$

and  $G^c/G_+$  is a coset space of a complex Lie subgroup and hence a complex manifold.

From (46) we see that  $C_q$  is contained in  $\hat{K} = \text{Fix}(\sigma)$  and hence in K since the centralizer of any torus is connected (cf. [15]). Therefore the map  $\pi: gqg^{-1} \cong$ 

 $gC_q \mapsto gK \colon Z_q \to G/K$  is a well defined fibration. Hence we have  $f = \pi \circ \Phi$  where  $\Phi \colon M \to Z_q$  is called the *twistor lift*. It follows from (44) and the parallelity of  $\Phi_{\lambda}$  (cf. [11]) that this map is holomorphic and *superhorizontal*, i.e. its differential takes values in the *superhorizontal subbundle* of  $TZ_q$  obtained from  $\mathfrak{g}_1^r := (\mathfrak{g}_1 + \mathfrak{g}_{-1}) \cap \mathfrak{g}$  by left translations. From (44) and the fullness of f it follows that  $\mathfrak{g}_1^r$  generates  $\mathfrak{g}$  as a Lie algebra (cf. [11]) and hence the circle f is *canonical* in the sense of [5]. Thus all isotropic pluriharmonic maps  $f \colon M \to G/K$  arise as projections of superhorizontal holomorphic maps into a twistor space  $Z_q$  for some canonical circle  $f \in K$ .

We want to derive these statements within our general twistor theory. There we have chosen our extended frame  $\mathcal{F} = (F_{\lambda})$  as follows (cf. (12):

$$F_{\lambda} = g_{\lambda} \Phi_{\lambda} F = g_{\lambda} F k_{\lambda} \tag{52}$$

with  $k_{\lambda}(z) = F^{-1}\Phi_{\lambda}F \in K$ . Now this is a homomorphism with respect to  $\lambda$  which is conjugate to  $q_{\lambda}$  for all z,

$$k_{\lambda}(z) = k(z)q_{\lambda}k(z)^{-1}.$$
 (53)

Hence  $F_{\lambda}=g_{\lambda}F\,k\,q_{\lambda}k^{-1}$  or equivalently  $F_{\lambda}k=g_{\lambda}Fk\,q_{\lambda}$ . Using the gauge freedom, we may replace the framing F by Fk which we now call F. In the new notation we have  $F_{\lambda}=g_{\lambda}F\,q_{\lambda}$ . Since we require also the normalization  $F(z_{o})=e$ , we obtain  $g_{\lambda}=q_{\lambda}^{-1}$  and hence

$$F_{\lambda} = q_{\lambda}^{-1} F \, q_{\lambda}. \tag{54}$$

This equation has been observed in the surface case, cf. [7], [14]. Since q is a circle subgroup, there are only finitely many powers of  $\lambda$  in the matrix  $q_{\lambda}$  and hence the isotropic case belongs to the case of *finite uniton number* (cf. Section 6). Moreover we see from (54) that  $\mathcal{F} = (F_{\lambda})$  takes values in a finite-dimensional subgroup  $\Lambda^q \cong G$  of  $\Lambda$ :

$$\Lambda^q = \{ q^{-1} g q; \ g \in G \} \subset \Lambda, \tag{55}$$

where  $q^{-1}gq(\lambda) := q_{\lambda}^{-1}gq_{\lambda}$ . Such a curve  $q^{-1}gq$  is constant if g lies in the centralizer  $C_q$  of the circle group q. Thus the projection  $\pi \colon \Lambda \to \mathcal{Z} = \Lambda/K$  maps  $\Lambda^q$  onto  $\Lambda^q/C_q$  which is isomorphic to the twistor space  $Z_q = G/C_q$ . More precisely, we have the embedding

$$j: G/C_q \to \mathcal{Z} = \Lambda/K, \quad gC_q \mapsto q_\lambda^{-1} g \, q_\lambda K,$$
 (56)

which is (1) holomorphic, (2) equivariant under G via the embedding  $G \to \Lambda^q \subset \Lambda$ ,  $g \mapsto q_{\lambda}^{-1} g q_{\lambda}$ , (3) sends the superhorizontal distribution of  $Z_q$  into that of Z and (4) satisfies

$$ev_1 \circ j = \pi \colon G/C_q \to G/K \colon gC_q \mapsto gK.$$
 (57)

THEOREM 8. Let  $f: M \to G/K$  be a pluriharmonic map. Then the following properties are equivalent:

- (1) f is isotropic,
- (2)  $\bar{\mathcal{F}}$  takes values in a finite-dimensional twistor space  $j(Z_q) \subset \mathcal{Z}$ ,
- (3) The normalized potential  $\eta_{-1}$  takes values in  $\mathfrak{g}_{-1} = \ker(\operatorname{Ad}(q_{\lambda}) \lambda I)$  for some canonical circle subgroup  $q: S^1 \to G$ .

*Proof.* We have already seen  $(1) \Rightarrow (2)$ . Next assume (2). Let  $\mathcal{F} = (F_{\lambda})$ :  $M_o \to \Lambda$  be a local lift of  $\bar{\mathcal{F}}$ . Then  $F_{\lambda} = q_{\lambda}^{-1} F q_{\lambda} k$  for some  $k \colon M_o \to K$  where  $F = F_1$ . Putting  $\lambda = 1$  we obtain k = e and  $F_{\lambda} = q_{\lambda}^{-1} F q_{\lambda}$ . Now the Birkhoff decomposition restricts to the finite-dimensional Bruhat splitting  $G^c = G_- G_+$  corresponding to q (cf. (49)). In fact, if  $F = F_- F_+$  is the Bruhat decomposition of F (for F(z) close enough to e), both factors are invariant under conjugation with  $q_{\lambda}^{-1}$  and  $\mathcal{F}_{\pm} = \mathrm{Ad}(q_{\lambda}^{-1})F_{\pm}$ . Thus  $\eta_- = \mathrm{Ad}(q^{-1})\alpha_-$  where  $\alpha_- = F_-^{-1} \, \mathrm{d} F_-$ . But on the other hand  $\eta_- = \lambda^{-1} \eta_{-1}$  and hence  $\alpha_-$  takes values in  $\mathfrak{g}_{-1} \subset \mathfrak{g}_-$  (where  $\mathrm{Ad}(q_{-1}) = \lambda^{-1}$ ) and  $\eta_{-1} = \alpha_-$ .

Now let us assume (3). Then  $\eta_- = \lambda^{-1}\eta_{-1} = \operatorname{Ad}(q_\lambda^{-1})\eta_{-1}$  and  $\mathcal{F}_- = \operatorname{Ad}(q_\lambda^{-1})F_-$  where  $F_-$  takes values in  $G_-$  with  $\mathrm{d}F_- = F_-\eta_{-1}$ . From the finite-dimensional Iwasawa decomposition  $G^c = GG_+$  we obtain  $F_- = FF_+$  with  $F \in G$  and  $F_+ \in G_+$ . Applying  $\operatorname{Ad}(q_\lambda^{-1})$  and comparing with the infinite-dimensional Iwasawa decomposition  $\mathcal{F}_- = \mathcal{F}\mathcal{F}_+$  we see that  $\mathcal{F}_\pm = q_\lambda^{-1}F_\pm q_\lambda$  and  $\mathcal{F} = q_\lambda^{-1}Fq_\lambda$ . Since  $q_\lambda \in K$  for all  $\lambda$ , the last equality  $F_\lambda = q_\lambda^{-1}Fq_\lambda$  projects onto  $f_\lambda = q_\lambda^{-1}f$  and thus  $f_\lambda$  equals f up to the rigid motion  $q_\lambda$ . Hence f is isotropic.  $\square$ 

EXAMPLE 1 ([2, 9]). Let  $P = G_p(\mathbb{C}^n) = U(n)/(U(p) \times U(q))$  with p+q=n be the Grassmannian of complex p-dimensional subspaces of  $\mathbb{C}^n$ . Then the possible twistor spaces over P (cf. ([5], [3]) are certain (classical) flag manifolds. Recall that a flag over  $\mathbb{C}^n$  can be viewed either as a chain of subspaces  $W_1 \subset W_2 \subset \cdots \subset W_r = \mathbb{C}^n$  or as an orthogonal decomposition  $\mathbb{C}^n = E_1 \oplus \cdots \oplus E_r$  where  $W_1 = E_1$  and  $W_{j+1} = W_j \oplus E_{j+1}$ . Let Z be the space of all flags of a certain type (determined by the dimensions  $d_j = \dim E_j$ ). Then there is a projection  $\pi \colon Z \to P = G_p(\mathbb{C}^n)$  with  $P = \sum_{j \text{ odd}} d_j$  sending the flag  $(E_1, \ldots, E_r)$  onto the subspace  $\sum_{j \text{ odd}} E_j$ . This is a twistor fibration with G = U(n),  $G^c = GL(n, \mathbb{C})$ , and the canonical circle  $q_k$  is given by the matrix

$$q_{\lambda} = \operatorname{diag}(\lambda I_{d_1}, \lambda^2 I_{d_2}, \dots, \lambda^r I_{d_r}). \tag{58}$$

The eigenspaces  $\mathfrak{g}_k$  for  $q_\lambda$  consist of block matrices (blocks of size  $d_1, \ldots, d_r$ ) where only the k-the block diagonal is nonzero.

A map  $f: M \to Z$  is a chain of vector bundles (a 'moving flag')  $z \mapsto (W_j(z))_{j=1}^r$  over M; it is holomorphic iff the bundles  $W_j$  are spanned locally by holomorphic sections, i.e. there is a holomorphic map  $H = (h_1, \ldots, h_n) \colon M_o \to GL(n, \mathbb{C}) = U(n)^c$  such that  $W_j = \operatorname{Span}(h_1, \ldots, h_{n_j})$  where  $n_j = \dim W_j$ .

Moreover, f is superhorizontal if  $W_j$  differentiates into  $W_{j+1}$ , i.e.  $\mathrm{d} w_j$  takes values in  $W_{j+1}$  for any section  $w_j$  of  $W_j$ . Thus the moving flag  $W_1 \subset W_2 \subset \cdots$  is obtained as follows. We start with  $n_1 = d_1$  arbitrary holomorphic functions  $h_1, \ldots, h_{d_1} \colon M_o \to \mathbb{C}^n$  in 'general position', i.e. they are pointwise linear independent and all their partial derivatives are linearly independent up to the order when the full dimension n is exhausted. Then we let  $W_1(z)$  be the linear span of the values of the functions  $h_i$  (for  $i=1,\ldots,d_1$ ) at  $z\in M_o$  and  $W_2$  the span of the  $h_i$  and their first partial derivatives while  $W_3$  is spanned by  $h_i$  and their first and second partial derivatives etc. Thus we obtain all holomorphic superhorizontal maps into Z and hence (by composing with the projection  $\pi\colon Z\to P$ ) all isotropic pluriharmonic maps  $f\colon M\to P=G_p(\mathbb{C}^n)$ .

How is this elementary description related to our general theory? Let us consider the moving (nonorthogonal) decomposition  $\mathbb{C}^n = V_1 \oplus \cdots \oplus V_r$  where the first space  $V_1$  is spanned at any point z by the values of  $h_1, \ldots, h_{d_1}$ , the second one  $V_2$ only by their first derivatives,  $V_3$  by their second derivatives etc. Denoting  $\mathbb{C}^n$  $\mathbb{E}_1 \oplus \cdots \oplus \mathbb{E}_r$  the canonical decomposition where  $\mathbb{E}_i$  are orthogonal subspaces of dimension  $d_i$  spanned by consecutive parts of the canonical basis  $(e_1, \ldots, e_n)$ , we have  $H(\mathbb{E}_i) \subset V_i$ . Moreover, since  $V_i$  is spanned by (i-1)-th derivatives of the  $h_i$ ,  $i=1,\ldots,d_1$ , the 1-form  $dH|_{\mathbb{E}_i}$  takes values in  $V_{i+1}=H(\mathbb{E}_{i+1})$ . Thus  $\eta = H^{-1} dH$  maps each  $\mathbb{E}_i$  into  $\mathbb{E}_{i+1}$  for  $i = 1, \dots, r-1$ . Only the last space  $\mathbb{E}_r$ can be mapped anywhere. Hence  $\eta$  takes values in  $\mathfrak{g}_{-1} + \mathfrak{g}_{+}$  which consists of those block matrices where only the upper triangular part and the first lower diagonal is nonzero. In order to obtain the normalized potential, according to Chapter 6 we have to decompose  $H = H_-H_+$  where  $H_-$  is strictly lower triangular and  $H_+$ upper triangular (including the diagonal). Then  $\eta_- = H_-^{-1} dH_-$  is the normalized potential taking values in  $\mathfrak{g}_{-1}$ , i.e. it has only block entries on the first lower block diagonal.

# 9. Pluriharmonic Maps into Lie Groups

In this section we specialize to the case where P is a compact Lie group\* G. In some sense, this is the general case: Any symmetric space P = G/K can, up to coverings, be viewed as a connected component of the totally geodesic submanifold

$$\hat{P} = \{ g \in G; \ \sigma(g) = g^{-1} \}, \tag{59}$$

using the Cartan embedding

$$P = G/K \to \hat{P} \subset G, \quad gK \mapsto g\sigma(g^{-1}). \tag{60}$$

Therefore, many authors (based on the fundamental article of Uhlenbeck [22]) restrict their attention to this case. We will see how our discussion specializes.

<sup>\*</sup> What we need is a biinvariant semi-Riemannian metric on G; positive definiteness is not essential. All semisimple Lie groups and many others have this property.

Let G be a Lie group with a biinvariant Riemannian metric. Then  $G \times G$  acts isometrically on G by left and right translations  $L_g$ ,  $R_{g^{-1}}$ , and also the inversion  $\iota: g \mapsto g^{-1}$  is an isometry of G. Thus G is a symmetric space with symmetry  $s_e = \iota$  at the unit element e, and the symmetry  $s_g$  at an arbitrary  $g \in G$  is obtained by conjugating  $s_e$  with  $L_g$  or  $R_g$  which yields  $s_g(x) = gx^{-1}g$ . Representing G as a symmetric coset space we obtain

$$G = \tilde{G}/\tilde{K} := (G \times G)/\Delta, \quad \Delta := \{(g, g); g \in G\}$$

$$(61)$$

with the projection

$$\pi: G \times G \to G, \quad \pi(g,h) = gh^{-1}$$
 (62)

and with the Cartan involution  $\sigma \in \operatorname{Aut}(G \times G)$ ,

$$\sigma(g,h) = (h,g). \tag{63}$$

Now let  $f: M \to G$  be pluriharmonic. Then there is globally a lift  $\tilde{F}: M \to G \times G$  (a framing) given by

$$\tilde{F}(z) = (f(z), e). \tag{64}$$

For the corresponding Maurer-Cartan form we obtain

$$\tilde{\alpha} = \tilde{F}^{-1} d\tilde{F} = (f^{-1}df, 0) =: (\alpha, 0).$$
 (65)

We need to decompose  $\tilde{\alpha} = \tilde{\alpha}_k + \tilde{\alpha}_p$  according to the Cartan decomposition  $\tilde{\mathfrak{g}} = \tilde{\mathfrak{k}} + \tilde{\mathfrak{p}}$  of  $\tilde{\mathfrak{g}} = \mathfrak{g} \oplus \mathfrak{g}$  where  $\tilde{\mathfrak{k}} = \{(A, A); A \in \mathfrak{g}\}$  is the Lie algebra of  $\tilde{K} = \Delta = \operatorname{Fix}(\sigma)$  and  $\tilde{\mathfrak{p}} = \{(A, -A); A \in \mathfrak{g}\}$ . Thus,

$$\tilde{\alpha}_p = \frac{1}{2}(\alpha, -\alpha), \tag{66}$$

$$\tilde{\alpha}_k = \frac{1}{2}(\alpha, \alpha). \tag{67}$$

Introducing the  $\lambda$ -parameter and splitting further into the (1,0) and (0,1) components we obtain

$$\tilde{\alpha}_{\lambda} = \lambda^{-1} \tilde{\alpha}'_{p} + \tilde{\alpha}_{k} + \lambda \tilde{\alpha}''_{p}$$

$$= \frac{1}{2} \lambda^{-1} (\alpha', -\alpha') + \frac{1}{2} (\alpha, \alpha) + \frac{1}{2} \lambda (\alpha'', -\alpha'')$$

$$= (\frac{1}{2} (1 + \lambda^{-1}) \alpha' + \frac{1}{2} (1 + \lambda) \alpha'', \frac{1}{2} (1 - \lambda^{-1}) \alpha' + \frac{1}{2} (1 - \lambda) \alpha''). \quad (68)$$

THEOREM 9. A smooth map  $f: M \to G$  with Maurer–Cartan form  $\alpha = f^{-1} df$  is pluriharmonic if and only if the 1-form

$$\alpha_{\lambda} := \frac{1}{2} (1 + \lambda^{-1}) \alpha' + \frac{1}{2} (1 + \lambda) \alpha''$$
(69)

is integrable for all  $\lambda \in S^1$ .

*Proof.* It remains to show ' $\Leftarrow$ '. Denoting by  $\mathcal{F} = (F_{\lambda})$  a solution to

$$F_{\lambda}^{-1} \, \mathrm{d}F_{\lambda} = \alpha_{\lambda},\tag{70}$$

we obtain a solution  $\hat{\mathcal{F}} = (\hat{F}_{\lambda})$  to  $\hat{F}_{\lambda}^{-1} d\hat{F}_{\lambda} = \hat{\alpha}_{\lambda}$  as

$$\hat{F}_{\lambda} = (F_{\lambda}, F_{-\lambda}). \tag{71}$$

Clearly, the normalization  $\hat{F}_{\lambda}(z_o) = (e, e)$  at the base point  $z_o$  is equivalent with  $F_{\lambda}(z_o) = e$ . Thus, according to our general construction, an associated family  $(f_{\lambda})$  of f is given by

$$f_{\lambda} = \pi \circ \hat{F}_{\lambda} = F_{\lambda} F_{-\lambda}^{-1}. \tag{72}$$

Hence f is pluriharmonic by Theorem 2.

*Remarks*. (1) We would like to point out that the 'extended frame' in the sense of Uhlenbeck (cf. [13, 22]) is just the first component  $F_{\lambda}$  of the extended frame  $\hat{\mathcal{F}} = (\hat{F}_{\lambda})$  of the pluriharmonic map as defined in this paper (cf. (64)). It is therefore not surprising that the extended frame in the sense of this paper defines a pluriharmonic map  $f_{\lambda}$  for every  $\lambda \in S^1$  while the extended frame in Uhlenbeck's sense defines a pluriharmonic map only for  $\lambda = \pm 1$ .

(2) We also note that the normalized potential  $\hat{\eta}_- = \hat{\mathcal{F}}_-^{-1} d\hat{\mathcal{F}}_-$  is of the form

$$\hat{\eta}_{-} = (\frac{1}{2}(1+\lambda^{-1})\eta, \frac{1}{2}(1-\lambda^{-1})\eta)$$
(73)

for some holomorphic (1, 0)-form  $\eta \in \Omega^{(1,0)}(M, \mathfrak{g})$ .

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