

# MicroRNAs as Clinical Biomarkers and Therapeutic Tools in Perioperative Medicine

Simone Kreth, MD, PhD,\*† Max Hübner, MD,\*† and Ludwig Christian Hinske, MD\*

Over the past decade, evolutionarily conserved, noncoding small RNAs—so-called microRNAs (miRNAs)—have emerged as important regulators of virtually all cellular processes. miRNAs influence gene expression by binding to the 3′-untranslated region of protein-coding RNA, leading to its degradation and translational repression. In medicine, miRNAs have been revealed as novel, highly promising biomarkers and as attractive tools and targets for novel therapeutic approaches. miRNAs are currently entering the field of perioperative medicine, and they may open up new perspectives in anesthesia, critical care, and pain medicine. In this review, we provide an overview of the biology of miRNAs and their potential role in human disease. We highlight current paradigms of miRNA-mediated effects in perioperative medicine and provide a survey of miRNA biomarkers in the field known so far. Finally, we provide a perspective on miRNA-based therapeutic opportunities and perspectives. (Anesth Analg 2018;126:670–81)

The idea that small noncoding RNAs might be able to break the paradigm of a linear correlation between mRNA and protein expression first came up almost 25 years ago. In 1993, Lee et al<sup>1</sup> found that, in *Caenorhabditis elegans*, the gene *lin-4* did not encode a protein, but rather a small RNA that is able to reduce protein levels of *lin-14*. Almost 7 years later, Bartel et al were able to identify the similarly acting, small noncoding RNA *let-7* in multiple species, including *Homo sapiens*, leading to speculation that probably more molecules of a similar kind might exist.<sup>2</sup> This assumption proved true within a year, when Lagos-Quintana et al<sup>3</sup> successfully cloned several new so-called microRNAs (miRNAs). The major properties of miRNAs are that they are processed from a precursor that contains a hairpin structure, that their active form is a single-stranded RNA molecule of ~22 nucleotides in length, and that they seem to primarily bind to the 3′-untranslated region (UTR) of certain mRNAs, thereby negatively impacting protein levels.

To date, 1881 human miRNA sequences<sup>4</sup> have been identified, and knowledge about miRNA function and their importance in the regulation in virtually all relevant biologic processes has largely evolved. It has become increasingly clear that miRNAs are major elements in fine-tuning the expression of more than 30% of all protein-coding genes within the human organism and that these small molecules play a critical role not only in homeostasis but also in the development and maintenance of numerous pathological processes.

From the \*Department of Anesthesiology, and †Walter-Brendel-Centre of Experimental Medicine, University of Munich (LMU), Munich, Germany.

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Address correspondence to Simone Kreth, MD, PhD, Department of Anesthesiology, University of Munich (LMU), Munich, Germany. Address e-mail to simone.kreth@med.uni-muenchen.de.

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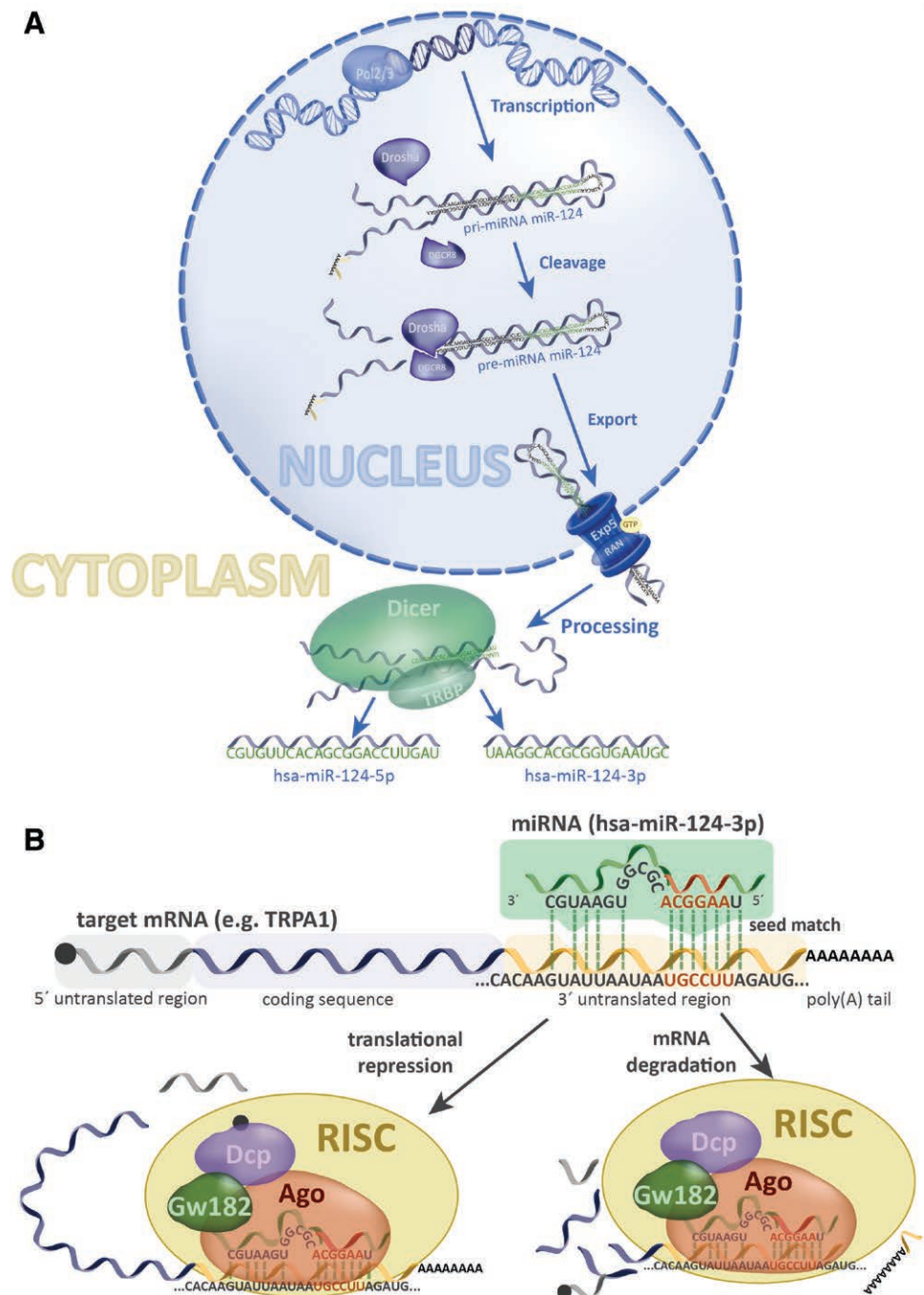
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## miRNA BIOGENESIS AND TARGET INTERACTION

Genes coding for the miRNA class of molecules are heterogeneously located within the human genome: whereas about half of human miRNAs genes are intergenic, that is, found in distant locations from currently annotated genes, the other half of currently known miRNA genes are intragenic, that is, located within protein-coding genes. The expression of miRNAs is tightly regulated by the same mechanisms controlling gene expression generally. While intergenic miRNAs possess own promoters, intragenic miRNAs are usually cotranscribed together with their host gene and then further processed to mature miRNAs.<sup>5</sup> Promoter activities are determined by binding of transcription factors, silencers, and DNA methylation processes. Consequently, the expression of miRNA is strongly influenced by environmental factors and external stimuli, such as inflammation, hypoxia, or treatment with drugs. Also, diverse classes of RNAs, so-called ceRNAs (competing RNAs, eg, pseudogenes, long noncoding RNAs, circular RNAs, and also messenger RNAs) can reduce the influence of miRNAs by competing for binding sites or by acting as miRNA-absorbing “sponges,” thus reducing the levels of available miRNAs.<sup>6</sup>

miRNAs are transcribed by the polymerase Pol II (Figure 1A),<sup>7</sup> and the resulting transcriptional product, called primary miRNA (pri-miRNA), can vary greatly in length, up to several thousands of nucleotides. This pri-miRNA forms a hairpin loop structure that undergoes further processing in the so-called microprocessor, a protein complex including the RNA-binding enzyme DGCR8 and the RNase III Droscha.<sup>8</sup> Droscha cuts the double-stranded end, leaving a ~70 nucleotide long hairpin precursor miRNA (pre-miRNA).<sup>9</sup> As is typical for RNase III cleavage, the pre-miRNA contains a 2 nucleotide 5′-end overhang that is recognized by Exportin 5, which is necessary for transport into the cytoplasm.<sup>10</sup> In a second processing step, a protein complex including the RNA recognizing protein TAR RNA binding protein and another enzyme of the RNase III family, Dicer, cuts out the hairpin loop structure, leaving the mature miRNA:miRNA\* double strand.<sup>11</sup> Usually, 1 of the 2 strands is degraded, whereas the other is incorporated into the so-called RNA-induced silencing complex.<sup>12</sup> The miRNA incorporated in the

**Figure 1.** A, miRNA biosynthesis pathway. miRNA genes are transcribed by Pol 2/3 from the DNA (primary miRNA transcript) and cleaved through the Drosha/DGCR8 complex (precursor miRNA). After export from the nucleus via Exportin-5/RAN-GTP, Dicer in conjunction with TAR RNA binding protein do the final processing, leaving the mature miRNA transcripts. B, miRNA transcript recognition and targeting. After processing, the miRNA is loaded into the RNA-induced silencing complex (RISC) that consists of Ago and Ago-interacting proteins, such as Dcp and Gw182. The miRNA then recognizes its target message through base-pairing to the target's 3'-untranslated region. The most important region for this interaction in animals is the seed region (miRNA sequence in red). Imperfect seed-pairing can be compensated for by high degree of complementarity to the 3'-end of the miRNA.



RNA-induced silencing complex recognizes its target mRNA through Watson-Crick complementarity of its 5'-end to the 3'-UTR of its target (Figure 1B). Whereas in plants miRNAs seem to nearly perfectly match the target sequence, this is not true in mammals, where imperfect pairing is predominant and near-perfect complementarity is only required for the "seed-region" of the mature miRNA (nucleotides 2-7). After recognition, miRNAs regulate target mRNAs through either translational repression or mRNA destabilization or a combination of both mechanisms. Recent research has indicated that mRNA degradation explains the majority of miRNA-mediated repression, while translational repression accounts for roughly 10% to 25% of the overall repression.<sup>13,14</sup> In most

cases, miRNA-induced changes in gene expression are subtle with net repressions in the range of 2- to 5-fold, and biological effects are achieved by high redundancy: each miRNA can regulate multiple target genes, while one protein-coding mRNA can be targeted by multiple miRNAs. Usually, miRNAs act in networks, that is, one single miRNA regulates not only one mRNA but also further transcripts within the target interactome. Also, as one single miRNA is merely sufficient to influence entire signaling pathways, it is a frequently occurring phenomenon that several miRNAs act together in a similar direction. This situation is further complicated by the existence of indirect miRNA-mRNA interactions: for example, by targeting transcription factors, suppressor proteins, or

enzymes that catalyze DNA methylation, miRNAs can, without evident binding sites within the 3'-UTRs of the regulated genes, unexpectedly impact gene expression.<sup>15</sup>

In this highly complex scenario, various *in silico* prediction algorithms have been developed during the last decade to identify potential direct and indirect miRNA-target interactions and to allow for subsequent experimental validation and characterization.<sup>16</sup>

### miRNAs IN HUMAN DISEASE

It is well known that the miRNA repertoire expressed by each cell type is highly specific. Likewise, miRNA expression patterns of different tissues are characteristic and contribute to the shaping of specific tissue features and functions. Some miRNAs are even exclusively expressed in certain tissues or cell types. It thus is not surprising that also for a wide spectrum of human diseases—ranging from cancer, hematologic, cardiovascular, and neurologic diseases to pathologic conditions caused by dysfunctions of the immune system—specific miRNA expression patterns could be identified.<sup>17–20</sup> Mostly, the assignment of specific miRNAs to certain diseases is only based on correlative analyses; however, for some of these miRNAs, causal links to pathogenesis have been revealed: for example, miR-21 has been shown to act as a proto-oncogene in multiple cancers including colorectal adenocarcinoma and breast cancer,<sup>21</sup> or miR-146a, which acts as inhibitor of inflammatory processes by dampening nuclear factor- $\kappa$ B (NF- $\kappa$ B) signaling and thus is frequently suppressed in inflammatory diseases.<sup>22</sup>

miRNAs not only influence gene expression within their parental cells, they also act as signaling molecules promoting intercellular communication. Recent research discovered that miRNAs can be packaged into exosomes or microvesicles and subsequently are released from cells into the surrounding tissue or into the circulation. Other cells can take up these secreted miRNAs, which then establish their regulative activity in the new cellular surrounding.<sup>23–25</sup> Unlike other extracellular RNA molecules, the membrane-enclosed or lipid-bound extracellular miRNAs are remarkably stable and can be detected in virtually all body fluids, including blood, saliva, bronchial secretions, urine, liquor, and breast milk.<sup>26</sup>

Due to these unique features—disease specificity, high stability, and accessibility—miRNAs have already gained importance as useful clinical biomarkers for diagnosis and prognosis of specific diseases and to monitor treatment responses. Accordingly, during the last decade, strong efforts have been made in almost all clinical fields to identify and to validate single or sets of miRNAs as convincing biomarkers.<sup>27,28</sup>

Due to their broad regulative capabilities, miRNAs also bear a high potential as therapeutic targets<sup>29</sup>—at least in diseases in which a clear causal link between the pathologic state and the altered expression of specific miRNAs has been found. In the last few years, several formulations of miRNA mimetics and inhibitors targeting specific pathology-driving genes have been developed and administered to patients within the framework of clinical studies, which will be discussed below. So far, these highly interesting approaches are still preliminary and need extensive improvement. Nonetheless, the field of miRNA therapies has made a huge leap forward.

### miRNAs IN CRITICAL CARE MEDICINE

In critical care medicine, there is still an urgent need for valid biomarkers that enable an early and precise detection of life-threatening disorders such as sepsis, acute lung injury (ALI), and the frequently associated failure of organs. In this regard, miRNAs have increasingly gained attention during the last years, and a remarkable number of single miRNAs, or of miRNA sets as new biomarkers, have been proposed. The implementation of these biomarkers into the clinical routines is an ongoing task and some hurdles still have to be taken. Also, a multitude of both *in vitro* and animal studies aiming at the elucidation of specific miRNA effects and the underlying molecular mechanisms have been published.

Herein, we will provide a short survey of the current status quo in sepsis, ALI, and acute organ dysfunction.

### SEPSIS

It is well established that miRNAs are potent regulators of both the innate and the adaptive immune system. They influence a multitude of cellular processes ranging from specific immune cell functions to proliferation and differentiation, thereby controlling a wide range of immune functions.<sup>30</sup> It thus is justified to expect that miRNAs could serve as efficient biomarkers not only for the detection of early sepsis but also for distinguishing the hyperinflammatory and the immunosuppressive phases of sepsis. Accordingly, a number of studies aiming at the identification of miRNAs that are differentially expressed in sepsis versus healthy controls and in nonsurvivors versus survivors have been published during the last few years. In these studies, the expression of miRNAs was profiled either in plasma/serum or whole blood or in purified blood cells. For example, in whole blood, miR-155 and miR-21 were shown to be elevated in sepsis, while miR-150 was found to be downregulated.<sup>31–33</sup> In other studies investigating serum or plasma samples, miR-223, miR-143, and miR-34a were upregulated,<sup>34,35</sup> and miR-146a and miR-15a were downregulated.<sup>34,36</sup> Some authors chose a more specific approach and analyzed cells of the adaptive immunity. In these studies, sepsis patients exhibited elevated miR-15a/16 and miR-223 and reduced miR-146a and miR-31 expression levels.<sup>37–39</sup> Some studies were able to detect correlations between expression levels of specific miRNAs (eg, miR-233, miR-150, miR-547-5p, miR-133a) and the severity of sepsis.<sup>40,41</sup> Additionally, to enable an early diagnostic differentiation and a specific therapeutic approach, several recent studies aimed at using miRNAs to distinguish between sepsis and the Systemic Inflammatory Response Syndrome.<sup>42–44</sup> A comprehensive summary of miRNAs that have been identified as differentially expressed in sepsis patients as compared to healthy individuals so far is given in the articles by Kingsley and Bhat<sup>45</sup> and Neudecker et al.<sup>46</sup>

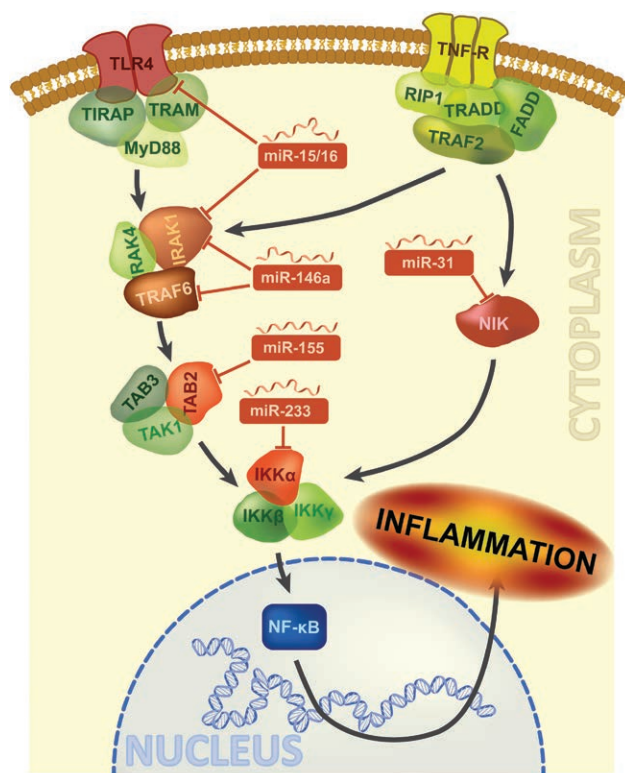
To date, it is not clear which cell types are the origins of free circulating miRNAs in sepsis and whether other coexisting morbidities (eg, tumors) set free miRNAs that might strongly bias the sepsis-specific expression profiles. Due to these uncertainties and to the rather small sample sizes of most studies, it currently is not clear which of the proposed miRNA biomarkers will reveal as reliable diagnostic tools in the diagnosis and treatment of sepsis in the future.

Elucidation of the molecular mechanisms underlying specific miRNA alterations in sepsis is a *conditio sine qua non* to (i) acquire a comprehensive understanding of the pathomechanisms underlying sepsis, and to (ii) develop miRNA-based therapy approaches. A large number of *in vitro* and animal studies dealing with these issues have been published during the last decade, which provided profound insights into the networks of miRNA signaling within the immune system generally and in particular regarding the pathophysiology of sepsis. It has become clear that miRNAs are hubs within the regulatory circuitries of inflammatory responses. They target central transcription factors such as NF- $\kappa$ B or hypoxia-inducible factor 1- $\alpha$ , cell surface receptors such as toll-like receptors, or intracellular signaling cascades such as mitogen-activated protein kinase pathways. Also, direct targeting of cytokines and/or their receptors is a frequently occurring phenomenon. As a result, development, function, and differentiation of both adaptive and innate immune cells are affected, which impacts both hyperinflammation and immunosuppression in sepsis. Which side of the coin will be more pronounced depends on the individual miRNA expression profiles, the regulated target genes, and, importantly, the cellular environment. For example, many miRNAs that have been identified as clinical markers in sepsis have experimentally been validated as regulators of the NF- $\kappa$ B-pathway (Figure 2, Table 1): miR-31 targets the NF- $\kappa$ B inducing kinase,<sup>47</sup> miR-146a and miR-15a/16 target the interleukin-1 receptor-associated kinase 1,<sup>49,56</sup> miR-223, miR-15a/16 target the I $\kappa$ B kinase alpha,<sup>50</sup> and miR-155 controls expression of the transforming growth factor (TGF)-beta-activated kinase 1/MAP3K7-binding protein 2.<sup>59</sup> The NF- $\kappa$ B family of transcription factors controls a multitude of contributors to the inflammatory response, such as proinflammatory cytokine production, leukocyte recruitment, or cell survival, and is also involved in the feedback control of inflammation.<sup>64,65</sup> Thus, these miRNAs can be considered important players within the inflammatory networks regulated by NF- $\kappa$ B influencing magnitude and duration of inflammation during sepsis.

The most important miRNAs known in the context of sepsis so far and their functions in immune cells, as well as the respective literature, are summarized in Table 1. It has to be kept in mind, however, that neither mouse models nor *in vitro* experiments with cell lines or primary cells fully cover the functional networks of the human organism.<sup>66,67</sup> Thus, miRNAs in the context of human sepsis can only be considered “guilty by association,” and the exact impact of these miRNAs on the inflammatory responses during the different stages of sepsis needs to be fully elucidated.

### ACUTE LUNG INJURY

ALI is orchestrated by activated immune cells and by excess cytokine and protease release into the alveolar space.<sup>68</sup> Given these conditions, immunomodulatory miRNAs proposed as biomarkers in sepsis might also be of diagnostic value in ALI. Additionally, miRNAs affecting epithelial and endothelial cells might play a role. Surprisingly, unlike in sepsis, clinical studies evaluating miRNAs as possible biomarkers in ALI are scarce. One study analyzing blood samples of 45 patients with ARDS induced by cardiopulmonary bypass found differential expression of a set consisting of 6 upregulated and 5



**Figure 2.** miRNAs target central components of the nuclear factor- $\kappa$ B (NF- $\kappa$ B) signaling pathway thereby regulating the inflammatory response in sepsis. FADD indicates fas-associated protein with death domain; IKK, I $\kappa$ B kinase alpha; IRAK, interleukin-1 receptor-associated kinase; NIK, NF- $\kappa$ B inducing kinase; RIP1, receptor-interacting serine/threonine protein kinase 1; TAB, TGF-beta-activated kinase 1/MAP3K7-binding protein 2; TAK, tat-associated kinase; TGF, transforming growth factor; TIRAP, toll-interleukin 1 receptor domain containing adaptor protein; TLR4, toll-like receptor-4; TNF-R, tumor necrosis factor receptor; TRADD, TNF receptor type 1-associated death domain; TRAF, TNF receptor-associated factor 2; TRAM, toll-like receptor adaptor molecule.

downregulated miRNAs.<sup>69</sup> If these miRNAs might reveal as suitable biomarkers still needs to be determined.

There exist a variety of animal studies investigating the role of miRNAs in the pathogenesis of ALI. Data were mostly derived from rodents subjected to ALI induced by intratracheal injection of lipopolysaccharide (LPS), acid, or bacteria, or by ventilator trauma. In these studies, a large number of different miRNAs was found to be differentially regulated (extensively reviewed in the study by Rajasekaran et al<sup>70</sup>); however, a consensus regarding the value of these miRNAs in the development and resolution of ALI has not yet been achieved. ALI animal models have also been used to investigate miRNA treatment approaches in a surprisingly high number of studies, which is most likely due to the fact that an easy-to-handle and specific application of miR-mimics or anti-miRs via the airways is possible. In these studies, several miRNAs have been evaluated with respect to their capacity to influence the course of ALI.<sup>70</sup> Interestingly, 3 of those inflammation-related miRNAs relevant in sepsis revealed also here as promising therapeutic targets: in LPS-mediated injury, anti-miR-155 application significantly reduced the numbers of inflammatory cells and the levels of proinflammatory cytokines in bronchoalveolar lavage,<sup>71</sup>

**Table 1. Mechanisms of Action of miRNAs Identified as Sepsis Biomarkers**

	Targets	Regulated Signaling Pathways	Regulation in Sepsis Patients	References
miR-31	FIH, NIK, SAP	HIF-1 $\alpha$ , NF- $\kappa$ B, SLAM	T cells $\downarrow$	37,47,48
miR-15a/16	TLR4, IRAK 1, IKK- $\alpha$	TLRs, IL-1, NF- $\kappa$ B	PBMCs $\uparrow$	34,49,50
miR-21	PDCD4, SORBS2, IL-12	Apoptosis, STAT	Blood $\uparrow$	33,51,52
miR-143	IL13R, TLR2, COX-2	STAT, TLR, prostaglandins	Serum $\uparrow$	34,53–55
miR-146a	IRAK, TRAF6, PRKCE	IL-1, TNF, calcium signaling, NF- $\kappa$ B	Serum, T cell $\downarrow$	34,38,56
miR-150	HIF-1 $\alpha$ , VEGFA, ARRB2	HIF-1 $\alpha$ , angiogenesis, signal inhibition	Blood $\downarrow$	43,57,58
miR-155	SOCS1, SHIP1, TAB2	STAT, PI3K, AKT, NF- $\kappa$ B	Blood $\uparrow$	31,59–61
miR-223	NLRP3, NFI-A, IGF-1R, STAT3, FOXO1, IKK- $\alpha$	IL-1, DNA-binding, PI3, AKT, STAT, NF- $\kappa$ B	Serum, PBMCs $\uparrow$	39,50,62,63

Abbreviations: AKT, protein kinase B; ARRB2, arrestin beta 2; COX-2, cyclooxygenase-2; FIH, factor inhibiting hypoxia; FOXO1, forkhead box protein O1; HIF-1 $\alpha$ , hypoxia-inducible factor 1-alpha; IGF-1R, insulin-like growth factor receptor 1; IKK- $\alpha$ , I-kappaB kinase alpha; IL, interleukin; IRAK, interleukin-1 receptor-associated kinase; NF- $\kappa$ B, nuclear factor- $\kappa$ B; NFI-a, nuclear factor 1A; NIK, NF- $\kappa$ B-inducible kinase; NLRP3, NACHT, LRR and PYD domains-containing protein 3; PBMC, peripheral blood mononuclear cells; PDCD4, programmed cell death 4; PI3K, phosphatidylinositol-3-kinase; PRKCE, protein kinase C epsilon; PTGS2, prostaglandin-endoperoxide synthase 2; SAP, SLAM-associated protein; SHIP1, phosphatidylinositol-3,4,5-trisphosphate 5-phosphatase 1; SLAM, signaling lymphocyte activation molecule; SOCS1, suppressor of cytokine signaling 1; SORBS2, Sorbin and SH3 domain containing protein 2; STAT3, signal transducer and activator of transcription 3; TAB2, TGF-beta-activated kinase 1/MAP3K7-binding protein; TLR, toll-like receptor; TNF, tumor necrosis factor; TRAF, TNF receptor-associated factor; VEGFA, vascular endothelial growth factor A.

which was further corroborated in miR-155<sup>-/-</sup> mice, thus suggesting a role of miR-155 as a driver of lung inflammation.<sup>72</sup> miR-146a and miR-125b, on the other hand, were found to ameliorate lung injury. miR-146a-mimic application suppressed both LPS- and acid-induced expression of proinflammatory cytokines and inducible nitric oxide synthase.<sup>73,74</sup> Overexpression of miR-125b reduced lung permeability and expression of proinflammatory mediators and improved mice survival.<sup>75</sup> Both miRNAs thus have been suggested as potential therapeutic targets for ALI. Similar to sepsis, miRNAs hold promise to become valuable biomarkers and therapy tools in the future; however, additional studies will be required to assess these issues.

**ACUTE ORGAN FAILURE**

Acute failure of liver, kidney, and heart are important clinical complications in intensive care medicine, with high mortality rates. Also here, miRNAs are increasingly gaining attention because biomarkers enabling an early and exact diagnosis and prognostic estimation, as well as innovative therapy approaches, are strongly needed.

Several miRNAs are specifically expressed or enriched in the liver, with miR-122 being the most abundant liver-specific miRNA. Both acute and chronic liver damage are associated with hepatocyte cell death, which leads to the release of liver-specific miRNAs. Starkey Lewis et al found substantially elevated plasma levels of miR-122 and miR-192 in acetaminophen-induced acute liver injury.<sup>76</sup> Determination of miR-122 significantly outperformed alanine aminotransferase (ALT), international normalized ratio (INR), and acetaminophen plasma concentrations for the prediction of this type of liver injury.<sup>77</sup> In a study evaluating miRNAs in liver steatosis, miR-122 was found to correlate with the severity of the disease.<sup>78</sup> In chronic hepatitis C, the typical inflammation-related miRNAs miR-155, miR-125b, and miR-146a were increased in patients’ plasma.<sup>79</sup> As liver-specific delivery of nucleic acids by microparticles has successfully been demonstrated by Press et al,<sup>80</sup> therapeutic approaches using miRNA mimics or antagonists are conceivable in the near future.

The intestine is a unique organ where multiple communications between the immune system, gut epithelium, and commensal microbiota take place. A breakdown of homeostasis can lead to inflammatory disorders as frequently seen in

the perioperative context. Biomarkers indicating the onset of acute gut injury are scarce, and miRNAs are currently one of the most promising molecules in this field. To date, however, available data are mainly derived from models of inflammatory bowel disease (IBD). For example, a very recent study using a murine model of dextrane sodium sulfate-induced colitis shed light on the pivotal function of miR-223 in IBD: administration of miR-223 mimics inhibited the NLRP3 inflammasome, thereby reducing interleukin-1 $\beta$ -mediated dextrane sodium sulfate-induced colitis.<sup>81</sup> These findings are consistent with clinical data reporting miR-223 to be elevated in a subset of patients experiencing IBD,<sup>82</sup> thus suggesting miR-223 as a potent new biomarker for gut inflammation. Further studies are needed to clarify whether these findings can be transferred into the acute perioperative setting.

In acute kidney injury (AKI), many miRNAs have been shown to be involved in the amplification or reduction of acute injury processes. While molecular mechanisms have only been investigated in animal studies so far (extensively reviewed in the article by Fan et al<sup>83</sup>), a considerable number of clinical studies have provided data on the potential of certain miRNAs to serve as markers of early AKI. Specifically, miR-21 has been revealed as stable biomarker: urine and plasma miR-21 levels have been shown to correlate with AKI severity and hospital mortality and to predict the probability of postoperative renal replacement therapy. Also, lower baseline plasma levels of miR-21 have been shown to predict AKI after cardiac surgery.<sup>84,85</sup> In animal models of AKI, overexpression of miR-21 provided renoprotection, thus suggesting this miRNA as a therapeutic target.<sup>86,87</sup> Further, decreased serum levels of the kidney-enriched miRNAs miR-29a, miR-101-3p, and miR-127a have been shown to predict AKI in intensive care unit patients.<sup>88</sup> Even in AKI, anti-inflammatory miR-146a plays an important role, as decreased blood levels have been shown to be a predictor of AKI in the intensive care unit.<sup>88</sup>

Research on the role of miRNAs as biomarkers for different cardiovascular disease entities has exponentially expanded during the last few years, and miRNAs have been suggested as new biomarkers providing additional information to established protein-based markers such as cardiac troponins and natriuretic peptide. A large number of encouraging results have been obtained so far, which have extensively been reviewed before.<sup>89,90</sup> Here, we will focus on

the description of the most striking miRNAs in myocardial infarction (MI) and heart failure.

In acute MI, miRNAs with high myocardial expression are released into the peripheral circulation, which opens up new opportunities of improving diagnostic discriminatory power and/or accelerate diagnosis by determination of specific miRNAs in the peripheral blood of patients suspicious of MI.<sup>91</sup> For example, the cardiac-specific miR-208b is detectable within 3 hours after MI and may persist elevated for as long as 90 days. In several studies, miR-208b was revealed as a useful early biomarker for MI.<sup>92,93</sup> Also, a signature consisting of 6 miRNAs was revealed as a reliable predictor of MI, with an AUC significantly exceeding troponin C and creatine kinase-MB.<sup>94</sup> In MI, miRNAs were also shown to exert predictive impact: in 2 large cohorts, an miRNA set consisting of miR-126, miR-197, and miR-233 was identified to reliably predict MI in persons with coronary artery disease.<sup>95,96</sup>

In heart failure, miRNAs miR-558, miR122\*, and miR-520-d-5p were identified in a cohort of 53 patients as a stable biomarker set to predict the diagnosis “nonischemic heart failure.”<sup>97</sup> In another study comprising 42 patients experiencing heart failure, miR-182 was identified to predict mortality with higher prognostic power than NT-proBNP and high-sensitive C-reactive protein.<sup>98</sup> Taken together, miRNAs are clearly on the verge of implementation in the prediction and diagnosis of AKI, MI, and heart failure and may be a valuable future tool in intensive care medicine.

### miRNAs in Anesthesia and Postoperative Care

In animal models, commonly used anesthetic drugs (eg, propofol, sevoflurane, and ketamine) have been found to induce neurotoxic effects such as neurodegeneration, neural apoptosis, and impairment of neural stem-cell self-renewal.<sup>99-101</sup> Recent research identified miRNAs as one of the key players mediating neurotoxic or protective effects.<sup>102</sup> In 2014, Goto et al<sup>103</sup> discovered in rodents that propofol and sevoflurane administration substantially altered miRNA expression profiles. These results made the pace for further rodent studies in this area. For example, it was shown that administration of propofol induces downregulation of miR-21 and induction of miR-665, leading to impairment of neuronal differentiation and induction of apoptosis.<sup>104,105</sup> For isoflurane, downregulation of miR-214 and let-7d was reported, leading to an increase of apoptosis via induction of Bax,<sup>106,107</sup> and in ketamine anesthesia, miRNA expression patterns could be associated with hippocampal neurodegeneration and memory impairment.<sup>108,109</sup>

Taken together, a large body of animal studies suggests that commonly used drugs for induction and maintenance of anesthesia induce alterations in miRNA expression, which might deteriorate neuronal integrity and neurocognitive processes such as memory and learning. Whether these experimental findings may also be true for the human organism needs to be investigated in the near future.

In postoperative care, reliable biomarkers that allow for prediction or at least timely detection of complications are needed. Generally, miRNA markers of acute organ injury as described above may also be of considerable predictive value in this setting. With regard to specific postoperative complications, only a few studies addressing miRNAs as possible biomarkers are available so far: for example, a study

**Table 2. Different Detection Platforms Currently Used in miRNA Diagnostics and Research**

Detection Method	Features	References
qRT-PCR	Cost-efficient High sensitivity, accuracy, reproducibility Widely available Automatable Fast method	131-133
Microarray	Multiple microRNA analyses in parallel Discovery of microRNA signatures Not suitable for high throughput	134,135
NGS	Highly precise Discovery of unknown sequences Time-consuming data processing Cost-intensive	136,137
SPR	Highly sensitive Very fast Suitable for point-of-care analysis Still in the stage of development	138,139

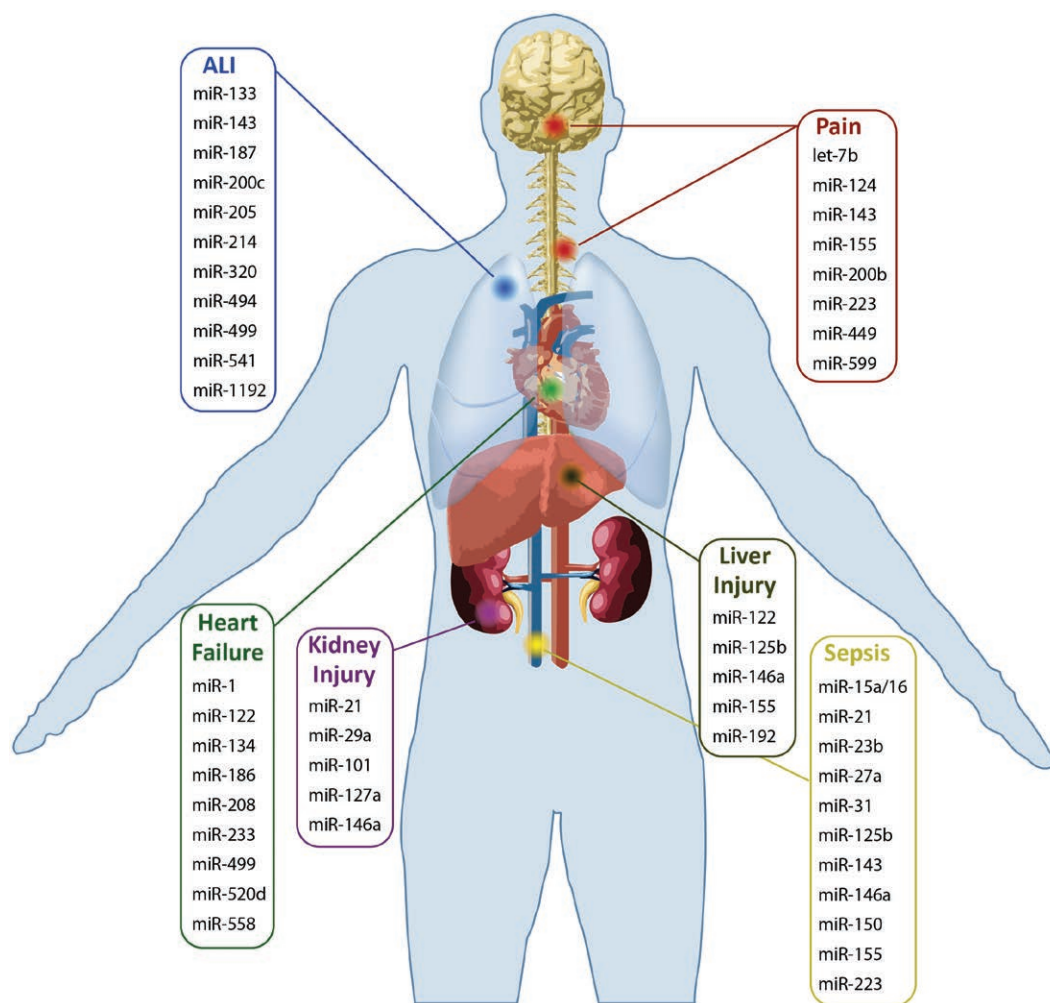
Abbreviations: NGS, next-generation sequencing; qRT-PCR, quantitative reverse transcription polymerase chain reaction; SPR, surface plasmon resonance.

investigating 30 children after heart surgery revealed a set of 3 miRNAs (208a, 208b, and 499) as possible biomarkers for early detection of postoperative myocardial damage.<sup>110</sup> Also, miRNA-499 was identified as a marker for postoperative MI in 30 patients undergoing coronary artery bypass grafting.<sup>111</sup> Several ongoing studies are evaluating the suitability of miRNAs as biomarkers in postoperative care, for example, as predictors of postoperative delirium (ClinicalTrials.gov). Taken together, miRNAs may serve as valuable biomarkers in the postoperative setting in the near future.

### miRNAs in Pain

Pain plays a central role in perioperative care. Several classifications of pain exist, the broadest one being the distinction between acute and chronic pain, with a subclassification of the latter into inflammatory versus neuropathic. Chronic pain syndromes greatly contribute to the overall cost for the medical system,<sup>112,113</sup> and both diagnostic and treatment options are limited, not at least due to lack of understanding of its pathophysiology. In 2007, a first study reported the downregulation of 7 miRNAs in the trigeminal rat ganglion after inducing inflammatory pain in the masseter muscle.<sup>114</sup> Since then, noncoding RNA molecules have been acknowledged to play a critical part in especially chronic pain pathophysiology.

The dorsal root ganglion (DRG) has been identified as a key structure involved in the pathophysiology of neuropathic pain processing,<sup>115,116</sup> and spinal nerve ligation leads to changes of both the proteome<sup>117</sup> and the transcriptome<sup>118</sup> of this structure. Evidence for miRNA involvement was presented by Zhao et al<sup>119</sup> in 2010, who reported that miRNA function seems to primarily impact inflammatory pain. A global reduction of miRNA expression levels via Dicer knockdown leads to the downregulation of several Dicer-associated proteins crucial for development and maintenance of hyperalgesia.<sup>120,121</sup> Shortly after, a number of proteins connected to pain recognition, such as CACNA2D1, SCN11A, and P2RX4, were found to be regulated by miRNAs.<sup>115,119,122,123</sup>



**Figure 3.** Overview of miRNAs involved in the pathogenesis of organ failure, sepsis, and pain syndromes, which are also considered as possible specific biomarkers.

miRNAs can also directly trigger pain sensation. Based on the observation that miRNAs circulating in blood and cerebrospinal fluid can aggravate neurodegeneration,<sup>124</sup> Park et al<sup>125</sup> found that extracellular miRNAs could induce rapid onset of pain via induction of rapid inward currents in DRG neurons. This effect was mainly mediated by toll-like receptor-7 that recognized the single-strand RNA motif GUUGUGU in the mature sequences of hsa-let-7b and hsa-miR-599.<sup>125</sup> Interestingly, let-7b is highly enriched in DRG neurons and is released upon neuronal activity<sup>125</sup> and has also been linked to complex regional pain syndrome.<sup>126</sup> The ultimate location for pain processing is the central nervous system, and it is hardly astonishing that miRNAs related to central pain processing have been described. Pohl et al<sup>127</sup> focused on the investigation of the effects of inflammatory pain on the prefrontal cortex that is activated in acute as well as chronic pain, finding significantly increased levels of miR-155 and miR-223. In a more functional approach, Imai et al<sup>128</sup> combined functional MRI, in silico analyses, and laboratory methods to draw a connection between neuropathic pain that decreases the expression of miR-200b/miR-449 and the mesolimbic circuitry via unleashed expression of DNA methyltransferase 3a.

In summary, miRNAs are evidently involved in major known pathways relevant to pain development and maintenance<sup>113</sup> and may support therapeutic decisions someday as exemplified by hsa-miR-124 expression in CD4 T cells that has been found to be predictive of treatment response in chronic lower back pain.<sup>129</sup>

### CURRENT USE OF miRNAs AS CLINICAL BIOMARKERS AND THERAPEUTIC TOOLS

#### miRNAs as Biomarkers

Despite the multitude of miRNAs that have been proposed as possible biomarkers, determination of miRNAs has not made its way into clinical practice so far. This is, indeed, surprising and mainly due to the fact that a universal measuring method enabling an easy-to-handle, fast, reliable, and inexpensive determination of miRNAs does not exist to date. miRNA expression profiling is a technical challenge: miRNAs are tiny molecules, miRNA family members exhibit a high degree of homology, and absolute miRNA concentrations in body fluids are rather low. Several measurement platforms are currently available to determine relative miRNA abundance in biological samples using different technologies such as small RNA sequencing,

reverse transcription quantitative polymerase chain reaction, and microarray hybridization. Each method has its strengths and weaknesses, and selection of the measuring method depends on the specific scientific questions to be addressed.<sup>130</sup> The different detection platforms currently used in miRNA diagnostics and research are briefly summarized in Table 2.

Moreover, to ensure reliable miRNA measurement, it is necessary to carefully choose the compartment most suitable for measuring the miRNAs of interest (eg, serum, plasma, blood cells, tissue specimens, or body fluids such as urine or liquor) and to select an appropriate normalization strategy.<sup>140</sup> Also, it has to be taken into account that miRNA expression profiles are influenced by genetic heterogeneity and exogenous influences, such as medication, nutrition, or exposure to certain environmental conditions.<sup>141,142</sup>

In the field of perioperative medicine, multi-institutional studies adhering to standardized protocols for sample preparation, miRNA detection, and data analysis are required to clearly make out those miRNAs qualifying as valid biomarkers for future clinical use (possible miRNA candidates are summarized in Figure 3). Actually, we are very close to an implementation of miRNAs into the daily clinical use, which will provide valuable complementary data on our roads toward a personalized medicine.

**miRNA-Based Therapy**

The concept of inhibiting or overexpressing miRNAs for therapeutic purposes represents a new frontier in modern medicine. To date, first approaches—either using miRNA mimics or miR-inhibitors—have made their way into clinical studies so far.

Synthetic miRNAs that mimic natural miRNAs are supposed to exert therapeutic effects by reconstituting miRNAs that are downregulated during disease or by downregulating signaling pathways involved in disease pathology. miRNA mimics are double-stranded oligonucleotides that require liposomes, lipoprotein-based carriers, or nanoparticles as vehicles for their delivery.<sup>143,144</sup> The first miRNA mimic to enter a clinical study in the field of oncology was MRX34. This substance was designed to deliver a mimic of the naturally occurring tumor suppressor miR-34, which is underexpressed in a wide variety of cancers. MRX34 was tested in a multicenter phase 1 clinical trial starting 2013, which included patients with primary liver cancer, other solid cancers, and hematological malignancies. Results of this study are elusive, as it was stopped in 2016 due to multiple immune-related severe adverse events. Very recently, a phase 1 study evaluating the miRNA mimic MRG-201 was initiated. This substance is designed to mimic miR-29b, thereby decreasing the expression of collagen and other proteins that are involved in fibrous scar formation, and is applied in healthy volunteers by intradermal injection.

Pharmacologic approaches of miRNA inhibition exert therapeutic effects by use of anti-miRs or miRNA sponges. Both classes of molecules block natural miRNAs and thus are supposed to silence miRNAs that are elevated during disease or to disinherit signaling pathways involved in disease pathology. Anti-miRs are single-stranded oligonucleotides that are chemically modified to enhance target

**Table 3. Trials for miRNA Mimics or LNA Inhibitors That Have Made Their Way Into Clinical Evaluation So Far**

Clinical trials.gov Ident.	Agent	Disease	Expected Clinical Outcome	miRNA Targets	Phase	Status
NCT01829971	miR-34a mimic	Melanoma; lung cancer hepatocellular carcinoma; renal cell carcinoma; multiple myeloma; lymphoma	Inhibition of tumor immune evasion and proliferation	FOXP1; BCL2; HDAC1; CTNNB1	1	Terminated due to severe immune-related adverse effects
NCT02603224	miR-29b mimic	Scar formation	Reduction of extracellular matrix deposition	TGFβ collagen PDGF; EGF; IGF2	1	Recruiting
NCT02579187	miR-200a plasmid; miR-200c plasmid	Inflammation and osteogenesis after tooth extraction	Enhancement of osteogenesis; reduction of inflammation	PITX2; CTNNB1	1	Not yet recruiting
NCT02580552	miR-155 inhibitor	Cutaneous T-cell lymphoma; mycosis fungoides	Reduction of aberrant cell proliferation	WEE1; HOXA1; SPI1; SHIP1	1	Recruiting
NCT01200420	miR-122 inhibitor	Hepatitis C	Reduction of viral burden	Virus RNA	2	Completed
NCT02855268	miR-21 inhibitor	Alport syndrome	Decrease progression of renal fibrosis	PPARα; CYTB; FADS6; MPV17L	2	Ongoing, not recruiting

Abbreviations: BCL2, B-cell lymphoma 2; CTNNB1, catenin beta 1; CYTB, cytochrome b; EGF, epidermal growth factor; FADS6, fatty acid desaturase 6; FOXP1, forkhead box P1; HDAC1, histone deacetylase 1; HOXA1, homeobox A1; IGF2, insulin-like growth factor 2; MPV17L, MPV17 mitochondrial inner membrane protein like; PDGF, platelet derived growth factor; PITX2, paired like homeodomain 2; PPARα, peroxisome proliferator activated receptor alpha; SHIP1, phosphatidylinositol-3,4,5-trisphosphate 5-phosphatase 1; SPI1, spi-1 proto-oncogene; TGFβ, transforming growth factor, beta; WEE1, WEE1 G2 checkpoint kinase.



affinity, stability, and tissue uptake.<sup>143,144</sup> Unlike double-stranded miRNA mimics, anti-miRs can be administered dissolved in saline solution. Once entering the circulation, they are easily taken up by multiple tissues and organs, where they specifically bind to endogenous miRNAs thus reducing their availability. Sponges are RNA molecules that contain multiple seed sites of a specific miRNA that act as competitive inhibitors by “hoovering” endogenous miRNAs.

The prime example of a successful therapeutic anti-miRNA approach is miravirsin, an LNA-modified anti-miR-122 that effectively combats a hepatitis C virus infection.<sup>145</sup> Miravirsin targets the liver-specific miR-122, which is “hijacked” by the hepatitis C virus to bind to sequences in the 5'-UTR of the viral RNA, thereby enhancing virus replication. In a first phase 2a clinical trial enrolling 36 patients with chronic hepatitis C, miravirsin treatment showed a dose-dependent antiviral activity clearly exceeding the time of therapy. Notably, in 4 of 9 patients receiving the highest doses, stable seroconversion was achieved. In this clinical trial, no adverse side effects were reported. Further evaluation of miravirsin is a topic of several ongoing studies.

Another substance that very recently entered clinical phase 1 evaluation is MRG-106, an LNA anti-miR of miRNA-155. In hematological malignancies, miRNA-155 plays a key role in differentiation, function, and proliferation of blood and lymphoid cells, and inhibition of miRNA-155 in lymphoma cells reduced proliferation *in vitro*. The phase 1 trial of MRG-106 enrolls patients experiencing cutaneous T-cell lymphoma and aims at assessing safety, tolerability, and molecular effects of MRG-106 in the lesions of MF patients. Trials for miRNA mimics or LNA inhibitors that have made their way into clinical evaluation so far are summarized in Table 3.

Currently, miRNA-based therapy still is in its infancy and a number of problems have to be addressed until a broad, reliable, and safe clinical use will be a feasible objective. The development of delivery systems enabling cell-specific uptake and the design of therapeutical molecules without toxic side effects remain a major challenge. Moreover, unwanted off-target effects have to be minimized. After taking these hurdles, miRNA-based therapy strategies will open up one of the most innovative and promising perspectives in current medicine.

## CONCLUSIONS

It is to be expected that miRNAs will find their way as very helpful new biomarkers and as effective therapy tools into the clinical routine in the near future. This will help to strike out on new paths, which—not least in perioperative medicine—will entail significant medical improvements. ■

## DISCLOSURES

**Name:** Simone Kreth, MD, PhD.

**Contribution:** This author helped write the manuscript.

**Name:** Max Hübner, MD.

**Contribution:** This author helped write the manuscript.

**Name:** Ludwig Christian Hinske, MD.

**Contribution:** This author helped write the manuscript and prepare all figures in the manuscript.

**This manuscript was handled by:** Alexander Zarbock, MD.

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