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# A compilation and meta-analysis of rainfall simulation data on arable soils

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## S U M M A R Y

Rainfall simulations are a useful and important tool in studying infiltration, surface runoff generation, soil erosion and nutrient as well as agro-chemical transport from arable land. Such simulations are time-consuming and costly and hence are usually only carried out under a limited variation of settings necessary to answer specific research questions. Therefore, it is difficult to use rainfall simulation data for hypothesis testing in a more general sense or to parameterize hydrological or erosion models applicable under a wider range of environmental conditions. To overcome these restrictions and to set-up a broader basis for following up studies, we analyzed, harmonized and filled gaps of a large set of existing rainfall simulations carried out by five different research groups in Germany. This covered 726 rainfall simulations (24,384 runoff measurements) carried out on 209 plots under a wide range of conditions for which 4 rain properties, 5 plot properties, 20 soil properties, 5 land use properties and 2 runoff properties were compiled. These data were quality controlled and made available for public use (Seibert et al., 2011). The most important deficiencies were smoothed runoff measurements, missing time to ponding data, different soil descriptions including frequent gaps in stone content, inconsistent moisture measurements and sometimes rather rough measurements of surface cover. The calculation of the geometric mean particle diameter, time since tillage and the application of different site specific procedures supported harmonization and helped to overcome several of these deficiencies. A satisfying gap filling procedure was developed for time to ponding. The most important inconsistencies that could not be removed were different depths of moisture measurement. Hence, there is a need to define a set of basic variables that always should be measured and documented with defined standards to enable comparison between different studies, to assess the boundary conditions of validity and possibly to make wider use of individual data sets by combining several of them.

## 1. Introduction

Surface runoff may cause erosion and muddy floods (Evrard et al., 2008), transport sediments, nutrients and pesticides to surface water bodies (Haygarth et al., 2006) and decrease yields (Lal, 2001) and ground water recharge (Fiener and Auerswald, 2003) due to a reduced infiltration. This is especially true for arable land and sparsely covered soils that are susceptible to crusting when exposed to rainfall (Assouline and Muallem, 2006; Le Bissonnais et al., 2005).

Rainfall simulation experiments are an important and common tool to study surface runoff generation (Adams et al., 2005; Meyer, 1960), soil erosion (Arnaez et al., 2007; Auerswald et al., 1994;

Bryan, 1970) and nutrient as well as agro-chemical transport from arable land (Baker et al., 1978; Volf et al., 2007). Compared to plots exposed to natural rain these experiments offer the advantage of high data quality and resolution under well defined conditions. This is especially true regarding rain properties, which usually are hold constant within one data set to facilitate comparisons between other treatments, which commonly are difficult to compare under natural rain conditions that may vary even on short distances (Fiener and Auerswald, 2009) and contribute to the variability between replicated plots under natural rain. Such replicated plots may vary in soil loss by a factor of two and more (Nearing, 1998; Nearing et al., 1999).

Rainfall simulations deliver discrete data about surface runoff and thus about the infiltration process. Individual research groups usually cover only a limited variation of settings (soils, management, rainfall properties, etc.) necessary to answer specific research questions as these experiments are time-consuming and costly. For the same reason the number of replicates is usually

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small and mostly within the spatial range of autocorrelation and thus must be regarded *sensu stricto* as pseudo-replicates (Hurlbert, 1984). Both, the problem of restricted rainfall, soil and land management conditions tested and the problem of uncertainties in data of a small number of experiments, can be overcome to enlarge the benefits of rainfall simulations by compiling, harmonizing and analyzing data sets originating from different researchers.

The major goal of this study was to create such a compiled data set representing a large experimental and site variation under temperate conditions that can be used by other researchers to examine specific questions. To reach this goal (i) basic transfer functions to estimate important parameters not measured during all experiments had to be developed, tested and applied, and (ii) uncertainties in measured, homogenized and gap-filled data had to be estimated.

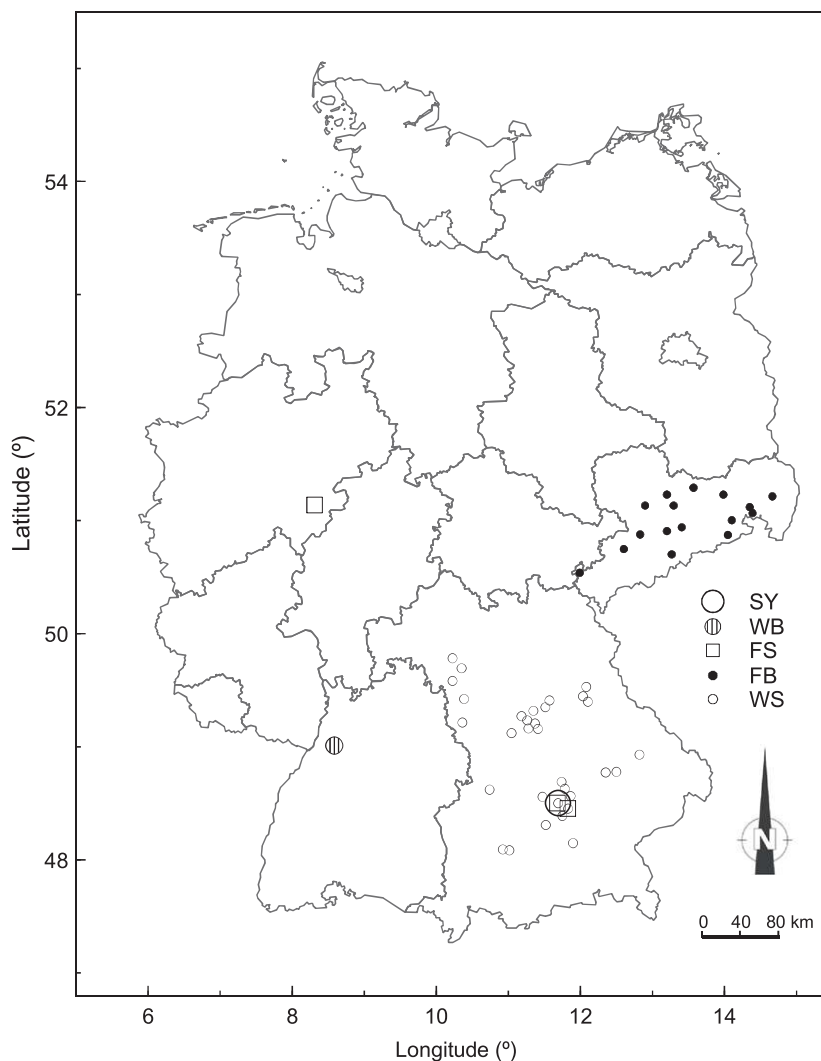
A striking observation during the compilation was that the different groups used different methods and measured different variables, which makes it almost impossible to compare results from different groups and to combine data sets for a meta-analysis to overcome the restrictions of the individual data sets. Some of these inconsistencies and lacking values could be overcome to some degree by homogenization and the basic transfer functions developed here. Still, there is an urgent need of a set of basic variables that always should be measured and a need of standards of how should

be measured and documented to allow comparing results between rainfall simulation studies or to use their data in meta-analyses. In this respect our freely available data base (Seibert et al., 2011) may also encourage some standardisation.

## 2. Material and methods

### 2.1. Terminology

The runoff was always measured as accumulated runoff during a rainfall simulation. These measurements, which included also the measurement of time, will be called *runoff measurements* ( $n = 24,384$ ). They resulted from 726 simulated rain events, which we call *runs*. One to three runs were applied to the same plot within less than 48 h. Such a combination of runs on the same plot will be called *sequence* ( $n = 370$ ). The runs within a sequence are named according to the time span to the preceding simulated rain. Sequences start with a *dry run* ( $n = 336$ ), which is the first simulation on a plot or a simulation following a preceding one after a time span much larger than 48 h. Although this denotation is commonly used, it is misleading, because a dry run may be on a soil, which is wet due to natural precipitation. A run following more than 12 h and less than 48 h after the preceding run is a *wet run* ( $n = 126$ ).



**Fig. 1.** Map of all experimental sites for the data sets FS, FB, SY, WB and WS. Note that the locations of the data set WS denote the origin of the top soils, which were all raised at one location (near SY) after long-term bare fallow. Lines show boundaries of the German federal states.

**Table 1**

Land use, rainfall, geology and soil characteristics of the different test sites where the rainfall simulations were carried out.

	Land use	Mean annual rainfall	Dominant geology	Dominant soils (IUSS Working Group WRB 2006)
FB	Arable	600–1000	Loess, felsic magmatites and metamorphites	Eutric cambisols, stagnic and haplic luvisols
FS	Arable	850–1180	Loess, tertiary sediments and slate debris	Eutric and leptic cambisols
SY	Arable	850	Loess, tertiary sediments	Stagnic and eutric cambisols
WB	Arable	790	Loess	Haplic luvisol
WS	Long-term bare fallow	550–1200	Sand dunes, moraines, loess, tertiary sediments, mesozoic sediments, basement rocks	Leptic, vertic, stagnic, calcaric, and eutric cambisols, luvisols
All data		550–1200	–	–

**Table 2**

Rainfall and plot properties during the different rainfall simulations evaluated.

Study site	Soils	Runs	Rainfall properties			Plot properties	
	<i>n</i>	<i>n</i>	Intensity (mm h <sup>-1</sup> )	Duration without afterflow (s)	Spec. kin. energy (J m <sup>2</sup> mm <sup>-1</sup> )	Size (m <sup>2</sup> )	Slope (%)
FB	43	77	31...56	1380...6180	20	44	6...18
FS	47	86	29...99	590...3626	20	7	9...20
SY	57	111	58...74	1605...3807	20	6...7	2...24
WB	30	30	34...65	2892...5940	20	24	9...18
WS	32	422	41...80	723...3603	12...20	8	8...10
All data	209	726	29...99	590...6180	12...20	6...44	2...24

Again this term is conventionally used but it has to be noted that it ignores that the most consistent difference compared to a dry run is not the difference in soil moisture but the existence of erosion features like surface sealing or rills resulting from a preceding extraordinarily large erosive rain. A run following after less than 12 h was called *very wet run* ( $n = 264$ ) and can be preceded by either a dry run or a wet run. In general, a sequence can consist of a dry run only or any combination starting with a dry run, except for some rare cases ( $n = 34$ ) where the dry run was discarded due to equipment failure. In several cases of bare-fallow plots rains followed on the same plot with a rain spell of several months and soil tillage in between. Hence the number of rained plots ( $n = 209$ ) is smaller than the number of sequences.

## 2.2. Origin of data sets

The data base created in this study compiles five data sets from different regions in Germany (Fig. 1). The compilation simultaneously covers the results of several research groups, different site-characteristics (Table 1), and different rainfall simulators using different nozzles, intensities (Table 2) and measuring protocols, which are briefly described below. More information on these data sets can be found in the cited references.

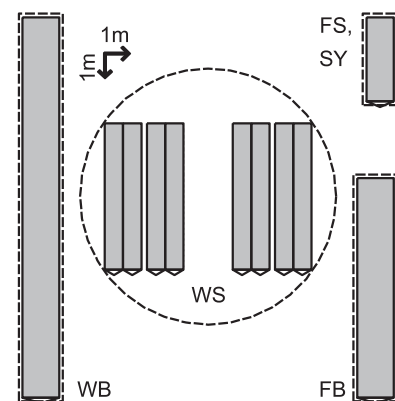
### 2.2.1. WS data set

The largest data set WS with 422 rainfall experiments originates from Martin (1988). Experiments were carried out on 32 different arable top soils (Table 1) ranging from sandy to clayey with different stone contents. The soils were taken to one location from different landscapes (location of origin see Fig. 1) with different geological and climatic characteristics (Table 2). The intention of Martin (1988) was to cover the full range of topsoil properties found on arable land within a region of approximately 100,000 km<sup>2</sup>. More information about the soil properties can be found in Martin (1988) and Auerswald et al. (1996). The plots were kept under long-term bare fallow, which should allow identifying effects of different soils even though the soils differed in cultivation history. Seed bed was prepared manually a few days before the rainfall experiments. All 32 plots had a size of 8 m<sup>2</sup> and a slope between 8% and 10% (Table 2). A Swanson-type rainfall simulator

(Swanson, 1965) modified by Auerswald (1986) with two different nozzles (Veejet 80100 and 8070) differing in drop size distribution and kinetic energy per unit of rain was used for the simulations (Table 2 and Fig. 2). Rainfall was simulated in different seasons throughout the years from 1985 to 1987. In most cases a sequence of three runs (dry, wet, and very wet) was applied.

### 2.2.2. SY data set

The data set SY was created by Schröder and Auerswald (2000) and comprises 111 simulations. It includes data from 57 different plots mainly representing stagnic and eutric cambisols (according to the system by IUSS Working Group WRB (2006)) developed on loess and partly tertiary sediments (Table 1). The soils were tilled after small grain harvest, residues were removed and a seedbed was prepared immediately before each dry rainfall run on a plot to have identical land use conditions. The main intention was to determine differences in crusting and hence infiltration for different soil types. Stone, residue and plant cover measurements are available for all 111 runs. Plot size varied between 6 and 7 m<sup>2</sup>, with



**Fig. 2.** Typical plot layout for the six data sets; the dotted line represents the rained area, the gray shaded area is the plot and the white triangle is the rain shielded gutter collecting the runoff. Eight plots were simultaneously rained under a rotating-boom rainfall simulator for the WS data set while rectangular simulators slightly larger than the plot size were used for the other data sets.

slope gradients between 2% and 24% (Warren et al., 2004). A Kainz-type rainfall simulator, which is a rectangular (Fig. 2), variable-intensity simulator based on the Veejet 80100 nozzle (Kainz et al., 1992), was used in a sequence of two runs, where the dry run lasted for 60 min and was followed after 15 min by a very wet run for 30 min.

### 2.2.3. FS data set

The data set FS originates from Haider and Auerswald (Auerswald and Haider, 1996; Haider, 1994). Overall the data set comprises 86 simulations. It represents 47 different eutric to leptic cambisols, according to the system by IUSS Working Group WRB (2006), developed on loess, tertiary sediments and slate (Table 1) found at three locations (Fig. 1). The soils at one location (Schmallenberg), developed from Devonian slate debris were the stoniest ones (up to 63% stones) of the entire data set. All plots were tilled at least one month before the experiments. At one location successive experiments were carried out after planting barley (*Hordeum vulgare*), thus representing the effects of increasing plant cover. These plots had paired plots without cover that were rained as well. Following the main intention of the experiments to study the mechanisms, risks and consequences of herbicide transport into surface water bodies due to surface runoff and erosion under different arable conditions the pairs thus allow separating the effects of increasing plant cover and increasing time since tillage. The simulations, except for the location Schmallenberg, were carried out on soils, which were already included in the data sets WS and SY but the research groups differed. Plot sizes were 7 m<sup>2</sup> with slopes ranging between 9% and 20%. The same rainfall simulator as for the SY data set was used in sequences of two runs (Fig. 2). The dry one lasted 60 min, the following very wet run lasted 30 min. Both were separated by time span of 15 min. Simulations were carried out under a wide range of intensities. Thus, by combining WS, SY and FS the four reasons for a varying effective kinetic energy – rain amount, rain intensity, drop size distribution (Table 2) and soil cover – were all modified independently.

### 2.2.4. WB data set

Thirty simulations taken from Gerlinger (1997) were included in the WB data set. Soils were haplic luvisols (IUSS Working Group WRB, 2006) mainly developed on loess (Table 1) with a predominantly silty texture (69–82%). The data set included arable fields from different farmers with various crops and growth stages (e.g. plant cover 4–95%) located within one catchment (Fig. 1). Moreover, time between simulations and preceding soil management operations varied between 0.5 and 66 days. Hence, it was possible to investigate spatial and temporal variability of erodibility, including the combined effect of soil and crop. Gerlinger (1997) applied rainfall on 24 m<sup>2</sup> large plots with slopes between 9% and 18% (Table 2). In WB rainfall was also applied with a Kainz-type simulator from the same manufacturer (ERTI, Munich, Germany) but with a plot length of 12 m (Fig. 2; Schramm, 1994). Only one rain was applied per plot but rainfall duration was not predetermined as in the other data sets but continued until steady-state runoff was reached.

### 2.2.5. FB data set

The data set FB comprises 77 simulations (Michael, 2001). The 43 plots covered a large range of different soils (Table 1) with textures ranging from very sandy to very silty. The data set is comparable to the WB data set as it covers the full range of cover (1–90%, photometric determination prior the dry run), slope (6–18%), time since last soil tillage operation (3–227 days) and initial volumetric soil moisture conditions (15–44% in plough horizon). The experiments were intended to improve the parameterisation of the Erosion 2D/3D model (Schmidt et al., 1996). The plots were larger

(44 m<sup>2</sup>) than in all other experiments with slopes between 6% and 18%. With few exceptions sequences of two simulations with dry spells <12 h were carried out, while rainfall durations varied (Table 2). They lasted up to 100 min in the dry and 70 min in the very wet runs. Rainfall was applied using the equipment from the WB test site (Schramm, 1994), while combining more of the rainfall simulator units than used at WB (Fig. 2). Rainfall intensities were comparably low (31–59 mm h<sup>-1</sup>).

## 2.3. Data compilation

To combine all five data sets into one data base, which can be used for further runoff and infiltration studies, we followed a three-step approach: In a first step the methods to determine the different variable attributes were described and gaps in the data were indicated; in a second step the existing measurements were harmonized to international standards and in a third step data gaps were filled if possible; thus we distinguish between measured data, harmonized data and gap-filling data. Some rainfall simulations had to be deleted because of obvious errors or other inconsistencies in the data, which were often already indicated by the experimenter.

### 2.3.1. Measured data

**2.3.1.1. Rain.** The FS, SY and FB data sets nearly always contain a sequence of a dry and a very wet run, while the WB data set comprises dry runs only. The WS data set contains all kind of possible combinations and encompasses sequences that consist of a dry run only and sequences that comprise combinations of a dry and/or wet and/or very wet run. For all 726 experiments the kinetic energy per unit of rain is known from the Veejet 80100 and 8070 nozzle characteristics and the given total rain amount of rain. Intensity is given as the ratio between rain amount and duration although a constant intensity was not verified during the experiments and some experimental notes even contained hints that intensity might not have been constant during the whole rain period.

**2.3.1.2. Plot characteristics.** All 209 plots were bordered by sheet steels driven into the topsoil or by a permanent wooden frame in the case of WS. Plot dimensions and slope gradient were measured in all cases.

**2.3.1.3. Runoff characteristics.** Time to runoff was recorded during most runs (644 of 726). Discharge was measured by collecting runoff with calibrated buckets at the lower end of the plots equipped with flow collection gutters. From rain intensity and measured runoff a first estimate of the infiltration rates can be derived from the difference between both. However, this ignores the initial ponding storage, which typically ranges from 0 to 4 mm of rainfall (e.g. Huang and Bradford, 1990; Mwendera and Feyen, 1992; Onstad, 1984). A more reasonable infiltration rate is given at the time to ponding  $t_p$ , when free water gets visible on the soil surface. It then equals rainfall intensity. From the difference between time to ponding and time to runoff  $t_R$ , when first runoff occurs at the plot outlet, ponding storage can be calculated and then used to correct the infiltrations rates at later stages (Horton, 1942). In the experimental protocols of FS, SY and WB time to ponding was defined as the time, when the soils started to remain glossy between two successive nozzle sprays. It was only measured in 284 out of 726 runs. The afterflow after the end of the rain was always discarded because it was not clear in all cases whether it had been measured properly because especially on very short plots a high frequency of measurement would have been necessary to capture the fast decay in runoff rate.



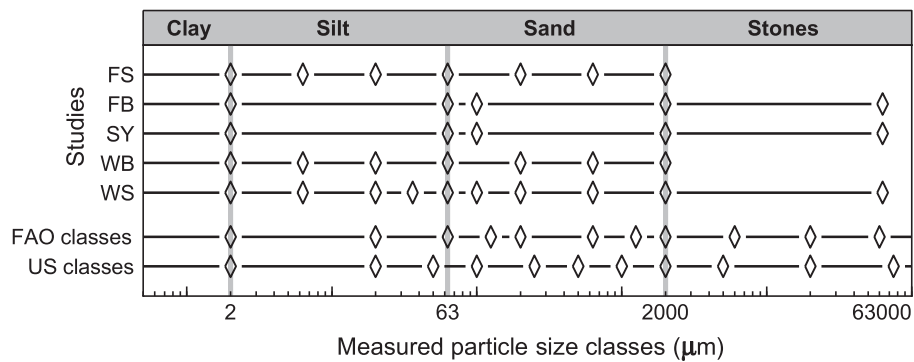


Fig. 3. Measured particle size classes within the studies *FB*, *FS*, *SY*, *WB* and *WS*, compared to FAO (IUSS Working Group WRB, 2006) and US (Soil Survey Staff, 2010) standards.

**2.3.1.4. Soil.** Soil moisture at the start of rain is regarded important for infiltration, slaking, runoff and erosion processes (Auerwald et al., 1994; Luk, 1985). Soil moisture was partly measured gravimetrically in a measuring depth of 0–0.03 m (97 out of 726; only in data sets *FS* and *SY*) and 0–0.3 m (107 out of 726; only data sets *FB* and *WB*), respectively. A large but inconsistent number of other soil properties had been determined. For all 209 plots organic carbon content and total clay (0.004–2  $\mu\text{m}$ ), silt (2–63  $\mu\text{m}$ ) and sand (63–2000  $\mu\text{m}$ ) contents in the fine earth fraction were available for the plough layer. For 86 out of 209 plots also stone content (2–200 mm) was recorded. Textural limits of sub-classes differed between the data sets as shown in Fig. 3. These differences and especially the lack of stone data in some data sets made harmonization necessary to yield soil texture of the bulk soil (see below). Soil pH was available in 136 and air-dry bulk density in 60 out of 209 cases.

**2.3.1.5. Land use.** Soil cover is regarded the most important influence on soil erosion. Total cover at the start of a rain sequence was given in 353 out of 370 cases, while data availability declined for vegetation cover, stone cover and residue cover with 88%, 77%, and 68% of all 370 sequences, respectively.

Beside the cover information the data sets comprised information regarding the land management (tillage operations, fertilization, etc.), which, due to the multitude of management options, were inconsistent and just descriptive. To have a numerical variable in addition to cover, which should have an important influence on runoff generation, time since tillage  $T_sT$  was added. It was available for 158 of all 370 rain sequences but could be estimated for the remaining ones based on the given descriptions of prior plot management. Its intention is to represent (to a certain degree) the tillage induced opening and weakening of the soil surface and the subsequent reconsolidation process. The opening and weakening is associated with a rapid increase in porosity (only partly measured in the data sets), a change in soil water and air regimes and a reduction of aggregate stability. In reverse, reconsolidation reduces the pore space, stabilizes aggregates and re-establishes hydraulically connected macro-pores (e.g. Ahuja et al., 2006; Fiener et al., 2011).

### 2.3.2. Harmonized and gap-filled data

**2.3.2.1. Runoff.** Accumulated runoff was recorded in variable time intervals. In some cases very short time intervals were used during the entire experiment, which caused strongly fluctuating runoff rates and which let to different weights of individual runs in the total data set. To facilitate data analysis we harmonized the data to have about 40–60 s intervals for all 726 runs. During this step we also added zero runoff data in 1-min intervals before the start of runoff. These data are usually neglected although they are valid

measurements. The last time of zero runoff corresponds with the time to runoff  $t_R$ .

Time to runoff was recorded during 644 of all 726 runs. The  $t_R$  gaps in the *FB* and partly in the *WB* data set were filled and tested for consistency with the measured  $t_R$  values as follows: Firstly, a new variable ‘time to plot response’ ( $t_S$ ) was defined, while plotting a straight line through the first two measurements after  $t_R$  and calculating the X-axis intersections of this line. Time to plot response is typically slightly larger than  $t_R$ . This results from the rapidly increasing runoff rates starting with zero at  $t_R$ . However,  $t_S$  can be used to calculate  $t_R$  from a regression between both variables. For development and validation, a split-sampling approach was applied. Every second data set of the ranked  $t_R$  data was used to determine the regression based on logarithms of both variables due to the non-normality of  $t_R$  and  $t_S$ . The other half was used for validation.

Time to ponding  $t_P$  was only recorded during 284 of all 726 runs. In *FB* and *WB* no data are available, while sometimes this information was given for the *WS* data set. Moreover,  $t_P$  is a somewhat more subjective measurement, as the definition of a glossy soil between two successive nozzle sprays allows for some wiggle room of individual researchers. Nevertheless,  $t_P$  is important to estimate initial detention storage. Time to ponding was estimated using a regression analysis between  $t_P$  and  $t_R$ , which again applied the split-sampling approach.

**2.3.2.2. Soil.** Soil descriptions were especially inconsistent and impeded the aggregation and analysis of different data sets. In the compiled studies total clay, silt and sand fractions were measured at 2, 63 and 2000  $\mu\text{m}$ , but additional information differed considerably regarding the number of sub-classes and especially in the measurement of stones (Fig. 3). None of the measurements matched exactly the standards by the FAO (IUSS Working Group WRB, 2006) or other widely used national standards, e.g. the US Soil Taxonomy (Soil Survey Staff, 2010) (Fig. 3). The geometric mean diameter  $d_g$  and its standard deviation  $S_g$  following Sinowski and Auerwald (1999) was calculated from all fine earth and stone fractions as integral texture variable to overcome these inconsistencies. It can be calculated and yields similar values independent from the particle size classes chosen for analysis. However, as the stone fraction was not given for all 209 plots (41% of all), it was necessary to estimate stone content from stone cover, while assuming that stone cover equals (volumetric) stone concentration of the topsoil. A bulk density for the stones equal to 2.65  $\text{kg L}^{-1}$  and the bulk density of the soil, either measured or assumed to be 1.3  $\text{kg L}^{-1}$ , was used to convert the stone concentration ( $\text{L L}^{-1}$ ) into stone content ( $\text{kg kg}^{-1}$ ). Then the fine earth fractions could be recalculated as fraction of the bulk soil and finally  $d_g$  was derived. From the calculation of  $d_g$  follows that the best interpolation of a

certain unmeasured class of diameter  $d$  like  $<50\ \mu\text{m}$  as needed in the US texture system is given by:

$$P_{<x} = P_{x-1} + \frac{P_{x+1} - P_{x-1}}{\lg(d_{x+1}) - \lg(d_{x-1})} \cdot (\lg(d_x) - \lg(d_{x-1})) \quad (1)$$

where  $P_{<x}$  denotes the unmeasured mass percentage below a certain grain diameter  $d_x$ , and the indices  $x - 1$  and  $x + 1$  refer to the properties for the next lower and higher measured class.

**2.3.2.3. Land use.** Gaps in TsT (78% of all 726 runs) were filled based on crop stage information and the experience that a certain rather constant time after sowing is needed to reach a specific crop stage. Seedbed preparation and sowing then correspond with the last tillage except for no-till treatments. These estimates of TsT were based on local experiences and data given by Schwertmann et al. (1987).

Cover by a certain material like residues can be expressed in different ways like fraction of the total soil surface or fraction of the soil, which is not covered by other materials. To facilitate data analysis the data were converted as follows: Cover by vegetation ( $Cov_{veg}$ ) is always given as fraction of the total soil surface; the cover by residues ( $Cov_{res}$ ) is also given as fraction of the total soil surface but includes only that residue cover that is not covered by vegetation. Hence total cover by vegetation and residues can easily be calculated by adding both covers, but total residue cover including also those residues, which are shaded from the rain by vegetation, needs a recalculation ( $Cov_{res,tot} = Cov_{res}/(1 - Cov_{veg})$ ). Analogously  $Cov_{stone}$  is given as fraction of the total soil surface but includes only stone cover that is not covered by vegetation or residues. Consequently total cover  $Cov_{tot}$  was calculated as sum of  $Cov_{veg}$ ,  $Cov_{res}$ , and  $Cov_{stone}$ . Moreover,  $Cov_{res,tot}$  and  $Cov_{stone,tot}$  are also given in the compiled data base as these variables might be important in case of studying i.e. hydraulic roughness.

#### 2.4. Evaluation of data quality

The range and distribution of data were evaluated by calculating kernel density distributions (Silverman, 1986). Within the groups of land use properties, plot properties, rain properties and soil properties many variables may be regarded independent variables in respect to runoff properties. To test this and to provide a measure of collinearity we calculated the coefficient of determination between the variables in each group, assuming linear relationships for sake of simplicity.

The measured rainfall/runoff data are subject to random measuring errors and to bias. Runoff rates can only be measured once at a certain point of time and hence random error cannot be quantified by repeated measurement but from the autocorrelation function as quantified in geostatistics. To this end experimental semivariograms were calculated using all 726 runs and a Gaussian model was fitted to the experimental data. Based on the theoretical semivariogram the nugget effect for all 726 runs could be determined, which is an indication for the random error.

To evaluate the quality of data harmonization and gap-filling in case of the estimates of time to runoff  $t_R$  and time to ponding  $t_P$  we validated our estimates using the second half of the data set not used for model development. In most other cases of harmonized and gap-filled data we extensively discuss potential error sources, even if a quantification of these errors is often hardly possible.

The statistical evaluations, e.g. kernel densities, were carried out with the statistical software tool GNU R (R Development Core Team, 2007) and the add-on package gstat (Bivand et al., 2008) in the case of geostatistics.

### 3. Results and discussion

#### 3.1. Data range

A meta-data set offers three major advantages compared to individual data sets as created within certain research projects:

- (i) The number of measurements is large. In total we have compiled 24,384 runoff measurements for which most other data are available as well. The number of flagged (missing but gap filled) auxiliary rain, plot land use and soil parameters varies between 0% and 100% (Table 3). Rainfall, runoff and plot data are always available. The lowest availability is given for some soil data, especially soil moisture in different depth and time since tillage ( $<30\%$ ).
- (ii) A meta-data set allows to examine the validity of a relation under a wide set of conditions (Figs. 4–7) while the individual data sources had only a limited range of a certain parameters due to scientific and technical reasons. The scientific rationale to examine a certain influence leaving other influences constant necessarily restricts even the validity of the varied influence within a narrow range given by the other, constant influences. While these restrictions level out in a meta-data base, those which are caused by the attempt to allow comparison to other researches still appear. Hence rain intensity peaks around  $65\ \text{mm h}^{-1}$  (Fig. 5) to allow comparison with any results obtained with the rainulator (Meyer and McCune, 1958) and the Swanson simulator (Swanson, 1965) although intensity was constructively fixed only in one data source (WS). Slope gradient peaks at 9% (Fig. 4) due to the standard defined by Wischmeier et al. (1958). Even more important are the technical restrictions, which are especially due to the rainfall simulator and the landscape. The rainfall simulator determines the rain properties but also the plot dimensions (Fig. 2), which hence varied only within a very narrow range within each data source (Fig. 4). The landscape limits the range of soil and land use properties. These strong limitations within individual data sources are true for most of the parameters compiled here. As an example it is illustrated for the temporal distribution, which shows that due to the concentration within measuring campaigns, the individual data sources only covered narrow ranges within individual years, while a coverage of the year almost corresponding to the distribution of rain erosivity resulted from the combination of the different sources (Fig. 5). The range of influences covered by the meta-data set is quantified in Table 3 and the distribution within this range is illustrated for major plot (Fig. 4), rain (Fig. 5), soil (Fig. 6), and land use conditions (Fig. 7). They illustrate the large range for all influencing parameters like soil, rain, plot or land use properties, which cover at least one order of magnitude with very few exceptions like plot width (1–2 m), bulk density ( $1070\text{--}1750\ \text{kg m}^{-3}$ ) and the specific kinetic energy of rain (only  $12$  and  $20\ \text{J m}^{-2}\ \text{mm}^{-1}$ ). The large range of influencing factors causes a correspondingly large range in the runoff response (Fig. 8); e.g., time to runoff varied by more than two orders of magnitude and the runoff coefficient covered almost the full possible range from 0 to 1. It has to be noted that the advantageous range and distribution shown may be lost if the total data set is broken down to specific conditions. E.g., limiting the data evaluation to seedbed conditions after long-term bare fallow will leave only the data source WS and its specific restrictions. However, a less restrictive break down to bare seed bed conditions will also leave all data from source SY and several other data from the other sources.

**Table 3**

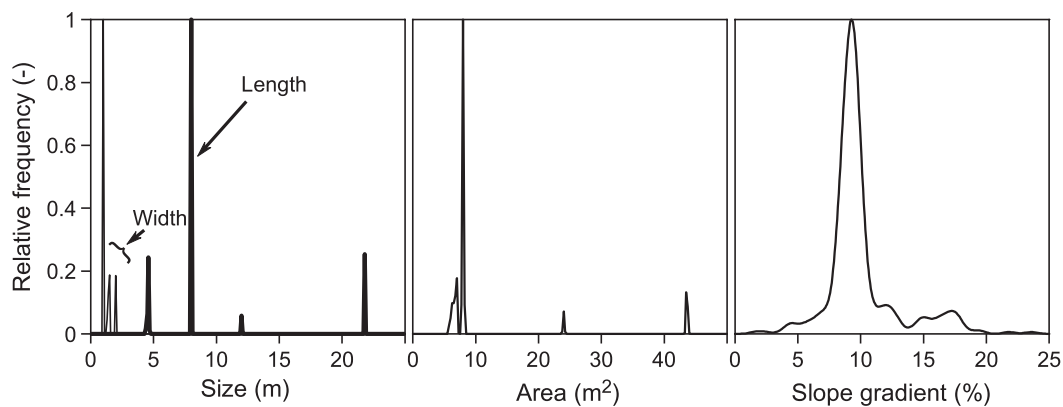
List of rainfall, runoff and infiltration variables as well as auxiliary parameters; all soil properties were determined for the plough horizon (approximately 0–0.3 m), if not otherwise indicated. The availability of each variable relative to the total number of runs ( $n = 726$ ) in percent (%-available) and the fraction of estimates within the entire data set (%-flagged) are given.

Variable (abbreviation)	Description	Unit	Range	%-available	%-flagged
$p$	Rain intensity	$\text{mm h}^{-1}$	29–99	100	0
$P_{\text{tot}}$	Total rainfall applied during simulation	mm	11–99	100	0
$q$	Runoff intensity	$\text{l s}^{-1}$	0–0.5	100	0
$Q$	Total runoff without afterflow	mm	0–59	100	0
$t_P$	Time to ponding	s	4–1047	100	61
$t_R$	Time to runoff	s	6–3588	100	11
$\text{Length}$	Length of the simulation plot	m	4–22	100	0
$\text{Width}$	Width of the simulation plot	m	1–2	100	0
$\text{Slope}$	Slope of the simulation plot	%	1.6–23.6	100	0
$Cl_{\text{tot}}$	Total clay content (0.004–2 $\mu\text{m}$ ) in BS <sup>a</sup> (w/w)	%	2–61	100	0
$Si_{\text{tot}}$	Total silt content (2–63 $\mu\text{m}$ ) in BS (w/w)	%	6–86	100	0
$Sa_{\text{tot}}$	Total sand content (63–2000 $\mu\text{m}$ ) in BS (w/w)	%	2–87	100	0
$C_{\text{org}}$	Soil organic carbon content in FEF <sup>b</sup>	%	0.5–3.5	100	0
$\text{pH}$	pH	–	4.5–7.5	85	0
$\text{Skeleton}$	Total sum of stones (2–200 mm) in BS (w/w)	%	0–63	100	27
$BD$	Air-dry bulk density	$\text{kg m}^{-3}$	1070–1750	38	60
$d_g$	Geometric mean particle diameter	$\mu\text{m}$	1–737	100	0
$vfSi$	Very fine silt (2–6.3 $\mu\text{m}$ ) in BS (w/w)	%	0–27	100	27
$fSi$	Fine silt (6.3–20 $\mu\text{m}$ ) in BS (w/w)	%	1–33	100	27
$mSi$	Medium silt (20–36 $\mu\text{m}$ ) in BS (w/w)	%	1–31	100	27
$cSi$	Coarse silt (36–63 $\mu\text{m}$ ) in BS (w/w)	%	1–35	100	27
$vfSa$	Very fine sand (63–100 $\mu\text{m}$ ) in BS (w/w)	%	0–19	100	12
$fSa$	Fine sand (100–200 $\mu\text{m}$ ) in BS (w/w)	%	0–49	100	27
$mSa$	Medium sand (200–630 $\mu\text{m}$ ) in BS (w/w)	%	0.4–61	100	27
$cSa$	Coarse sand (630–2000 $\mu\text{m}$ ) in BS (w/w)	%	0–35	100	27
$vfSt$	Very fine stones (2–6.3 mm) in BS (w/w)	%	0–31	100	100
$fSt$	Fine stones (6.3–20 mm) in BS (w/w)	%	0–16	100	100
$mSt$	Medium stones (20–63 mm) in BS (w/w)	%	0–16	100	100
$cSt$	Coarse stones (63–200 mm) in BS (w/w)	%	–	100	100
$\theta_{\text{surf}}$	Volumetric antecedent surface soil moisture (0–3 cm depth)	%	2–26	13/(29) <sup>c</sup>	0
$\theta_{\text{plough}}$	Volumetric antecedent soil moisture in the plough layer (0–30 cm depth)	%	8–44	15/(21)	0
$\text{Cov}_{\text{tot}}$	Total surface cover (sum of cover by stones, plants and residues)	%	0–93	100	4
$\text{Cov}_{\text{veg}}$	Cover by vegetation	%	0–90	89	>1
$\text{Cov}_{\text{res}}$	Cover by residues	%	0–18	89	18
$\text{Cov}_{\text{stone}}$	Cover by stones	%	0–35	89	7
$TsT$	Time since tillage	d	0.04–227	100	63

<sup>a</sup> Bulk soil.

<sup>b</sup> Fine earth fraction.

<sup>c</sup> The number in brackets represents percentage of the dry runs.

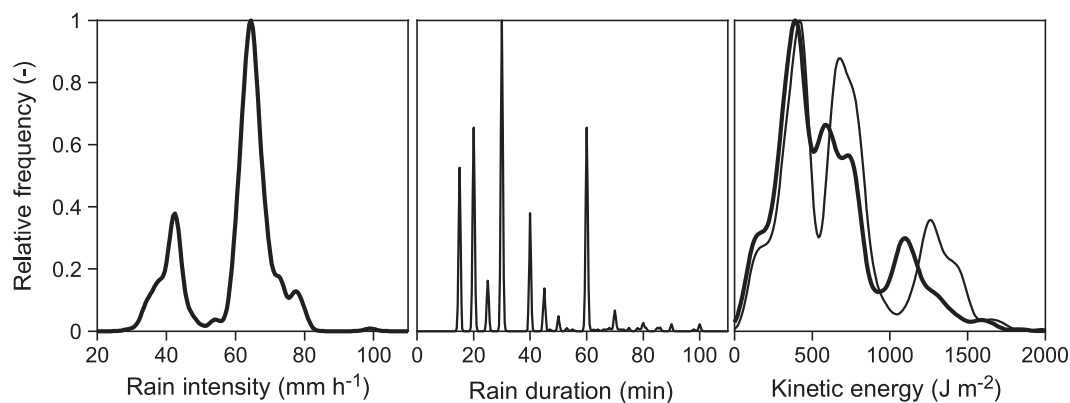


**Fig. 4.** Frequency distribution of plot properties for 726 runs: plot width (thin line) and plot length (bold line) in left panel; plot area in middle panel; slope gradient in right panel. Maximum frequency was set to 1.

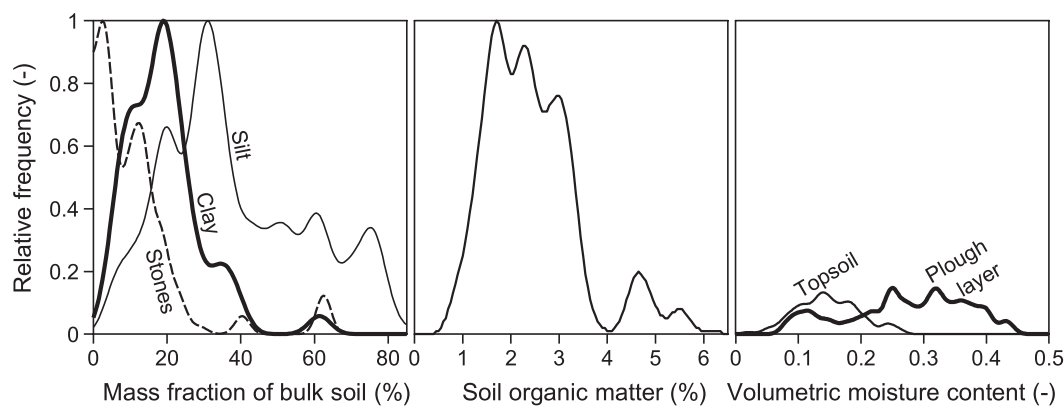
(iii) Soil, land use, plot or rain properties often closely correlate within individual sources and cause a collinearity of influencing factors. For example, kinetic rain energy applied to the soil surfaces often only is varied by varying either soil cover or rain intensity, or rain duration or the specific energy per rain depth. Hence, within an individual source, which may vary kinetic energy by changing rain intensity, it

becomes impossible to isolate the influence of kinetic energy from the influence of the higher water supply to the soil surface, while all reasons for a variation of kinetic energy are varied in the meta-data set and thus allow to quantify the influence kinetic energy itself. The precondition for analyzing an individual influence hence is that collinearity is small. Breaking the collinearity is especially difficult among soil

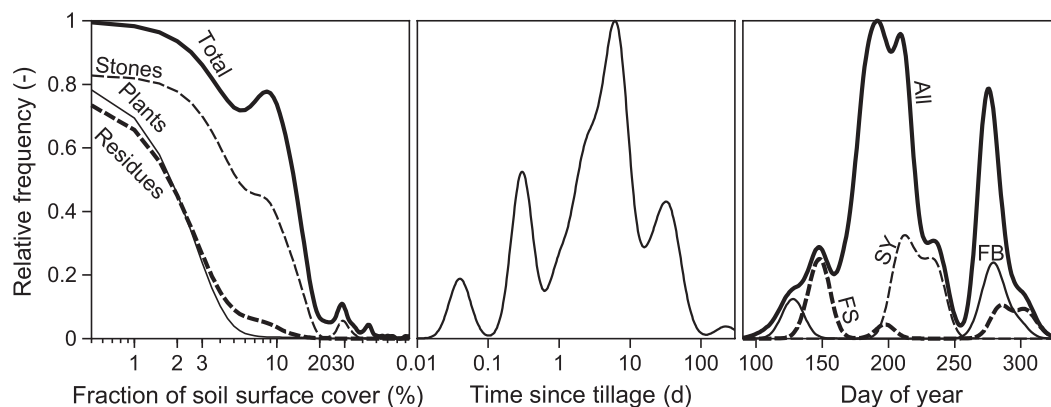




**Fig. 5.** Frequency distribution of rain properties for 726 runs: rain intensity in left panel; rain duration in middle panel; kinetic energy of the rain (thin line) and kinetic energy proportionally reduced according to total soil cover (bold line) in right panel. Maximum frequency was set to 1.



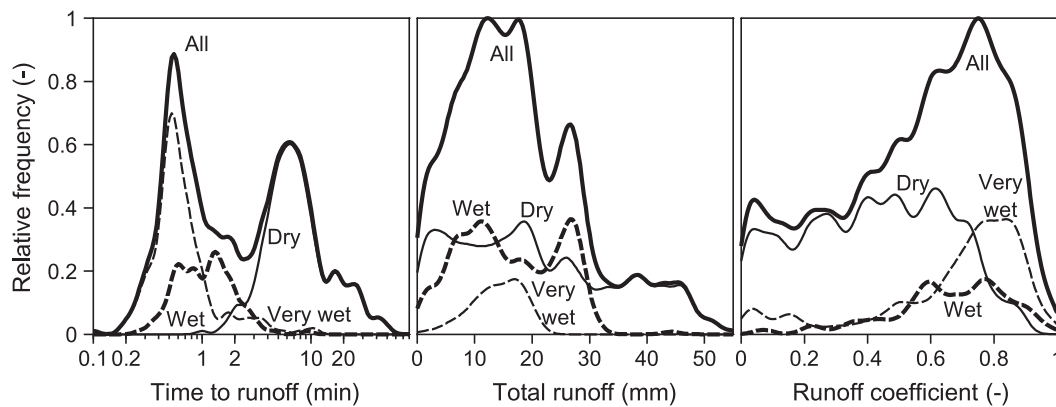
**Fig. 6.** Frequency distribution of soil properties for 726 runs: silt content (thin line), clay content (bold line) and stone content of the bulk soil (dashed line) in left panel; soil organic matter content in the fine earth fraction in middle panel; soil moisture top soil (0–0.03 m depth) and in the plough layer (0–0.3 m depth) in right panel. Maximum frequency for the full data set of 726 runs was set to 1 and proportionally lower where data availability was lower (soil moisture contents).



**Fig. 7.** Frequency distribution of land use related properties for 726 runs: residue cover (bold broken line), plant cover (thin solid line), stone cover (thin broken line) and total cover (bold solid line) in left panel; time since tillage in middle panel; day of the year in right panel; the data sources FS (bold broken line), SY (thin broken line) and FB (thin solid line) of the total data set (bold solid line) are exemplarily shown. Maximum frequency for the full data set of 726 runs was set to 1 and proportionally lower for subsets (day of year) or incomplete data (cover).

properties, because within an individual landscape usually many soil properties change in close correlation. Within the meta-data set the collinearity within rain properties, soil properties and within land use properties became sufficiently small. The coefficient of determination ( $R^2$ ) among the individual parameters usually remained well below 50% (Table 4). Parameters, which still correlate rather closely

are rain amount vs. rain duration ( $R^2 = 75\%$ ) and silt content vs. sand content ( $R^2 = 57\%$ ). A rather high collinearity, however, can also be found among plot dimensions. When plots became longer they also became wider ( $R^2 = 51\%$ ) and an even stronger correlations exists to plot area as it is calculated from length and width ( $R^2 = 98\%$ ). Although the significance of these coefficients of determination is rather small



**Fig. 8.** Frequency distribution of runoff properties for 726 runs: time to runoff in left panel, total runoff in middle panel and total runoff coefficient in right panel; solid bold, solid thin, broken bold and broken thin lines denote all runs, dry runs, wet runs and very wet runs, respectively. Maximum frequency for the full data set of 726 runs was set to 1 and proportionally lower for incomplete data (time to runoff) or subsets (dry, wet, and very wet runs).

**Table 4**

Coefficients of determination between independent variables in the total data set. Variables are grouped in land use properties, plot properties, rain properties and soil properties. Coefficients larger than 50% are given in bold type. Cover is given as vegetation  $Cov_{veg}$  and total cover  $Cov_{tot}$ ; rainfall properties are indicated by specific kinetic rainfall energy  $eP$ , intensity, total rainfall  $P_{tot}$  and rain duration; soil properties comprise total clay  $Cl_{tot}$ , silt  $Si_{tot}$  and sand  $Sa_{tot}$  content, skeleton content as well as soil organic carbon SOC and pH value.

Land use properties	$Cov_{veg}$	$Cov_{tot}$	$TsT$			
$Cov_{veg}$	1	0.47	0.17			
$Cov_{tot}$		1	0.40			
$TsT$			1			
Plot properties	Area	Width	Length	Slope		
Area	1	<b>0.65</b>	<b>0.98</b>	0.04		
Width		1	<b>0.51</b>	0.13		
Length			1	0.03		
Slope				1		
Rain Properties	$eP$	Intensity	$P_{tot}$	Duration		
$eP$	1	0.28	0.11	0.03		
Intensity		1	0.04	0.08		
$P_{tot}$			1	<b>0.75</b>		
Duration				1		
Soil properties	$Cl_{tot}$	$Si_{tot}$	$Sa_{tot}$	Skeleton	SOC	pH
$Cl_{tot}$	1	0.07	0.10	0.18	0.04	0.39
$Si_{tot}$		1	<b>0.53</b>	0.36	0.14	0.10
$Sa_{tot}$			1	0.00	0.01	0.00
Skeleton				1	0.47	0.20
SOC					1	0.17
pH						1

due to spurious relations they illustrate that it is impossible to analyze the influence of plot length independent from plot width. To break this correlation a data set would be necessary, in which the plots are wider than long but to our knowledge such an experiment has never been conducted. However, in reality no correlation between width and length of fields exist because fields can be oriented perpendicular to or in direction of slope. Hence, the rainfall experiments represent only situations of fields being oriented in slope direction although in some cases tillage direction perpendicular to slope has been evaluated. Notably this is a rather rare case that a field oriented in slope direction is farmed perpendicular to slope. However, the plot dimensions (Fig. 4) show the most unfavorable distribution and the strongest collinearity (Table 4), and hence appear to be statistically the most unfavorable property in rainfall simulations studies. Nevertheless, it is interesting to note that the standardisation of

plot dimensions, especially by Wischmeier and Smith while developing the Universal Soil Loss Equation (Wischmeier and Smith, 1960), was and is the basis for sound statistical analyses of soil erosion and surface runoff under different site-conditions.

### 3.2. Data quality

Data quality is given by data availability and data accuracy. Data availability was improved by homogenization and gap filling, which may have decreased accuracy. Hence we try to quantify accuracy of the data.

#### 3.2.1. Runoff

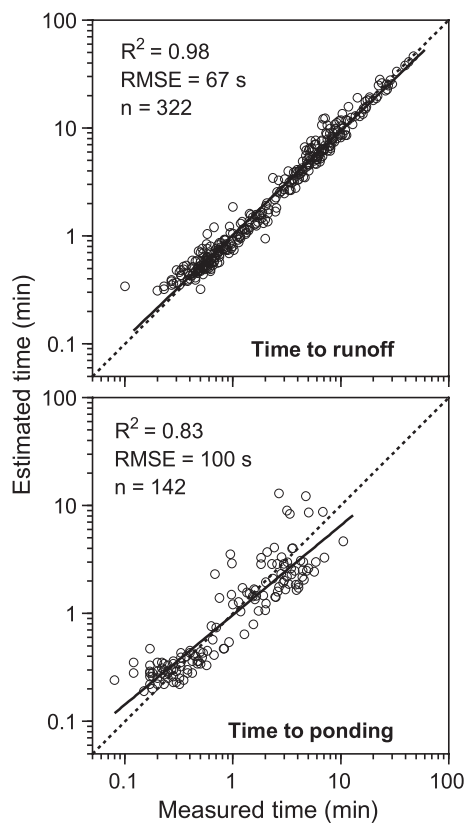
Time to runoff and time to ponding were missing for 82 and 442 out of 726 runs. They could be estimated with the following regressions:

$$\log(t_R) = 1.131 \cdot \log(t_s) - 0.462 \quad (R^2 = 0.97; p < 0.001; n = 322) \quad (2)$$

$$\log(t_P) = 0.880 \cdot \log(t_R) - 0.118 \quad (R^2 = 0.81; p < 0.001; n = 142) \quad (3)$$

Validation with that half of measurements that were not used for establishing these equations yielded a sufficient  $R^2$  and root mean squared error (Fig. 9). In case of  $t_P$  the slope of the regressions deviated somewhat from 1 and indicated that especially very short  $t_P$  may be overestimated by a few seconds.

For the most important data, the measurements of runoff rates, geostatistical analysis predicted a nugget effect, which corresponded to a standard deviation of  $0.009 \text{ L s}^{-1}$  while mean and maximum runoff rates were  $0.107 \text{ L s}^{-1}$  and  $0.459 \text{ L s}^{-1}$  respectively. Hence, for an individual measurement of runoff rate a 95% interval of confidence of  $\pm 0.02 \text{ L s}^{-1}$  must be expected, that decreases with increasing number of measurements. In many cases final runoff rate within a sequence became near constant. Ten near constant runoff rates would then allow quantifying final runoff rate with  $\pm 0.007 \text{ L s}^{-1}$ . The error results from errors in the runoff measurement itself and short-term within-rain variation in rainfall intensity, e.g. due to wind effects or due to the intermittent pass of the spay cone. However, it is worth mentioning that the nugget effect not only results from measuring errors but could also result from a natural variability of surface runoff, e.g. due to sudden release of water dammed behind residues or soil clods.



**Fig. 9.** Validation of the estimated vs. measured time to runoff  $t_R$  and time to ponding  $t_P$ , respectively with half of the data set that was not used for the development of the prediction equations; coefficient of determination ( $R^2$ ) and Root Mean Square Errors (RMSEs) are given in both figures.

Additional bias in runoff measurements can result from incorrect and inhomogeneous rainfall depth and intensity measurements (varying water pressure, partly logged nozzles), from errors in determining plot size and rainfall area due to boundary effects at the steel sheets, and/or from runoff measurements itself. Quantification of bias of individual rainfall simulations is not possible but should become small especially when several data sources are combined as done here.

### 3.2.2. Rain

Data on accuracy of rain properties is usually not given. Rain duration should have a marginal error. Specific kinetic energy of the rain can only be taken from literature if the proper water pressure was applied at the nozzle. Whether this was the case had only been measured during all runs and for all nozzles by one source (SY), while this information was lacking for the other sources. Also, independent or replicated measurements of rain amount or rain intensity were missing. It appears that two out of the three most important rainfall simulation data are only weakly founded. In cases where runoff and hence infiltration rate had become near constant in a previous rain it may be compared to the near-constant runoff rate in the following rain. Deviations may indicate errors in rain intensity. In most such cases both final infiltration rates agreed, but deviations of up to five in few cases even up to  $10 \text{ mm h}^{-1}$  to both sides appeared, which may also include systematic errors in measuring runoff rate. Runs with deviations  $>5 \text{ mm h}^{-1}$  were excluded during the compilation of the data base. Within-rain variations (in time and in space) also will happen, e.g. due to clogged nozzles or declining water pressure but were documented only for source SY. We may estimate that the error during a

60 mm rainfall simulation for 1 h is typically in a range of  $\pm 5 \text{ mm}$ . In some cases a maximum error of  $\pm 10 \text{ mm}$  can be expected.

### 3.2.3. Evapotranspiration

Calculating infiltration from rain intensity minus runoff rate is a simplification of the water balance as it ignores evapotranspiration losses. Assuming an evapotranspiration rate of  $4 \text{ mm d}^{-1}$  during a typical dry day used for a rainfall simulation experiment, leads to a maximum loss during a 1-h rainfall simulation of  $0.5 \text{ mm}$  ( $4 \text{ mm}/8 \text{ h}$ ). Hence, evapotranspiration losses can be widely neglected during the simulations but may become important in the case of sequences that cover one to 2 days. In those cases evaporation losses may be estimated from the meteorological data of the closest station (see NOAA NCDC Climate Data Online, 2011).

### 3.2.4. Plot dimensions

The true dimension of the plots can typically be measured with a precision of  $\pm 0.02 \text{ m}$ , but under some conditions, e.g. dense (and tall) vegetation, only a precision of  $\pm 0.05 \text{ m}$  can be reached. Moreover, there might be uncontrollable inflows and outflow due to leaky borders, mouse holes, etc. However, the main problem are the sheet steel or wooden plot borders (approx. height above the surface:  $0.10 \text{ m}$ ) itself as these collect additional water in case of not fully vertical rainfall coming from the simulator nozzles (virtual increase in plot width and length of up to approx.  $0.025\text{--}0.05 \text{ m}$  on each side of a plot). In case of a  $7 \text{ m}^2$  plot (FS, SY and WS) and a rain intensity of  $60 \text{ mm h}^{-1}$  a typical and a maximum error in rainfall depth of approximately  $0.6\text{--}1.8 \text{ mm}$  can be expected due to erroneous plot dimensions, while the plot borders add approximately another  $2.3\text{--}4.6 \text{ mm}$ . Assuming that these errors are not always uniform an overall typical overestimation of roughly  $1.5\text{--}3 \text{ mm}$ , and a maximum overestimation of roughly  $3\text{--}6 \text{ mm}$  seem to be a reasonable estimate.

### 3.2.5. Soil

Regarding the accuracy of the soil data we have to refer to the original publications as no measure of accuracy can be derived from the meta-data set itself. The most important parameters, which were missing in many cases, were stone content and soil moisture. This is surprising because texture parameters but also the influence of other soil parameters like soil organic matter cannot be analyzed properly if stone content is missing. Our procedure to estimate stone content from stone cover should yielded in fairly good estimates if we consider that even in cases where stones were measured these measurements have larger error than other texture parameters because at large volume of soil needs to be sampled and sieved for an accurate determination of stone content on the scale of a plot. Also the lack of soil moisture data is surprising given that moisture is regarded an important influence for the infiltration, detachment and runoff processes. We found no possibility to estimate moisture from other properties. It even was not possible to estimate moisture at the soil surface ( $0\text{--}0.3 \text{ m}$ ) from moisture in the plough horizon ( $0\text{--}0.3 \text{ m}$ ) and *vice versa*. This is illustrated by the contrasting direction of correlations (not significant) of both soil moisture depths to runoff coefficients of the dry runs. The uppermost soil moisture ( $0\text{--}0.03 \text{ m}$ ) correlated negatively to the runoff coefficient while soil moisture of the plough layer ( $0\text{--}0.3 \text{ m}$ ) correlated positively, although in both cases correlation was weak ( $R^2 < 0.10$ ). This indicates that both moisture properties act more or less independently and in opposite ways, which would not be the case if both were closely and positively correlated.

### 3.2.6. Land use

Land use is only quantified in the meta-data sets by crop type, soil cover and  $TsT$ . Plant height which would also be important

regarding the effect of cover was not recorded in the respective data sources but should correlate to plant cover for a specific crop. *TsT* had to be estimated especially in cases where it is high. Given the expectation that the effect of *TsT* decreases exponentially with increasing *TsT* we can still be confident in these estimates, because on a logarithmic scale the possible misjudgements are small due to the fact that crops develop in a rather narrow time window within a certain landscape. On the logarithmic scale the very short *TsT* must be regarded more inaccurate because the data sources in these cases only reported ranges (“a few hours before”, “a few days before”) that did not allow differentiation within this time scale except for the lowest value (“1 h before rain”).

#### 4. Conclusions and recommendations

In general the compiled data base of rainfall simulations (Seibert et al., 2011) widely broadens the scientific benefit of the individual data sets for two reasons: (i) Runoff and infiltration on arable land can be studied under a much wider spectrum of rainfall, soil and land management conditions. (ii) A large data base should at least partly overcome the problems of the uncertainties in individual measurements which may result from a multitude of potential systematic and random errors.

The most important gaps as identified in this meta-analysis were: (i) A limited range of examined situations, which could only partly be overcome by the meta-data set; this applies especially for the specific kinetic energy of the rain, for rain intensity, for plot dimensions and for the slope gradient. (ii) Lacking data on stones, time to ponding, mulch cover and also on soil moisture. (iii) Inconsistencies in measurements and reporting; this applied especially for soil texture and stone content. A side effect of this meta-data analysis may be that it may foster the definition of minimum requirements regarding measurements and data reporting in rainfall simulation studies.

The data base does not contain sediment concentrations although those were measured. However, there were inconsistencies between the data sources, which could not be solved. Hence we did not include the sediment data in the data set but a subset of sediment data, which seems to be reliable, can be obtained on request from the authors.

#### Acknowledgements

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