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# Cooperative Learning and its Application to Emotion Recognition from Speech

Zixing Zhang, Eduardo Coutinho, Jun Deng, and Björn Schuller, *Member, IEEE*

**Abstract**—In this paper, we propose a novel method for highly efficient exploitation of unlabeled data—Cooperative Learning. Our approach consists of combining Active Learning and Semi-Supervised Learning techniques, with the aim of reducing the costly effects of human annotation. The core underlying idea of Cooperative Learning is to share the labeling work between human and machine efficiently in such a way that instances predicted with insufficient confidence value are subject to human labeling, and those with high confidence values are machine labeled. We conducted various test runs on two emotion recognition tasks with a variable number of initial supervised training instances and two different feature sets. The results show that Cooperative Learning consistently outperforms individual Active and Semi-Supervised Learning techniques in all test cases. In particular, we show that our method based on the combination of Active Learning and Co-Training leads to the same performance of a model trained on the whole training set, but using 75% fewer labeled instances. Therefore, our method efficiently and robustly reduces the need for human annotations.

**Index Terms**—Acoustics, active learning, cooperative learning, emotion recognition, multi-view learning, semi-supervised learning, supervised learning.

## I. INTRODUCTION

**A**LTHOUGH in the past few years great advances have been made in the field of emotion recognition from speech [1]–[3], a central challenge remains to be the size and nature of the training corpora used in the development of such pattern recognition systems. Indeed, the training corpus often needs to comprise a sufficient amount of data that allows for a good generalization performance to the task at hand (including

a good sample of the types of acoustic signals characteristic of a particular application). Unfortunately, the scarcity of labeled data seriously compromises the development of many recognition systems, which in turn limits their performance in practical scenarios [4]–[6]. As an example, popular emotional speech databases such as the Berlin Emotional Speech Database (EMO-DB) and eNTERFACE include around one hour of recordings each [7], [8], whereas available corpora for automatic speech recognition comprise hundreds of hours of labeled data. It stands to reason, nevertheless, that in comparison with the small amount of available labeled data, there is a wide range of unlabeled data ideally suited for the development of speech emotion recognition systems. Such (unlabeled) data are nowadays pervasive in digital format and are relatively easy and inexpensive to collect (e. g., from online sources). Therefore, the exploitation of these large amounts of data to enhance (emotion) recognition systems' performance is increasingly attracting attention from a wider range of researchers [9]–[11].

In the last few years, several approaches have been proposed to deal with unlabeled data, one of the most promising being *Active Learning* (AL) [12]. AL aims at achieving greater accuracy with fewer training labels by (actively) choosing the data from which it learns. AL algorithms select from large pools of unlabeled data those instances that are the 'most informative' for the task being modeled, and subsequently query a human or machine annotator for labeling. There are various strategies by which the informativeness of unlabeled samples can be processed (usually referred to as *query strategies*). One of the simplest strategies is to allow the model (or active learner) to determine the uncertainty of the predictions on unlabeled data based on a previously trained model (uncertainty sampling AL), and then query an annotator for the labeling of those with the least certain classification [13]. Another common strategy is the so-called query-by-committee, whereby the predictions for unlabeled data are obtained from multiple models (previously trained on the same data (typically models represent competing hypotheses to solve the same task)). In this type of strategy the data considered to be the most informative are those with the lowest agreement across classifiers [14]. Other AL query strategies include the expected-error-reduction method, which aims to measure how much its generalization error is likely to be reduced [15]; the expected-model-change-based method, which chooses those instances that have a greater impact on the current model [16]; and the diversity-density-related method, which aims to maximize the learning benefits of relevance feedback on retrieving documents [17].

It has been shown that AL strategies can greatly reduce the time-consuming and expensive human labeling work and

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still achieve good performance levels [12]. Nonetheless, AL approaches still require a considerable amount of human annotation. A possible solution that allows one to overcome this expensive limitation is to use *Semi-Supervised Learning* (SSL) techniques, which also aim at using unlabeled data in an efficient way but without the intervention of human annotators. In this context, various combinations of AL and SSL methods have been proposed and can be found in some pattern recognition literature (see for instance [18], [19], and [20]). A popular approach is to combine AL with *Self-Training*. *Self-Training* is an SSL technique that permits automatically annotating unlabeled data by using a preexisting model trained on a small amount of labeled data. Typically, the most confident predictions for unlabeled points (and their predicted labels) are added to the training set, and the classifier is re-trained with the new (larger) set. This procedure is then repeated iteratively until a certain performance target is achieved. Because it does not require the intervention of human annotators, this approach is attractive and a useful option to enhance the robustness of existing classifiers [21], [22]. Due to this advantage, *Self-Training* is a convenient option to tandem with AL to reduce the amount of human labeling, as it has been demonstrated, for instance, in spoken language understanding [19] and handwritten digit and text classification [20].

Another SSL method with the potential to mitigate the limitations of AL is multi-view learning (MVL; [22]–[24]). MVL focuses on improving the learning performance by training different models concurrently and optimizing them by exploiting redundant feature sets (or “views”) of the same input data [12]. *Co-Training*[25] is one of the earliest schemes for MVL proposed in the literature. It focuses on training two learners by maximizing the mutual agreement on two distinct “views” of the unlabeled data set. The algorithm relies on three assumptions or conditions: (a) *sufficiency*: each “view” is sufficient for classification on its own, (b) *compatibility*: the target functions in both “views” predict the same labels for co-occurring features with high probability, and (c) *conditional independence*: the “views” are conditionally independent given the class label [25]. Initially, two separate classifiers are trained on the same (labeled) data using the features from each “view.” Then, the most confident predictions of each learner on the unlabeled data are used to train each other (i. e., are added to the training set iteratively). Essentially, each classifier is trained with its own data plus the additional training examples provided by the other classifier. MVL techniques in general are less restrictive than Co-Training in particular and can be applied with two or more “views” on the data and with less restrictive conditions in terms of conditional independence. MVL schemes have been applied in several areas, such as biometrics [26], intelligent transportation [27], and handwriting [28] classification. In emotion recognition from acoustic signals, they have also been successfully applied with relevant improvements over *Self-Training*[29], [30].

In this article, we propose a new method for combining AL and SSL techniques to improve a preexistent acoustic emotion recognition system. To do so, we implement various learning algorithms for retraining a classifier consisting of Support Vector Machines (SVMs) [31]. We first implement and compare the use of Supervised Learning (SL) [22] variants for improving the performance of a preexisting classifier. In particular, we focus

on Passive Learning (PL) [12], AL and a novel method that we call ‘*Co-active Learning*’ (hereafter *coAL*). *coAL* is inspired by the concept of MVL, and it consists of implementing two different “views” into AL. This strategy diverges from Co-Testing [23] by allowing both “views” to select the data to be annotated independently, rather than finding the ‘contention points’. At this stage, we also introduce a new type of AL query strategy based on dynamic medium certainty [32] as an alternative to the traditional least certainty sampling strategy. Our second step is to implement Self- and Co-Training SSL learning methods to improve the same classifier. Finally, our third step is to tandem various combinations of AL and SSL approaches (hereinafter referred to as ‘*Cooperative Learning*’ (CL)) with the aim of improving the classifier performance and reducing the amount of human annotation through machine labeling. The CL approaches proposed here involve selecting unlabeled instances with medium confidence values and subjecting them to human annotation (AL phase), and afterwards to select those instances with high confidence values and subject them to machine annotation (SSL phase). In summary, three CL strategies are proposed: (a) single-view Cooperative Learning (svCL), which combines AL and Self-Training; (b) mixed-view Cooperative Learning (xvCL), a combination of AL and Co-Training, and (c) multi-view cooperative learning (mvCL), which explores the use of *coAL* and Co-Training.

The remainder of this article is structured as follows. In Section II, we make a short introduction to SVMs and their prediction ‘confidence values’. Then, we describe the various learning strategies and methods used in this paper, including SL (Subsection III-A), SSL (Subsection III-B), and CL (Subsection III-C). Next, we introduce the databases (Section IV) and feature sets (Section V) used in this paper in, and show the experimental setups and results (including a comparison between CL and other approaches) in Section VI. Finally, in Section VII we discuss our findings, present our conclusions and suggest possible extensions of this work.

## II. SVMs AND CONFIDENCE

In order to investigate CL based on confidence values and exemplify its application to acoustic emotion recognition, we decided on SVMs as the classification method. The rationale is that SVMs have a mature theoretical foundation [33], and were officially employed by the INTERSPEECH 2009 (IS09) Emotion Challenge (EC) [34] and its offshoots.

SVMs are supervised learning models based on the concept of decision hyperplanes that define decision boundaries, i. e., planes that separate sets of objects having different class memberships. SVMs perform classification tasks by constructing a set of hyperplanes in a multidimensional space that separates cases of different class labels. The goal of SVMs is to maximize the separation between classes, which consists of finding the hyperplane that has the largest distance to the nearest training data point of any class (also known as functional margin), since the larger the margin, the lower the generalization error of the classification task. In practice, training instances belonging to two or more categories are used to determine the hyperplane that best discriminates amongst different classes (that with the widest possible gap). The testing instances are then mapped onto

this multi-dimensional space and the side of the gap they fall on determines the predicted categories.

Formally, given a set of examples  $[x_i, y_i], i = 1, 2, \dots, m$ , where  $x_i \in \mathbb{R}^d$  is a  $d$ -dimensional feature vector, and  $y_i \in \{0, 1\}$  is a corresponding prediction of each example, the maximum margin separating hyperplane can be found by solving the following optimization problem:

$$\begin{aligned} \max_{\alpha} W(\alpha) &= \sum_{i=1}^m \alpha_i - \frac{1}{2} \sum_{i,j=1}^m y^{(i)} y^{(j)} \alpha_i \alpha_j K(x_i, x_j) \\ \text{subject to: } &0 \leq \alpha_i \leq T, i = 1, \dots, m \\ &\sum_{i=1}^m \alpha_i y^{(i)} = 0, \end{aligned} \quad (1)$$

where the  $\alpha_i$ 's that are Lagrangian multipliers satisfy the above constraints,  $T$  is a defined constant, and  $K(x_i, x_j)$  is a kernel function that can be linear, polynomial, radial basis, or sigmoidal. To classify a given test example, the following function is implemented:

$$f(x) = \sum_i^m \alpha_i y_i K(x_i, x) + b, \quad (2)$$

where  $b$  is the ‘bias’ term that is often assumed to have zero mean. The sign of this function determines the category of the test example.

The output value of SVMs is the distance of a specific point from the separating hyperplane. To convert these distances to probability estimates within the range of  $[0, 1]$  there are various approaches (including parametric and nonparametric methods). In the experiments described in this article, we employed a parametric method of logistic regression proposed in [35], which is one of the most frequently used approaches to transform the output distances of SVMs into (pseudo) probabilistic values [36]. This method assumes that the posterior probability consists of finding the parameters  $A$  and  $B$  for a form of sigmoid function:

$$P(y|f(x)) = \frac{1}{1 + \exp(Af(x) + B)}, \quad (3)$$

mapping the value  $f(x)$  into probability estimates  $P(y|f(x))$ . For each instance, the sum of the posterior probability for all classes is equal to 1. In the special case of binary recognition tasks the decision threshold is 0.5. Therefore, the ‘winning’ class is determined when the posterior probability is higher than 0.5. The confidence value for the predicted class can be obtained by the equation:

$$C(x) = ||P_0(x) - P_1(x)|| \quad (4)$$

where  $P_0(x), P_1(x)$  are the posterior probabilities for classes ‘0’ and ‘1’, respectively.

### III. METHODOLOGY

In this section we describe the various algorithms used to retrain a SVM for improving the classification performance based on exploitation of unlabeled data. For all the algorithms described, we assume the following premises: (1) A small set of labeled data  $\mathcal{L}$  exists, where—

above— $\mathcal{L} = ([x_1, y_1], \dots, [x_l, y_l])$ ,  $x_i$  is a  $d$ -dimensional feature vector  $x_i \in \mathbb{R}^d$ , and  $y_i$  is the label for each set of data; (2) a large set of unlabeled data  $\mathcal{U}$  is available, where  $\mathcal{U} = (x'_1, \dots, x'_u)$ , and  $u \gg l$  and  $x'_j$  is a  $d$ -dimensional feature vector; and (3) at each iteration, a subset of  $n$  instances is selected from  $\mathcal{N}$  for labeling (either by a human or a machine annotator).

#### A. Human annotator: PL, AL, and coAL

Fig. 1 shows the pseudocode description of PL, AL (least and medium certainty query strategies) and coAL algorithms. *Algorithm 1* describes a standard PL algorithm, whereby unlabeled instances are randomly selected from a pool of samples and subject to human annotation, before being added to the training set. *Algorithm 2* describes a traditional AL approach based on the least certainty query strategy. This algorithm starts by classifying all instances of the unlabeled data pool  $\mathcal{U}$  using the model previously trained on the labeled data  $\mathcal{L}$ . Then, the confidence values assigned to each instance are ranked and stored in a queue  $Q$  (in descending order). Finally, a subset  $\mathcal{N}_a$  of  $\mathcal{U}$  corresponding to those instances predicted with lowest confidence values is subject to human annotation. This sequential process is repeated until a predefined number of instances are selected (which depends on the size of the databases). *Algorithm 3* also describes the traditional AL algorithm, but with a novel query strategy based on the selection of those instances predicted with medium certainty levels for further annotation. The rationale for adopting a medium certainty query strategy is the potential advantage of avoiding the selection of noisy data, which can be caused by unreliable annotations [37] or distortions of the (acoustic) pattern [38] as demonstrated in [39]. This is particularly important for acoustic emotion recognition owing to the comparably high degree of ambiguity. This approach has been previously used in [32].

The new query strategy diverges from *Algorithm 2* in which the instances that are closest to the middle of the queue  $Q$  are the ones selected for human annotation (unlike the ones with lowest confidence values, as it is characteristic of the least certainty query strategy). Thenceforth, similarly to *Algorithm 2*, these instances are added to the training set and removed from the unlabeled data pool. Formally, the query function is defined as:

$$Query(x) = \begin{cases} 1, & \text{if } C_D(x) = \arg \min_x |C(x) - C_m|, \\ 0, & \text{otherwise,} \end{cases} \quad (5)$$

where  $C(x)$  represents the prediction confidence value for a given instance  $x$ , and  $C_m$  is the confidence value of the instance located in the center of the ranking queue. Ideally, for uniformly distributed predictions,  $C_m$  would be 0.5. Nonetheless, in practice this value is not fixed. Instead, it varies due to the changes on the unlabeled data pool as learning progresses (instances moved to the training set).

Finally, *Algorithm 4* extends the idea of MVL to AL and uses a medium certainty query strategy. Here, the feature domain  $V$  of a given dataset needs to be separated into two independent and sufficient parts  $V_1, V_2$ , each of which is regarded as a ‘view.’ Then, each ‘view’ is used to create a classifier  $\mathcal{H}$ , and each classifier is tested on the unlabeled data pool  $\mathcal{U}$ . The

**Algorithm 1: Passive Learning (PL)****Repeat:**

- 1) Randomly select subset  $\mathcal{N}_p$  from unlabeled set  $\mathcal{U}$
- 2) Ask human experts to label the selected subset  $\mathcal{N}_p$
- 3) Remove  $\mathcal{N}_p$  from the unlabeled set  $\mathcal{U}$ ,  $\mathcal{U} = \mathcal{U} \setminus \mathcal{N}_p$
- 4) Add  $\mathcal{N}_p$  to the labeled set  $\mathcal{L}$ ,  $\mathcal{L} = \mathcal{L} \cup \mathcal{N}_p$

**Algorithm 2: Active Learning (AL) with least certainty query strategy****Repeat:**

- 1) (Optional) Upsample the training set  $\mathcal{L}$  to obtain even class distribution  $\mathcal{L}_D$
- 2) Use  $\mathcal{L}/\mathcal{L}_D$  to train a classifier  $\mathcal{H}$ , and then classify the unlabeled set  $\mathcal{U}$
- 3) Rank the data based on the prediction confidence values  $C$  and store them in queue  $Q$
- 4) Select a subset  $\mathcal{N}_a$  whose elements are in the *bottom* of the ranking queue  $Q$  (*least* certainty)
- 5) Submit the selected subset  $\mathcal{N}_a$  to human annotation
- 6) Remove  $\mathcal{N}_a$  from the unlabeled set  $\mathcal{U}$ ,  $\mathcal{U} = \mathcal{U} \setminus \mathcal{N}_a$
- 7) Add  $\mathcal{N}_a$  to the labeled set  $\mathcal{L}$ ,  $\mathcal{L} = \mathcal{L} \cup \mathcal{N}_a$

**Algorithm 3: Active Learning (AL) with medium certainty query strategy****Repeat:**

- 1) (Optional) Upsample the training set  $\mathcal{L}$  to obtain even class distribution  $\mathcal{L}_D$
- 2) Use  $\mathcal{L}/\mathcal{L}_D$  to train a classifier  $\mathcal{H}$ , and then classify the unlabeled set  $\mathcal{U}$
- 3) Rank the data based on the prediction confidence values  $C$  and store them in queue  $Q$
- 4) Select subset  $\mathcal{N}_a$  whose elements are in the *middle* of the ranking queue  $Q$  (*medium* certainty)
- 5) Submit the selected subset  $\mathcal{N}_a$  to human annotation
- 6) Remove  $\mathcal{N}_a$  from the unlabeled set  $\mathcal{U}$ ,  $\mathcal{U} = \mathcal{U} \setminus \mathcal{N}_a$
- 7) Add  $\mathcal{N}_a$  to the labeled set  $\mathcal{L}$ ,  $\mathcal{L} = \mathcal{L} \cup \mathcal{N}_a$

**Algorithm 4: Co-Active Learning (coAL)****Given** (addition): A learning domain with features  $V$ **Repeat:**

- 1) Split the domain features  $V$  into two “views”:  $V_1, V_2$ , and  $V_1 \cap V_2 = \emptyset$
- 2) **For**  $i$  in 1, 2
  - a) (Optional) Upsample each “view” to even class distribution  $V_{Di}$
  - b) Use  $V_i/V_{Di}$  to train classifier  $\mathcal{H}_i$ , and classify  $\mathcal{U}$ , respectively.
  - c) Rank the data based on the prediction confidence values  $C$  and store them in queue  $Q$
  - d) Select a subset  $\mathcal{N}_a$  whose elements are in the middle of the ranking queue  $Q$  (medium certainty)
- 3) Submit the selected subsets  $\mathcal{N}_{ca} = \mathcal{N}_{a1} \cup \mathcal{N}_{a2}$  to human annotation
- 4) Remove  $\mathcal{N}_{ca}$  from the unlabeled set  $\mathcal{U}$ ,  $\mathcal{U} = \mathcal{U} \setminus \mathcal{N}_{ca}$
- 5) Add  $\mathcal{N}_{ca}$  to the labeled set  $\mathcal{L}$ ,  $\mathcal{L} = \mathcal{L} \cup \mathcal{N}_{ca}$

Fig. 1. Pseudocode description of the four types of supervised learning used in this article: Passive Learning (Algorithm 1), Active Learning based on the least certainty query strategy (Algorithm 2) and on the medium certainty query strategy (Algorithm 3), and co-Active Learning (Algorithm 4).

unlabeled instances predicted by each model with medium confidence values are then delivered to a human annotator for labeling. After that, these instances are added (together with the new label) to the training set and removed from the unlabeled data pool. There are three possibilities regarding the selection

**Algorithm 5: Self-Training****Repeat:**

- 1) (Optional) Upsample training set  $\mathcal{L}$  to even class distribution  $\mathcal{L}_D$
- 2) Use  $\mathcal{L}/\mathcal{L}_D$  to train classifier  $\mathcal{H}$ , then classify  $\mathcal{U}$
- 3) Select a subset  $\mathcal{N}_{st}$  that contains those instances predicted with the highest confidence values
- 4) Remove  $\mathcal{N}_{st}$  from the unlabeled set  $\mathcal{U}$ ,  $\mathcal{U} = \mathcal{U} \setminus \mathcal{N}_{st}$
- 5) Add  $\mathcal{N}_{st}$  to the labeled set  $\mathcal{L}$ ,  $\mathcal{L} = \mathcal{L} \cup \mathcal{N}_{st}$

**Algorithm 6: Co-Training****Given** (addition): A learning domain with features  $V$ **Repeat:**

- 1) Divide the domain features  $V$  into two “views”:  $V_1, V_2$ , and  $V_1 \cap V_2 = \emptyset$
- 2) **For**  $i$  in 1, 2
  - a) (Optional) Upsample each “view” of data to even class distribution  $V_{Di}$
  - b) Use  $V_i/V_{Di}$  to train classifier  $\mathcal{H}_i$ , and then classify  $\mathcal{U}$ .
  - c) Select a subset  $\mathcal{N}_{si}$  that contains those instances predicted with the highest confidence values
- 3) Remove  $\mathcal{N}_{ct} = \mathcal{N}_{s1} \cup \mathcal{N}_{s2}$  from the unlabeled set  $\mathcal{U}$ ,  $\mathcal{U} = \mathcal{U} \setminus \mathcal{N}_{ct}$
- 4) Add  $\mathcal{N}_{ct} = \mathcal{N}_{s1} \cup \mathcal{N}_{s2}$  to the labeled set  $\mathcal{L}$ ,  $\mathcal{L} = \mathcal{L} \cup \mathcal{N}_{ct}$

Fig. 2. Pseudocode description of the two types of SSL used in this paper: Self-Training (Algorithm 5) and Co-Training (Algorithm 6).

of a particular instance by the two “views”: 1) if an instance is not selected by any of the two “views”, it will be discarded in this iteration; 2) if an instance is selected by any of the two “views”, that instance plus the given label will be added to the training set once; 3) if an instance is selected by both “views”, it will be added twice to the training set together with the common class label (because it was annotated by a human). The whole process is repeated until a predetermined number of iterations of the learning process is achieved.

**B. Machine annotator: Self-Training and Co-Training**

Fig. 2 shows the pseudocode describing the two types of SSL algorithms considered in this paper: Self-Training (Algorithm 5) and Co-Training (Algorithm 6). Self-Training is based on the principle of highest certainty or agreement, in such a way that the predicted classes with higher certainty levels are automatically labeled and added to the training set. Similarly to AL, the query function for Self-Training is as follows:

$$Query(x) = \begin{cases} 1, & \text{if } C_D(x) = \arg \min_x |C(x) - 1|, \\ 0, & \text{otherwise.} \end{cases} \quad (6)$$

In comparison with Self-Training, Co-Training uses two models trained and tested on two different “views” of the data. In each iteration of the algorithm, the two “views” select the instances independently. Therefore, in one iteration, an instance is either discarded (low certainty predictions), added once (high certainty predictions by one of the two classifiers), added twice with the same label (high certainty and similar predictions by

the two classifiers), or added twice with different labels (high certainty but different predictions by the two classifiers).

### C. Cooperative Annotator

As mentioned in the introduction, AL algorithms generally improve a model's performance, but they still require a considerable amount of human intervention. SSL techniques, instead, exploit machine labeling of data, yet usually cannot improve the performance of an existing classifier as much as AL techniques can when the same number of instances are labeled [23]. In order to take advantage of the best of both approaches we propose a CL algorithm that combines AL and SSL that allows sharing the labeling effort between human and machine annotators while attempting to mitigate the limitations of both algorithms. In SSL, the absence of sufficient instances for a particular category in the initial training set can lead to poor performance for that category. This is because the instances with higher confidence estimates selected by the SSL algorithm are generally inclined to those categories with more samples and correct classification. This problem often leads to a cycle in which the dominating categories are recognized increasingly better, and the opposite happens with the less represented categories. This drawback is absent in AL, which mostly ignores the dominating categories. Therefore, the combination of two learning approaches may alleviate the class imbalance problem. Another common problem resulting from using SSL techniques is that noise can be added to the training set. Even though only the instances with the highest confidence values are chosen, some of these instances can be misclassified. As in the previous case, this noise is accumulated and increasingly affects the performance of the classifier. Once again, AL can compensate for this limitation. We will execute AL in each iteration before implementing SSL, re-train the classifier with newly (manually) labeled instances, and re-classify the unselected instances with the new model for SSL.

In this article, we propose three particular combinations of AL and SSL algorithms (see Fig. 3). First, we implemented AL followed by Self-Training, which we refer to as svCL (see *Algorithm 7*). Second, we combined AL and Co-Training, hereinafter xvCL (see *Algorithm 8*). Third, we consider coAL followed by Co-Training (mvCL) (see *Algorithm 9*). Fig. 3 describes the details of the algorithms pertaining to these three CL strategies. For all experiments described, the learning cycle was stopped when a predefined number of instances are selected (see Table III). Also, in order to deal with the potential problem of imbalanced class distributions, we employed data upsampling by random subsampling in all algorithms in order to add more instances belonging to the less represented classes to the training set.

## IV. DATABASES

In order to evaluate the application of CL to emotion recognition from speech and demonstrate its robustness across corpora, we chose the FAU Aibo Emotion Corpus (FAU AEC) and the Speech Under Simulated and Actual Stress (SUSAS) database. Both databases consist of natural speech samples, and are widely used in the field of speech emotion recognition [7], [34], [40], [41].

### Algorithm 7: single-view Cooperative Learning (svCL)

**Repeat:**

- 1) Execute AL based on an initial training set  $\mathcal{L}$ , and obtain a subset  $\mathcal{N}_a$  for human labeling (cf. *Algorithm 3*)
- 2) Remove  $\mathcal{N}_a$  from the unlabeled set  $\mathcal{U}$  ( $\mathcal{U}' = \mathcal{U} \setminus \mathcal{N}_a$ ), and add  $\mathcal{N}_a$  it to the labeled data set  $\mathcal{L}$  ( $\mathcal{L}' = \mathcal{L} \cup \mathcal{N}_a$ )
- 3) Execute Self-Training based on a training set  $\mathcal{L}'$ , and obtain a subset  $\mathcal{N}_{st}$  for machine labeling (cf. *Algorithm 5*)
- 4) Remove  $\mathcal{N}_{st}$  from the unlabeled set  $\mathcal{U}'$  ( $\mathcal{U} = \mathcal{U}' \setminus \mathcal{N}_{st}$ ), and add  $\mathcal{N}_{st}$  it to the labeled set  $\mathcal{L}'$  ( $\mathcal{L} = \mathcal{L}' \cup \mathcal{N}_{st}$ )

### Algorithm 8: mixed-view Cooperative Learning (xvCL)

**Given** (addition): A learning domain with features  $V$

**Repeat:**

- 1) Execute AL based on initial training set  $\mathcal{L}$ , and obtain a subset  $\mathcal{N}_a$  for human labeling (cf. *Algorithm 3*)
- 2) Remove  $\mathcal{N}_a$  from the unlabeled set  $\mathcal{U}$  ( $\mathcal{U}' = \mathcal{U} \setminus \mathcal{N}_a$ ), and add  $\mathcal{N}_a$  to the labeled set  $\mathcal{L}$  ( $\mathcal{L}' = \mathcal{L} \cup \mathcal{N}_a$ )
- 3) Execute Co-Training based on training set  $\mathcal{L}'$ , and obtain a subset  $\mathcal{N}_{ct}$  for machine labeling (cf. *Algorithm 6*)
- 4) Remove  $\mathcal{N}_{ct}$  from the unlabeled set  $\mathcal{U}'$  ( $\mathcal{U} = \mathcal{U}' \setminus \mathcal{N}_{ct}$ ), and add  $\mathcal{N}_{ct}$  it to the labeled data set  $\mathcal{L}'$  ( $\mathcal{L} = \mathcal{L}' \cup \mathcal{N}_{ct}$ )

### Algorithm 9: multi-view Cooperative Learning (mvCL)

**Given** (addition): A learning domain with features  $V$

**Repeat:**

- 1) Execute coAL based on an initial training set  $\mathcal{L}$ , and obtain a subset  $\mathcal{N}_{ca}$  (cf. *Algorithm 4*)
- 2) Remove  $\mathcal{N}_{ca}$  from the unlabeled set  $\mathcal{U}$  ( $\mathcal{U}' = \mathcal{U} \setminus \mathcal{N}_{ca}$ ), and add  $\mathcal{N}_{ca}$  it to the labeled set  $\mathcal{L}$  ( $\mathcal{L}' = \mathcal{L} \cup \mathcal{N}_{ca}$ )
- 3) Execute Co-Training based on a training set  $\mathcal{L}'$ , and obtain a subset  $\mathcal{N}_{ct}$  for machine labeling (ref. *Algorithm 6*)
- 4) Remove  $\mathcal{N}_{ct}$  from the unlabeled set  $\mathcal{U}'$  ( $\mathcal{U} = \mathcal{U}' \setminus \mathcal{N}_{ct}$ ), and add  $\mathcal{N}_{ct}$  it to the labeled set  $\mathcal{L}'$  ( $\mathcal{L} = \mathcal{L}' \cup \mathcal{N}_{ct}$ )

Fig. 3. Pseudocode description of the three types of Cooperative Learning proposed: single-view Cooperative Learning (svCL), mixed-view Cooperative Learning (xvCL), and multi-view Cooperative Learning (mvCL).

### A. FAU Aibo Emotion Corpus

The FAU AEC [42] (the official corpus of the IS09 EC [34]) contains audio recordings of German-speaking children interacting with Sony's pet robot Aibo [42]. For the construction of this database, children were led to believe that Aibo was responding to their commands by producing a series of fixed and predetermined behaviors. Nevertheless, the Aibo robot did sometimes disobey the children's commands, which provoked various types of emotional reactions.

The recordings include speech samples from 51 children (30 females) with ages ranging from 10 to 13 years that were taken at two different German schools to which we will refer to in this paper as MONT and OHM. The whole corpus comprises a total of 9.2 hours of speech without pauses, which was recorded through a DAT-recorder (16 bit, 48 kHz down-sampled to 16 kHz) placed on a wireless headset. The recordings were segmented into turns using a pause threshold of 1 s. Five students of advanced linguistics were then asked to listen

TABLE I

DISTRIBUTION OF SPEAKERS AND INSTANCES PER PARTITION OF THE FAU AIBO EMOTION CORPUS (AEC) [42] AND THE SPEECH UNDER SIMULATED AND ACTUAL STRESS (SUSAS) [43]. M: MALE; F: FEMALE; NEG: NEGATIVE EMOTIONS; IDL: NEUTRAL AND POSITIVE EMOTIONS; HIGH: HIGH STRESS; LOW: LOW STRESS

	# speakers		# instances per class		
FAU AEC	M	F	NEG	IDL	$\Sigma$
Pool	13	13	3 358	6 601	9 959
Validation	8	17	2 465	5 792	8 257
$\Sigma$	21	30	5 823	12 393	18 216
SUSAS	M	F	HIGH	LOW	$\Sigma$
Pool	3	2	1 116	1 413	2 529
Validation	1	1	500	564	1 064
$\Sigma$	4	3	1 616	1 977	3 593

to the various samples and to annotate each one of them by selecting one specific label (from a set of 11 predefined labels) to describe the emotional character of the sample. The labels used were: *neutral*, *angry*, *touchy*, *reprimanding*, *emphatic*, *surprise*, *joyful*, *helpless*, *motherese*, *bored*, and *others*. If more than three annotators assigned a specific label to a speech sample (majority voting), that label was chosen to describe the emotional character of the segment.

In our experiments we use the same natural speech corpus used in the IS09 EC [34] that consists of 18 216 instances taken from the full database. Each instance consists of a manually defined chunk of speech longer than a word and shorter than a ‘turn’, which is defined based on syntactic-prosodic criteria. The original 11 classes were mapped onto two cover classes: one consisting of **NEG**ative emotion labels (*angry*, *touchy*, *reprimanding*, *emphatic*), and the others consisting of all non-negative states (**IDL**; for more information about the database development and data processing please refer to [34]). In order to guarantee speaker independence, we used the data recorded at the OHM school as the unlabeled data pool (9 959), and the data recorded at the MONT school as the validation set (8 257). Table I shows the details of the FAU AEC database.

#### B. Speech Under Simulated and Actual Stress Database

The SUSAS database contains audio recordings of speakers in various (actual and simulated) stress conditions and organized in different domains. To the purpose of this article we focus on the ‘‘Actual Speech Under Stress’’ domain, which includes audio recordings of speech produced in the ‘‘Scream Machine’’ scenario, one of the subject motion-fear tasks. In this scenario, 7 speakers (3 female) were taken in a roller-coaster (the ‘‘Scream Machine’’) ride for about 90 s and asked to repeat words from a 35-word vocabulary card (held in their hands) at different moments. Each speaker performed the task twice.

In the task scenario, different levels of stress were spontaneously evoked by the dynamics of the roller-coaster ride, resulting in the various levels of stress being expressed in the voice. A total of 1 642 utterances were collected during the rides (sampled at 8 kHz, 16 bit). Subsequently these utterances were segmented into words, resulting in 3 593 instances that were then annotated for stress levels (i.e., neutral, medium, high stress, and screaming) based on the time and position during the ride. Similarly to the FAU AEC database, in our experiments we converted the four stress classes of SUSAS into two stress-in-

tensity cover classes—**HIGH** (i.e., *high stress* and *screaming*) and **LOW** (i.e., *neutral* and *medium stress*). So as to perform a speaker independent evaluation, we chose 1 064 instances recorded from one male speaker and one female speaker as the validation set, and used the remaining instances (2 529) for the unlabeled pool set. The details of the SUSAS database instances used in this article are shown in Table I (for more information please refer to [43]).

#### V. ACOUSTIC FEATURES

In order to evaluate the robustness of the methods proposed in this paper to different feature sets, we selected two standard sets of acoustic features used in the INTERSPEECH 2009 Emotion Challenge (EC) [34] and the INTERSPEECH 2010 (IS10) Affect Sub-Challenge (ASC) [44]. Both feature sets were created for affect-related pattern recognition tasks (including emotional states). All features were extracted using the openSMILE framework [45].

##### A. The INTERSPEECH 2009 Emotion Challenge Feature Set

The IS09 EC feature set contains 384 features that result from a systematic combination of 16 Low-Level Descriptors (LLDs) and corresponding first order delta coefficients with 12 functionals. The 16 LLDs consist of zero-crossing-rate (ZCR), root mean square (RMS) frame energy, pitch frequency (normalized to 500 Hz), harmonics-to-noise ratio (HNR) by autocorrelation function, and mel-frequency cepstral coefficients (MFCC) 1–12 (in full accordance to HTK-based computation). The 12 functionals used are mean, standard deviation, kurtosis, skewness, minimum, maximum, relative position, range, and offset and slope of linear regression of segment contours, as well as its two regression coefficients with their mean square error (MSE) applied on a chunk. The complete feature set contains  $16 \times 2 \times 12 = 384$  attributes per chunk (or instance). Table II presents the details of the complete feature set.

##### B. The INTERSPEECH 2010 Affect Sub-Challenge Feature Set

The IS10 ASC feature set is an extension of the IS09 EC feature set designed to cover a wider range of features relevant for paralinguistic information retrieval [44]. The IS10 ASC feature set consists of 1 582 acoustic features and transliteration (including those capturing non-linguistic characteristics) obtained by systematic ‘brute-force’ feature (over)generation in three phases: 1) extraction of 38 LLDs and smoothing by simple moving average low-pass filtering; 2) computing the first order regression coefficients on features extracted in 1) (full HTK compliance); 3) apply 21 functionals to 1) and 2). After that, we discarded 16 features because their values were always zero (e.g., minimum F0). Furthermore, we added 2 new features: number of discernible pitches and number of discernible pitches per second. Table II shows the LLDs, regression coefficients and functionals for the IS10 AEC feature set. For more details see [44].

#### VI. EXPERIMENTS AND RESULTS

In this section, we evaluate the performance of CL (and compare it to the various learning strategies described in Section III) in the context of acoustic emotion recognition.

TABLE II  
THE IS09 EC AND THE IS10 ASC ACOUSTIC FEATURE SETS  
USED IN OUR EXPERIMENTS: LOW-LEVEL DESCRIPTORS (LLDs)  
AND RESPECTIVE FUNCTIONALS. THE \* SYMBOL INDICATES  
THE FEATURES BELONGING TO VIEW-1 FOR THE CO-TRAINING  
AND CO-ACTIVE LEARNING (COAL) ALGORITHMS

LLD ( $\Delta$ )	Functionals
<b>IS09 EC feature set (384)</b>	
ZCR	mean
RMS Energy	standard deviation energy
F0	kurtosis, skewness
HNR	extremes: value, rel. position, range
MFCC 1-12*	linear regression: offset, slope, MSE
<b>IS10 ASC feature set (1582)</b>	
PCM loudness	position maximum/minimum
MFCC 0-14*	algorithmic mean, standard deviation
log Mel freq. band 0-7	skewness, kurtosis
line spectral pairs freq. 0-7	linear regression coefficients 1/2
F0	linear regression error quadratic/absolute
F0 envelope	quartile 1/2/3
voicing probability	quartile range 2-1/3-2/3-1
jitter local	percentile 1/99
jitter consec. frame pairs	percentile range 99-1
shimmer local	up-level 75/90

TABLE III  
PREDEFINED NUMBER OF SELECTED INSTANCES FOR SEMI-SUPERVISED (SSL),  
ACTIVE (AL), AND COOPERATIVE LEARNING (CL).  
H/M: HUMAN/MACHINE LABELING

#	SSL		AL		CL	
	H	M	H	M	H	M
<b>Aibo</b>	0	5 000	5 000	0	2 400	6 000
<b>SUSAS</b>	0	1 250	1 250	0	600	1 500

#### A. Experimental Setup

As described in Section II, we use SVMs as the modeling paradigm for evaluating the various machine learning algorithms. In accordance with the IS09 EC baseline specifications, the SVMs were initially trained with a Sequential Minimal Optimization (SMO) algorithm with a linear kernel and a complexity constant of 0.05. Logistic regression modeling was enabled to allow converting the SVMs' output distances to confidence values. In terms of performance evaluation, we use the unweighted average recall (UAR) index as the primary performance measure (following the recommendation in [34]). As mentioned in Section III, an upsampling strategy was adopted for even class distribution (i.e., one time more for the 'NEG' instances for the FAU AEC). The training process was repeated 20 times with different initializations of the random generator for each experimental condition.

We conducted four different experiments to evaluate the performance and robustness of our newly proposed CL methods. The first two experiments were designed to evaluate the performance of the various learning methods with different numbers of initial training instances using the FAU AEC corpus and the IS09 EC feature set. In this paper we use 200 and 500 instances of the FAU AEC database for initial training, which corresponds to approximately 2% and 5%, respectively, of the whole pool. In the third experiment, we evaluate the various learning strategies with the FAU AEC corpus and a new feature set (IS10 ASC) so as to establish the robustness of CL for different feature sets

(using 200 initial training instances). In the final experiment, we use a new corpus (SUSAS) with the IS10 ASC feature set to evaluate the robustness of CL across tasks (with 100 initial training instances, approximately 5% of the whole pool). For the four experiments, the UARs obtained after the *initial* supervised training were: 1) 60.9% (std = 1.8); 2) 62.6% (std = 1.1); 3) 64.4% (std = 1.3); and 4) 58.6% (std = 2.5). The performances when training the SVMs with the *full* set of training data were: 1) 67.7%; 2) 67.7%; 3) 67.2%; and 4) 64.6% (UARs).

In all experiments, the instances not used for the initial training were used for the unlabeled data pool. Given that more unlabeled data are necessary for machine-supervised learning than for human-supervised learning, at each learning iteration, we select 200 instances for labeling for AL and coAL algorithms, and 500 instances for Self-Training and Co-Training. For the MVL-based algorithms (coAL and Co-Training), each "view" chooses an equal number of instances, that is, in each iteration each "view" selects, respectively, 100 and 250 instances. Given the smaller size of the SUSAS database (approximately 25% of the FAU AEC) used in experiment four, fewer instances are selected in each learning iteration: 50 (AL and coAL) and 125 (Self-Training and Co-Training).

For the creation of each "view" used for multi-view learning, we split the full feature set into two partitions - one comprising MFCCs (view-1) and the other the remaining LLDs (view-2). This partitioning is motivated by the size of the feature sets (in order to be balanced between the two "views"), and the fact that MFCCs are, on their own, a common set of features used in speaker identification and speech recognition that increasingly found its way into general paralinguistics. Nonetheless, although such a feature separation is only related to LLDs and not to higher level features of functionals or linguistics, the features in the two views may not be conditionally independent, as for example, a change in the signal which affects F0 or energy, etc., will also affect the MFCCs. However, the effect will be different, thus likely adding complementary information. Furthermore, the experimental results in [46] demonstrate that such feature separation criterion applied to multi-view learning is valid and effective. The ratio of attributes (view-1/view-2) is 288/96 for the IS09 EC feature set, and is 630/952 for the IS10 ASC feature set.

#### B. Self-Training and Co-Training

In Fig. 4, we show the average and standard deviation of the UAR measure for the Self-Training and Co-Training approaches under study. The error measures shown correspond to the average of the individual performances across 20 independent runs of the learning process for all four experiments described in this paper.

The first observation is that Co-Training using the feature separation based on cepstral LLDs improves the initial classification performance in all our four experimental scenarios. Co-Training using random feature separation did not lead to improvements using the IS10 feature set and the FAU AEC database (see Fig. 4(c)). Self-Training led to improvements in the experiments using the IS09 feature set, but not in those using the IS10 one (see Figs. 4(c) and (d)). Overall, Co-Training with cepstral LLDs feature separation seems to



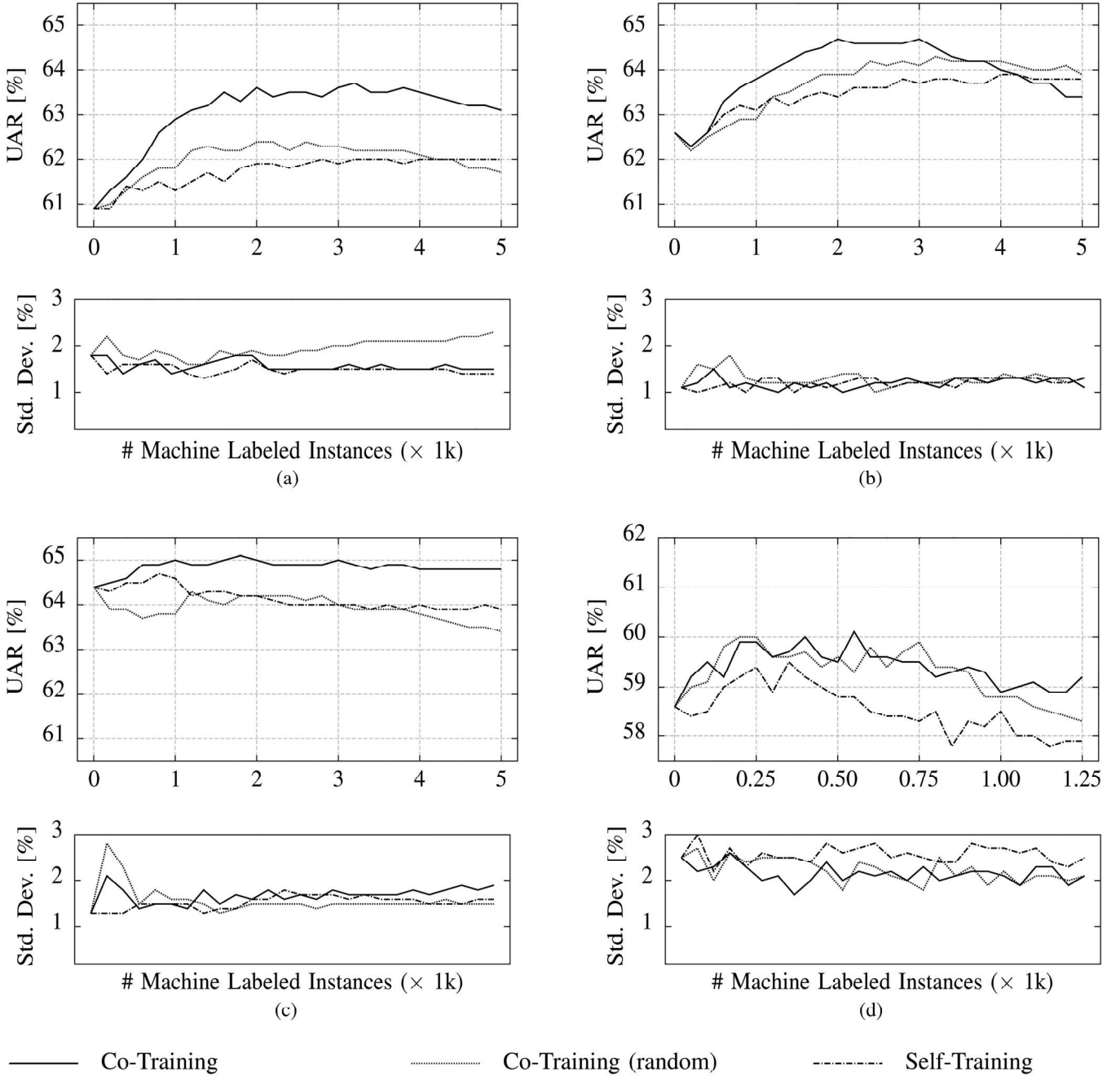


Fig. 4. Comparison between Co-Training using the feature separation method based on cepstral LLDs, Co-Training using a random feature separation method, and Self-Training. The charts show the average UARs across 20 independent runs (and respective standard deviations) vs. number of *machine* labeled instances for the four experiments described in this paper: (a) FAU AEC database with the IS09 EC feature set and 200 initial supervised training instances; (b) FAU AEC database with the IS09 EC feature set and 500 initial supervised training instances; (c) FAU AEC database with the IS10 ASC feature set and 200 initial supervised training instances; and (d) the SUSAS database with the IS10 ASC feature set and 100 initial training instances. (a) FAU AEC, feature set: IS09,  $l$  : 200 (b) FAU AEC, feature set: IS09,  $l$  : 500 (c) FAU AEC, feature set: IS10,  $l$  : 200 (d) SUSAS, feature set: IS10,  $l$  : 100.

be more robust than the other two approaches when using different numbers of initial supervised training instances, different databases and different feature sets. Furthermore, it outperforms the other approaches after only a few iterations, which suggests that this algorithm leads to a faster learning process and better generalization performance. Finally, it is also evident that the performance of Co-Training degrades after a certain number of learning iterations. Previous work (e.g., [25], [47]) has demonstrated that this phenomenon can be attributable to the exchange of mislabeled instances between the different “views.”

### C. PL, AL and coAL

In this section we evaluate the performance of the PL, AL with least (lc) and medium (mc) certainty query strategies, and coAL algorithms. Figs. 5 shows the performance figures averaged across 20 independent runs of the whole training process (and respective standard deviations) for the four experimental scenarios (the results of CL, also shown, will be described later).

As can be seen, the sequential addition of the human-labeled instances to the training set (200 per iteration for FAU AEC and 50 for SUSAS) led to improvements in the performance

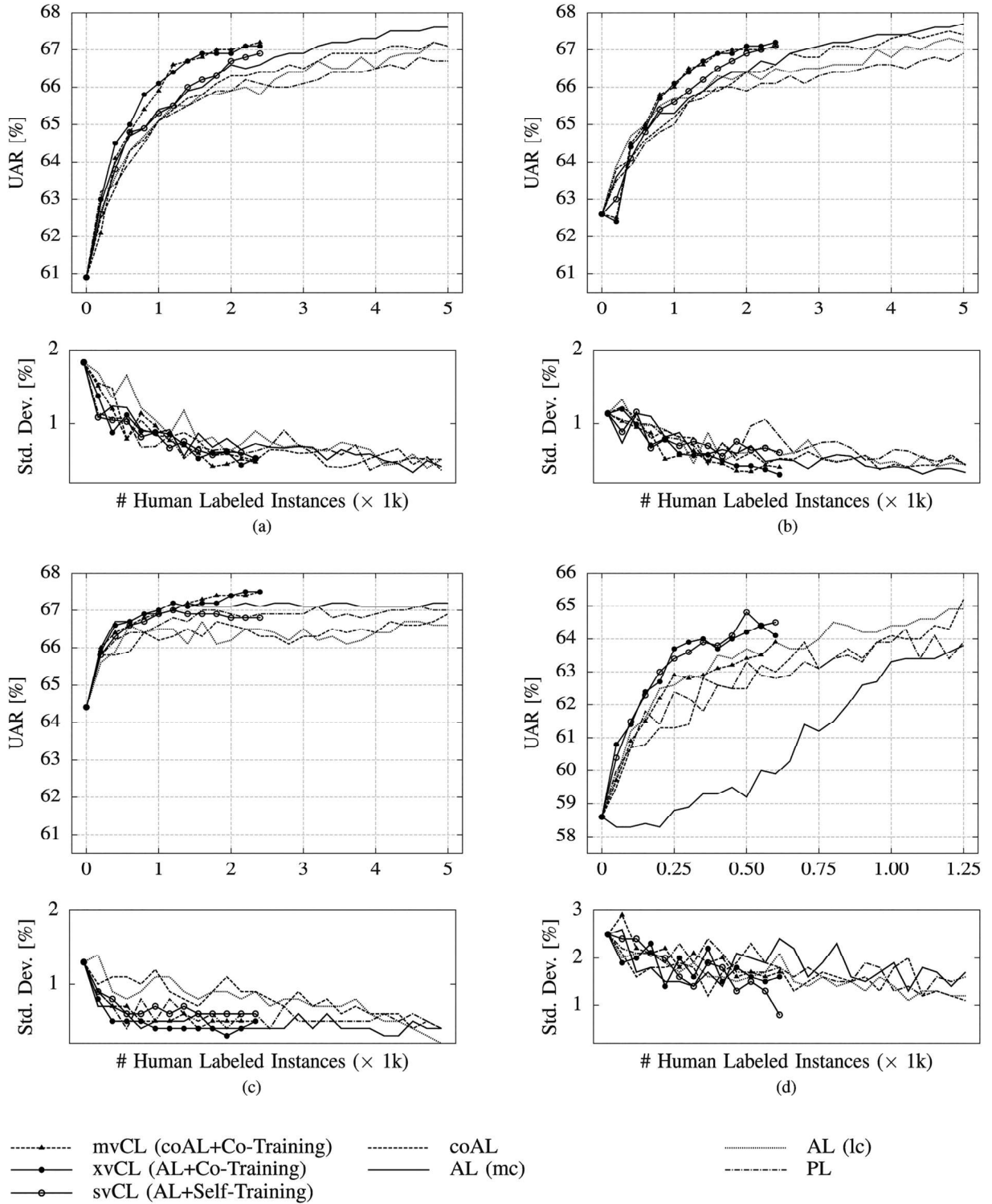


Fig. 5. Comparison between the supervised (PL, least certainty AL, medium certainty AL, and coAL) and cooperative (AL + Self-Training, AL + Co-Training, and coAL + Co-Training) learning algorithms. The performance measures shown are UARs averaged across 20 independent runs of each algorithm (as well as the corresponding standard deviations) vs. the number of *manually* labeled instances for the FAU AEC with IS09 EC feature set by 200 (a) or 500 (b) initial supervised training instances, as well as with the IS10 ASC feature set by 200 (c) initial supervised training instances, and the SUSAS with the IS10 ASC feature set by 100 (d) initial training instances. (a) FAU AEC, feature set: IS09,  $l$  : 200 (b) FAU AEC, feature set: IS09,  $l$  : 500 (c) FAU AEC, feature set: IS10,  $l$  : 200 (d) SUSAS, feature set: IS10,  $l$  : 100.

of the classifier for all four supervised learning approaches. Nonetheless, contrary to our expectations, the coAL approach did not show an improvement over the AL algorithms. The AL approach with the medium certainty query strategy, especially

in relation to the FAU AEC database, delivers the best global performance. The exception to this rule, as it can be seen on Figs. 5(d), is the performance for the SUSAS database, which is particularly worse than the other algorithms for fewer

human labeled instances. In this task, the AL with the least certainty query strategy performs better. Regarding the amount of labeled data used, the AL approaches with either least or medium certainty strategy achieve a similar performance to that of the baselines when the models are trained with the full set of training data. Nevertheless, it uses, respectively, 55%, 50%, 70%, and 65% fewer human labeled instances in each of the four experimental scenarios. Therefore, the AL methods efficiently reduced the amount of required human labeling effort.

#### D. Cooperative Learning

We now turn to our final set of algorithms that combine AL and SSL techniques. As mentioned earlier, we focus on three particular methods: svCL, xvCL, and mvCL. In these approaches only a maximum of 2 400 and 600 human labeled instances could be considered for the FAU AEC and the SUSAS databases, respectively. This is due to the fact that both AL and SSL algorithms independently select instances from the unlabeled pool for human and machine (respectively) labeling at each learning iteration. Therefore, the comparisons with the previous models are only made for a maximum of 12 iterations of the learning algorithm (when the maximum number of human labeled instances is achieved). Given the inconclusive results obtained in the previous section regarding the query strategy, the AL algorithms used in the CL approaches make use of the medium certainty query strategy for experiments with the FAU AEC database and the least certainty query strategy for those with the SUSAS database.

As depicted in Fig. 5, the three CL methods perform globally better than all other algorithms for different numbers of initial training instances, databases and feature sets. The improvement is evident in all experiments just after a few iterations of the learning algorithms, the only exception being the experiment with the FAU AEC and the IS10 feature set where the improvement is clearer at the end of the learning process. Moreover, the standard deviation of UAR exhibits a descending trend, which indicates that increasingly adding more human labeling instances to the training set makes the system more stable. In relation to the global performance improvement and human effort minimization, the best UARs obtained with CL algorithms in the four experimental scenarios (67.2%, 67.2%, 67.6%, 64.9%) are very close to the baseline performance of the models trained on the whole pool of labeled data (67.7%, 67.7%, 67.2%, 64.6%). Nevertheless, CL uses about 75% fewer labeled instances in all scenarios and is, therefore, less expensive.

In order to analyze in more detail the performance of the various algorithms, we calculated the average UAR across iterations 4 and 12 (see Table IV) and computed Student's  $t$ -tests to statistically compare the performances of the various algorithms (see Table V). An analysis of both tables confirms our previous observations and clearly indicates that all three CL approaches (single-, mixed-, and multi-view) generally lead to significantly better performance than all other methods. This is particularly evident for xvCL (AL and Co-Training), the algorithm that led to the best performance in all four experiments by consistently and robustly outperforming the other methods. This is consistent

TABLE IV  
MEANS AND STANDARD DEVIATIONS OF UAR PERFORMANCE MEASURE OBTAINED BY AVERAGING THE RESULTS BETWEEN ITERATIONS 4 AND 12 (800 ~ 2400 INSTANCES FOR FAU AEC, AND 200 ~ 600 INSTANCES FOR SUSAS). VALUES ARE SHOWN FOR PASSIVE LEARNING (PL), ACTIVE LEARNING (AL), CO-ACTIVE LEARNING (COAL), AND SINGLE-/MIXED-/MULTI-VIEW COOPERATIVE LEARNING (SVCL/XVCL/MVCL) FOR THE FOUR EXPERIMENTAL CONDITIONS

Avg. UAR [%]	(a) FAU AEC IS09, $l$ :200	(b) FAU AEC IS09, $l$ :500	(c) FAU AEC IS10, $l$ :200	(d) SUSAS IS10, $l$ :100
PL	65.7 $\pm$ 0.8	66.8 $\pm$ 0.6	65.6 $\pm$ 0.7	62.4 $\pm$ 2.0
AL	66.1 $\pm$ 0.6	67.0 $\pm$ 0.5	66.0 $\pm$ 0.8	63.2 $\pm$ 1.7
coAL	65.9 $\pm$ 0.6	66.4 $\pm$ 0.9	65.7 $\pm$ 0.7	62.3 $\pm$ 1.8
svCL	66.4 $\pm$ 0.7	66.9 $\pm$ 0.6	66.1 $\pm$ 0.8	63.9 $\pm$ 1.5
xvCL	66.7 $\pm$ 0.5	67.2 $\pm$ 0.4	66.7 $\pm$ 0.8	63.9 $\pm$ 1.7
mvCL	66.7 $\pm$ 0.5	67.2 $\pm$ 0.5	66.6 $\pm$ 0.8	63.1 $\pm$ 1.9

TABLE V  
SIGNIFICANCE LEVELS OBTAINED FROM THE STATISTICAL COMPARISON (STUDENT'S  $t$ -TEST) OF THE UAR PERFORMANCE MEASURES BETWEEN ITERATIONS 4 AND 12 (800 ~ 2400 INSTANCES FOR FAU AEC, AND 200 ~ 600 INSTANCES FOR SUSAS). VALUES ARE SHOWN FOR PASSIVE LEARNING (PL), ACTIVE LEARNING (AL), CO-ACTIVE LEARNING (COAL), AND SINGLE-/MIXED-/MULTI-VIEW COOPERATIVE LEARNING (SVCL/XVCL/MVCL) FOR THE FOUR EXPERIMENTAL CONDITIONS

Sign. levels	PL	AL	coAL	svCL	xvCL	mvCL	PL	AL	coAL	svCL	xvCL	mvCL
	(a) FAU AEC, IS09, $l$ : 200						(b) FAU AEC, IS09, $l$ : 500					
PL												
AL												
coAL												
svCL												
xvCL												
mvCL												
	(c) FAU AEC, IS10, $l$ : 200						(d) SUSAS, IS10, $l$ : 100					
PL												
AL												
coAL												
svCL												
xvCL												
mvCL												
	p>.05						p<.05					
	p<.01						p<.001					

with the best performance of Co-Training over Self-Training as described in Subsection VI-B.

## VII. CONCLUSIONS AND FUTURE WORK

In this article, our main aim was to exploit large amounts of unlabeled (speech) data to enhance the performance of existing (emotion) classifiers while minimizing the costly work of human labeling. To do so, we tested the use of Supervised Learning and Semi-Supervised Learning techniques, and we proposed a novel approach that combines both-Cooperative Learning. In particular we considered three approaches to Cooperative Learning: 1) single-view cooperative learning, which combines Active Learning and Self-Training; 2) mixed-view Cooperative Learning, which combines Active Learning and Co-Training; and 3) multi-view Cooperative Learning, which combines co-Active Learning and Co-Training. Furthermore, we evaluated the use of a medium certainty query strategy for instances selection in Active Learning.

Our experimental results on two well-defined emotion-recognition-from-speech tasks—the FAU Aibo Emotion Corpus and the Speech Under Simulated and Acted Stress database—show that all three suggested Cooperative Learning algorithms are superior to all other approaches when using the same number of human-labeled instances for retraining. The results also show that not only the accuracy of the classifier is improved, but also its stability is enhanced. Furthermore, by varying the amount of instances used in the initial supervised training phase, using different feature sets, and testing different classification tasks, we demonstrated that Cooperative Learning is a robust method. In particular, the best performance and robustness were obtained with the mixed-view Cooperative Learning algorithm, which combines Active Learning and Co-Training. In relation to the type of query strategy used for instance selection in Active Learning, our results indicate that medium certainty may be a feasible way to improve the classification performance of pre-trained models. We have shown its robustness with different initial training set sizes and feature sets using the FAU Aibo Emotion Corpus. Nevertheless, the lowest certainty query strategy leads to better results with the Speech Under Simulated and Acted Stress database and so our results are not conclusive in this respect.

Future extensions of this work should consider larger unlabeled data pools than that considered in our experiments. This would be important to test further the robustness of Cooperative Learning for very large databases, an ideal scenario for its application with great relevance for the development of emotion recognition systems for realistic applications. Such data sets of realistic signals can be created from online sources such as YouTube, recordings of everyday life conversations, among others. Also, it would be interesting to further demonstrate the robustness of Cooperative Learning with other types of relevant feature sets (e.g., [48]). In this article we have not explored the use of different query strategies with the aim of improving robustness within and across tasks. This is an obvious extension of this work and likely candidate methods are sparse instance tracking and committee-based algorithms. Also, since the methods introduced in this paper were evaluated in the context of paralinguistic recognition, it would be interesting to evaluate their performance in other classification problems. Finally, it would be particularly interesting to analyze the effects of various learning strategies proposed in terms of bias-variance trade-off. This could reveal specific benefits of the various strategies in terms of reducing the various types of errors (bias, variance and irreducible).

#### ACKNOWLEDGMENT

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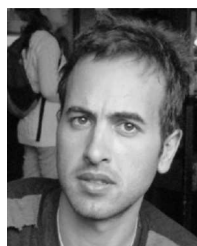
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