

# Pluriharmonic maps of maximal rank

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## 1 Introduction

Let  $M$  be a Kähler manifold and  $J$  its complex structure. The complexified tangent bundle  $T^cM$  splits into the two eigenbundles  $T'M$  and  $T''M$  of  $J$  corresponding to the eigenvalues  $\pm i$ , and any multi-linear map on  $T^cM$  splits accordingly. Further, let  $P = \widehat{G}/K$  a Riemannian symmetric space. A smooth map  $f : M \rightarrow P$  is called *pluriharmonic* if the  $(1,1)$ -part of its hessian  $Ddf$  (the so-called *Levi form*) vanishes.<sup>1</sup> In

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<sup>1</sup> In fact, this does not depend on the choice of the Kähler metric: A map  $f$  is pluriharmonic iff  $f|_C$  is harmonic for any complex curve  $C \subset M$ .

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To Renato Tribuzy on occasion of his 60th birthday.

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“real” terms this means

$$\text{Ddf}(X, X) + \text{Ddf}(JX, JX) = 0 \tag{1}$$

for any vector field  $X$ . If  $f$  is an immersion which is also *pluriconformal*, i.e.  $J$  is an isometry for the inner product on  $M$  induced by  $f$ , then  $f$  is called *pluriminimal* or *(1,1)-geodesic* (cf. [2]). For pluriharmonic maps,  $df_x(T'_x M)$  is known to be a flat subspace of  $T^c_{f(x)} P$  for any  $x \in M$ , i.e. the (complexified) curvature tensor of  $P$  vanishes on  $df_x(T'_x M)$  (cf. [7] or [3]). Hence the rank of  $df_x$  is bounded by the maximal dimension of a flat subspace of  $\mathfrak{p}^c = \mathfrak{p} \otimes \mathbb{C}$  where  $\mathfrak{p}$  is the Lie triple corresponding to  $P$ , i.e.  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  is the corresponding Cartan decomposition. This dimension can be quite large: If  $P$  happens to be hermitian symmetric with complex structure  $j$ , then the eigenspaces  $\mathfrak{p}'$  and  $\mathfrak{p}''$  of  $j$  (corresponding to  $T'P$  and  $T''P$ ) are flat subspaces. In fact,  $df(T'M) \subset f^*T'P$  would mean that  $f$  is holomorphic which is stronger than pluriharmonic. But the rank of non-holomorphic pluriharmonic maps must satisfy a more restrictive upper bound: We have to look for maximal flat subspaces  $\mathfrak{a} \subset \mathfrak{p}^c$  which are *not* of this type ( $\mathfrak{a} \neq \mathfrak{q}', \mathfrak{q}''$  for any hermitian symmetric subtriple  $\mathfrak{q} \subset \mathfrak{p}$ ). In many cases, the maximal dimension  $r$  of such abelian subspaces is known (cf. [8, 9]). In particular, for complex Grassmannians  $P = G_p(\mathbb{C}^{p+q})$  this number is

$$r = (p - 1)(q - 1) + 1, \tag{2}$$

([9], p. 585; for an elementary proof see [5]). In the present paper, extending the results of [6] we will construct non-holomorphic pluriharmonic maps (immersions) of maximal rank  $r$  with values in complex Grassmannians. All constructed maps enjoy the additional property of being *isotropic*. Recall that pluriharmonic maps always come in so called *associated families* depending on an  $S^1$ -parameter  $\lambda = e^{i\theta}$  (e.g. f. [3]; the best known example is the isometric deformation of the catenoid into the helicoid. If the associated family is trivial, the pluriharmonic map will be called *isotropic*. Such maps are also pluriconformal (cf [2]) and hence (in the immersion case) pluriminimal. We give a classification of all isotropic pluriharmonic maps of maximal rank into complex Grassmannians. However we do not know if there are also non-isotropic pluriharmonic maps which have maximal rank.

## 2 Isotropic pluriharmonic maps

An isotropic pluriharmonic map with values in a compact symmetric space  $P = G/K$  (cf. [3]) is the projection of an holomorphic superhorizontal map into some adjoint orbit  $Z = \text{Ad}(G)\xi \subset \mathfrak{g}$  which forms a fibration over  $P$ , called *twistor fibration*. More precisely,  $\xi \in \mathfrak{g}$  is a so called *canonical element* (cf. [1]) which means that  $\sqrt{-1} \cdot \text{ad}(\xi)$  has integer eigenvalues  $k$  with corresponding eigenspaces  $\mathfrak{g}_k \subset \mathfrak{g}^c$ , and  $\mathfrak{g}_1 + \mathfrak{g}_{-1}$  generates  $\mathfrak{g}^c$  as a Lie algebra. Moreover,  $\sum_{k \text{ even}} \mathfrak{g}_k = \mathfrak{k}^c$  and  $\sum_{k \text{ odd}} \mathfrak{g}_k = \mathfrak{p}^c$  where  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  is the Cartan decomposition corresponding to  $P$ . The subspace  $\mathfrak{p}$  defines a left invariant distribution on the Lie group  $G$  which descends to a distribution on  $Z$ , the *horizontal distribution* of the canonical projection (*twistor projection*)  $\pi : Z \rightarrow P$ . Similarly, the even smaller subspace  $(\mathfrak{g}_1 + \mathfrak{g}_{-1}) \cap \mathfrak{g} \subset \mathfrak{p}$  defines the so called *superhorizontal distribution*, and a map into  $Z$  is called superhorizontal if its differential takes values in this subbundle of  $TZ$ .

If  $P$  is the *complex Grassmannian*  $G_p = G_p(\mathbb{C}^n)$  consisting of all  $p$ -dimensional linear subspaces in  $\mathbb{C}^n$ , the holomorphic superhorizontal maps for all twistor fibrations have been described by F. Burstall (cf. [4], p. 185). The twistor spaces are *classical flag manifolds over  $\mathbb{C}^n$* . Recall that a *flag over  $\mathbb{C}^n$*  can be viewed in two different ways: as a chain of subspaces  $0 = W_0 \subset W_1 \subset \dots \subset W_s = \mathbb{C}^n$  or else as an orthogonal decomposition  $\mathbb{C}^n = E_1 \oplus \dots \oplus E_s$  where  $E_i = W_i \ominus W_{i-1}$ . A flag manifold  $Z$  is the set of all flags of a fixed type where the *type* of a flag  $(W_i) = (E_i)$  is given by the dimensions of the spaces  $W_j$  or  $E_j$ . A map from a manifold  $M$  into  $Z$  is a “moving flag”  $(W_j)$  or  $(E_j)$  where  $W_j$  and  $E_j$  are “moving spaces”, i.e. vector bundles over  $M$  or maps from  $M$  into the corresponding Grassmannian. The twistor projection  $\pi : Z \rightarrow P$  is the map  $(E_i) \mapsto \sum_{j \text{ odd}} E_j \in P = G_p(\mathbb{C}^n)$  with  $p = \sum_{j \text{ odd}} \dim E_j$ . If  $M$  is a complex manifold, the moving flag  $(W_j)$  is holomorphic (i.e. locally spanned by holomorphic  $\mathbb{C}^n$ -valued functions on  $M$ ) if for all  $j = 1, \dots, r$

$$\bar{\partial}W_j \subset W_j, \quad (3)$$

and  $(W_j)$  is also superhorizontal if additionally

$$\partial W_j \subset W_{j+1}, \quad (4)$$

i.e. for any local section  $f$  of  $W_j$  and any holomorphic chart  $(z^1, \dots, z^m)$  on  $M$  we have  $\partial f / \partial \bar{z}^i \in W_j$  and  $\partial f / \partial z^i \in W_{j+1}$  for  $i = 1, \dots, m$ .

### 3 Short maximal superhorizontal flags

We start by considering flag manifolds  $Z$  containing flags  $W_1 \subset W_2 \subset \mathbb{C}^n$  of length  $s = 3$ . Let  $W = (W_1, W_2) : M \rightarrow Z$  be a holomorphic superhorizontal map, i.e.  $W_1 \subset W_2$  are holomorphic bundles and

$$\partial W_1 \subset W_2. \quad (5)$$

We define  $\partial W_1(z)$  for any  $z \in M$  as the linear span of the values at  $z$  of all  $f_j$  and their first partial derivatives  $\partial_i f_j$  for any local basis  $f_1, \dots, f_{p_1}$  of  $W_1$ . Locally we may assume that  $\partial W_1$  has constant dimension, hence it forms a subbundle of  $W_2$ . Now we have the following “moving decomposition”

$$\begin{aligned} \mathbb{C}^n &= E_1 \oplus E'_2 \oplus E''_2 \oplus E_3 \\ E_1 &= W_1, \\ E'_2 &= \partial W_1 \ominus W_1 \\ E''_2 &= W_2 \ominus \partial W_1 \\ E_3 &= \mathbb{C}^n \ominus W_2 \end{aligned} \quad (6)$$

and the dimension  $n$  decomposes according to (6) as

$$n = p_1 + q_1 + q_2 + p_2. \quad (7)$$

Further we may assume that  $W$  is an immersion. Moreover, the map  $W_1 : M \rightarrow G_{p_1}$  has (locally) constant rank which means that it factorizes over some submersion  $\pi : M \rightarrow M_1$ . This means that in fact the mapping  $W_1$  depends only on part of the variables  $z$ , namely on  $z_1 = \pi(z) \in M_1$ . Hence for fixed  $z_1 \in M_1$  the spaces  $W_1(z_1)$  and  $\partial W_1(z_1)$  do not depend on the actual point  $z$  in the fibre  $F_{z_1} := \pi^{-1}(z_1)$ . Thus

$E_2''(z)$  is a  $q_2$ -dimensional subspace of  $\partial W_1(z_1)^\perp$ , i.e. it belongs to the Grassmannian  $G_{q_2}(\partial W_1(z_1)^\perp)$ . Since  $W$  is an immersion on  $F_{z_1}$  while  $W_1$  is constant along  $F_{z_1}$ , the mapping  $z \mapsto E_2''(z) : F_{z_1} \rightarrow G_{q_2}(\partial W_1(z_1)^\perp)$  must be an immersion. Obviously, the dimension of  $M$  can be maximal only if this immersion is a diffeomorphism, or equivalently if  $F_{z_1} = G_{q_2}(\partial W_1(z_1)^\perp)$ . Hence in order to maximize dimension we must assume that  $M$  is a bundle over  $M_1$  with fibres  $G_{q_2}(\partial W_1(z_1)^\perp)$ .

*Example 0* (cf. [2]) Let  $M \subset \mathbb{C}\mathbb{P}^{n-1}$  be a complex submanifold. Each tangent space  $T_{[x]}\mathbb{C}\mathbb{P}^{n-1}$  of  $\mathbb{C}\mathbb{P}^{n-1}$  (with  $x \in \mathbb{C}^n \setminus \{0\}$ ) can be viewed as the complex subspace  $(\mathbb{C}x)^\perp \subset \mathbb{C}^n$ , and consequently the tangent and normal subspaces  $T_z M, N_z M \subset T_z \mathbb{C}\mathbb{P}^{n-1}$  also become complex subspaces of  $\mathbb{C}^n$  for any  $z \in M$ . If  $M$  is a hypersurface, i.e.  $\dim M = n - 2$ , we consider the Gauss map  $f : M \rightarrow G_2 \mathbb{C}^n$  which maps  $z \in M$  to the 2-dimensional subspace  $z + N_z M \subset \mathbb{C}^n$ . In this case we have  $M_1 = M$ , and the moving flag is  $W_1 \subset W_2 = \partial W_1$  with  $W_1(z) = z$  and  $\partial W_1(z) = z + T_z M$ . The rank is maximal since  $p = 2, q = n - 2$  and  $r = (p - 1)(q - 1) + 1 = n - 2 = \dim M$ .

*Example 1* We can extend this example to the case of a complex submanifold  $M_1 \subset \mathbb{C}\mathbb{P}^{n-1}$  of arbitrary dimension  $m_1 \leq n - 2$ . Like in the real case, we obtain a hypersurface from of a submanifold  $M_1$  of higher codimension by passing to the “tube” around  $M_1$ ; in the holomorphic framework this is the projectivized normal bundle,

$$M = \mathbb{P}NM_1 \tag{8}$$

with  $\dim M = m_1 + (n - 1 - m_1 - 1) = n - 2$ . In fact, since there are plenty of normal lines at each point of a submanifold of higher codimension, we pass to the set  $M$  of all normal lines. This fibres naturally as  $\pi : M \rightarrow M_1$ . Now the corresponding maximal isotropic pluriharmonic immersion is

$$f : M \rightarrow G_2(\mathbb{C}^n), \quad f(z) = z + \pi(z). \tag{9}$$

Here  $W_1(z) = \pi(z), \partial W_1(z) = \pi(z) + T_{\pi(z)}M_1$  and  $W_2(z) = \partial W_1(z) + z$  for all  $z \in M$ . As above  $f$  is maximal since  $\dim M = n - 2 = r$ .

*Example 2* Let  $M_1 \subset \mathbb{C}\mathbb{P}^{n-1}$  be a one-dimensional submanifold (a complex projective curve) and  $NM_1$  its normal bundle. Fix  $p \leq n - 1$  and let  $q = n - p$ . Let  $M$  be the Grassmann bundle  $G_{q-1}(NM_1)$  of all  $(q - 1)$ -planes normal to  $M_1$ . This fibres over  $M_1$  with projection  $\pi : M \rightarrow M_1$ . Let  $W_1$  be the inclusion map of  $M_1$  into  $\mathbb{C}\mathbb{P}^{n-1}$ , considered as a line bundle over  $M_1$ . Then  $\partial W_1(z_1) = z_1 + T_{z_1}M_1$ . Put  $W_2(z) = \partial W_1(\pi(z)) + z$  and note that  $\dim W_2(z) = 2 + q - 1 = q + 1$ . We consider the map

$$f : M \rightarrow G_p(\mathbb{C}^n), \quad f(z) = \pi(z) + W_2(z)^\perp \tag{10}$$

Since  $\dim M = 1 + (q - 1)(n - 2 - (q - 1)) = r$ , it is maximal.

**General case** For a local construction of all maximal isotropic pluriharmonic immersions, we start with some  $m_1$ -dimensional complex manifold  $M_1$  and a holomorphic immersion  $W_1 : M_1 \rightarrow G_{p_1}$  which can be considered as a  $q_1$ -dimensional vector bundle over  $M_1$ . Adding the first partial derivatives of sections  $w_1, \dots, w_{q_1}$  forming a local basis of  $W_1$ , on a dense open subset we obtain a larger bundle  $\partial W_1$  of dimension  $p_1 + q_1$  which contains  $W_1$ . Locally, we may choose a subspace  $\mathbb{C}^{p_2+q_2} \subset \mathbb{C}^{p+q}$  which is a complement to  $\partial W_1(z_1)$  for all  $z_1$  in some open subset of  $M_1$ . We put  $M = M_1 \times M_2$  with  $M_2 = G_{q_2}(\mathbb{C}^{p_2+q_2})$ . Then we let  $W_2(z_1, z_2) = \partial W_1(z_1) + z_2$  and put

$$f : M \rightarrow G_p(\mathbb{C}^n), \quad f(z_2, z_2) = W_1(z_1) \oplus W_2(z_1, z_2)^\perp. \tag{11}$$

Now  $m = \dim M$  satisfies

$$\begin{aligned} m &= \dim M_1 + \dim G_{q_2}(\mathbb{C}^{p_2+q_2}) \\ &= m_1 + q_2 p_2 \\ &= m_1 + (q - q_1)(p - p_1). \end{aligned} \tag{12}$$

This has to be compared to the upper bound

$$r = 1 + (q - 1)(p - 1). \tag{13}$$

**Lemma 1**

$$m_1 \leq p_1 q_1 \tag{14}$$

$$q_1 \leq m_1 p_1 \tag{15}$$

*Proof* By assumption  $W_1 : M_1 \rightarrow G_{p_1}$  is an immersion, hence the differential  $(dW_1)_{z_1}$  is injective at each point  $z_1 \in M_1$ . Let  $t \mapsto z_1(t)$  be a smooth curve in  $M_1$  with  $z_1(0) = z_1$  and  $z'_1(0) = v \in T_{z_1}M_1$ . Then  $dW_1 \cdot v = \left. \frac{d}{dt} \right|_{t=0} W_1(z_1(t))$  is a homomorphism of  $W_1(z_1)$  into  $W_1(z_1)^\perp$ , in fact into  $\partial W_1(z_1) \ominus W_1(z_1)$ .<sup>2</sup> Hence  $m_1 \leq \dim \text{Hom}(W_1, \partial W_1 \ominus W_1) = p_1 q_1$  which proves (14).

If  $w_1, \dots, w_{p_1}$  denotes a local basis of  $W_1$ , then  $\partial W_1$  is spanned by the  $w_j$  and their partial derivatives  $\partial_i w_j$  where  $i = 1, \dots, m_1$  and  $j = 1, \dots, p_1$ . Hence  $q_1 = \dim(\partial W_1 \ominus W_1) \leq m_1 p_1$  which proves (15).  $\square$

**Lemma 2** *Up to equivalence, we have  $m = r$  if and only if  $p = 2$  or  $m_1 = 1$ .*

*Proof* Let us first consider the special case  $p_1 = 1$ . Then by (14) and (15) we get  $m_1 = q_1$ , and from (13)–(12) we see

$$\begin{aligned} r - m &= (p - 1)(m_1 - 1) - (m_1 - 1) \\ &= (p - 2)(m_1 - 1) \\ &= 0 \iff p = 2 \text{ or } m_1 = 1. \end{aligned} \tag{16}$$

In the general case we get

$$\begin{aligned} r - m &= p(q_1 - 1) + q(p_1 - 1) - p_1 q_1 - m_1 - 2 \\ &\stackrel{(14)}{\geq} p(q_1 - 1) + q(p_1 - 1) - 2p_1 q_1 + 2 \\ &\stackrel{(*)}{\geq} (p_1 + 1)(q_1 - 1) + (q_1 + 2)(p_1 - 1) - 2p_1 q_1 + 2 \\ &= 0. \end{aligned} \tag{17}$$

where the inequality at (\*) comes from  $p_2, q_2 \geq 1$ . Hence  $r - m = 0$  implies equality at (\*), in particular  $p_2 = 1$ . But the roles of  $p_1$  and  $p_2$  are interchangeable since we may pass to the orthogonal flag  $W_2^\perp \subset W_1^\perp$  which is holomorphic with respect to the negative complex structure on  $M$ . Thus we are back to the special case above.  $\square$

<sup>2</sup> For any local section  $w$  of  $W_1$  we have  $(dW_1 \cdot v) \cdot w(z_1) = \left( \left. \frac{d}{dt} \right|_{t=0} w(z_1(t)) \right)^{W_1^\perp}$ ; this lies in  $\partial W_1 \ominus W_1$  since  $\left. \frac{d}{dt} \right|_{t=0} w(z_1(t)) \in \partial W_1$ .

**Theorem 3** *Let  $f : M \rightarrow G_p(\mathbb{C}^{p+q})$  be an isotropic pluriharmonic immersion which is neither holomorphic nor antiholomorphic, where  $M$  is any complex manifold. Then  $M$  has maximal dimension  $m = (p - 1)(q - 1) + 1$  if and only if  $f$  is locally of type (11) with either  $p = 2$  (Example 1) or  $m_1 = 1$  (Example 2).*

*Proof* Since  $f$  is isotropic pluriharmonic, it is the projection of some holomorphic superhorizontal map  $W : M \rightarrow F$  where  $F$  is some flag manifold over  $G_p(\mathbb{C}^{p+q})$ . If the flags in  $F$  are short ( $s = 3$ ), the assertion follows from the preceding discussion and Lemma 1. In the next section we will show that  $F$  cannot consist of flags with length  $s \geq 4$  which will finish the proof.  $\square$

**4 Long superhorizontal flags are not maximal**

In this section we consider a length- $s$  flag manifold, i.e.  $F$  consists of decompositions  $\mathbb{C}^n = E_1 \oplus \dots \oplus E_s$ . It fibres over the Grassmannian  $G_p(\mathbb{C}^n)$  with

$$p = \sum_{j \text{ odd}} n_j, \quad q = n - p = \sum_{k \text{ even}} n_k \tag{18}$$

with  $n_i := \dim E_i$ . Throughout this section we will assume  $s \geq 4$  (“long” flags). The superhorizontal space at some flag  $E = (E_1, \dots, E_s) \in F$  is

$$H_E = \bigoplus_{i=1}^{s-1} \text{Hom}(E_i, E_{i+1}). \tag{19}$$

Hence any  $a \in H_E$  has a decomposition

$$a = (a_1, \dots, a_{s-1}) \tag{20}$$

with  $a_i \in \text{Hom}(E_i, E_{i+1})$ .

Now let  $A \subset H_E$  be some maximal abelian subalgebra, i.e.

$$\dim A = r = (p - 1)(q - 1) + 1. \tag{21}$$

Let  $\pi_i : A \rightarrow \text{Hom}(E_i, E_{i+1})$  be the projection related to the decomposition (19). We may assume  $\pi_i(A) \neq 0$  for all  $i \in \{1, \dots, s - 1\}$  since otherwise we could reduce the dimension or split.<sup>3</sup>

From the assumption  $s \geq 4$  we see that  $n_j \leq p - 1$  for all odd  $j$  and  $n_k \leq q - 1$  for all even  $k$ , hence

$$\dim \text{Hom}(E_i, E_{i+1}) = n_i n_{i+1} < (p - 1)(q - 1) + 1 = r \tag{22}$$

for all  $i \in \{1, \dots, s - 1\}$ . Consequently, each of the projections  $\pi_i : A \rightarrow \text{Hom}(E_i, E_{i+1})$  must have a nonzero kernel.

Now we may assume that there is some  $a \in A$  and  $i \in \{2, \dots, s - 1\}$  with

$$a_{i-1} = 0, \quad a_i \neq 0. \tag{23}$$

In fact, any nonzero  $a = (a_1, \dots, a_{s-1}) \in A$  has some nonzero component  $a_k$ . If  $a_j = 0$  for some  $j < k$ , it is easy to find such an index  $i$  satisfying (23). Otherwise, we pass to

<sup>3</sup> For this argument we must exclude the holomorphic and antiholomorphic cases where every second component of  $A$  vanishes.

the conjugate abelian subalgebra  $\mathbf{A}^* = \{a^*; a \in \mathbf{A}\}$  (with  $a^* = \bar{a}^T$ ) where the order in the string (20) is reversed.

Let  $\mathbf{A}_i = \ker \pi_{i-1}$ . For any  $a \in \mathbf{A}_i$  and  $b \in \mathbf{A}$  we have

$$0 = [a, b]|_{E_{i-1}} = a_i b_{i-1} - b_i a_{i-1} = a_i b_{i-1} \tag{24}$$

since  $a_{i-1} = 0$ . Thus  $a_i(\text{im } b_{i-1}) = 0$  (where ‘‘im’’ stands for ‘‘image’’). In other words, for all  $b \in \mathbf{A}$  we have

$$\text{im } b_{i-1} \subset E'_i := \bigcap_{a \in \mathbf{A}_i} \ker a_i. \tag{25}$$

Consider the orthogonal splitting  $E_i = E'_i \oplus E''_i$  which is nontrivial, due to (23). Denote the corresponding dimensions by  $n_i = n'_i + n''_i$ . Now we remove the  $E''_i$ -components of all elements of  $\mathbf{A}$ : For any  $b = (b_1, \dots, b_{s-1}) \in \mathbf{A}$  we let

$$b' = \pi'(b) = (b_1, \dots, b'_i, \dots, b_{s-1}), \quad b'_i = b_i|_{E'_i} \tag{26}$$

and we put

$$\mathbf{A}' = \pi'(\mathbf{A}) = \{b'; b \in \mathbf{A}\}. \tag{27}$$

This is still abelian since for all  $b, c \in \mathbf{A}$ ,

$$\begin{aligned} [b', c']|_{E_{i-1}} &= b'_i c_{i-1} - c'_i b_{i-1} = b_i c_{i-1} - c_i b_{i-1} = [b, c]|_{E_{i-1}} = 0 \\ [b', c']|_{E'_i} &= b_{i+1} c'_i - c_{i+1} b'_i = [b, c]|_{E_i} = 0. \end{aligned}$$

However, the vector space  $\mathbb{C}^n$  is replaced by  $\mathbb{C}^n \ominus E''_i = \mathbb{C}^{n-n''_i}$ . Hence we may view  $\mathbf{A}'$  as an abelian subspace for another Grassmannian  $G_{p'}(\mathbb{C}^{p'+q'})$  where either  $p'$  or  $q'$  is diminished by  $n''_i$ . Interchanging the roles of  $p$  and  $q$  if necessary, we may assume  $p' = p - n''_i, q' = q$ . From the dimension restriction (2) with  $p, q$  replaced by  $p', q'$  we obtain the upper bound

$$\dim \mathbf{A}' \leq r' = (p - n''_i - 1)(q - 1) + 1 = r - n''_i(q - 1). \tag{28}$$

On the other hand,  $\dim \mathbf{A}' = \dim \mathbf{A} - \dim \ker \pi'$  where

$$\ker \pi' = \{b \in \mathbf{A}; b_i|_{E'_i} = 0, b_j = 0 \forall j \neq i\}. \tag{29}$$

For any  $b \in \ker \pi'$ , the only remaining nonzero component is the one in  $\text{Hom}(E''_i, E_{i+1})$ , hence  $\dim \ker \pi' \leq n''_i n_{i+1}$ , and we get the lower bound

$$\dim \mathbf{A}' \geq r - n''_i n_{i+1}. \tag{30}$$

Comparing (28) and (30) we end up with

$$q - 1 \leq n_{i+1}. \tag{31}$$

This has strong consequences. Since  $n_{i-1} \neq 0$  and  $q \geq n_{i-1} + n_{i+1}$ , it implies  $n_{i-1} = 1$  and equality in (31) which in turn implies equality in (28) and (30). In particular,

$$\text{Hom}(E''_i, E_{i+1}) = \ker \pi' \subset \mathbf{A}. \tag{32}$$

But then  $b_{i+1} = 0$  for all  $b \in \mathbf{A}$  since otherwise we would find some  $c = c_i \in \text{Hom}(E''_i, E_{i+1}) \subset \mathbf{A}$  with

$$[b, c]|_{E''_i} = b_{i+1} c_i \neq 0.$$

Hence the string ends at  $E_{i+1}$ , i.e.  $s = i + 1$ . Since  $s \geq 4$  and  $q = n_{i-1} + n_{i+1}$ , we must have  $s = 4$  and  $i - 2 = 1$  (but we will keep the old notation with  $i$ ).

Let  $b \in A$  with  $b_{i-1} \neq 0$ . Since  $n_{i-1} = 1$ , this means that  $b_{i-1}$  is injective. Then we have for all  $a \in A_i$ :

$$a_{i-2} = 0 \quad (33)$$

since  $0 = [b, a]|_{E_{i-2}} = b_{i-1}a_{i-2}$ . Since also  $a_{i-1} = 0$  and  $a_i|_{E'_i} = 0$ , we obtain

$$\ker \pi_{i-1} = A_i = \text{Hom}(E''_i, E_{i+1}). \quad (34)$$

But then  $\pi_{i-1}|_{A'}$  is injective which implies

$$(p' - 1)(q - 1) + 1 = \dim A' \leq \dim \text{Hom}(E_{i-1}, E'_i) = n_{i-1}n'_i = n'_i. \quad (35)$$

This is a contradiction since  $p' - 1 \geq n'_i$  and  $q - 1 \geq 1$ . Hence we have shown that long flags cannot be maximal and thus we have completed the proof of Theorem 3.

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