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Building Structured Theories

Bernhard Möller

Institut für Informatik, Universität Augsburg, D-86135 Augsburg, Germany
bernhard.moeller@informatik.uni-augsburg.de

Abstract. We provide a set of syntactic tools for structuring large collections of logical theories. Their use is demonstrated by a formalisation of algebras that are used in describing the semantics of concepts in programming languages, but also of more general systems.

1 Introduction

Within the series of RelMiCS, AKA and now RAMiCS conferences we have seen many algebraic theories, starting with relation and Kleene algebras, which have diversified considerably to cover more and more application areas. Still, many of them share a significant common core, and hence it seems adequate to think about their connections in a systematic way. At the same time, some of the theories are quite complex. This is similar to the situation in programming, where one tries to cope with that using suitable structuring mechanisms, such as inheritance and encapsulation.

In the present paper we attempt a similar structured presentation of some essential RAMiCS theories. While there is already some work in that direction in connection with treating these theories with automatic theorem provers [6, 15, 29, 30], we try to modularise further in a number of new and perhaps unusual ways to pinpoint more clearly which parts of the theories depend on which others.

Of course, there is already a lot of work on structuring larger formal theories. There is the long series of languages designed in the field of *algebraic specification*, like CLEAR [3], CIP-L [2], ASL [67], ACT ONE [14] and CASL [4]. They all comprise some sort of structuring mechanism, and many show notational similarity to what we will use in the present paper. However, by their nature they are mostly restricted to first-order equational logic, whereas we will be more liberal. There is also work on structuring specifications in Edinburgh LCF [40, 56]. General structured specification frameworks based on category theory appear in [12, 16, 20, 58, 60, 61]. And there is the interesting dependently typed functional language Agda [1] with proof assistant, which also allows expressing structured theories.

What we present here deviates from these approaches in that we introduce a number of additional construction mechanisms. Moreover, we forego the definition of a semantics in terms of operations on model classes or of pushouts/colimits. Rather, we view our structuring tools as syntactic devices that abbreviate certain compounds of formulas and can be re-used and instantiated to exhibit common and recurring parts of specifications. For their meaning we rely on the standard semantics of first-order and higher-order logic.

In motivating the particular ingredients of the theories we present we frequently resort to their use in specifying the semantics of transition systems and the like. However, as it has been demonstrated in many excellent papers throughout this series of conferences, the theories have much wider applicability, and we hope that our methods of structuring will help in extending the algebraic treatment to many further areas.

2 Theories and Definitions

A *theory* has a name and may have an `imports` clause that specifies on which other theories it depends, a list of *sorts* (i.e., names for carrier sets), a list of operators and a list of predicates, each with their typing, a list of axioms (which should be independent) and a list of properties, starting with the keyword `derives`, that follow from the axioms. We will only write down the non-empty ones of these; list items are separated by the symbol `|` or line breaks, sometimes also by a horizontal line. For space limitations we usually list only a few of the more interesting/important derived properties. The operators and predicates are called the *constituents* of the theory. Occasionally we will mark certain constituents as *hidden*, since they only have auxiliary character for formulating certain axioms in a more convenient and generic way. All non-hidden constituents are visible to the outside and can be imported by other theories. A theory may also contain a list of typed variables that are used in the axioms or derived properties. We omit the explicit definition of variables whose type can be inferred from the typing of the operators and predicates that are applied to them. We use the standard convention that all free variables in a logical formula are implicitly universally quantified.

Definitions are similar to theories except that they do not contain axioms. Rather they give, following the keywords `defined by`, definitional equalities or equivalences for each of their constituents. The only exception are new constants that may be added without giving particular properties for them.

The distinction between theories and definitions is purely for documentation purposes. For brevity we will refer to them uniformly as *(building) blocks*. Blocks may be freely *imported* and/or *instantiated*, possibly under renaming. For the latter we use simple positional notation, listing the new names between parentheses after the block name. The meaning of an import is simple replacement of the block name by its body (with renaming if specified). If no renaming list is given, the block is imported with its original names. Hence upon import of several blocks into another one, identical names mean identical constituents.

An instantiated block may also be used in the `axioms`, `defined by` or `derives` parts of other blocks; in this case its constituent information is ignored and only the logical formulas in its body are copied in (under renaming if specified). In this case the block serves as a function from constituent names to sets of formulas. By this twofold use of blocks we achieve a certain notational economy, as will be seen in the examples.

Types may be simple identifiers or Cartesian product, function or power set types. Mostly, however, we will use the higher types only in auxiliary blocks to improve the structuring; they will then disappear again after instantiation of these blocks. Only at the very end of the paper, when we talk about quantales, some higher types persist. A unary predicate is identified with the subset of elements that satisfy it. Use of such a predicate in the position of a type then achieves subsorting. In particular, if variables are declared to be of such a subsort type, quantifiers involving them range over the subsort only.

As first examples to show our notation at work we specify some aspects of comparison, in particular, of preorders and partial orders. First we just introduce the type of the comparison predicate.

theory	COMPARE
sorts	S
predicates	$\leq \subseteq S \times S$

Next, even without any assumptions on the predicate \leq , we define the concepts of isotony and antitony. This already involves predicates of higher type that take functions as arguments.

definition	ISO_ANTI
imports	COMPARE
predicates	$isotone, antitone \subseteq S \rightarrow S$
defined by	$isotone(f) \Leftrightarrow_{df} \forall x, y. x \leq y \Rightarrow f(x) \leq f(y)$ $antitone(f) \Leftrightarrow_{df} \forall x, y. x \leq y \Rightarrow f(y) \leq f(x)$

We now introduce a general mechanism for propagating properties like isotony and antitony to binary operators. This again involves higher-order concepts.

theory	LEFT_ARG
sorts	S
operators	$g : S \times S \rightarrow S$
predicates	$P \subseteq S \rightarrow S$
hidden	$right_const : S \rightarrow (S \rightarrow S)$
axioms	$right_const(y)(x) = g(x, y)$ $\forall y. P(right_const(y))$

Now for instance $LEFT_ARG(T, \circ, isotone)$ expresses that an operator $\circ : T \times T \rightarrow T$ on some set T is isotone in its left argument. A symmetrical theory $RIGHT_ARG$ propagates a predicate to the right argument of a binary operator. Below we will also use this mechanism to express left and right distributivity of a binary operator in terms of distributivity of a unary one.

Next, we specify preorders and partial orders.

theory	PREORDER
imports	COMPARE
axioms	$x \leq x$ $x \leq y \wedge y \leq z \Rightarrow x \leq z$

theory	POSET
imports	PREORDER
axioms	$x \leq y \wedge y \leq x \Rightarrow x = y$

The fact that a set T with a binary relation \preceq on it forms a partial order can then be expressed as $POSET(T, \preceq)$.

With a similar theory $\text{BOOLEAN_ALG}(S, \sqcup, \sqcap, \neg, \perp, \top)$ one specifies Boolean algebras; we omit the detailed axioms and properties. We also introduce some standard notation for Boolean algebras without listing derived properties:

definition	BOOLEAN_OPS
imports	BOOLEAN_ALG
operators	$-, \rightarrow : S \times S \rightarrow S$
defined by	$x - y =_{df} x \sqcap \neg y \mid x \rightarrow y =_{df} \neg x \sqcup y$

3 Sequential Composition

We start our treatment of semantic theories with sequential composition which occurs in many quite different contexts. Sequentiality can concern time and space, like in sequences of events or elements of a list or an array.

We will denote sequential composition abstractly by \cdot . Concrete instances are concatenation of formal languages (with finite or infinite words), relational composition or gluing of sets of trajectories or program sequencing.

For now we do not require any laws about sequential composition. This is captured by our first block:

theory	GROUPOID
sorts	S
operators	$\cdot : S \times S \rightarrow S$

Already with this extremely general structure we can describe interesting and important computational phenomena, as will be shown in the next section.

But first we specify commutativity and idempotence:

theory	COMMUTATIVE	theory	IDEMPOTENT
imports	GROUPOID	imports	GROUPOID
axioms	$x \cdot y = y \cdot x$	axioms	$x \cdot x = x$

4 Annihilation

An element x is a *left annihilator* w.r.t. to sequential composition if composition with any element on the right does not change it.

definition	LEFT_ANNI
imports	GROUPOID
predicates	$left_anni \subseteq S$
defined by	$left_anni(x) \Leftrightarrow_{df} \forall y. x \cdot y = x$

Left annihilation means absolute domination. It can be used to model catastrophic failure: after an annihilating element “nothing else can happen”. Note, however, that sometimes left annihilation is a highly desirable property: when studying infinite computations, like the ones initiated by (hopefully) always continuing operating systems, we usually do not want to take “behaviour after infinity” into account, hence the desired sets of behaviours of such systems should be

left annihilators. In general, there may be various left annihilators in a groupoid. For instance, in UTP [21] both the totally undefined and the totally unreliable process are left annihilators. Symmetrically one specifies a right annihilator using a definition `RIGHT_ANNI` with a predicate *right_anni*.

5 Characterising Failure

Although it seems almost paradoxical, something useful can be achieved with annihilators. The ideas here are inspired by [11, 48] and were generalised in [44].

We assume that there is a distinguished left annihilator. It is intended to represent systems about which nothing definitive can be said and which hence can be viewed as “failing” in some sense. Since we are denoting sequential composition by \cdot , a fitting notation for such an element is 0.

theory	FAILURE
imports	LEFT_ANNI
operators	$0 : S$
axioms	<i>left_anni</i> (0)

We will now, in our diction, adopt the view that an element x is “failing” iff it represents a system that fails to terminate. Hence, as discussed above, x should be a left annihilator. As all the computations of such a system are infinite, we will call x *purely infinite*. Dually, an element x will be called *purely finite* if it “notifies” subsequent nontermination, i.e, if $x \cdot 0 = 0$. Notice that 0 is both purely infinite and purely finite, but is the only such element. A semantic algebra is *strict* if all its elements are purely finite, i.e., iff 0 is also a right annihilator.

definition	FIN_INF	theory	STRICT_COMP
imports	FAILURE	imports	FAILURE RIGHT_ANNI
predicates	$\text{purely_inf} \subseteq S$ $\text{purely_fin} \subseteq S$	axioms	<i>right_anni</i> (0)
defined by	$\text{purely_inf}(x) \Leftrightarrow_{df} \text{left_anni}(x)$ $\text{purely_fin}(x) \Leftrightarrow_{df} x \cdot 0 = 0$		

6 Further Aspects of Sequential Composition

Typically one requires at least associativity of sequential composition. This is captured by our next blocks. We specify left associativity; a symmetric theory `R_ASSOC` provides the predicate *right_assoc* of right associativity.

theory	L_ASSOC
imports	GROUPOID
predicates	$\text{left_assoc} \subseteq S$
axioms	$\text{left_assoc}(x) \Leftrightarrow_{df} \forall y, z. x \cdot (y \cdot z) = (x \cdot y) \cdot z$

Left-associativity and pure infiniteness show interesting connections:

theory	L_ASSOC_INF	
imports	FAILURE	L_ASSOC
derives	$purely_inf(x) \Rightarrow left_assoc(x)$ $left_assoc(x) \Rightarrow (purely_inf(x) \Leftrightarrow x = x \cdot 0)$	

Using the associativity predicates we can talk about semigroups.

theory	SEMIGROUP	
imports	L_ASSOC	R_ASSOC
axioms	$left_assoc(x)$	
derives	$right_assoc(y)$	

Frequently, one also assumes a unit 1 of composition. Concrete instances are the language ε consisting just of the empty word, the identity relation or the empty program **skip**. This leads to the next block, which can further be combined with pure finiteness.

theory	MONOID
imports	SEMIGROUP
operators	$1 : S$
axioms	$1 \cdot x = x = x \cdot 1$

theory	ONE_FIN
imports	MONOID FIN_INF
derives	$purely_fin(1)$

7 Concurrency

A well studied algebraic framework for concurrency are the various process calculi (ACP, CCS, CSP, ...), with varying properties of choice and sequential composition. We will here treat some aspects of the recent approach of *concurrent Kleene algebras* [22]. Next to sequential composition \cdot these offer a parallel composition \parallel . A basic idea is that the elements of such an algebra abstractly represent sets of traces of some kind. These traces consist of events that are occurrences of certain primitive actions such as communications or assignments. One assumes that there are certain causal or temporal dependences between events. Sequential composition has to respect these dependences, i.e., in a composition $x \cdot y$ no event in a trace in x may depend on a “future” event in a trace in y . Parallel composition is much more liberal in that it does not impose such a restriction (at the expense of allowing “hazardous” programs with race conditions on the resources involved).

To capture this algebraically, one introduces a comparison relation \leq where $x \leq y$ expresses that y is more liberal than x . Let us now look at the terms $(x \parallel y) \cdot (z \parallel u)$ and $(x \cdot z) \parallel (y \cdot u)$. The first of these is a sequential composition of two parallel compositions. Therefore neither x nor y may depend on z or u . The second one is a parallel composition of two sequential compositions with the same basic constituents. This is more liberal than the first one, since only dependence of x on z and of y on u must be excluded. This fundamental property is the basis of the algebraic axiomatisation.

theory	CONCURRENT_BIGROUPOID
imports	GROUPOID(S, \cdot) GROUPOID(S, \parallel) COMPARE
axioms	$(x \parallel y) \cdot (z \parallel u) \leq (x \cdot z) \parallel (y \cdot u)$

Since one wants to construct longer derivation chains, one frequently requires \leq to be a partial order to admit transitivity steps. Moreover, in the basic model of [22] these operators are associative and there is an idle process 1 which is a common unit of \cdot and \parallel .

theory	CONCURRENT_BIMONOID
imports	CONCURRENT_BIGROUPOID
operators	$1 : S$
axioms	MONOID($S, \cdot, 1$) MONOID($S, \parallel, 1$)

An extension of this basic theory admits, a.o., a simple algebraic treatment of the rely/guarantee calculus of [33]. The details would lead too far here. Further applications are under way.

8 The Frame Rule and Separation Logic

Over every ordered groupoid one can define a very general form of Hoare triple, for which the classical rules of sequencing and weakening hold:

definition	GROUPOID_HOARE_TRIPLE
imports	GROUPOID POSET
predicates	$_ \{ _ \} _ \subseteq S \times S \times S$
defined by	$x \{y\} z \Leftrightarrow_{df} x \cdot y \leq z$
derives	$x \{u \cdot v\} z \Leftrightarrow \exists y. x \{u\} y \wedge y \{v\} z$ $x \leq u \wedge u \{y\} v \wedge v \leq z \Rightarrow x \{y\} z$

If the groupoid is even a monoid one can also infer the classical rule for skip, viz. $x \{1\} z \Leftrightarrow x \leq z$. In the presence of parallel composition one obtains the concurrency rule, and the so-called frame allows modular reasoning where a disjoint context may be added in parallel to a program without invalidating the reasoning using triples:

theory	CONCURRENT_HOARE_TRIPLE
imports	CONCURRENT_BIGROUPOID GROUPOID_HOARE_TRIPLE
derives	$x \{y\} z \wedge u \{v\} w \Rightarrow (x \parallel u) \{y \parallel v\} (z \parallel w)$ $x \{y\} z \Rightarrow (u \parallel x) \{y\} (u \parallel z)$

A variant of the frame rule is of particular interest in the so-called separation logic [54] which allows modular reasoning about shared and mutable data structures with pointers. Again, the details would lead too far.

9 Choice

The second fundamental concept that occurs in many circumstances is that of choosing — in a more or less biased way — between a number of possibilities. If

the number of these possibilities is finite one speaks of *bounded choice*, otherwise of *unbounded choice*. Bounded choice is mostly represented by a binary operator for choosing between two alternatives, which under suitable assumptions allows an inductive definition of choosing between any positive number of possibilities. We will see below how to deal with zero possibilities. Frequent notations for that operator are \sqcup, \sqcup, \sqcap and $+$, of which we will use the latter. Its typical axioms are associativity, commutativity and idempotence, which are the axioms for a meet or join semilattice.

theory	SEMILATTICE
sorts	S
operators	$+: S \times S \rightarrow S$
axioms	SEMIGROUP($S, +$) COMMUTATIVE($S, +$) IDEMPOTENT($S, +$)

It is well known that every semilattice induces an order. In this paper we interpret $+$ as a join operator and write \leq for the induced order, which is a derived concept and hence not specified by a theory but by a definition. It develops its full power in combination with a semilattice.

definition	SUBSUMPTION
imports	GROUPOID($S, +$)
predicates	$\leq \subseteq S \times S$
defined by	$x \leq y \Leftrightarrow_{df} x + y = y$

theory	SEMILATTICE_WITH_SUBSUMPTION
imports	SEMILATTICE SUBSUMPTION ISO_ANTI
derives	$POSET(S, \leq)$ $x \leq x + y$ $x + y \leq z \Leftrightarrow x \leq z \wedge y \leq z$ LEFT_ARG($S, +, isotone$) RIGHT_ARG($S, +, isotone$)

In many cases, a semilattice of sets under union as join is used; the subsumption order there coincides with set inclusion.

Over semilattices we can specify the property of distributivity:

definition	DIST
imports	SEMILATTICE_WITH_SUBSUMPTION
predicates	$distributive, super_distributive \subseteq S \rightarrow S$
defined by	$distributive(f) \Leftrightarrow_{df} \forall x, y. f(x + y) = f(x) + f(y)$ $super_distributive(f) \Leftrightarrow_{df} \forall x, y. f(x) + f(y) \leq f(x + y)$
derives	$distributive(f) \Rightarrow isotone(f)$ $super_distributive(f) \Leftrightarrow isotone(f)$

Often a unit for choice is assumed. It represents the choice between zero possibilities. Its interpretation ranges from catastrophic error over failure to chaos. Since we denote choice by $+$, a fitting notation for its unit is 0 . The definition of the subsumption order implies that 0 is its least element. Moreover, the fact that 0 is the unit of choice means that choice is angelic; a 0 branch will always be eliminated in favour of the other branch: $0 + x = x = x + 0$.

theory	SEMILATTICE_WITH_MIN	definition	SUBSUMPTION_WITH_MIN
imports	SEMILATTICE	imports	SEMILATTICE_WITH_MIN SUBSUMPTION
operators	$0 : S$	derives	$0 \leq x$
axioms	MONOID($S, +, 0$)		
derives	$x + y = 0 \Rightarrow x = 0 = y$		

10 Choice and Composition: Idempotent Semirings

An idempotent left semiring combines choice and composition such that composition is distributive in its left argument and isotone in its right one. As usual, we have composition bind tighter than choice.

theory	IL_SEMIRING
imports	SEMILATTICE_WITH_MIN MONOID FAILURE SUBSUMPTION ISO_ANTI DIST
predicates	$right_dist \subseteq S$
axioms	LEFT_ARG($S, \cdot, distributive$) RIGHT_ARG($S, \cdot, isotone$)

In a left idempotent semiring we can study pure infiniteness a bit further.

definition	IL_SEMIRING_INF
imports	IL_SEMIRING FIN_INF
operators	$\inf : S \rightarrow S$
defined by	$\inf x =_{df} x \cdot 0$
derives	$purely_inf(x) \Rightarrow right_dist(x)$ $\inf x \leq x \mid purely_inf(y) \wedge y \leq x \Rightarrow y \leq \inf x$

The last property means that $\inf x$ is the greatest purely infinite element below x . Therefore we call $\inf x$ the *purely infinite part* of x .

A number of applications use weak idempotent semirings, in which composition is right-distributive while 0 need not be a right annihilator:

theory	WEAK_I_SEMIRING
imports	IL_SEMIRING
axioms	RIGHT_ARG($S, \cdot, distributive$)

If additionally 0 is also a right annihilator and composition also distributes over choice in its right argument one speaks of an *idempotent semiring*.

theory	I_SEMIRING
imports	WEAK_I_SEMIRING STRICT_COMP

11 Converse and Relation Algebra

In many cases one is interested in reverting the “flow of control” as underlying sequential composition. To this end one uses the converse x^\smile of an element x :

theory	CONVERSE
imports	GROUPOID
operators	$\bar{\cdot} : S \rightarrow S$
axioms	$(x \cdot y)^{\bar{\cdot}} = y^{\bar{\cdot}} \cdot x^{\bar{\cdot}}$

Abstract relation algebra results from combining converse with a Boolean idempotent semiring:

theory	RELATION_ALGEBRA
imports	BOOLEAN_ALG I_SEMIRING($S, \sqcup, \perp, \cdot, 1$) CONVERSE SUBSUMPTION ISO_ANTI
axioms	$(x \sqcup y)^{\bar{\cdot}} = x^{\bar{\cdot}} \sqcup y^{\bar{\cdot}} \mid x \cdot x^{\bar{\cdot}} \cdot \bar{y} \leq y$
derives	$isotone(\bar{\cdot})$ $x \cdot y \sqcap z = \perp \Leftrightarrow x^{\bar{\cdot}} \cdot z \sqcap y = \perp \Leftrightarrow z \cdot y^{\bar{\cdot}} \sqcap x = \perp$

There is no need to tell the RAMiCS audience that there are many more interesting and useful consequences of the axioms.

12 Iteration

Following Kleene’s seminal work [37], arbitrary finite iteration of an element x is denoted by x^* . The axiomatisation follows [38].

theory	LEFT_KLEENE_ALG
imports	IL_SEMIRING SUBSUMPTION ISO_ANTI
operators	$\bar{*} : S \rightarrow S$
axioms	$1 + x \cdot x^* \leq x^* \mid y + x \cdot z \leq z \Rightarrow x^* \cdot y \leq z$
derives	$isotone(\bar{*})$ $x^* \cdot x^* = x^* = (x^*)^* \mid (x \cdot y)^* \cdot x = x \cdot (y \cdot x)^* \mid (x + y)^* = x^* \cdot (y \cdot x^*)^*$ $x \leq 1 \Rightarrow x^* = 1$

The symmetrical axioms that describe “iteration at the right” may need adjustments due to the application circumstances (e.g. in probabilistic algebras) [42, 64, 43]. Infinite iteration is added using seminal ideas from [53]; the axiomatisation follows [5].

theory	LEFT_OMEGA_ALG
imports	LEFT_KLEENE_ALG SUBSUMPTION ISO_ANTI
operators	$\bar{\omega} : S \rightarrow S$
axioms	$x^{\omega} = x \cdot x^{\omega} \mid z \leq y + x \cdot z \Rightarrow z \leq x^{\omega} + y \cdot x^*$
derives	$isotone(\bar{\omega})$ $0^{\omega} = 0 \mid x^* \cdot x^{\omega} = x^{\omega} \mid x^{\omega} \cdot y \leq x^{\omega} \mid (x^{\omega})^{\omega} \leq x^{\omega}$ $(x \cdot y)^{\omega} = x \cdot (y \cdot x)^{\omega} \mid (x + y)^{\omega} = (x^* \cdot b)^{\omega} + (x^* \cdot b)^* \cdot x^{\omega}$ $x \leq 1^{\omega}$

The last derived property motivates the following definition.

definition	OMEGA_TOP
imports	LEFT_OMEGA_ALG
operators	$\top : S$
defined by	$\top =_{df} 1^\omega$
derives	$x \leq x \cdot x \Rightarrow x^\omega = x \cdot \top \mid x^\omega = x^\omega \cdot \top$

The latter property makes x^ω , e.g., not adequate for the precise description of Zeno effects in hybrid systems. Hence again an adjustment may be needed.

13 Tests: Modelling Sets of States

Elements of semirings frequently represent sets of transitions. To represent sets of states one may use special transitions that abstract **assert** statements as known from programming. A statement **assert** B skips (i.e., leaves the state unchanged) if B holds and aborts otherwise. Considered as a relation, it is a subset of the identity relation on program states. Hence sets of program states or predicates characterising such sets are in one-to-one correspondence with subidentity relations. A central property is that for them intersection and composition coincide. All this lays the basis for an algebraic representation of general sets of states. Such an approach was presented, e.g., in [41] by distinguishing particular semiring elements which, following [39], we call *tests*. Since we want the tests, the algebraic counterparts of predicates, to form a Boolean algebra, we first specify complementation.

definition	IL_SEMIRING_WITH_COMPL
imports	IL_SEMIRING
predicates	$are_complements \subseteq S \times S$ $test \subseteq S$
defined by	$are_complements(p, q) \Leftrightarrow_{df} p + q = 1 \wedge p \cdot q = 0 \wedge q \cdot p = 0$ $test(p) \Leftrightarrow_{df} \exists q. are_complements(p, q)$
derives	$are_complements(p, q) \Leftrightarrow are_complements(q, p)$ $are_complements(p, q) \Rightarrow p \leq 1 \wedge q \leq 1$ $are_complements(p, q) \wedge are_complements(p, r) \Rightarrow q = r$ $are_complements(0, 1)$

Now we can specify the notion of an idempotent left semiring with tests.

theory	IL_SEMIRING_WITH_TESTS
imports	IL_SEMIRING_WITH_COMPL
operators	$\neg : test \rightarrow test$
axioms	COMMUTATIVE($test, \cdot$) $\neg p = q \Leftrightarrow_{df} are_complements(p, q)$
derives	BOOLEAN_ALG($test, +, \cdot, \neg, 0, 1$) $I_SEMIRING \Rightarrow COMMUTATIVE(test, \cdot)$

The commutativity requirement for tests is equivalent to distributivity of composition over choice also in its right argument on the subset of tests. However, in concrete algebras it usually is more onerous to check distributivity, a property involving three variables, than commutativity, which only involves two.

The above specification is somewhat unsatisfactory in that it is not purely equational and involves subsorting. This makes automatic verification quite cumbersome or even excludes the use of some automatic verification systems. We will discuss alternative specifications below.

Using tests we can give algebraic semantics to a simple programming language. Composition and choice are already present in semirings. We can enrich this by case distinction:

definition	IFTHENELSE
imports	IL_SEMIRING_WITH_TESTS
operators	$\text{if_then_else} : \text{test} \times S \times S \rightarrow S$
defined by	$\text{if } p \text{ then } x \text{ else } y =_{df} p \cdot x + \neg p \cdot y$

Using finite iteration we can also define a while loop:

definition	WHILE
imports	IL_SEMIRING_WITH_TESTS LEFT_KLEENE_ALG
operators	$\text{while_do_} : \text{test} \times S \rightarrow S$
defined by	$\text{while } p \text{ do } x =_{df} (p \cdot x)^* \cdot \neg p$

Moreover, we can give an algebraic definition of standard Hoare triples; it appears in [39] and admits a simple algebraic soundness proof of Hoare logic.

definition	HOARE_TRIPLE
imports	IL_SEMIRING_WITH_TESTS
predicates	$\{-\} - \{-\} \subseteq \text{test} \times S \times \text{test}$
defined by	$\{p\} x \{q\} \Leftrightarrow_{df} p \cdot x \cdot \neg q = 0$

14 Domain and Antidomain

An important concept for transition systems is the set of *enabled* states, i.e., the set of states from which transitions are possible. For a transition relation R , this is the *domain* of R . We apply this nomenclature also to the general case. Using tests, a *predomain* operator can be characterised algebraically by quite simple equational axioms [9]. The domain operator shows a stronger interplay between predomain and composition, which can be used, e.g., for an algebraic proof of relative completeness of the Hoare calculus [46].

theory	PREDOMAIN
imports	IL_SEMIRING_WITH_TESTS
operators	$\lceil _ : S \rightarrow \text{test}$
variables	$p : \text{test} \mid x, y : S$
axioms	$x \leq \lceil x \cdot x \mid \lceil (p \cdot x) \leq p$
derives	$\lceil (x + y) = \lceil x + \lceil y \mid \lceil (x \cdot y) \leq \lceil (x \cdot \lceil y)$ $\lceil (p \cdot x) = p \cdot \lceil x \mid \lceil p = p$

theory	DOMAIN
imports	PREDOMAIN
axioms	$\lceil (x \cdot \lceil y) \leq \lceil (x \cdot y)$

In a similar fashion one can specify a codomain operator. If one assumes an idempotent semiring with tests, the axioms are just the mirror images of the ones

for domain. In case of a general idempotent left semiring, however, distributivity of codomain over choice needs to be stated as an additional axiom [44].

Let us now briefly discuss the mentioned alternative axiomatisation of tests and the domain operator. This has been carried out in a form specific to relation algebra in [31] and in the general semiring setting in [8]. The idea is to avoid explicit subsorting and to characterise the tests implicitly as the image of an antidomain operator $@$ which yields the negation of the domain of its argument. Over a full idempotent semiring the axiomatisation is surprisingly simple — however, to fully grasp it, good knowledge about tests and domain is almost mandatory. This is why we introduced their theories beforehand. In using the antidomain theory, rather than quantifying over a variable $p : \text{test}$ one uses a variable $x : S$ and writes $@x$ instead of p . For the case of general left semirings additional axioms are necessary; this is the subject of ongoing work.

theory	ANTIDOMAIN	definition	DERIVED_DOMAIN
imports	ISEMIRING	imports	ANTIDOMAIN
operators	$@ : S \rightarrow S$	operators	$\ulcorner : S \rightarrow S \mid \neg : \text{test} \rightarrow \text{test}$
axioms	$@x \cdot x = 0 \mid @x + @@x = 1$ $@(x \cdot y) \leq @(x \cdot @@y)$	predicates	$\text{test} \subseteq S$
		defined by	$\text{test}(x) \Leftrightarrow_{df} \exists y. x = @y$ $\ulcorner x =_{df} @@x \mid \neg p = @@p$
		derives	DOMAIN

15 Modal Operators: Diamond and Box

Many properties of transition systems can be described by the modal operators diamond and box, which express existential and universal quantification over the successor or predecessor states of a given set of states. We show exemplarily how to define the forward modal operators in terms of domain; the backward ones can be defined analogously in terms of codomain. Given a transition system x , a state s satisfies the predicate $\langle x \rangle q$ iff s has a successor under x that satisfies q . This is equivalent to saying that s lies in the inverse image of q under x . The box operator $[x]q$ is the De Morgan dual of diamond. This is the basis of the following specification.

definition	FORWARDMODAL
imports	DOMAIN
operators	$\langle \cdot \rangle, [-] : S \times \text{test} \rightarrow \text{test}$
defined by	$\langle x \rangle q =_{df} \ulcorner (x \cdot q) \mid [x]q =_{df} \neg \langle x \rangle \neg q$
variables	$u : \text{purely_fin} \mid z : \text{right_dist} \mid p, q : \text{test} \mid x, y : S$
derives	$\begin{array}{c c} \langle u \rangle 0 = 0 & [u] 1 = 1 \\ \hline \langle z \rangle (p + q) = \langle z \rangle p + \langle z \rangle q & [z](p \cdot q) = [z]p \cdot [z]q \\ \langle z \rangle p - \langle z \rangle q \leq \langle z \rangle (p - q) & [z](p \rightarrow q) \leq [z]p \rightarrow [z]q \\ \hline \langle x + y \rangle p = \langle x \rangle p + \langle y \rangle p & [x + y]p = [x]p \cdot [y]p \\ \langle x \cdot y \rangle p = \langle x \rangle (\langle y \rangle p) & [x \cdot y]p = [x](\langle y \rangle p) \\ \hline \{p\} x \{q\} \Leftrightarrow p \leq [x]q \end{array}$

The last property shows that $[x]q$ is the algebraic counterpart of the weakest liberal precondition operator $\text{wlp}.x.q$ used in program correctness calculi [10].

Equivalently, one can axiomatise one of the modal operators directly and define the other one and domain in terms of it. For instance, one can use the last property above to axiomatise the box operator. Then the diamond operator is defined as the De Morgan dual of box: $\langle x \rangle q =_{df} \neg[x]\neg q$. Finally, domain can be retrieved as $\lceil x =_{df} \langle x \rangle 1$.

Interestingly, in presence of star no special axioms are needed to establish star induction for diamond and box:

theory	MODAL_STAR
imports	LEFT_KLEENE_ALG FORWARDMODAL
variables	$p, q : \text{test} \mid x : S$
derives	$p \leq q \wedge p \leq [x]p \Rightarrow p \leq [x^*]q$ $(\{p \cdot r\} x \{p\}) \wedge p \cdot \neg r \leq q \Rightarrow (\{p\} \text{ while } p \text{ do } x \{q\})$

The second property is a special case of the first one and corresponds to the familiar inference rule for the while loop. More generally, box calculus does not only admit an algebraic soundness proof of Hoare logic, but also one of relative completeness [46].

Moreover, box can also be used to model total and general correctness and the wp operator. In fact, wp turns out to be the box operator in an algebra of commands [47]. Hence the abstract relative completeness result can immediately be re-used to show relative completeness of wp -based Hoare logic.

16 Logics of Knowledge and Belief

In this section we use some of our blocks to build algebraic theories of knowledge and belief. The idea is to abstract the access relations of Kripke models for multiagent systems to elements of an idempotent semiring with tests and to represent the knowledge and belief operators as instances of the general box operator with suitable additional axioms. The monomodal case is obtained by setting set $\Box p =_{df} [x]p$ for some fixed transition element x .

definition	MULTIAGENT _n
imports	MODAL_ISEMIRING MODAL_STAR
operators	$a_1, \dots, a_n, a : S \mid K_1, \dots, K_n, E, C : \text{test} \rightarrow \text{test}$
defined by	$K_1 p =_{df} [a_1]p \mid \dots \mid K_n p =_{df} [a_n]p$ $a =_{df} a_1 + \dots + a_n \mid E p =_{df} [a] \mid C p =_{df} [a^+]$
derives	$C p \leq C(C p) \mid C p \cdot C q \leq C(C p \cdot C q) \mid C p \cdot C q \leq C(C p \cdot q)$

The positive and negative introspection axioms are captured as follows.

definition	INTROSPECTION
imports	IL_SEMIRING_WITH_TESTS
predicates	$\text{sat_posintro}, \text{sat_negintro} \subseteq \text{test} \rightarrow \text{test}$
axioms	$\text{sat_posintro}(f) \Leftrightarrow_{df} \forall p. f(p) \leq f(f(p))$ $\text{sat_negintro}(f) \Leftrightarrow_{df} \forall p. \neg f(p) \leq f(\neg f(p))$

This allows specifying belief logic:

theory	MULTIBELIEF _n		
imports	MULTIAGENT _n		INTROSPECTION
axioms	$sat_posintro(K_1)$	\cdots	$sat_posintro(K_n)$
	$sat_negintro(K_1)$	\cdots	$sat_negintro(K_n)$

The axiom of truth (or reflexivity of the access elements) is expressed by

definition	TRUTH
imports	IL_SEMIRING_WITH_TESTS
predicates	$sat_truth \subseteq test \rightarrow test$
axioms	$sat_truth(f) \Leftrightarrow_{df} \forall p. f(p) \leq p$

Then multiagent knowledge logic is specified by

theory	MULTIKNOW _n		
imports	MULTIBELIEF _n		TRUTH
axioms	$sat_truth(K_1)$	\cdots	$sat_truth(K_n)$
derives	$C(p \rightarrow Ep) \leq p \rightarrow Cp$		$Cp \cdot Cq = CCp \cdot CCq = C(Cp \cdot Cq)$

It should be clear how further special-purpose multimodal logics can be constructed along these lines.

17 Quantaes and Temporal Logics

Now we really leave the first-order setting. For a number of applications it is important that the underlying left semiring is not only a semilattice, but even a complete lattice in which composition distributes over arbitrary/non-empty suprema in its left/right argument. Such structures are known as left quantaes and, in case the underlying semiring is even full, quantaes [49, 55]. For systematic reasons we call the supremum operator lub (least upper bound) rather than sup .

theory	LQUANTALE
imports	SEMIGROUP POSET
operators	$\text{lub} _ : \mathcal{P}(S) \rightarrow S$
axioms	$\text{lub } T \leq y \Leftrightarrow \forall x. x \in T \Rightarrow x \leq y$ $\text{lub } T \cdot y = \text{lub } \{x \cdot y \mid x \in T\}$ $T \neq \emptyset \Rightarrow y \cdot \text{lub } T = \text{lub } \{y \cdot x \mid x \in T\}$

Again, frequently a unit of composition is useful.

theory	UL_QUANTALE
imports	LQUANTALE MONOID

From a unital left quantale we can derive an idempotent left semiring.

definition	UL_QUANTALE_AS_SEMIRING
imports	UL_QUANTALE
operators	$0 : S \mid + : S \times S \rightarrow S$
defined by	$0 =_{df} \text{lub } \emptyset \mid x + y =_{df} \text{lub } (\{x\} \cup \{y\})$
derives	IL_SEMIRING

In a unital left quantale, star and omega can be *defined* as least/greatest fixpoints. To this end we first enrich left quantales by an infimum operation **glb** (greatest lower bound).

definition	UL_QUANTALE_WITH_GLB
imports	UL_QUANTALE
operators	$\text{glb}_- : \mathcal{P}(S) \rightarrow S$
defined by	$\text{glb } T =_{df} \text{lub } \{x \in S \mid \forall y \in T. x \leq y\}$

Least and greatest fixpoints are defined using the Tarski/Knaster theorem.

definition	FIXPOINTS
imports	UL_QUANTALE_WITH_GLB \mid ISO_ANTI
operators	$\mu, \nu : \text{isotone} \rightarrow S$
defined by	$\mu f =_{df} \text{glb } \{z \in S \mid f(z) \leq z\}$ $\nu f =_{df} \text{lub } \{z \in S \mid z \leq f(z)\}$

Now we can specify iteration in a quantale.

definition	UL_QUANTALE_WITH_ITERATION
imports	UL_QUANTALE_AS_SEMIRING \mid FIXPOINTS
operators	$^*, \omega : S \rightarrow S$
hidden	$f : S \times S \rightarrow (S \rightarrow S)$
defined by	$f(x, y)(z) =_{df} x + y \cdot z$ $y^* =_{df} \mu f(1, y) \mid y^\omega =_{df} \nu f(0, y)$
derives	LEFT_KLEENE_ALG FULLY_DIST \Rightarrow LEFT_OMEGA_ALG

where

theory	FULLY_DIST
imports	UL_QUANTALE_AS_SEMIRING \mid FIXPOINTS
axioms	$\text{glb } \{x + y \mid y \in T\} = x + \text{glb } T$

Further applications of left quantales concern, e.g., hybrid systems and the various temporal logics. For instance, to capture CTL^* one can interpret the quantale elements as abstracting sets of computation paths (the semantics of path formulas) and tests as abstracting sets of states (the semantics of state formulas); a distinguished element **n** abstracts the single-step transition relation. Then we can define an until operator **U** as $x \text{ U } y =_{df} \mu z. y + (x \sqcap \mathbf{n} \cdot z)$. This admits proving all standard CTL laws purely algebraically [45]. Moreover, for the sublogics CTL and LTL the general CTL^* semantics can be transformed into simplified versions in ω -regular form. These do no longer use the full power of quantales but just star and omega. Finally, for LTL even just star is used.

This provides interesting connections between μ -calculus representations and star/omega-algebra. Other logics like ITL, IL, DC or NL can also be captured in this setting. For lack of space we cannot spread out the details in form of structured theories here.

18 Conclusion and Outlook

The algebraic structures presented form a comprehensive and flexible framework. They cover various semantic models in a uniform algebraic fashion. Further applications have concerned residuals (e.g., to define generalised modal operators as in [65, 57]), predicate transformer semantics (e.g., as demonic refinement algebra [66, 59] or command/design algebra [47, 18, 19]), probabilistic programs [42, 64, 43], game algebra [51, 52], hybrid systems [26, 27], neighbourhood logic [25] and linked object structures and separation logic [13, 7]. There is even a greater variety of applications outside the realm of program semantics. Many of them use standard relation algebra; for these the ideas in the present paper are not as useful, since the underlying theory is fixed. However, we mention a few that use variants of the semiring setting, for which the idea of building blocks of theories may have some profit. For instance [17, 24] provide algebraic descriptions of some aspects of routing systems. But also the cardinality operator in Dedekind categories and allegories as used for flow problems [35, 36], or collagories [34] could be organised in the form of structure theories as proposed here.

As mentioned in the introduction, machine support for this type of theories has been intensively studied. This has resulted in large, modularised collections of theories and automatic proofs on the web [28, 23, 32, 50, 62]. Moreover, there are strong links with the TPTP project [63].

As a continuation of that and the ideas in the present paper we envisage a system for composing and analysing structured theories, of course with check for syntactic well-formedness including type constraints. Moreover, the system should perform a normalisation of a structured theory into a “flattened” unstructured one and then determine which fragment of logic is actually used, in particular, whether the overall theory is equivalent to a first-order one. It should then make suggestions which of the existing automatic theorem provers look most promising for use with that theory.

We are convinced that there is much more potential in the algebraic approach. What needs to be done is to explore further areas to see whether the structuring mechanisms we have proposed in the present paper are sufficient and maybe can notationally be streamlined further.

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