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Jost-Hinrich Eschenburg

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# New Examples of Manifolds with Strictly Positive Curvature

J.-H. Eschenburg

Mathematisches Institut der WWU, Einsteinstraße 64, D-4400 Münster,  
Federal Republic of Germany

## 1. Introduction

Berger [3], Wallach [10] and Berard Bergery [2] have classified all simply connected smooth manifolds which allow a homogeneous Riemannian metric of strictly positive curvature. Besides the rank one symmetric spaces there exist five exceptional manifolds and an infinite series of 7-manifolds of distinct homotopy type which have been studied by Aloff and Wallach [1]. These are diffeomorphic to  $M_{pq} := SU(3)/U_{pq}$ , where  $p, q$  are positive integers and  $U_{pq}$  is the one-parameter subgroup of diagonal matrices

$$\{\exp(2\pi i t \operatorname{diag}(p, q, -p - q)); t \in \mathbb{R}\}.$$

Looking for further spaces of positive curvature one has to consider a more general class of manifolds. If  $G$  is any Lie group, the group  $G^2 := G \times G$  acts on  $G$  by right and left translations. If  $U$  is a compact subgroup of  $G^2$  which acts without fixed points, then the orbit space  $G/U$  is a smooth manifold which is not homogeneous in general. Gromoll and Meyer [7] obtained an exotic 7-sphere of nonnegative curvature in this way. We apply this method to  $G = SU(3)$ ,  $U \cong U(1)$  in  $G^2$  and show that for any of the positively curved 7-manifolds  $M = M_{pq}$ , there exists a series of compact simply connected topologically distinct 7-manifolds  $M_n$  which are not homotopically equivalent to any compact Riemannian homogeneous space, such that the sectional curvatures of  $M_n$  converge in a certain sense to the sectional curvatures of  $M$ . This implies that  $M_n$  has strictly positive curvature for sufficiently large  $n$ .

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## 2. Fixed Point Free $S^1$ -actions on $SU(3)$

**21.** Let  $G = SU(3)$  and  $U$  a closed one-parameter subgroup of  $G^2$ . We define an action of  $U$  on  $G$  by

$$(u, g) \mapsto u_1 g u_2^{-1}$$

for any  $g \in G$ ,  $u = (u_1, u_2) \in U$ . For any conjugate subgroup  $U' = a U a^{-1}$ ,  $a = (a_1, a_2) \in G^2$ , the mapping  $g \mapsto a_1^{-1} g a_2$ :  $G \rightarrow G$  gives an equivariant diffeomorphism. Therefore, we may assume  $U \subset T \times T = T^2$  where  $T$  denotes the maximal torus of diagonal matrices

$$\{\exp i \operatorname{diag}(x_1, x_2, x_3); x_i \in \mathbb{R}, \sum x_i = 0\}$$

in  $SU(3)$ . Let  $W \in U$  be a generator of the kernel of the exponential map of  $U$ . Then

$$W = 2\pi i (\operatorname{diag}(k, l, -k-l), \operatorname{diag}(p, q, -p-q))$$

where  $k, l, p, q$  are integers which are relatively prime. To indicate that  $U$  is determined by  $k, l, p, q$ , let us set  $U = U_{klpq}$ .

**Proposition 21.**  $U = U_{klpq}$  acts on  $G$  without fixed points if and only if the following pairs of integers are relatively prime:

$$\begin{array}{lll} (k-p, l-q), & (k-p, l+p+q), & (k+p+q, l-p), \\ (k-q, l-p), & (k-q, l+p+q), & (k+p+q, l-q). \end{array}$$

*Proof.*  $U$  acts without fixed points if for any  $1 \neq u = (u_1, u_2) \in U$  we have  $u_1 \neq g u_2 g^{-1}$  for all  $g \in G$ . Now  $u = \exp tW$ ,  $0 < t < 1$ , and  $u_1, u_2$  are diagonal matrices. These are nonconjugate if and only if  $u_1 \neq \sigma u_2$  for all permutations  $\sigma$  of the coordinates (which establish the Weyl group of  $SU(3)$ ). Hence one has to be sure that

$$2\pi i t (\operatorname{diag}(k, l, -k-l) - \sigma \operatorname{diag}(p, q, -p-q)) \neq \exp^{-1}(1)$$

which is equivalent to

$$t((k, l, -k-l) - \sigma(p, q, -p-q)) \notin \mathbb{Z}^3$$

for any  $t \in (0, 1)$ . Writing this down for all permutations  $\sigma$ , we get the result.

A quadrupel of integers  $(k, l, p, q)$  will be called admissible if the conditions of the previous proposition are satisfied.

*Examples.* (i) If  $k=l=0$ , then the condition is trivial; this is the case of the homogeneous spaces  $M_{pq}$ .

(ii) The quadrupel  $(1, 0, 2m, 2m)$  is admissible for arbitrary  $m \in \mathbb{N}$ . This and further examples will be studied in § 5.

**22.** Let  $H = U(2) \subset G$  be the canonical imbedding. Fix a Riemannian metric  $\langle \cdot, \cdot \rangle$  on  $G$  which is invariant under left translations of  $G$  and right translations of  $H$ , such that the induced metric on  $M_{pq} = G/U_{pq}$  has strictly positive curvature for arbitrary positive integers  $p, q$ . It was shown in [1] that such a metric is given by the following scalar product on the Lie algebra  $\mathfrak{G}$ :

$$\langle X, Y \rangle := B(X, Y) + t B(X_H, Y_H)$$

for any  $t \in (-1, 0) \cup (0, 1/3)$ , where  $B$  is an  $\text{Ad}(G)$ -invariant scalar product and  $X_H$  denotes the orthogonal projection of  $X$  to  $\mathbf{H}$ .

In particular, the group  $U = U_{klpq}$  acts on  $G$  by isometries. Consequently, if  $(k, l, p, q)$  is admissible, there exists a unique metric  $\langle \cdot, \cdot \rangle$  on the orbit space  $M = G/U$  such that  $\pi: G \rightarrow M$  becomes a Riemannian submersion. Its curvature is given by O'Neill's formula [9, p. 465]: If  $X, Y$  are local linearly independent vector fields on  $G$  which are orthogonal to the orbits of  $U$  ("horizontal"), and  $K$  denotes the curvature of  $M$  and  $G$ , then

$$(*) \quad K(\pi_* X, \pi_* Y) = K(X, Y) + 3 \| (V_X Y)_v \|^2 / \| X \wedge Y \|^2$$

where the subscript  $v$  denotes the projection to the tangent space of the orbit ("vertical component").

The orbits of the action of  $U$  are

$$F_g = Ug = \{u_1 \cdot g \cdot u_2^{-1}; (u_1, u_2) \in U\}.$$

Its tangent spaces, the vertical subspaces, are denoted by  $T_g F = \{R_{g*} X_1 - L_{g*} X_2; (X_1, X_2) \in U\}$ . We translate this space back to  $\mathbf{G} = T_1 G$  and get

$$V_g := (L_{g*})^{-1} T_g F = \{\text{Ad}(g^{-1}) X_1 - X_2; (X_1, X_2) \in U\}.$$

Now  $U$  is one-dimensional, so for any basis vector  $(x, y)$  we have  $V_g = \mathbb{R} \cdot (y - \text{Ad}(g)^{-1} x)$ . Put  $z(g) = y - \text{Ad}(g)^{-1} x$ , defining a smooth mapping  $z: G \rightarrow \mathbf{G} - \{0\}$ . Its differential is given by  $z_*(L_{g*} w) = [w, \text{Ad}(g)^{-1} x]$  for any  $g \in G, w \in \mathbf{G}$ .

Let  $h(g) \in \text{End } T_g G$  be the orthogonal projection onto the horizontal subspace. This can be expressed in terms of  $z$  as follows: Setting  $\bar{z} = z/\|z\|$ , let  $p(g) \in \text{End } \mathbf{G}$  be the orthogonal projection  $p(g)x = x - \langle x, \bar{z}(g) \rangle \bar{z}(g)$ . Then  $h(g) = L_{g*} p(g) L_{g*}^{-1}$ .

**Lemma.** Let  $U_i, i \in \mathbb{N}$ , be closed one-parameter subgroups of  $G^2$  which act freely on  $G$ . Assume that  $U_i$  is generated by  $(x_i, y) \in \mathbf{G}^2$  where  $y \neq 0$  is fixed and  $x_i \rightarrow 0$ . Let  $U$  be the subgroup of  $G$  generated by  $y$ . Let  $h_i, h \in \Gamma(\text{End } TG)$  be the horizontal projections of the Riemannian submersions  $\pi_i: G \rightarrow G/U_i, \pi: G \rightarrow G/U$  resp. Then the  $h_i$  converge to  $h$  in the  $C^1$ -topology on  $\Gamma(\text{End } TM)$ .

*Proof.* According to the preceding remark, it suffices to show that the mappings  $z_i: G \rightarrow \mathbf{G}, z_i(g) = y - \text{Ad}(g)^{-1} x_i$ , are  $C^1$ -converging to  $z \equiv y$ . This is true since  $x_i \rightarrow 0$  and  $z_{i*}(L_{g*} w) = [w, \text{Ad}(g)^{-1} x_i] \rightarrow 0$  uniformly in  $g$  for any  $w \in \mathbf{G}$ .

Under these assumptions, the sectional curvatures of  $M_i = G/U_i$  converge to the curvature of  $M = G/U$  in the following sense:

**Proposition 22.** Let  $G, M, M_i (i \in \mathbb{N})$  be Riemannian manifolds, and  $\pi: G \rightarrow M, \pi_i: G \rightarrow M_i$  Riemannian submersions with horizontal projections  $h$  and  $h_i$  resp. Assume  $h \rightarrow h_i$  in the  $C^1$ -topology on  $\Gamma(\text{End } TG)$ . Then for any  $g \in G$  and any  $\pi$ -horizontal 2-plane  $P \subset T_g G$ , we have for the sectional curvatures

$$K_{M_i}(\pi_{i*} P) \rightarrow K_M(\pi_* P),$$

and the convergence is uniform in  $P$ .

(Observe that  $\ker \pi_{i*}$  is near to  $\ker \pi_*$  for large enough  $i$ , therefore  $\pi_{i*}$  is isomorphic on the  $\pi$ -horizontal vectors.)

*Proof.* Let  $X, Y$   $\pi$ -horizontal vector fields which span  $P$  at the point  $g$ . Let  $X_i = h_i X$ ,  $Y_i = h_i Y$ . Then  $X_i \rightarrow X$  and  $Y_i \rightarrow Y$  in the  $C^1$ -sense. Since  $\pi_{i*} \circ h_i = \pi_{i*}$ , we have by (\*)

$$K_{M_i}(\pi_{i*} X, \pi_{i*} Y) = K_G(X_i, Y_i) + 3 \|(I - h_i)(\nabla_{X_i} Y_i)\|^2 / \|X_i \wedge Y_i\|^2$$

which clearly converges to  $K_M(X, Y)$  uniformly.

*Remark.* The fibre of  $\pi_i: G \rightarrow M_i = G/U_i$  through  $1 \in G$  is the subgroup generated by  $y - x_i$  which passes through  $g_i := \exp 2\pi x_i \neq 1$  (assume  $y$  to be chosen such that  $\exp 2\pi y = 1$ ). Since  $g_i \rightarrow 1$ , the cut locus distance of  $M_i$  at  $\pi_i(1)$  gets arbitrarily small for large  $i$ . One easily shows the same fact at  $\pi_i(g)$  for any  $g \in G$ . Thus the spaces  $M_i$  and  $M$  are geometrically very different, even locally.

3. Homotopy and Integral Cohomology of  $SU(3)/U_{klpq}$

31. Let  $G = SU(3)$ ,  $U(1) \cong U \subset G \times G$  a group which acts freely on  $G$  by right and left translations. The orbit space  $M = G/U$  has the following homotopy groups:

**Proposition 31.**

$$\begin{aligned} \pi_1(M) &= 0, & \pi_2(M) &= \mathbb{Z}, \\ \pi_i(M) &= \pi_i(SU(3)) & \text{for } i \geq 3, \end{aligned}$$

in particular  $\pi_3(M) = \mathbb{Z}$ ,  $\pi_4(M) = 0$ .

This follows from the exact homotopy sequence of the fibration  $G \rightarrow M$  and [4; § 6, p. 428].

32. Now consider the cohomology. It is well known that  $H^*G$  is the exterior  $\mathbb{Z}$ -algebra with generators  $z_3 \in H^3(G)$  and  $z_5 \in H^5(G)$ . Let  $B_G$  be a classifying space for  $G$ . Then  $H^*B_G = \mathbb{Z}[\bar{z}_3, \bar{z}_5]$ , where  $\bar{z}_i \in H^{i+1}(B_G)$  corresponds to  $z_i$  under transgression [5, p. 171]. Let  $T \subset G$  be the torus of diagonal matrices. We may identify  $H^*B_G$  with the ring  $I_G$  of polynomials on  $H_1T$  which are invariant under the Weyl group  $W(G)$  [5, p. 194, 199]. One can specify the generators  $\bar{z}_3, \bar{z}_5$  in this representation as follows: Let  $L$  be the lattice of integral matrices in  $T$ , i.e.  $L = \{X \in T; \exp 2\pi X = 1\}$ . Note that any  $X \in L$  corresponds to a 1-cycle  $t \mapsto \exp tX: [0, 2\pi] \rightarrow T$ , and any integral linear form  $x \in L^* := \{y \in T^*; y(L) \subset \mathbb{Z}\}$  corresponds to a 1-cocycle. This defines isomorphisms which identify  $L$  with  $H_1T$  and  $L^*$  with  $H^1T$ .

**Proposition 32.** Let  $G, T, L$  as above,  $A_1 = i \cdot \text{diag}(1, 0, -1)$  and  $A_2 = i \cdot \text{diag}(0, 1, -1)$  a base of  $L$ ,  $a_1$  and  $a_2$  the dual base of  $L^*$ . Then we have (up to sign):

$$\begin{aligned} \bar{z}_3 &= \bar{a}_1^2 + \bar{a}_2^2 + \bar{a}_1 \bar{a}_2, \\ \bar{z}_5 &= \bar{a}_1 \bar{a}_2 (\bar{a}_1 + \bar{a}_2) \end{aligned}$$

where  $\bar{a}_i \in H^2 B_T$  corresponds to  $a_i \in H^1 T$  under transgression in the universal bundle  $E_T \rightarrow B_T$ .

*Proof.* Let  $T' \supset T$  be the set of diagonal matrices of  $U(3)$ . We may extend the linear form  $a_i$  to  $T'$ , this is the projection to the  $i$ -th coordinate ( $i=1$  or  $2$ ). Let  $a_3 \in T'^*$  be the projection on the third coordinate. Since  $W(U(3)) = W(SU(3))$  is the permutation group of the coordinates,  $I_{U(3)}$  is the Symmetric Algebraic Algebra  $S(a_1, a_2, a_3)$  which is generated by the polynomials

$$\begin{aligned} p_1 &= a_1 + a_2 + a_3, & p_2 &= a_1 a_2 + a_2 a_3 + a_3 a_1, \\ p_3 &= a_1 a_2 a_3. \end{aligned}$$

Now  $T$  is the subset of  $T'$  where  $a_1 + a_2 + a_3 = 0$ . Hence on  $T$  we have  $p_1 = 0$ ,  $p_2 = -(a_1^2 + \bar{a}_2^2 + a_1 a_2)$ ,  $p_3 = -a_1 a_2 (a_1 + a_2)$ . So  $p_2|_T$  and  $p_3|_T$  generate  $I_{SU(3)}$  and the result follows from [5], Proposition 27.1: Observe that  $\bar{a}_i$  has degree 2, while  $\text{degree}(\bar{z}_3) = 4$ ,  $\text{degree}(\bar{z}_5) = 6$ .

**33.** Let  $G$  be a compact Lie group and  $U$  a closed subgroup of  $G^2 = G \times G$  which acts on  $G$  by right and left translation. Assume that this action is free. Then the orbit space  $M = G/U$  is a smooth manifold and the projection  $\pi: G \rightarrow M$  a principal bundle with structure group  $U$ . Let  $\pi_U: E_U \rightarrow B_U$  be a classifying bundle for  $U$ . Consider the following commutative diagram (compare [5], p. 167, Diagram 18.4, and p. 168)

$$(D1) \quad \begin{array}{ccccc} G & \longrightarrow & E_U \times G & \longrightarrow & E_U \\ \downarrow & & \downarrow & & \downarrow \\ M & \longrightarrow & G//U & \longrightarrow & B_U \end{array}$$

where  $G//U$  denotes the orbit space of  $E_U \times G$  under the product action of  $U$ . The left horizontal arrows represent cohomology isomorphisms since the fibres of these maps are homeomorphic to  $E_U$  which is acyclic. We consider the spectral sequence of the bundle  $p: G//U \rightarrow B_U$  with fibre  $G$ . It starts with  $E_2 = H^* B_U \otimes H^* G$  and converges to  $H^* M$ . The next step is to compute its differentials.

Let  $E_{G^2} \rightarrow B_{G^2}$  be a classifying bundle of  $G^2$ . Since  $U \subset G^2$ , we can choose  $E_U = E_{G^2}$ ,  $B_U = E_{G^2}/U$ . Thus we get a natural projection  $\rho: B_U \rightarrow B_{G^2}$ . This extends to a bundle map

$$(D2) \quad \begin{array}{ccc} G//U = (E_{G^2} \times G)/U & \xrightarrow{\bar{p}} & (E_{G^2} \times G)/G^2 \\ \downarrow p & & \downarrow p' \\ B_U & \xrightarrow{\rho} & B_{G^2} \end{array}$$

which is a homeomorphism on the fibres. The bundle  $p'$  is well known: Let  $\delta: G \rightarrow G^2$  be the diagonal imbedding, and  $B_G := E_{G^2}/\delta G$ . Then  $E_{G^2} \rightarrow B_G$  is a classifying bundle for  $G$ . Moreover, the projection  $\Delta: B_G \rightarrow B_{G^2}$  is a bundle with fibre  $G$ , and the mapping  $f: E_{G^2}/\delta G \rightarrow (E_{G^2} \times G)/G^2$  which is well defined by  $\delta G e \mapsto G^2(e, 1)$  for all  $e \in E_{G^2}$ , establishes a bundle isomorphism between  $p'$  and  $\Delta$ .

**34.** The next step is to compute the spectral sequence of the bundle  $\Delta: B_G \rightarrow B_{G^2}$  for  $G = SU(3)$ . We can choose  $B_{G^2} := B_G \times B_G$  as a classifying space, hence  $H^*(B_{G^2}) = H^*B_G \otimes H^*B_G = \mathbb{Z}[\bar{x}_3, \bar{y}_3, \bar{x}_5, \bar{y}_5]$  with  $\bar{x}_i := \bar{z}_i \otimes 1$ ,  $\bar{y}_i := 1 \otimes \bar{z}_i$ . The spectral sequence of  $\Delta$  starts with  $E_2 = H^*B_{G^2} \otimes H^*G$ . Call  $k_j: H^*B_{G^2} \rightarrow E_j^{*0}$  the natural projections. It is a general fact that  $\Delta^* = k_\infty: H^*B_{G^2} \rightarrow E_\infty^{*0} \subset H^*B_G$ . [5, p. 128].

**Proposition 34.** *The differentials  $d_j: E_j \rightarrow E_j$  are given by*

- (1)  $d_j(1 \otimes z_i) = 0$  for  $j \leq i$
- (2)  $d_{i+1}(1 \otimes z_i) = \pm k_{i+1}(\bar{x}_i - \bar{y}_i)$  for  $i = 3$  and  $i = 5$ .

*Proof.* Equation (1) follows immediately since  $d_j(1 \otimes z_i) \in E_j^{i-j+1}$  which vanishes already in  $E_2$  for  $j \leq i$ .

Using the fact that  $H^*B_G$  can be identified with the subset  $I_G$  of  $H^*B_T$ , it is easy to see that

$$\Delta^*(u \otimes 1) = \Delta^*(1 \otimes u) = u$$

for every  $u \in H^*B_G$ . Thus, the kernel of  $\Delta^*$  is the ideal  $(\bar{x}_3 - \bar{y}_3, \bar{x}_5 - \bar{y}_5) \subset H^*B_G$ . We have  $d_2 = d_3 = 0$ , hence  $E_2 = E_4$ , and

$$d_4(E_4^{03}) = \ker k_5 \cap E_2^{40} = \ker k_\infty \cap E_2^{40} = \mathbb{Z}(\bar{x}_3 - \bar{y}_3)$$

since  $E_5^{40} = E_\infty^{40}$ . Since  $E_4^{03} = \mathbb{Z}(1 \otimes z_3)$ , we get (2) for  $i = 3$ . Using (1), we conclude

$$E_5 = E_6 = (H^*B_{G^2}/(\bar{x}_3 - \bar{y}_3)) \otimes (\mathbb{Z} \cdot 1 \oplus \mathbb{Z} \cdot z_5).$$

Hence  $k_6(\bar{x}_5 - \bar{y}_5)$  is irreducible in  $E_6^{*0}$  and  $E_6^{05} = \mathbb{Z}(1 \otimes z_5)$ . By the same argument as above, (2) follows for  $i = 5$ .

**35.** Now consider the Diagram D2. Let  $E$  and  $E'$  be the spectral sequences of the bundles  $p: G//U \rightarrow B_U$  and  $\Delta: B_G \rightarrow B_{G^2}$  resp. The bundle map  $(\hat{\rho}, \rho)$  sending  $p$  to  $\Delta$  induces homomorphisms of differential algebras  $\rho_r^*: E'_r \rightarrow E_r$ . Note that  $\rho_2^* = \rho^* \otimes \hat{\rho}_F^*$ , where  $\hat{\rho}_F$  is the mapping of the fibres:  $\hat{\rho}_F = \hat{\rho}|_{p^{-1}(b)}: p^{-1}(b) \rightarrow \Delta^{-1}(\rho(b))$  where  $b \in B_U$  is arbitrary. This is a homeomorphism, which becomes the identity if we identify the fibres suitably with  $G$ . Using that  $\rho_r^*$  commutes with  $d_r$  and  $\rho_{r+1}^*$  is the  $d_r$ -cohomology of  $\rho_r^*$ , we get by induction from Proposition 34:

**Proposition 35.** Let  $G = SU(3)$ ,  $U$  a fixed point free closed subgroup of  $G^2$ . Let  $\rho: B_U \rightarrow B_{G^2}$  be the map induced by the imbedding  $U \subset G^2$ . Then the differentials of the spectral sequence of  $p: G//U \rightarrow B_U$  are as follows:

$$\begin{aligned} d_j(1 \otimes z_i) &= 0 \quad \text{for } j \leq i, \\ d_{i+1}(1 \otimes z_i) &= \pm k_{i+1} \rho^*(\bar{x}_i - \bar{y}_i) \end{aligned}$$

for  $i = 3$  and  $i = 5$ , where  $k_j: H^*B_U \rightarrow E_j^{*0}$  denotes the natural projections in this spectral sequence.

*Remark.* An analogous statement is true for  $G = SU(n)$ ,  $U(n)$  and  $Sp(n)$  if one chooses a suitable set of generators  $z_i$  for the exterior  $\mathbb{Z}$ -algebra  $H^*G$ .

**36.** Now let  $U \subset G \times G$  as in 31. We may assume that  $U = U_{klpq}$  with  $(k, l, p, q)$  admissible (see 21.). We will compute the cohomology of  $M = G/U$  by the method indicated in 35.

**Proposition 36.**  $H^*M$  is generated by  $w \in H^2(M)$ ,  $z \in H^5(M)$ , and the following relations hold:

$$rw^2 = 0, w^3 = 0, zw^2 = 0, z^2 = 0$$

with  $r := |(k^2 + l^2 + kl) - (p^2 + q^2 + pq)|$ .

*Proof.* Let  $W = i$  be the generator of  $U(1) = i \cdot \mathbb{R}$  and  $\rho_*: U(1) \rightarrow T^2$  the inclusion of  $U$ . Choose the base  $V_1 = (A_1, 0)$ ,  $V_2 = (A_2, 0)$ ,  $V_3 = (0, A_1)$ ,  $V_4 = (0, A_2)$  of  $L^2$  (same notations as in 32.). Then

$$\rho_*(W) = kV_1 + lV_2 + pV_3 + qV_4.$$

If  $w; v_1, \dots, v_4$  are the dual bases, we have

$$\rho^*(v_1) = kw, \rho^*(v_2) = lw, \rho^*(v_3) = pw, \rho^*(v_4) = qw.$$

Call  $x_i = z_i \otimes 1$  and  $y_i = 1 \otimes z_i$  for  $i = 1$  and  $2$  the generators of  $H^*G \otimes H^*G = H^*G^2$ . It follows from 32. that the generators of  $H^*B_{G^2}$ , corresponding under transgression, are

$$\begin{aligned} \bar{x}_3 &= \bar{v}_1^2 + \bar{v}_2^2 + \bar{v}_1 \bar{v}_2, \bar{y}_3 = \bar{v}_3^2 + \bar{v}_4^2 + \bar{v}_3 \bar{v}_4, \\ \bar{x}_5 &= \bar{v}_1 \bar{v}_2 (\bar{v}_1 + \bar{v}_2), \bar{y}_5 = \bar{v}_3 \bar{v}_4 (\bar{v}_3 + \bar{v}_4). \end{aligned}$$

Hence

$$\begin{aligned} \rho^*(\bar{x}_3 - \bar{y}_3) &= ((k^2 + l^2 + kl) - (p^2 + q^2 + pq)) \bar{w}^2, \\ \rho^*(\bar{x}_5 - \bar{y}_5) &= (kl(k + l) - pq(p + q)) \bar{w}^3. \end{aligned}$$

Now consider the spectral sequence of  $p: G//U \rightarrow B_U$  as in Proposition 35. It starts with  $E_2 = E_3 = E_4 = H^*B_U \otimes H^*G$ . Since  $d_4(1 \otimes z_3) = (\bar{x}_3 - \bar{y}_3) = r\bar{w}^2$ , we conclude  $\ker d_4 = B_U \otimes \langle 1, z_5 \rangle$ , and  $\text{im } d_4$  is the ideal in  $\ker d_4$  generated by  $r\bar{w}^2 \otimes 1$ , hence

$$E_5 = E_6 = (\mathbb{Z}[\bar{w}]/(r\bar{w}^2)) \otimes \langle 1, z_5 \rangle.$$

Now  $d_6(1 \otimes z_5) = (\bar{x}_5 - \bar{y}_5) = s k_6(\bar{w}^3)$  with  $s = kl(k + l) - pq(p + q)$ . Claim:  $r$  and  $s$  are relatively prime. In fact, if there was a prime number  $n$  dividing both  $r$  and  $s$ , we would have  $\sigma_i(k, l, -(k + l)) \equiv \sigma_i(p, q, -(p + q)) \pmod{n}$ , for  $i = 1, 2, 3$ , denoting by  $\sigma_i$  the  $i$ -th elementary symmetric polynomial in three variables. But then  $(k, l, -(k + l))$  and  $(p, q, -(p + q))$  would be congruent  $\pmod{n}$  up to a permutation of the three variables which is excluded by the very fact that  $(k, l, p, q)$  is an admissible quadrupel (see 21). Hence  $d_6(n(1 \otimes z_5)) = 0$  only if  $n$  is a multiple of  $r$ . It follows that  $\ker d_6 = (\mathbb{Z}[\bar{w}]/(r\bar{w}^2)) \otimes \langle 1, rz_5 \rangle$ , and  $\text{im } d_6$  is the ideal in  $\ker d_6$  generated by  $\bar{w}^3 \otimes 1$ . So

$$E_7 = E_\infty = (\mathbb{Z}[\bar{w}]/(r\bar{w}^2, \bar{w}^3)) \otimes \langle 1, rz_5 \rangle = H^*M.$$

So we have completed the proof, setting  $w = k_\infty(\bar{w})$  and  $z = r(1 \otimes z_5)$ .<sup>1</sup>

<sup>1</sup> A similar proof for the homogeneous case  $k = l = 0$  was communicated to us by W. Ziller.



#### 4. Homogeneous Spaces with Similar Homotopy

We call a compact topological space strongly inhomogeneous if it is not homotopy equivalent to any compact Riemannian homogeneous space. The goal of this section is the proof of the following

**Theorem.** Let  $\bar{G} = SU(3)$ ,  $U(1) \cong U \subset \bar{G}^2$  fixed point free,  $M = \bar{G}/U$ . Assume that  $H^4(M) = \mathbb{Z}_r$  with

$$r \equiv 2(3).$$

Then  $M$  is strongly inhomogeneous.

*Proof.* Assume that  $M$  is homotopy equivalent to a compact Riemannian manifold  $M'$  with transitive isometry group  $G$ . Call  $H$  the isotropy subgroup of a fixed element  $m \in M$ . Then  $M' = G/H$ .

1. The first homotopy groups of  $M'$  are given in 41. Moreover, it is known for any compact Lie group that  $\pi_2 = 0$  and  $\pi_3 = \mathbb{Z}^k$  where  $k$  is the number of simple factors of the Lie algebra [4]. Thus we derive from the exact homotopy sequence of the fibration  $H \rightarrow G \rightarrow M'$ :

- (1)  $\pi_0(H) = \pi_0(G)$ ,
- (2)  $0 \rightarrow \mathbb{Z} \rightarrow \pi_1(H) \rightarrow \pi_1(G) \rightarrow 0$  is exact,
- (3)  $\pi_3(H) = \pi_3(G) \times \mathbb{Z}$ .

By (1), we may assume that  $G, H$  are both connected since  $G_0/H_0 = G/H$ . From the sequence (2) it follows that  $\text{rank}(\pi_1(H)) = \text{rank}(\pi_1(G)) + 1$ . Hence, if  $\mathbf{G} = \mathbf{G}' \times \mathbf{T}$  where  $\mathbf{G}'$  is semisimple and  $\mathbf{T}$  an  $l$ -torus, then  $\mathbf{H} = \mathbf{H}' \times \mathbf{S}$  with  $\mathbf{H}'$  semisimple and  $\mathbf{S}$  an  $(l+1)$ -torus. Moreover, by (3),  $\mathbf{G}'$  has  $k+1$  simple factors if  $\mathbf{H}'$  has  $k$ .

2. Let  $G'$  be the simply connected group with Lie algebra  $\mathbf{G}'$ . Then  $\hat{G} := G' \times T$  is a covering group of  $G$ . Call  $\pi: \hat{G} \rightarrow G$  the covering homomorphism,  $\hat{H} := \pi^{-1}(H)$ . Hence we get a covering map  $\bar{\pi}: \hat{G}/\hat{H} \rightarrow G/H$  which is in fact a diffeomorphism since  $M' = G/H$  is simply connected. Thus we assume from now on that  $G = G' \times T$ , where  $G'$  is a simply connected semisimple compact group and  $T$  an  $l$ -torus.

3. Since the Lie algebra  $\mathbf{H}' \subset \mathbf{H}$  is semisimple, its projection to the abelian factor of  $\mathbf{G}$  is zero, hence  $H' := \exp \mathbf{H}'$  is a subgroup of  $G'$ . Consider the homomorphism  $f = p \circ j \circ i: S \rightarrow T$  which is the composition of the inclusions  $i: S \rightarrow H$ ,  $j: H \rightarrow G$  and the projection  $p: G \rightarrow T$ . Then  $f_*(\pi_1(S))$  has finite index in  $\pi_1(T)$  since  $j_*$  is onto (see (2)),  $p_*$  is isomorphic and  $i_*(\pi_1(S))$  has finite index in  $\pi_1(H)$ . Therefore the subtorus  $f(S)$  of  $T$  has the same rank as  $T$ , so  $f$  is onto. Hence the connected component of its kernel is a circle  $U \subset S$  which is a subgroup of the semisimple factor  $G'$ . Hence  $\mathbf{G}' \cap \mathbf{H} = \mathbf{H}' \times \mathbf{U}$ . A complement  $\mathbf{M}$  of  $\mathbf{H}' \times \mathbf{U}$  in  $\mathbf{G}'$  is also a complement of  $\mathbf{H}$  in  $\mathbf{G}$ . Set  $H'' := \exp(\mathbf{H}' \times \mathbf{U}) \subset G'$ . Then the mapping  $gH'' \mapsto (g, 1)H: G'/H'' \rightarrow G/H$  is a covering map and hence a diffeomorphism. Replacing  $G$  and  $H$  with  $G'$  and  $H''$ , we may assume:  $G$  is simply connected and semisimple, and  $\mathbf{H} = \mathbf{H}' \times \mathbb{R}$  with semisimple factor  $\mathbf{H}'$ .

4. We want to determine the possible simple components of  $\mathbf{G}$  and  $\mathbf{H}'$ .  $M$  and  $M'$  are both compact, orientable and homotopically equivalent. Hence  $\dim M' = \dim M = 7$ . Thus the isotropy group  $H$  is a subgroup of  $O(7)$ . It follows that  $\text{rank } \mathbf{H}' \leq 2$ , so  $\mathbf{H}'$  is one of the following compact Lie algebras:

$$0; A_1; A_2; C_2; G_2; A_1 \times A_1.$$

Since  $\dim G = \dim H' + 8$  and  $\mathbf{G}$  has one simple factor more than  $\mathbf{H}'$ , the corresponding Lie algebra  $\mathbf{G}$  can only be out of the following ones:

$$A_2; A_2 \times A_1; A_2 \times A_2; C_2 \times A_2 \quad \text{or} \quad A_3 \times A_1; \\ G_2 \times A_2; A_2 \times A_1 \times A_1.$$

Thus we have to consider seven pairs of Lie algebras  $(\mathbf{G}, \mathbf{H}' \times \mathbb{R})$ . A pair by pair inspection of the possible imbeddings of  $\mathbf{H}' \times \mathbb{R}$  in  $\mathbf{G}$  shows that  $M' = G/H$  is never homotopy equivalent to  $M$ . In particular, this is true for the first pair  $(A_2, \mathbb{R})$  since then  $M'$  is a Wallach space  $M_{p,q}$  with  $H^4(M') = \mathbb{Z}_{r'}$ ,  $r' = p^2 + q^2 + pq \not\equiv 2(3)$  for arbitrary  $p, q \in \mathbb{Z}$ . We will discuss the details of the remaining pairs in the appendix.

## 5. Strongly Inhomogeneous Spaces Near to Wallach Spaces

**Theorem.** *For any Wallach space  $M = M_{p,q}$  with  $pq(p+q) \neq 0$  there exists a sequence of simply connected strongly inhomogeneous Riemannian manifolds  $M_i$  of distinct homotopy type the curvatures of which approach the curvatures of  $M$  in the sense of Proposition 22.*

*Proof.* In the view of §4, all we have to show is: There are infinitely many positive integers  $n = n_i$  such that the quadruple  $(1, 0, np, nq)$  is admissible and  $r = n^2(p^2 + q^2 + pq) - 1 \equiv 2(3)$ . This last condition is satisfied if we choose  $n \equiv 0(3)$ . Moreover, the following pairs of integers have to be relatively prime (see 21.):

$$(np - 1, nq), (np - 1, ns), (ns + 1, np), \\ (nq - 1, np), (nq - 1, ns), (ns + 1, nq)$$

where we have set  $s = p + q$ . Let  $\{a_1, \dots, a_k\}$  be the set of all prime numbers which divide  $pq$ . Then

$$n = n_i = 3i a_1 a_2 \dots a_k$$

clearly satisfies all conditions for arbitrary  $i \in \mathbb{N}$ . Set  $M_i = M_{l, 0, n_i, p, n_i, q}$ .

**Remarks. 1.** By Proposition 22, the curvature of  $M_i$  is strictly positive if  $p, q > 0$  and  $i$  large, and the pinching of  $M = M_{p,q}$  is approximated; e.g. we have  $K_{\min}/K_{\max} = 16/29 \cdot 37$  for  $p = q = 1$ ,  $t = -1/2$  (parameter of the metric) as was shown by Hua-Min Huang [8].

**2.** It can be shown that in fact  $M_i$  has strictly positive curvature for any positive integer  $i$ , if  $p$  and  $q$  are positive.

3. There are many spaces of type  $G/U_{klpq}$  which cannot be distinguished by cohomology, even among the homogenous ones ( $k=l=0$ ): e.g.  $M_{1,9}$  and  $M_{5,6}$ . It would be interesting to know whether these are topologically different.

### Appendix 3 – The Remaining Homogeneous Spaces $M'$ which are Similar to $M=SU(3)/U_{klpq}$ (see § 4)

Let  $(\mathbf{G}, \mathbf{H})$  be one of the pairs of § 4, Sect. 4, except the first one. We denote by  $\text{pr}_i: \mathbf{H} \rightarrow \mathbf{G}_i$  the projection of the subalgebra  $\mathbf{H}$  of  $\mathbf{G}$  to the  $i^{\text{th}}$  factor  $\mathbf{G}_i$  of  $\mathbf{G}$ .

#### 1. $(A_2 \times A_2, A_2 \times \mathbb{R})$

Either  $\text{pr}_i A_2 = 0$  for  $i=1$  or 2, or  $A_2$  is the diagonal subalgebra of  $A_2 \times A_2$ . In the first case, it follows that  $\text{pr}_j \mathbb{R} = 0$  for  $j \neq i$ , hence  $M' = SU(3)/U(1)$  is a Wallach space which is not homotopically equivalent to  $M$  as was proved above. The second case is impossible since the diagonal subalgebra of  $A_2 \times A_2$  has no centralizer.

#### 2. $(C_2 \times A_2, C_2 \times \mathbb{R})$

Then  $\text{pr}_2 C_2 = 0$ ,  $\text{pr}_1 \mathbb{R} = 0$  and hence  $M' = SU(3)/U(1) \not\cong M$ .

#### 3. $(A_3 \times A_1, C_2 \times \mathbb{R})$

Then  $\text{pr}_2 C_2 = 0$ . The homogeneous space corresponding to the pair  $(A_3, C_2) = (D_3, B_2)$  is the 5-sphere  $S^5 = SO(6)/SO(5)$ . Since the isotropy group  $G_p = SO(5)$  of some  $p \in S^5$  has no fixed vector on  $T_p S^5 = \mathbb{R}^5$ , it has no centralizer in  $SO(6)$ . Therefore,  $\text{pr}_1 \mathbb{R} = 0$ . It follows that  $M' = S^5 \times S^2 \not\cong M$  since  $H^4(M') = 0$ .

#### 4. $(G_2 \times A_2, G_2 \times \mathbb{R})$

Then  $\text{pr}_2 G_2 = 0$ ,  $\text{pr}_1 \mathbb{R} = 0$ , hence  $M' = SU(3)/U(1) \not\cong M$ .

#### 5. $(A_2 \times A_1, A_1 \times \mathbb{R})$

Up to equivalence, there are two representations of  $A_1$  in  $A_2$ , corresponding to the standard imbeddings  $\mathbf{SO}(3) \subset \mathbf{SU}(3)$  and  $\mathbf{SU}(2) \subset \mathbf{SU}(3)$ . Call the first  $f_1$ , the second  $f_2$ . Consequently, there are the following imbeddings of  $A_1$  in  $A_2 \times A_1$ :

$$(0, id), (f_1, 0), (f_2, 0), (f_1, id), (f_2, id).$$

a)  $(0, id)$ : Then  $\text{pr}_2 \mathbb{R} = 0$ , hence  $M' = SU(3)/U(1) \not\cong M$ .

b)  $(f_1, 0)$ : There is no centralizer of  $\mathbf{SO}(3)$  in  $\mathbf{SU}(3)$ .

It follows  $\text{pr}_1 \mathbb{R} = 0$ , consequently  $M' = SU(3)/SO(3) \times S^2 \not\cong M$  since  $w^2 = 0$  for the generator  $w$  of  $H^2(S^2) \subset H^2(M')$ .

c)  $(f_2, 0)$ : More precisely, we choose the imbedding  $f_2: \mathbf{SU}(2) \rightarrow \mathbf{SU}(3)$  in the first two coordinates, which has centralizer  $\mathbb{R} \cdot Z$ ,  $Z := i \cdot \text{diag}(1, 1, -2)$ . Hence the factor  $\mathbb{R}$  can be an arbitrary line with rational slope in the plane spanned by  $(Z, 0)$  and  $(0, Y)$  in  $\mathbf{G} = \mathbf{SU}(3) \times \mathbf{SU}(2)$ , where  $Y := i \cdot \text{diag}(1, -1) \in \mathbf{SU}(2)$ . Up to conjugation, these are all possible imbeddings. Let  $U_1 = (Y, 0)$  and  $U_2 = (0, 1)$  be a basis of the lattice  $\exp_S^{-1}(1) = H_1(S)$  (natural identification), where  $S$  is

the maximal torus of  $H$ . Likewise, we have the basis  $V_1=(i \operatorname{diag}(1, 0, -1), 0)$ ,  $V_2=(i \operatorname{diag}(0, 1, -1), 0)$ ,  $V_3=(0, Y)$  of the lattice  $\exp_T^{-1}(1)=H_1(T)$  for the maximal torus  $T$  of  $G$ . Call  $\rho_*: \mathbf{S} \rightarrow \mathbf{T}$  the imbedding  $(f_2, 0)|_{\mathbf{S}}$ . Then we have

$$\begin{aligned}\rho_*(U_1) &= V_1 - V_2, \\ \rho_*(U_2) &= k \cdot (V_1 + V_2) + l \cdot V_3\end{aligned}$$

where  $k, l$  are the relative prime integers which correspond to the imbedding of  $\mathbb{R}$ . The transposed map  $\rho^*: H^1(T) \rightarrow H^1(S)$  is given by

$$\begin{aligned}\rho^*(v_1) &= u_1 + k \cdot u_2, \\ \rho^*(v_2) &= -u_1 + k \cdot u_2, \\ \rho^*(v_3) &= l \cdot u_2\end{aligned}$$

where  $u_i, v_j$  are the dual bases.

Call  $y_3, z_3, z_5$  the generators of  $H^3(SU(2))$ ,  $H^3(SU(3))$ ,  $H^5(SU(3))$ . The invariant polynomials which generate  $H^*(B_G)$ ,  $G = SU(3) \times SU(2)$ , are the following (see 32.):

$$\begin{aligned}\bar{y}_3 &= \bar{v}_3^2, \\ \bar{z}_3 &= \bar{v}_1^2 + \bar{v}_2^2 + \bar{v}_1 \bar{v}_2, \\ \bar{z}_5 &= \bar{v}_1 \bar{v}_2 (\bar{v}_1 + \bar{v}_2).\end{aligned}$$

The images under the induced map  $\rho^*: H^*B_G \rightarrow H^*B_H$  are

$$\begin{aligned}\rho^*(\bar{y}_3) &= l^2 \bar{u}_2^2, \\ \rho^*(\bar{z}_3) &= \bar{u}_1^2 + 3k^2 \cdot \bar{u}_2^2, \\ \rho^*(\bar{z}_5) &= 2k \cdot (-\bar{u}_1^2 \bar{u}_2 + k^2 \cdot \bar{u}_2^3).\end{aligned}$$

As in 33., we consider the spectral sequence of  $p: G//H \rightarrow B_H$  which starts with  $E_2 = H^*B_H \otimes H^*G$ . It was shown by Borel [5, p. 180] that  $1 \otimes y_3, 1 \otimes z_3 \in E_4$  and  $1 \otimes z_5 \in E_6$  with

$$\begin{aligned}d_4(1 \otimes y_3) &= k_4 \rho^* \bar{y}_3, \\ d_4(1 \otimes z_3) &= k_4 \rho^* \bar{z}_3, \\ d_6(1 \otimes z_5) &= k_5 \rho^* \bar{z}_5\end{aligned}$$

where  $d_i$  are the differentials and  $k_i: H^*B_H \rightarrow E_i^{*0}$  the natural projections. From this we derive the cohomology of  $M'$ , in particular:

$$H^4(M') = \mathbb{Z} \bar{u}_1^2 \otimes \mathbb{Z} \bar{u}_2^2 / L$$

where  $L$  is the sublattice generated by  $\bar{u}_1^2 + 3k \bar{u}_2^2$  and  $l^2 \bar{u}_2^2$ . Since the determinant of these two vectors is  $l^2$ ,  $H^4(M')$  is a finite group of order  $l^2$ . But it was assumed for the order  $r$  of  $H^4(M)$  that  $r \equiv 2 \pmod{3}$  which fails for  $l^2$ . Hence  $M \not\cong M'$ .

d)  $(f_1, id)$  This is impossible since  $\operatorname{pr}_2 \mathbb{R} = 0$  and, by b), also  $\operatorname{pr}_1 \mathbb{R} = 0$ .

e)  $(f_2, id)$  Again we have  $\operatorname{pr}_2 \mathbb{R} = 0$ . Hence the mapping  $\rho_* = (f_2, id)|_{\mathbf{S}}: \mathbf{S} \rightarrow \mathbf{T}$  is as follows (same notation as in c):

$$\begin{aligned}\rho_*(U_1) &= V_1 - V_2 + V_3, \\ \rho_*(U_2) &= V_1 + V_2.\end{aligned}$$

It follows for the induced map  $\rho^*: H^*B_G \rightarrow H^*B_H$ :

$$\begin{aligned}\rho^*(\bar{y}_3) &= \bar{u}_1, \\ \rho^*(\bar{z}_3) &= \bar{u}_1^2 + 3\bar{u}_2^2, \\ \rho^*(\bar{z}_5) &= -2\bar{u}_1^2\bar{u}_2 + 2\bar{u}_2^3\end{aligned}$$

(compare c)). In particular,  $H^4(M')$  is generated by  $k_4(\bar{u}_2^2)$  with  $3k_4(\bar{u}_2^2)=0$ . But  $r=\text{ord}(H^4M)\neq 3$ , hence  $M\not\cong M'$ .

## 6. $(A_2 \times A_1 \times A_1, A_1 \times A_1 \times \mathbb{R})$

The imbeddings of  $\mathbf{H}' = A_1 \times A_1$  into  $\mathbf{G} = A_2 \times A_1 \times A_1$  are given by  $2 \times 3$ -matrices of homomorphisms  $a_{ij}: \mathbf{H}_i \rightarrow \mathbf{G}_j$  ( $i=1, 2; j=1, 2, 3$ ). Observe that  $a_{1j} \neq 0$  implies  $a_{2j}=0$  and vice versa. Then, up to conjugation and permutation of isomorphic factors, the following imbeddings exist:

$$\begin{aligned}\text{a) } & \begin{pmatrix} f_k & 0 & 0 \\ 0 & id & id \end{pmatrix}, & \text{b) } & \begin{pmatrix} f_k & 0 & 0 \\ 0 & id & 0 \end{pmatrix}, \\ \text{c) } & \begin{pmatrix} f_k & id & 0 \\ 0 & 0 & id \end{pmatrix}, & \text{d) } & \begin{pmatrix} 0 & id & 0 \\ 0 & 0 & id \end{pmatrix}\end{aligned}$$

where  $f_k: A_1 \rightarrow A_2$  ( $k=1, 2$ ) are the representations used in 5.

a)  $\mathbf{H}_2$  is diagonally imbedded in  $A_1 \times A_1$ . This is the canonical imbedding  $\mathbf{SO}(3) \subset \mathbf{SO}(4)$  which has no centralizer since  $SO(3)$  has no fixed vector on the tangent space of  $SO(4)/SO(3) = S^3$ . Hence  $\mathbf{H}_3 = \mathbb{R}$  is mapped into the first factor. It follows from 5c) that only  $k=2$  is possible. Thus  $M' = SU(3)/U(2) \times S^3 = \mathbb{C}P^2 \times S^3 \not\cong M$  since  $H^4(M') \not\cong \mathbb{Z}$ .

b)  $\mathbf{H}_3 = \mathbb{R}$  is mapped to  $\mathbf{G}_1 \times \mathbf{G}_3$ , and the pair can be reduced to  $(A_2 \times A_1, A_1 \times \mathbb{R})$  which was treated in 5.

c) Same argument as in b).

d)  $\mathbf{H}_3 = \mathbb{R}$  is mapped to  $\mathbf{G}_1 = A_2$ , and  $M' = SU(3)/U(1) \not\cong M$ .

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