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On Laughter and Speech-Laugh, Based on Observations of Child-Robot Interaction

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Abstract. In this article, we study laughter found in child-robot interaction where it had not been prompted intentionally. Different types of laughter and speech-laugh are annotated and processed. In a descriptive part, we report on the position of laughter and speech-laugh in syntax

and dialogue structure, and on communicative functions. In a second part, we report on automatic classification performance and on acoustic characteristics, based on extensive feature selection procedures.

1 Introduction

Until the mid nineties, automatic speech recognition (ASR) concentrated on word recognition and subsequently, on processing of higher linguistic information such as dialogue acts, semantic saliency, recognition of accents and boundaries, etc. Paralinguistic information was normally not accounted for and treated the same way as non-linguistic events such as technical noise. Paralinguistic information is either modulated onto the speech chain as, e. g. voice quality such as laryngealisations [1], or it is interspersed between the words, such as filled pauses or laughter. More generally, laughter belongs to the group of the so-called affect bursts [2] which are partly words – including specific semantics – partly non-linguistic events.

Normally, laughter is conceived of as a non-linguistic or paralinguistic event. As one possibility to express emotions (especially joy), it has been dealt with already by Darwin [3]; studies on its acoustics, however, as well as its position in linguistic context – in the literal meaning of the word (where it can be found in the word chain, cf. [4]), and in the figurative sense (status and function) – started more or less at the same time as ASR started to deal with paralinguistic phenomena. The acoustics of laughter are for example described in [5,6] and in further studies referred to in these articles. In [7] an overview of phenomena and terminology is given. The context of laughter is addressed in [8,9] (different types of laughter and their function, different addressees in communication), and in [10]

(distribution of laughter within multi-party conversations). As for the automatic classification of laughter, cf. [11,12,13] and further studies referred to in these articles.

The scenario of the present study is child-robot interaction. Laughter is not elicited intentionally; the children had to accomplish different tasks by giving the robot – Sony's dog-like pet-robot Aibo – commands. As far as we can see, this specific combination 'children + pet-robot' has not yet been addressed in studies on laughter so far.

2 Overview

In this article, we deal with laughter as an event on the time axis which can be delimited (segmented) the same way as words can, and with speech-laugh, i.e. laughter modulated onto speech, which is co-extensive with the word it 'belongs to'. Throughout, we will use small capitals (SPEECH-LAUGH, LAUGHTER) - but italics (SL vs. L) if abbreviated - when referring to the phenomena that have been annotated and processed. When we refer to the generic term, we simply use the regular font ('laughter'). After the presentation of the database in Section 3, we describe the annotation of emotional user states, of the different types of laughter established, and of syntactic boundaries in Section 4. Section 5 reports on empirical findings: the duration of laughter, its syntactic position, its communicative function, and its position in the dialogue. Our interest in automatic processing, which is dealt with in Section 6, is twofold: of course, we are interested in classification performance. Moreover, we want to use the feature selection method employed to find out which acoustic characteristics can be found for laughter in general, and for the different subtypes of SPEECH-LAUGH and LAUGHTER in particular.

3 The Database

The database used is a German corpus of children communicating with Sony's pet robot Aibo, the FAU Aibo Emotion Corpus, cf. [14,15,16]. It can be considered as a corpus of spontaneous speech, because the children were not told to use specific instructions but to talk to the Aibo as they would talk to a friend. Emotional, affective states conveyed in this speech are not elicited explicitly (prompted) but produced by the children in the course of their interaction with the Aibo; thus they are fully naturalistic. The children were led to believe that the Aibo was responding to their commands, whereas the robot was actually controlled by a human operator (Wizard-of-Oz, WoZ) using the 'Aibo Navigator' software over a wireless LAN (the existing Aibo speech recognition module was not used). The WoZ caused the Aibo to perform a fixed, predetermined sequence of actions; sometimes the Aibo behaved disobediently, thus provoking emotional reactions. The data were collected at two different schools from 51 children (age 10–13, 21 male, 30 female). Speech was transmitted via a wireless head set (UT 14/20 TP SHURE UHF-series with microphone WH20TQG) and

recorded with a DAT-recorder (sampling rate $48\,\mathrm{kHz}$, quantisation $16\,\mathrm{bit}$, down-sampled to $16\,\mathrm{kHz}$). Each recording session took some $30\,\mathrm{minutes}$. Because of the experimental setup, these recordings contain a huge amount of silence (reaction time of the Aibo), which caused a noticeable reduction of recorded speech after raw segmentation; eventually we obtained almost nine hours of speech. The audio-stream was segmented automatically with a pause threshold of $1\,\mathrm{sec}$ into so-called turns.

In planning the sequence of Aibo's actions, we tried to find a good compromise between obedient and disobedient behaviour: we wanted to provoke the children in order to elicit emotional behaviour, but of course we did not want to run the risk that they break off the experiment. The children believed that the Aibo was reacting to their orders – albeit often not immediately. In reality, the scenario was the opposite: the Aibo always strictly followed the same screen-plot, and the children had to align their orders to its actions. By these means, it was possible to examine different children's reactions to the very same sequence of Aibo's actions. In the so-called 'parcours' task, the children had to direct the Aibo from START to GOAL; on the way, the Aibo had to fulfil some tasks and had to sit down in front of three cups. This constituted the longest sub-task. In each of the other five tasks of the experiment, the children were instructed to direct the Aibo towards one of several cups standing on the carpet. One of these cups was 'poisoned' and had to be avoided. The children applied different strategies to direct the Aibo. Again, all actions of Aibo were pre-determined. In the first task, Aibo was 'obedient' in order to make the children believe that it would understand their commands. In the other tasks, Aibo was 'disobedient'. In some tasks Aibo went directly towards the 'poisoned' cup in order to evoke emotional speech from the children. No child broke off the experiment, although it could be clearly seen towards the end that some of them were bored and wanted to put an end to the experiment – a reaction that we wanted to provoke. Interestingly, in a post-experimental questionnaire, all children reported that they had much fun and liked it very much; thus we can expect at least some instances of laughter indicating joy. At least two different conceptualisations could be observed: in the first, the Aibo was treated as a sort of remote-control toy (commands like "turn left", "straight on", "to the right"); in the second, the Aibo was addressed the same way as a pet dog (commands like "Little Aibo doggy, now please turn left - well done, great!" or "Get up, you stupid tin box!"), cf. [14].

Detailed information on the database is given in [15].³

4 Annotation

4.1 Emotion

Five labellers (advanced students of linguistics, 4 females, 1 male) listened to the speech files in sequential order and annotated independently from each other each

³ The book can be downloaded from the web: http://www5.informatik.uni-erlangen.de/Forschung/Publikationen/2009/Steidl09-ACO.pdf.

4 Anton Batliner et al.

word as neutral (default) or as belonging to one of ten other classes, which were obtained by inspection of the data. This procedure was iterative and supervised by an expert. The sequential order of labelling does not distort the linguistic and paralinguistic message. Needless to say, we do not claim that these classes represent children's emotions (emotion-related user states) in general, only that they are adequate for the modelling of these children's behaviour in this specific scenario. We resort to majority voting (henceforth MV): if three or more labellers agree, the label is attributed to the word; if four or five labellers agree, we assume some sort of prototypes. The following raw labels were used; in parentheses, the number of cases with MV is given: joyful (101), surprised (0), emphatic (2528), helpless (3), touchy, i.e., irritated (225), angry (84), motherese (1260), bored (11), reprimanding (310), rest, i.e. non-neutral, but not belonging to the other categories (3), neutral (39169); 4707 words had no MV; all in all, there were 48401 words. joyful and angry belong to the 'big', basic emotions [17], the other ones rather to 'emotion-related/emotion-prone' user states but have been listed in more extensive catalogues of emotion/emotion-related terms, e.g. 'reproach' (i.e. reprimanding), bored, or surprised in [18]. The state emphatic has been introduced because it can be seen as a possible indication of some (starting) trouble in communication and by that, as a sort of 'pre-emotional', negative state [19,15]. This is corroborated by one- or two-dimensional Nonmetrical Multidimensional Scaling (NMDS) solutions, cf. [14], where *emphatic* is located between neutral and the negative states on the valence dimension. Note that all these states, especially *emphatic*, have only been annotated when they differed from the (initial) neutral baseline of the speaker.

4.2 Speech laugh and laughter

LAUGHTER has been annotated, together with other non-/paralinguistic events such as (filled) pauses, breathing, or (technical) noise, in the orthographic transliteration (several passes, cross-checked by one supervisor). SPEECH-LAUGH has been annotated, together with other (prosodic) peculiarities such as unusual syllable lengthening, or hyper-correct articulation, in a separate annotation pass by one experienced labeller; details are given in [15]. It turned out, however, that is was necessary to re-do and correct the annotation of LAUGHTER and SPEECH-LAUGH for the whole database; this was done by the first author. We decided not to annotate speech smile; we could only find a few somehow pronounced instances.

The following types of laughter are annotated:

- SPEECH-LAUGH, weak (SLw): speech-laugh which is not very pronounced
- SPEECH-LAUGH, strong (SLs): speech-laugh, pronounced

⁴ Due to this re-labelling, the frequencies reported in this paper and in [15] differ. Note that in the standard orthographic transliteration, only turns containing at least one word had been taken into account. By that, all isolated instances of LAUGHTER had been disregarded which are now included.

- LAUGHTER, unvoiced (Lu): laughter, unvoiced throughout
- LAUGHTER, voiced-unvoiced (Lvu): laughter with both marked voiced and unvoiced sections; order and number of voiced and unvoiced sections are not defined
- LAUGHTER, voiced (Lv): laughter, voiced throughout

The acoustic characteristics of our laughter instances can be described along the terminology of, e.g. [5,7]: bouts, i. e. entire laugh episodes, consisting of one or several calls (segments, syllables), i. e. events that clearly can be delimited. The default segmental structure of LAUGHTER is, as expected, [h@h@] (SAMPA notation); SPEECH-LAUGH is often characterized by some tremolo which is structurally equivalent to the repetitive events in LAUGHTER. Apart from other, more 'normal' types, in a few cases, 'exotic' forms such as ingressive phonation could be observed.

237 turns contain 276 instances of laughter, 100 speech-laugh and 176 laughter; thus each of these turns contains on average 1.16 laughter instances. Adjacent instances of laughter and speech-laugh count as two separate instances. As there are some 13.6 k turns and some 48.4 k words, turns with laughter instances amount to 1.7% of all turns; 0.6% of all tokens that are either words or laughter instances is either speech-laugh or laughter. The approximate overall duration of the speech events in the database amounts to some 8.9 hours, the overall duration of all laughter instances to some 145 sec., i. e. 0.4%.

To compare these frequencies with some reported in the literature: [13] use seven sessions from the AMI Meeting corpus, where subjects were recruited for the task, and pre-select those 40 laughter segments that do not co-occur with speech and are "clearly audible" (total duration 58.4 seconds). [20] report "1926 ground truth laughter events" found in 29 meetings (about 25 hours), the so-called Bmr subset of the ICSI Meeting Recorder Corpus, divided into 26 train and 3 test meetings. [21] report for the same partition 14.94% "proportion of vocalization time spent in laughter" for train, and 10.91% for test; another subset of the ICSI meeting data (the so-called Bro subset) contains only 5.94% of laughter. This is due to different types of interaction and participants, which were more or less familiar with each other. On the other hand, only few laughter instances were found in "transcript data of jury deliberations from both the guilt-or-innocence and penalty phases of [... a] trial" [22]: "51 laughter sequences across 414 transcript pages".

All these differences clearly demonstrate a strong dependency on the scenario: on the one hand, a high percentage of laughter in scenarios where people, knowing each other quite well, 'play' meetings, having some fun, and on the other hand, children in a somehow 'formal' setting, not knowing the supervisor, and trying to fulfil some tasks, or members of a jury discussing a death penalty decision.

4.3 Syntactic boundaries

In our scenario, there is no real dialogue between the two partners; only the child is speaking, and the Aibo is only acting. (Note, however, that there is a

sort of second, marginal dialogue partner, namely the supervisor who was present throughout the whole interaction with the Aibo. The supervisor was sometimes addressed in a sort of meta-speech, especially between the different sub-tasks, cf. below.) The speaking style is rather special: there are not many 'well-formed' utterances but a mixture of some long and many short sentences/chunks and oneor two-word utterances, which are often commands. Note that we have to rely on syntactic knowledge for segmenting longer stretches of speech into meaningful units. Our segmentation into turns based on a speech pause detection algorithm works sufficiently well for ASR processing; however, this procedure can result in rather long turns, up to more than 50 words; these units are therefore not suitable for any fine-grained syntactic analysis. For the experiments presented in this study, the following syntactic positions have been labelled by the first author; this is a sub-set of the inventory described fully in [15], enriched with further 'laughter-specific' positions. The inventory is based on an elaborate, shallow account of syntactic units in [23]. Examples are given in parentheses for SPEECH-LAUGH instances (typewriter font, whereas the rest of the utterances – if any – is given in italic). Analogously, positions of LAUGHTER are exemplified; English translations in italics without indication of laughter position:

- isolated: the turn consists only of one instance of LAUGHTER
- vocative: Speech-laugh on the vocative "Aibo"
- begin of unit: most of the time, at the begin of the turn, but can be at the begin of a syntactic unit (free phrase, clause) within a turn as well (examples: geh nach rechts go to the right; LAUGHTER Aibo geh mal nach links Aibo go to the left)
- end of phrase: at the end of a free phrase, i.e. a stand-alone syntactic
 unit but not well-formed syntactically, i.e. without a verb (examples: in die
 andere Richtung into the other direction; und jetzt LAUGHTER- and now)
- end of clause: at the end of a main clause or a sub-ordinate clause which
 is syntactically well-formed (examples: was soll man da jetzt machen what
 can I do now; so jetzt gibst a Ruh LAUGHTER now keep quiet)
- left-adjacent: at second position in a unit; in the first position, additionally either LAUGHTER or SPEECH-LAUGH are found (examples: muss ich ihn da durch die Strassen lenken do I have to guide it through the streets; no instance of LAUGHTER)
- right-adjacent: at pen-ultima (second last) position in a unit; in the ultima (last) position, additionally either LAUGHTER or SPEECH-LAUGH are found (examples: du musst nach links abbiegen you have to turn right; no instance of LAUGHTER)
- covering: the whole unit consists of SPEECH-LAUGH with or without LAUGH-TER (examples: ein bisschen nach vorne – a little bit forwards; LAUGH-TER komm her LAUGHTER – come here)
- internal: adjacent to the left and to the right of this label within a unit,
 only words without SPEECH-LAUGH and no LAUGHTER are found (examples:
 lauf nach rechts go to the right; no instance of LAUGHTER)

Note that for some syntactic positions, alternative laughter positions could be annotated: we labelled one word clauses such as "aufstehen!" (Engl. "get up!" with end of clause and not with covering. With covering, all words belonging to a unit were annotated even if of course, one of them is at the beginning and one in ultima position. These decisions are somehow arbitrary; in no case, however, the interpretation in Sec. 5.3 would change if the one or the other decision had been taken.

5 Results

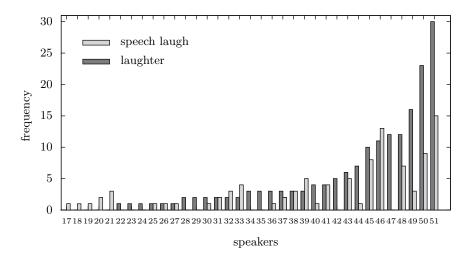
Our data are not Gaussian-distributed, and several outliers such as speaker-specific frequencies or extreme duration values can be observed. Thus we decided in favour of non-parametric statistic procedures such as Spearman's rank coefficient, Chi-Square, and Mann-Whitney U-test; p-values reported are always for the two-tailed test. Note that 'significant' p-values should rather be taken as indicating 'large enough differences' in the sense of [24,25], and not in the strict sense of inferential statistics. We therefore refrain from using adjusted levels of significance. (For our results, using them would simply mean only to treat p-values below 0.01 as 'significant'.)

5.1 Speaker- and gender-specific use of laughter

Figure 1 displays the speaker-specific frequencies of LAUGHTER and SPEECH-LAUGH, sorted by frequency of LAUGHTER per speaker; 16 speakers, i.e. almost one third, are omitted because they produced neither LAUGHTER nor SPEECH-LAUGH. The Spearman rank co-efficient between LAUGHTER and SPEECH-LAUGH is 0.61 if all speakers, even those that did not produce LAUGHTER or SPEECH-LAUGH, are taken into account, and 0.43 if only speakers that produced at least one instance of LAUGHTER or SPEECH-LAUGH are processed. Thus, some tendency can be observed to display either no laughter or both types of laughter. We can assume that the decision between 'to laugh or not to laugh' is grounded in some basic attitude towards the task and towards the situation as a whole, which in turn might be caused by differences in the character of the children [14]. In a Mann-Whitney test, there is no significant gender difference as for absolute frequencies of LAUGHTER and SPEECH-LAUGH, their different sub-types specified below, or their frequencies relative to absolute word frequencies per speaker. With other words: at least in this setting, girls and boys seem not to differ in their use of laughter, cf. below Section 5.3 as well.

5.2 Duration of laughter

Table 1 displays some statistical key figures for the five types of laughter separately, and for the two main types SPEECH-LAUGH (SLtot) and LAUGHTER (Ltot). All these distributions are skewed right (row 'skewness') which could be expected, as it is duration data. SL-types, i. e. words, are less skewed than L-types,



 ${f Fig.\,1.}$ Distribution of LAUGHTER and SPEECH-LAUGH amongst speakers, sorted by frequency of LAUGHTER per speaker

	Table 1.	Duration	of types	of laughter	in#	frames	(10 msec.)
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statistics	SLs	SLw	SLtot	Lv	Lvu	Lu	Ltot
# tokens	44	54	98	32	69	75	176
Mean	54.84	45.10	49.49	53.00	63.79	46.13	54.30
Median	55	44	49.50	44	47	39	42.50
Std. Deviation	20.14	22.37	21.84	37.02	58.61	49.74	51.85
Skewness	.31	.57	.37	1.93	3.32	5.78	4.16
Minimum	21	6	6	19	5	9	5
Maximum	100	113	113	173	328	414	414

and amongst these latter ones, those containing unvoiced parts are skewed most. This is due to a few outliers, i. e. very long LAUGHTER instances: the median is more uniform across the types than the mean (and by that, standard deviation and maximum values). In a Mann-Whitney test, the durations of SLs vs. SLw differ with (p=0.024). This can of course be due to differences in word length but most likely, to SLs being more pronounced and by that, longer, than SLw. Three pair-wise Mann-Whitney tests resulted in one of the differences, namely Lvu vs. Lu, being significant with (p=0.001). This might be due to two factors: Lu tends to be weaker and by that, shorter, and for Lvu, the alternation of voiced and unvoiced might automatically 'result' in some longer duration.

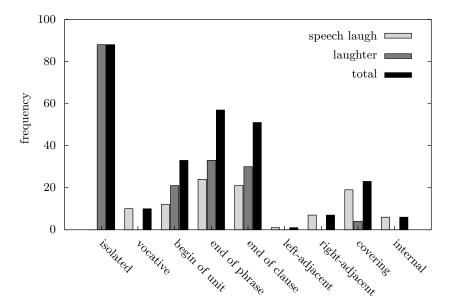


Fig. 2. Distribution of types of laughter for syntactic positions

5.3 Syntactic position of laughter

Figure 2 displays the frequencies of SPEECH-LAUGH and LAUGHTER for all different syntactic positions. These are absolute figures; note that there are 21 male and 30 female children in our database. A Mann-Whitney test resulted in no significant differences ($\alpha=0.5\,\%$) between the genders, separately for each syntactic position or for the totals of SPEECH-LAUGH, LAUGHTER, or both taken together. Thus it seems save to conclude that both girls and boys employ laughter the same way.

In Figure 2, it is trivial that in *isolated* position, only LAUGHTER occurs because one-word turns constitute by definition either a clause or a free phrase. The same way, it is trivial that *vocatives* only constitute SPEECH-LAUGH. There is not much difference between SPEECH-LAUGH and LAUGHTER as for *begin of unit*, end of phrase, and end of clause. However, if we map these instances onto a main class edge position, then the difference between 57 instances of SPEECH-LAUGH and 84 instances of LAUGHTER is significant in a chi-square test (p=0.023). For the complement, i.e. adjacent/covering/internal positions, the difference between 33 instances of SPEECH-LAUGH and 4 instances of LAUGHTER – which are all in ultima position, cf. below – is more marked, with (p=0.000).

left/right-adjacent means an internal second or pen-ultima position but only when the first or ultima position is LAUGHTER or SPEECH-LAUGH: there is none for LAUGHTER and only one and seven, respectively, for SPEECH-LAUGH. covering means that throughout a syntactic constituent, there is LAUGHTER and/or SPEECH-LAUGH; note that all four instances of LAUGHTER in covering occur in

first or ultima position. Thus there is no LAUGHTER in *internal* position, only SPEECH-LAUGH. These distributions mean that LAUGHTER can only be observed *isolated*, i. e. marked-off from speech, or at the beginning/end of syntactic constituents, and that SPEECH-LAUGH is either – and most of the time – at the fringe (mostly at the end) of syntactic constituents, or coherent left/right-adjacent or covering but very seldom stand-alone internally in a syntactic constituent.

In [4] it is claimed that LAUGHTER sort of punctuates speech, i.e. it is almost always found at those positions where we punctuate in written language. This turns out to hold true for our data as well: LAUGHTER is never internal. In contrast, we see that SPEECH-LAUGH can occur in internal position, but very seldom. In the overwhelming majority of the cases, SPEECH-LAUGH is found at the edges of linguistic units – but these can be found within turns, i.e. longer stretches of speech, as well.

To our knowledge, there are not many studies on the relationship between human speech/linguistic processing and paralinguistic processing – such as laughter. We know, however, that phonetic/psycholinguistic studies on the localisation of non-verbal signals within speech showed that listeners tend to structure the perception of these phenomena along the perception and comprehension of linguistic phenomena (sentence processing), cf. [26].⁵ Our findings suggest a somehow close relationship between both phenomena – otherwise, there would be no reason why we should not observe laughter in internal position. Thus, it might be that linguistics and paralinguistics are not just two independent streams but more intertwined, cf. [27], p. 892: "A view of laughter as merely a suprasegmental overlay on the segmental organization of speech is clearly an inadequate view of speech-laugh patterns. Unlike stress or intonation, laughter can stand independently as a meaningful communicative response." But, we have to add, it is embedded in syntactic structure as well.

5.4 Communicative function of laughter

276 laughter instances (SPEECH-LAUGH and LAUGHTER) are found in 237 turns, i. e. 1.16 on average per turn. 88 are isolated LAUGHTER instances, constituting a turn; 40 are 'meta-statements', not directed towards the Aibo but being either private speech (directed to one-self) or directed towards the supervisor; these meta-statements can be conceived as constituting 'off-talk' [28]. Sometimes, it is not easy to tell these different types apart: the exclamation " $s\ddot{u}\beta$!" (Engl. "sweet!") could be both, "passt das so?" (Engl. "is that ok?") is clearly directed towards the supervisor. Thus, some 46 % of the turns containing laughter instances constitute interactions (mostly the illocution 'command') directed towards the Aibo, some 54 % of these turns do not belong to any interaction with

⁵ In [4], only Laughter and not speech-laugh is addressed. A weak point of this study might be that the data were annotated online by 'observers' of anonymous subjects in public places. Thus the localisation of laughter could not be checked later on. It might be that these observers displayed the same tendency to localise such events at syntactic boundaries, even if they are not. However, our findings point towards the same direction.

the Aibo. In both constellations, laughter can – but need not be – an indication of emotion (emotional user-state); obvious would be the indication of *joyful*.

Table 2. Cross-tabulation of word based emotion labels with SPEECH-LAUGH

emotion label	SLs	SLw	sum
mixed	8	8	16
angry	1	1	2
joyful	25	24	49
neutral	10	21	31
total	44	54	98

Table 2 displays the word-based co-occurrence of SPEECH-LAUGH and emotion label. Indeed, in 50% of the cases, SPEECH-LAUGH obviously contributes to the indication of joyful; these 49 cases amount to 49% of all 101 joyful instances. 32 % of the words are neutral, 16 % belong to a mixed rest class, 6 2 % to angry, and no case to motherese. At first sight, this could not be expected, when we consider the literature: [27] showed that in mother-child interaction, mothers produced some 50 % SPEECH-LAUGH. This child-directed speech has different names such as motherese, parentese, or register of intimacy, with somehow different connotations but having a great deal in common with our motherese cases, cf. [29,30], such as lower harmonics-to-noise ratio, lower energy, and at the same time, more variation in energy and F_0 . Obviously, laughter is no common trait: in a mother-child (i.e. mother-baby) interaction, the eliciting of social (mutual) laughter serves as reinforcement of a good parent-child attachment, and as confirmation of the child's well-being. In our scenario, laughter is not social in this sense, and is not used to establish specific relationships with the Aibo. In fact, any attempt to elicit laughter would be in vain because the Aibo is simply not programmed that way.

Similar results are obtained when we compare the speaker-specific frequencies of motherese, angry, all words, SPEECH-LAUGH and LAUGHTER in Table 3: there are significant – albeit not very high – positive correlations between motherese, angry, and all words. This means that subjects show some variability but do not have any bias towards a positive or negative attitude. The same way, there is a significant correlation between the frequencies of SPEECH-LAUGH and LAUGHTER: subjects seem not to prefer strongly the one or the other type of laughter. There are, however, very low correlations between any type of laughter and any type of emotion – apart from joyful. This might show that this childrobot relationship is peculiar, and really half-way between 'close and intimate' and 'distant and not intimate'. This can be traced back to the distance (the child

 $^{^6}$ mixed means that in these cases, no majority label could be given; this is different from the rest class in Section 4.1.

is some 1.5 m away from the robot), to the robot being a robot, to the robot being a 'tin-box' and not a sweet furry baby seal, etc. – we do not know yet. Anyway, the assumption of [27], p. 892 that "... speech-laughs are only common in particular social contexts, such as maternal infant-directed speech during play or in the laughter of close relationships" has to be specified: speech-laughs can be observed in other settings; however, they might be not as frequent. The 'normal', default function of the laughter instances found in our database really seems to be an indication of amusement or joy. Some other functions are detailed in the next section.

Table 3. Correlations (Spearman) for speaker-specific frequencies; 51 speakers; correlations with '*' are significant at $\alpha = 0.001$

type	motherese	angry	words	SPEECH-LAUGH
motherese	_			
angry	.53*	_		
words	.51*	.53*	_	
SPEECH-LAUGH	.10	23	05	_
LAUGHTER	.14	15	.20	.60*

5.5 Temporal position of laughter in the dialogue

In Sec. 5.3 we have seen that laughter is very often found at a syntactic edge position, i.e. either at the begin or at the end of syntactic units. We now want to have a look at the position of laughter in the whole dialogue: the children had to complete five tasks, three short ones, one longer, and again, two short ones, cf. Sec. 3. It is likely that a child exhibits the same linguistic behaviour throughout the whole communication: they are either talkative or not. Remember that Aibo's actions were predefined and did not depend on the children's commands. We therefore computed for each laughter instance its relative position in the dialogue by dividing the turn number the laughter belongs to with the maximal number (number of the last turn in the dialogue.) To get a somehow clear picture without outliers, we truncated the resulting figures, aiming at a quantisation into 10 percentile slides, cf. Figure 3. Thus the positions given in the figure denote approximately the temporal position in whole interaction, i.e. in the task structure. We can see a first maximum at the beginning of the dialogue, then a descending slope and later on, a second weak maximum between 60% and 80% of the dialogue. Note that in a few cases, there are some 'artifacts' because not the whole communication was recorded due to technical problems. Moreover, some children do not produce any laughter, and a few other ones quite a lot. Thus we have to interpret this outcome with due care: the first maximum

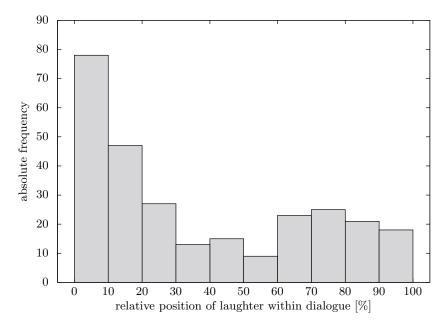


Fig. 3. Frequencies (y-axis) of relative position of laughter in the time course of the dialogue, smoothed by quantisation into 10 percentile slides (x-axis)

at the beginning of the whole interaction can be interpreted as 'phatic' laughter – most of the time, isolated LAUGHTER, most likely denoting something like joyful expectation, mixed with tension. Then, the descending slope might indicate some focusing on the task. Later on, when the children are more familiar with the tasks and the supervisor, laughter can often be found at the transition of one task to the following task; here, some children made meta-statements, including laughter, such as "... sometimes, it doesn't want to listen at all LAUGHTER". We might suppose that laughter is influenced by antagonistic tension and relief; it occurs more often at the beginning as well as at the end of tasks than in the 'normal' course of the tasks. A 'punctuation' function can thus be observed at the lower level of syntactic structure as well as – in a less restricted way – at the higher level of dialogue structure.

6 Automatic classification of laughter

For the automatic classification of laughter, state-of-the art audio signal processing and classification methods are used. A large set of acoustic features is extracted from segments (complete turns, or single word units) of the audio recording. In total the feature set considered contains 5 967 acoustic features, which are extracted using the openEAR framework [31]. Thereby, for each input segment of variable length, a static feature vector is extracted by applying various functionals to low-level feature contours. The latter include low-level descriptors

(LLD) such as signal energy, Mel-frequency cepstral coefficients (MFCC), fundamental frequency, probability of voicing, zero-crossing rate (ZCR), etc., and their respective first and second order regression coefficients. The 39 low-level descriptors are summarised in Tab. 4. A list of the 51 functionals can be found in Tab. 5. These and similar features have been applied successfully to various audio classification tasks, e.g. musical genre recognition [32], emotion recognition [33,34], and classification of non-linguistic vocalisations [35].

LLD Group	Description
Time Domain	Zero-crossing rate (ZCR), max./min. sample value, signal offset
Energy	Root mean-square (RMS) & logarithmic
Voice	Fundamental frequency F_0 via autocorrelation function (ACF)
	Probability of voicing $\left(\frac{ACF(T_0)}{ACF(0)}\right)$
	F_0 quality $(\frac{\text{ZCR(ACF})}{F_0})$
	Harmonics-to-noise ratio (HNR)
Spectral	Energy in bands 0-250 Hz, 0-650 Hz, 250-650 Hz, 1-4 kHz
	10%, $25%$, $50%$, $75%$, and $90%$ roll-off points, centroid, flux, and
	relative position of spectral maximum and minimum
Cepstral	MFCC 0-15

Table 4. 39 acoustic low-level descriptors (LLD) for generation of a large acoustic feature set.

In order to find a set of features highly relevant for laughter classification, an automatic data-driven feature selection method called *correlation-based feature-subset selection* (CFS) [36] is used. This method evaluates the relative importance of features based on their correlation to the class. The method is independent of the type of classifier that will be used to do the actual recognition work. This is both an advantage and disadvantage of CFS. Due to not including the classification method into the feature selection procedure, the obtained feature sub-set is very likely to be suboptimal for the chosen classification method. However, this approach leads to a more general feature set when we try to interpret the selected features as characteristic acoustic traits of laughter. Two different levels of input segmentation are investigated, which correspond to different practical applications: *turn-based* laughter detection, and *word-based* laughter classification.⁷

For turn-based laughter detection, a single acoustic feature vector is extracted from the full length input turn. A two-class decision is performed, i. e. whether the turn contains laughing (of any type) or not. The classes L and W are assigned to the turns respectively. This scenario is of a highly practical relevance, since in

⁷ This is a somehow sloppy word usage of 'detection' in order to tell apart these two different tasks: we 'detect' that laughter occurs somewhere in the turn but we do not localise it; in 'classification', localisation is given and we decide whether an item belongs to the one or the other class(es).

Type	#
Max. / min. value and respective relative position	4
Range (maxmin.), max./min. value - arithmetic mean	3
Arithmetic and quadratic mean	2
Arithmetic mean of absolute and non-zero values	2
Percentage of non-zero values in contour	1
Quartiles, inter-quartile ranges, 95% and 98% percentiles	8
Standard deviation, variance, kurtosis, skewness	4
Centroid of feature contour	1
Zero-crossing and mean-crossing rate	2
25% down-level time, $75%$ up-level time	2
Rise-time, fall-time	2
Number of peaks, mean distance between peaks	2
Mean of peaks, mean of peaks - arithmetic mean	2
Number of segments based on Δ -thresholding	1
Linear regression coeff. and corresponding approximation error	4
Quadratic regression coeff. and corresponding approximation error	5
Discrete cosine transformation (DCT) coefficients 0-5	6

Table 5. 51 functionals (statistical, polynomial regression, and transformations) applied to low-level descriptor contours.

most cases, systems need to know whether a person is laughing or not, while the exact position of the laughter within the utterance is irrelevant. Furthermore, a three-class decision is analysed where we discriminate between turns containing no laughing at all (W), SPEECH-LAUGH only (SL), and LAUGHTER (L) (possibly mixed with SPEECH-LAUGH).

For word-based laughter classification, the turns were manually segmented into word units. A word unit thereby spans exactly one word or a non-linguistic vocalisation, such as isolated laughter. Features are extracted per word unit segment. Each word unit is assigned one of the six classes, word (W), weak SPEECH-LAUGH (SLw), strong SPEECH-LAUGH (SLs), voiced LAUGHTER (Lv), mixed voiced and unvoiced LAUGHTER (Lvu), and unvoiced LAUGHTER (Lu). Since some of these six classes might not be clearly distinguishable, they have been combined for additional experiments. This results in two sets of labellings, one containing two labels (word W, and LAUGHTER L), and the other containing three labels (word W, SPEECH-LAUGH SL, and LAUGHTER L). Even though word-unit based laughter classification requires a segmentation into word-units, which cannot be done perfectly automatically, it is interesting from a research point of view. A comprehensive study of relevant acoustic properties of speech vs. laughter can be conducted by automatically analysing acoustic features relevant for automatic classification.

In order to obtain speaker independent classification results on the whole FAU Aibo corpus, *leave-one-speaker-out cross-validation* is performed. Thereby, evaluation is performed in 51 folds, corresponding to the 51 speakers in the

corpus. In each fold the data from one speaker is used as a test set, while the data from the remaining 50 speakers is used for training and feature selection.

Since significantly more word-units and turns without laughter are found in the FAU Aibo corpus, the data set is highly unbalanced between the speech class on the one hand and the LAUGHTER and SPEECH-LAUGH classes on the other hand. Therefore, for training robust classification models which do not show a bias towards the speech class, it is necessary to create a balanced training set for the training phase. We therefore limit the number of training instances in the speech class for word-unit based experiments to 100 (6-class problem) and to 300 (for the 2-class and the 3-class problem), and for turn based experiments to 237 (2-class problem) and to 150 (3-class problem) by random sub-sampling of speech class instances. Note that for the turn-based three class problem, the number of SPEECH-LAUGH instances was also limited to 150 by random sub-sampling.

Feature selection using CFS is performed independently for each fold on the respective training set only. Thus, we ensure that the respective test set is completely unknown to the system with respect to both feature selection and model; this would not be the case if we performed the feature selection on the whole corpus. However, the proposed method results in 51 different feature sets, each containing approximately 100–200 selected features, specific to the respective training partition. In order to find overall relevant features, we create a new feature set by including only those features which have been selected in all 51 folds. This method yields even smaller sets of features (approx. 20–30 features). Better classification is obtained with these feature sets than with the individual per-fold feature sets. In some cases the performance is even superior to using the full feature set. This indicates the high relevance of these selected features, as will be discussed later.

As classifier we use Support-Vector Machines (SVMs) as described in [36]. SVMs have shown excellent performance for related tasks, e.g. classification of non-linguistic vocalisations (e.g. [35]), and emotion recognition (e.g. [37]).

6.1 Classification performance

A summary of all results obtained for automatic laughter detection and classification is shown in Tab. 6. We see that generally, turn-based classification outperforms word-based classification. This might be at least partly due to the fact that there is on average 1.16 instances of laughter and by that, more than one 'island of markedness' per turn. Of course, the more detailed 3-class and the 6-class problem result in lower classification performance. Both for weighted average recall (WA) and unweighted average recall (UA)⁸, classification performance is better if using all features (FS_n) than if using features selected in all 51 folds (FS_c), and both procedures are better than if using features selected via CFS on the respective full set, i. e. data from all 51 folds combined (FS_f);

⁸ WA is the overall recognition rate or recall (number of correctly classified cases divided by total number of cases); UA is the 'class-wise' computed recognition rate, i.e. the mean along the diagonal of the confusion matrix in percent.

cf. our remarks above on the advantages and disadvantages of CFS. There are two exceptions if we look at UA for FS_c vs. FS_f for the 3-class and the 6-class word based problem: obviously, the more detailed the task, the more features have to be employed for modelling and classifying the classes.

Table 6. Overview of all results for turn and word based segmentation with different number of classes: $N_{cl} = 2$, 3, and 6 classes. 51-fold leave-one-speaker-out cross-validation. Dummy: Correctly classified instances by always choosing the most likely class as seen in the training set distribution. Classification using all features (FS_n) , features that have been selected in all 51 folds (FS_c) , and features selected via CFS on the respective full set, i. e. data from all 51 folds combined (FS_f) . Number of features that was selected in all 51 folds (N_c^{ft}) , and number of features selected on the full FAU Aibo set (N_f^{ft}) . Training on near balanced set (see text), evaluation on full set (highly unbalanced). Weighted average recall (WA) and, in parentheses, unweighted average recall (UA).

[% WA (UA)]	N_{cl}	Dummy	FS_n	FS_c	N_c^{ft}	FS_f	N_f^{ft}
Turn							
	2	50.0 (50.0)	84.7 (85.0)	82.0 (83.0)	30	80.9 (82.2)	156
	3	41.1 (33.3)	81.8 (89.5)	77.5 (64.5)	20	71.9 (60.9)	121
Word							
	2	52.3 (50.0)	77.9 (78.5)	76.6 (77.5)	25	74.8 (76.0)	176
	3	52.3 (33.3)	77.6 (69.8)	73.7 (64.3)	34	67.4 (71.2)	183
	6	$26.7\ (16.7)$	58.3 (49.8)	54.6 (41.3)	13	52.2 (50.1)	161

Tab. 7 shows the confusion matrix for the 6 class word-unit based classification problem using a set of only 13 features constituting the intersection of selected features in all 51 folds, cf. Tab. 6, last row. It shows confusions that are expected from a phonetic viewpoint: confusions within the classes LAUGHTER and SPEECH-LAUGH are more frequent than confusions between LAUGHTER and SPEECH-LAUGH. Moreover, words are often misclassified as SPEECH-LAUGH, where weak SPEECH-LAUGH is more frequent than strong SPEECH-LAUGH, which is to be expected. There is some misclassifications of words as LAUGHTER, especially Lv. The confusions with Lu can be explained by the fact that especially shorter words can either be unvoiced or the feature extraction might not have given reliable results.

Tab. 8 shows the confusion matrix for the 3 class word-unit based classification problem using a set of 34 features determined by automatic feature selection of commonly selected features in all 51 folds. This table shows a good recognition performance for the classes word and LAUGHTER. SPEECH-LAUGH, however, is often confused with words, which shows the challenge of detecting laughter in speech. More SPEECH-LAUGH word-units are classified incorrectly as words

⁹ Note that out of the 100 instances of SPEECH-LAUGH, 2 cases could not be processed because they were too short to extract meaningful features based on functionals.

Table 7. Word-based classification with 51-fold leave-one-speaker-out cross-validation; with 13 features selected; $54.6\,\%$ correct; 6-class problem

class. as	W	SLw	SLs	Lu	Lvu	Lv	#	% corr.
W	412	117	76	25	24	51	705	58.4
SLw	23	5	16	5	3	2	54	9.3
SLs	7	15	15	2	3	2	44	34.1
Lv	10	4	2	2	12	2	32	6.3
Lvu	2	4	3	0	44	16	69	63.8
Lu	2	0	2	2	12	57	75	76.0

Table 8. Word-based classification with 51-fold leave-one-speaker-out cross-validation; with 34 features selected; 73.7% correct; 3-class problem

class. as	W	SL	L	#	% corr.
W	552	77	76	705	78.3
SL	44	40	14	98	40.8
L	25	21	130	176	73.9

than are classified correctly. Looking once more at the result in Tab. 7, where we can see that weak SPEECH-LAUGH and words are likely to be confused, we can assume that those weak SPEECH-LAUGH word-units, which are now combined with the strong SPEECH-LAUGH instances, lead to the poor performance for the overall SPEECH-LAUGH class.

Tab. 9 shows the confusion matrix for the 3-class turn based detection problem using a set of 20 features determined by automatic feature selection of commonly selected features in all 51 folds. Tab. 10 shows the confusion matrix for the 2-class turn based detection problem using a set of 30 features determined by automatic feature selection of commonly selected features in all 51 folds. 3-class turn-based laughter detection shows similar results to the 3-class word-based laughter classification, where performance for the SPEECH-LAUGH class is weak. Restricting the problem to a binary speech/laughter decision improves the total number of W instances classified correctly as W and at the same time increases the number of L instances correctly recognised from 163 (when combining correctly recognised SPEECH-LAUGH and LAUGHTER) to 199.

Table 9. Turn-based detection with 51-fold leave-one-speaker-out cross-validation; with 20 features selected; 77% correct; 3-class problem

class. as	W	SL	L	#	% corr.
W	10475	1486	1533	13494	77.6
SL	23	22	20	65	33.8
L	15	16	141	172	82.0

Table 10. Turn-based detection with 51-fold leave-one-speaker-out cross-validation; with 30 features selected; 82 % correct; 2-class problem

class. as		L		% corr.
W	11054	2440	13494	81.9
L	38	199	237	84.0

6.2 Feature interpretation

The common features selected in all 51 folds for at least two experiments of turn or word-unit based detection/classification with 2, 3, and 6 classes for word-unit based classification (W_2 , W_3 , W_6), and 2 and 3 classes for turn based detection (T_2 , T_3) are shown in Tab. 11. The check marks show for which of the experiments the features were selected in all 51 folds. It is notable that the features selected for turn based and word-unit based detection/classification are almost completely disjoint. Only two features were selected for at least one turn-and word-unit based detection/classification experiment: the zero-crossing rate of Δ MFCC₂ and the inter-quartile range 3-1 of the spectral flux.

It is not easy to interpret the single features chosen in Tab. 11; moreover, it might be that a feature has been preferred by the CFS to another related one due to some spurious factors given in the rather small sample. A better way of representing the results is the summary given in Tab. 12 where an overview of predominant acoustic low-level descriptor categories and corresponding functional categories in the sets are given. Acoustic low-level descriptor categories are used as in Tab. 4: time signal features, energy, voice, spectral, and cepstral. The functionals from Tab. 5 are combined into four categories corresponding to certain physical signal properties: functionals primarily describing the low-level feature contour Modulation (DCT (Discrete Cosine Transform) coefficients, zero/mean-crossing rates, kurtosis, number of peaks, regression error etc.), value distribution (max. and min. ranges, means, percentiles, etc.), relative position within the word/turn (relative position of peaks, min. value, max. value, centroid, etc.), and regression features describing the overall shape of the low-level feature contour.

The relevant feature groups in Tab. 12 can be roughly put into three categories: the first category containing features that are highly relevant for word-unit based as well as turn-based laughter classification/detection, the second category containing features only relevant for word-unit based laughter classification, and the third containing features only relevant for turn-based laughter detection. Features in the first category are mostly modulation and value distribution statistics of the fourth Mel-frequency cepstral coefficient, the probability of voicing, and parameters describing the distribution of the signal energy among spectral bands (i. e. spectral roll-off points, energies in selected frequency bands, and the centroid of the local spectrum). The fact that functionals describing signal modulation are selected often reveals that laughter is characterised by

modulation in certain features of the speech signal, which is expected, since laughter has periodically re-occurring elements [8]. The distribution of signal values also describes the quality of the signal modulation. For signals with narrow peaks the mean value will be closer to the minimum value than to the maximum value, whereas for signals with broader peaks the mean value will move up closer towards the maximum value. The associated low-level descriptors in conjunction with the functionals describing modulation reveal that modulation of the spectral distribution and voicing probability can characterise laughter. Generally speaking, these features are describing a pattern of repeating change between voiced and unvoiced segments and associated changes in speech spectra. However, since both the probability of voicing and the spectral distribution are relevant, we can conclude that the spectral distribution might be used to discriminate voiced laughter segments from voiced speech segments due to different pitch and formant characteristics. For word-based classification only (second category), next to distribution and modulation functionals, position functionals (i.e. the relative positions of minima or maxima within the word-unit) play an important role. Considering that mostly energy and amplitude related low-level descriptors are associated with these position features, this might be linked to word prosody. A word has prominence on one specific syllable – at least this is the case for German word accent position – while laughter has multiple, periodically occurring segments which are 'emphasised'. For turn-based detection only (third category), the modulation of the spectral flux (i. e. change in the spectrum over time) is shown to be important. This indicates – considering the turn-level detection task – that as soon as laughter is present in the analysed turn, the overall modulation of spectral change seems to significantly differ from regular speech.

7 Concluding Remarks

Notable is the relatively small number of laughter instances in our relatively large database: only 0.4%. This is some disadvantage because of sparse data – especially considering the fact that speakers employ laughter in different ways. On the other hand, we can consider it an advantage as well being able to investigate realistic data where laughter neither was elicited nor facilitated via selection of speakers or tasks. The distribution of the types of laughter we have found indicates a highly developed system with specific functions and positions of laughter. We found strong tendencies, e.g. for SPEECH-LAUGH not to occur internally inside syntactic units; the same tendency had no exception for LAUGHTER - we can call it a rule, probably with no exceptions. The 'punctuation' function is weaker but still visible in the dialogue (task) structure. The feature groups surviving our correlation-based feature-subset selection (CFS) procedure give a clear picture of the acoustic characteristics of laughter. Classifying laughter automatically is a difficult task; this holds especially for telling apart different types belonging to the same main class. On the other hand, detecting some laughter in a turn seems to be promising for foreseeable applications, especially if we do not aim at single instance detection but at a summarizing estimation of a general degree of 'laughter proneness' in an interaction.

Our results show that children – at least at the age of 10–13 years – fully master the interplay of non-verbal/paralinguistic events such as laughter with syntactic structure and dialogue structure. Also the communicative functions of laughter seem not to be different from the use of laughter known so far from studies with adult human-human interactions.

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Table 11. Common features selected in all 51 folds of at least two experiments for turn and word-unit based detection/classification. 2, 3, and 6 classes for word-unit based classification (W_2, W_3, W_6) , and 2 and 3 classes for turn based detection (T_2, T_3) .

Feature description	$ _{\mathrm{W}_2}$	W_3	W_6	$T_2 T_3$
95% percentile of 10% spectral roll-off point		<u> </u>	<u> </u>	
Mean-crossing rate of 10% spectral roll-off point		•	·	11
3rd quartile of spectral centroid				√ √
Mean of non-zero values of the spectral flux		√	√	
1st quartile of the spectral flux		✓	✓	
Inter-quartile range 3-1 of the spectral flux			\checkmark	✓
3rd quadratic regression coefficient (offset c) of ΔF_0				√ √
Skewness of $\Delta\Delta F_0$				√ √
Zero-crossing rate of prob. of voicing				√ ✓
Quadratic error of linear regression of Δ prob. of voicing				√ ✓
$\overline{\text{(Minimum - mean) of } \Delta \text{ logarithmic energy}}$	√	√		
Number of peaks of MFCC ₂	√	√		
Zero-crossing rate of Δ MFCC ₂		\checkmark		✓
Inter-quartile range 3-2 of Δ MFCC ₂	✓	\checkmark		
DCT_0 of $\Delta\Delta$ MFCC ₂	✓	\checkmark		
Slope (m) of lin. approx. of MFCC ₄	√	√	√	
1st quadratic regression coefficient (a) of MFCC ₄	✓	\checkmark	\checkmark	
95% percentile of Δ MFCC ₄				✓ ✓
Percentage of falling Δ MFCC ₅	√	√		
Number of peaks of MFCC ₆				√ √
Percentage of rising MFCC ₈	√	√		
DCT_0 of $\Delta\Delta$ MFCC ₈	✓	\checkmark		
Minimum of MFCC ₉	√	√	✓	
(Minimum - mean) of Δ MFCC ₁ 0	√	√		
Zero-crossing rate of $\Delta\Delta$ MFCC ₁ 1	√	✓		
Position of maximum of minimal raw sample value	√	√	√	
Mean-crossing rate of maximum raw sample value	✓	\checkmark		
Position of maximum of Δ zero-crossing rate	√	✓		
3rd quartile of zero-crossing rate				√ ✓

Table 12. Common features by low-level descriptor and functional group selected in all 51 folds of at least one experiment for turn and word-unit based detection/classification. 2, 3, and 6 classes for word-unit based classification (W_2, W_3, W_6) , and 2 and 3 classes for turn based detection (T_2, T_3) .

Feature description			W_2	W_3	W_6	$T_2 T_3$
Feature Group Details		Functionals				
Time	Max.Min. sample val.	modulation	√	√		
		position	✓	\checkmark	\checkmark	
	Zero-crossing rate	position	√	\checkmark		
		distribution				√ ✓
Energy	Change of energy	distribution	√	√		
		modulation	✓			
	Energy	modulation				✓
		position				✓
Pitch	Change of Change of F_0	distribution	√			
	Change of F_0	shape, distribution				✓
	F_0	shape, position				✓
	Voicing Probability	modulation		\checkmark		√ ✓
		shape				✓
		distribution			\checkmark	
Spectral	Energy in voice F_0 band	modulation	√			
	Frequency distribution	distribution		\checkmark	\checkmark	V V
	Frequency distribution	modulation		\checkmark		√ √
	Flux	distribution			\checkmark	✓
	Flux	modulation				√ ✓
Cepstral	MFCC 2	distribution, modulation	✓	\checkmark		
	MFCC 3	shape, modulation				✓
	MFCC 4	distribution	✓	\checkmark		√ ✓
		modulation	√	\checkmark		✓
		shape	✓	\checkmark	\checkmark	✓
	MFCC 6	distribution, modulation				✓
	MFCC 8	distribution, modulation	√	\checkmark		
	MFCC 9	distribution	√	√	✓	