

11 Homogenisation of local colloid evolution induced by  
12 reaction and diffusion

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14 **Abstract**

15 We consider the homogenisation of a coupled reaction–diffusion process in  
16 a porous medium with evolving microstructure. A concentration-dependent  
17 reaction rate at the interface of the pores with the solid matrix induces a  
18 concentration-dependent evolution of the domain. Hence, the evolution is  
19 fully coupled with the reaction–diffusion process. In order to pass to the ho-  
20 mogenisation limit, we employ the two-scale-transformation method. Thus,  
21 we homogenise a highly non-linear problem in a periodic and in time cylin-  
22 drical domain instead. The homogenisation result is a reaction–diffusion  
23 equation, which is coupled with an internal variable, representing the local  
24 evolution of the pore structure.

25 *Keywords:* Homogenization, evolving microstructure, free boundary  
26 problem, two-scale convergence, porous medium, reaction–diffusion process  
27 *2020 MSC:* 35B27, 35K57, 35R35

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28 **1. Introduction**

29 Reaction–diffusion mechanisms in porous media often induce an evolution  
30 of the solid matrix. Typical examples are reaction mechanisms producing or  
31 consuming constituents which are part of the solid matrix, e.g. in concrete  
32 carbonation (cf. [1], [2]) or crystal precipitation and dissolution (cf. [3], [4]).  
33 Similarly, if biofilms are present, these can often be viewed as a solid-matrix-  
34 type part of the porous medium on the pore scale. In this context, production  
35 of biofilm can be modelled on the microscale similarly as production of solid  
36 matrix (cf. [5], [6], [7]).

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Mathematical models for reaction and diffusion in porous media are typically obtained from upscaling processes on the pore scale by averaging or homogenisation techniques. A classic method in this context is periodic homogenisation (cf. [8], [9]), which has been extended to cope with (non-periodic) evolving microstructures (cf. [10]). The extension relies on transforming the non-periodic evolution to a periodic reference geometry, which requires modelling of this (concentration-dependent) transformation in the context of particular applications, for instance a detailed discussion for concrete carbonation can be found in [11].

$$\begin{array}{ccc}
 \text{evolving microproblem} & \xrightarrow{\text{homogenisation on the evolving domain}} & \text{evolving macroproblem} \\
 \downarrow \text{transformation} & & \uparrow \text{back-transformation} \\
 \text{transformed microproblem} & \xrightarrow{\text{homogenisation on periodic reference domain}} & \text{transformed macroproblem}
 \end{array} \tag{1}$$

37 The approach of transforming on a periodic reference domain has found also  
 38 application in the homogenisation of thermoelasticity [12] or the homogeni-  
 39 sation of advection–reaction–diffusion problems in porous media (cf. [13]),  
 40 where the domains evolution is a priori given. Moreover, it has been recently  
 41 shown that the homogenisation of the substitute problem is equivalent to  
 42 the homogenisation of the actual problem in the non-periodic microstructure,  
 43 i.e. that (1) commutes (cf. [14]). Furthermore, a new two-scale-transformation  
 44 rule has been derived there, which yields a transformation-independent ho-  
 45 mogenisation result after the back-transformation.

46 In the present paper, we use this approach to homogenise rigorously a  
 47 reaction–diffusion problem where the domain evolution is not a priori given  
 48 but coupled with the solution itself. The homogenisation of problems where  
 49 the evolving microstructure is coupled with the solution itself has been also  
 50 considered by a level-set approach. There, the domain is described by a  
 51 level-set function solving a level-set equation, which involves the other un-  
 52 knowns. In this framework, microscopic models for crystal precipitation and  
 53 dissolution (cf. [7]) or biofilm growth in porous media (cf. [15]) have been ho-  
 54 mogenised. However, the corresponding effective macroscopic problems have  
 55 been derived by formal asymptotic expansion only. Numerical simulations  
 56 and analytical discussion of such type of limit models can be found in [16],  
 57 [17], [18].

58 In this manuscript, we revisit the microscale model by [19] for one reaction–  
 59 diffusion equation and derive their upscaled model by a mathematically rigor-

60 ous homogenisation procedure based on the recent results of [14]. In this con-  
 61 text, we show that such coupling of the pore structure with the solution of the  
 62 reaction–diffusion equation can be handled by the two-scale-transformation  
 63 method. For this purpose, we construct a concrete  $\varepsilon$ -scaled transformation  
 64 for the  $\varepsilon$ -scaled domains by means of a generic parametrisable cell trans-  
 65 formation. There, the radius of the solid obstacles becomes the parame-  
 66 ter. By showing a certain kind of strong convergence for the radii of the  $\varepsilon$ -  
 67 scaled model, we can verify the assumptions of the two-scale-transformation  
 68 method. Thus, we can pass rigorously to the two-scale limit in the substitute  
 69 problem. Moreover, using the two-scale-transformation rule of [14], we obtain  
 70 a two-scale limit problem in the actual non-cylindrical evolving two-scale do-  
 71 main, which is independent of the chosen transformation. There, we split the  
 72 macroscopic and microscopic variables in order to derive an effective equa-  
 73 tion. The result is a macroscopic reaction–diffusion problem coupled with an  
 74 internal variable, which represents the local radius of the solid. This local  
 75 radius is given by an ordinary differential equation and scales not only the  
 76 time-derivative term and the reaction rate of the reaction–diffusion equation  
 77 but also affects the effective diffusivity. The diffusivity is still computed by  
 78 solutions of cell problems as in the case of a rigid domain. However, the  
 79 domain for the cell problems is now parametrised by the internal radius and  
 80 affects in this way the local effective diffusivity. A similar macroscopic model  
 81 has very recently been derived in [20]. There the (slightly different) trans-  
 82 formed microscopic model is analysed by different methods and the strong  
 83 compactness results are derived by a different approach.

84 This paper is organised as follows: In section 2, we derive the microscopic  
 85 model (13)–(16), which consists of a reaction–diffusion problem coupled with  
 86 the evolution of the domain. Then, we state the corresponding weak formu-  
 87 lation in the evolving domain. Using a generic cell transformation, we trans-  
 88 form the weak form to the equivalent weak form (32)–(33), (44)–(46) on the  
 89 periodic substitute domain, which becomes highly non-linear. In section 3,  
 90 we show the existence and uniqueness of the solution of the transformed mi-  
 91 croscopic model by a fixed point argument. There, we utilise the assumption  
 92 that the radii, which define the solid domain, are a priori bounded from below  
 93 and above. Moreover, we derive some  $\varepsilon$ -independent a priori estimates. In  
 94 section 4, we use two-scale convergence in order to pass to the homogenisa-  
 95 tion limit. Since the coefficients in the equation depend on the solution itself,  
 96 the problem becomes highly non-linear and we need a strong convergence of  
 97 the solution. However, we can not derive easily a uniform bound of the time

98 derivative of the solution of the diffusion equation. Therefore, we can not  
99 use the classical Aubin–Lions lemma. Instead, we shift the solution of the  
100 reaction–diffusion equation with respect to time and estimate the difference  
101 to the actual solution. Then, we can conclude with the Simon-Kolmogorov  
102 compactness criterion (cf. [21, Theorem 1]) the strong convergence of the con-  
103 centration. Using this strong convergence, we can show a strong convergence  
104 of the radii, which allows us to apply the two-scale-transformation method.  
105 Thus, we can derive the two-scale limit problem in the cylindrical two-scale  
106 reference domain rigorously. In section 5, we transform the limit problem  
107 back and obtain the transformation-independent two-scale limit problem.  
108 Then, we split the macroscopic and microscopic variable. This gives the ef-  
109 fective problem (152), (153) with the effective diffusion coefficient (154) and  
110 the cell problems (155), which depend on an internal variable representing  
111 the local radius.

112 We use the following notations. Let  $f, g \in L^2(U)$  and  $U \subset \mathbb{R}^m$  for  
113  $m \in \mathbb{N}$ ,  $m > 0$ , then we write the scalar product and the norm by:  $(f, g)_U :=$   
114  $\int_U f(x)g(x)dx, \|f\|_U^2 := (f, f)_U$ . For  $f \in H^{-1}(U)'$  and  $g \in H^{-1}(U)$ , we write  
115 the dual pairing by  $\langle f, g \rangle_U := \langle f, g \rangle_{H^{-1}(U)', H^{-1}(U)}$ .

116 Furthermore, we use  $C$  as generic constant which is independent of  $\varepsilon$  and  
117 other variables and depends only on fixed constants. In cases, in which the  
118 generic constant can depend on other variables as for instance  $\varepsilon$ , we mark  
119 this by a subscript, e.g. we write  $C_\varepsilon$ . Moreover, let the spatial dimension be  
120  $N \in \mathbb{N}$  with  $N \geq 2$ .

## 121 2. The mathematical model

122 Let  $\Omega$  be an open set in  $\mathbb{R}^N$ , which represents the macroscopic domain  
123 of the porous medium and let  $\varepsilon = (\varepsilon_n)_{n \in \mathbb{N}}$  be a positive monotone sequence  
124 converging to zero with  $\varepsilon_0$  sufficiently small. We assume that  $\Omega$  consists of  
125 whole  $\varepsilon$ -scaled cells  $Y := (0, 1)^N$ , i.e.  $\Omega = \text{int} \left( \bigcup_{k \in I_\varepsilon} \varepsilon k + \varepsilon \overline{Y} \right)$  for  $I_\varepsilon := \{k \in$   
126  $\mathbb{Z}^N \mid (\varepsilon k + \varepsilon Y) \cap \Omega \neq \emptyset\}$ .

We assume that the pore structure of the porous medium is given by  
spherical obstacles in the cells  $\varepsilon k + \varepsilon Y$  for  $k \in I_\varepsilon$  which can grow and shrink  
on the time interval  $S = (0, T)$  with  $0 < T < \infty$ . Thus, the  $\varepsilon$ -scaled porous  
medium is defined by

$$\Omega_\varepsilon(t) := \Omega \setminus \bigcup_{k \in I_\varepsilon} \overline{\varepsilon B_{r_{\varepsilon, k}(t)}(k + x_M)} \quad (2)$$

127 where  $x_M := (0.5, \dots, 0.5)^\top$  is the centre of the reference cell and  $r_{\varepsilon,k}(t)$  is  
 128 the  $\varepsilon^{-1}$ -scaled radius of the solid obstacle in the cell located at  $\varepsilon k$  at time  
 $t \in S$  (cf. Figure 1).

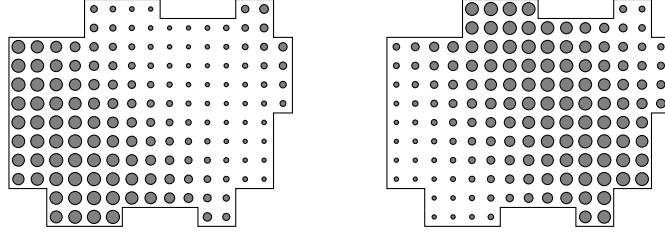


Figure 1: The domain  $\Omega_\varepsilon(t)$  for  $t=0$  (left) and  $t>0$  (right)

129

We assume that the size of the obstacles  $\varepsilon B_{r_{\varepsilon,k}(t)}(k+x_M)$  is affected by reactions on their surfaces  $\Gamma_{\varepsilon,k}(t) := \partial \varepsilon B_{r_{\varepsilon,k}(t)}(k+x_M)$ . The reactions rate  $\varepsilon f(u_\varepsilon(t, x), r_{\varepsilon,k}(t))$  depends on the concentration  $u_\varepsilon$  and on the radius  $r_{\varepsilon,k}$  of  $S_{\varepsilon,k}$ . Because the reaction rate depends on the radius, we can ensure  $r_{\min} \leq r_{\varepsilon,k}(t) \leq r_{\max}$  for every  $k \in I_\varepsilon$  and every  $t \in S$  for constants  $0 < r_{\min} < r_{\max} < 0.5$  by the assumptions:

$$f(\cdot, r) \geq 0 \text{ for } r \leq r_{\min}, \quad (3)$$

$$f(\cdot, r) \leq 0 \text{ for } r \geq r_{\max}. \quad (4)$$

Moreover, we assume that  $f$  is uniformly Lipschitz continuous and bounded, i.e. there exists a constant  $C$  such that

$$\begin{aligned} f(u_1, r_1) - f(u_2, r_2) &\leq C(|u_1 - u_2| + |r_1 - r_2|), \quad (5) \\ |f(u_1, r_1)| &\leq C_f \end{aligned} \quad (6)$$

130 for  $u_1, u_2 \in \mathbb{R}$  and  $r_1, r_2 \in \mathbb{R}$ .

We consider the case that the formed or vanishing solid has a constant concentration density  $c_s$ . Thus, the conservation of mass yields

$$\frac{d}{dt} |\varepsilon B_{r_{\varepsilon,k}(t)}(k+x_M)| c_s = \int_{\Gamma_{\varepsilon,k}(t)} j_\varepsilon(t, x) \cdot n(t, x) d\sigma_x \quad (7)$$

where  $j_\varepsilon(t, x)$  is the flux through  $\Gamma_{\varepsilon,k}(t)$  and  $n$  is the inner unit normal of  $\varepsilon B_{r_{\varepsilon,k}(t)}(k+x_M)$ . We note that this flux consists of the diffusive flux and a flux which is induced by the evolution of the domain. We model the

diffusive flux  $j_{D,\varepsilon} = -D\nabla u_\varepsilon(t, x)$  by Fick's law with a diffusion coefficient  $D$ . The second flux, which is induced by the evolution of the domain, can be understood in the following sense: when the carrier medium becomes solid any excess dissolved concentration separates from the carrier medium and is pushed away, i.e.  $j_{\Gamma_\varepsilon}(t, x) = -v_{\Gamma_{\varepsilon,k}(t,x)} u_\varepsilon(t, x)$ , where  $v_{\Gamma_{\varepsilon,k}}$  is the velocity of the boundary deformation. We note that  $v_{\Gamma_{\varepsilon,k}}$  can be formulated explicitly by  $v_{\Gamma_{\varepsilon,k}}(t, x) = -\varepsilon \partial_t r_{\varepsilon,k}(t) n(t, x)$ . Thus, the total flux on the boundary is

$$j_\varepsilon(t, x) = j_{D,\varepsilon}(t, x) + j_{\Gamma_\varepsilon}(t, x) = -D\nabla u_\varepsilon(t, x) - v_{\Gamma_{\varepsilon,k}}(t, x) u_\varepsilon(t, x) \quad (8)$$

for  $t \in S$  and  $x \in \Gamma_{\varepsilon,k}(t)$ . On the other hand, the flux at the boundary in the normal direction,  $j_\varepsilon(t, x) \cdot n(t, x)$ , represent the consumption or gain of concentration due to the reactions on  $\Gamma_{\varepsilon,k}(t)$ , which yields

$$(-D\nabla u_\varepsilon(t, x) - v_{\Gamma_{\varepsilon,k}}(t, x) u_\varepsilon(t, x)) \cdot n(t, x) = j_\varepsilon(t, x) \cdot n(t, x) = \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon,k}(t)) \quad (9)$$

and equivalently

$$-D\nabla u_\varepsilon(t, x) \cdot n(t, x) + \varepsilon \partial_t r_{\varepsilon,k}(t) u_\varepsilon(t, x) = \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon,k}(t)). \quad (10)$$

Inserting (9) in (7) yields

$$\frac{d}{dt} |\varepsilon B_{r_{\varepsilon,k}(t)}(k+x_M)|_{C_S} = \int_{\Gamma_{\varepsilon,k}(t)} \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon,k}(t)) d\sigma_x \quad (11)$$

and elementary calculus implies

$$\frac{d}{dt} |\varepsilon B_{r_{\varepsilon,k}(t)}(k+x_M)| = \varepsilon^{-N} \frac{d}{dt} V_N(r_{\varepsilon,k}(t)) = \varepsilon^{-N} S_{N-1}(r_{\varepsilon,k}(t)) \partial_t r_{\varepsilon,k}(t),$$

where  $V_N(r)$  denotes the volume of the  $N$ -ball with radius  $r$  and  $S_{N-1}(r)$  denotes the surface of the  $N$ -sphere with radius  $r$ . Thus, we obtain the following ordinary differential equation for the radii:

$$\partial_t r_{\varepsilon,k}(t) = \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_{\varepsilon,k}(t))} \int_{\Gamma_{\varepsilon,k}(t)} \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon,k}(t)) d\sigma_x. \quad (12)$$

Combining the diffusion equation with the boundary condition (10) and the evolution of the radii given by (12) yields the following strong formulation:

$$\partial_t u_\varepsilon(t, x) - \operatorname{div}(D \nabla u_\varepsilon(t, x)) = f^p(t, x) \quad \text{in } \bigcup_{t \in S} \{t\} \times \Omega_\varepsilon(t), \quad (13)$$

$$-D \nabla u_\varepsilon(t, x) \cdot n(t, x) + \varepsilon \partial_t r_{\varepsilon, k}(t) u_\varepsilon(t, x) = \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon, k}(t)) \quad \text{on } \bigcup_{t \in S} \{t\} \times \Gamma_{\varepsilon, k}(t), \quad (14)$$

$$-D \nabla u_\varepsilon(t, x) \cdot n(t, x) = 0 \quad \text{on } \partial \Omega, \quad (15)$$

$$\partial_t r_{\varepsilon, k}(t) = \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_{\varepsilon, k}(t))} \int_{\Gamma_{\varepsilon, k}(t)} \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon, k}(t)) d\sigma_x \quad \text{for } k \in I_\varepsilon \quad (16)$$

131 for  $\Omega_\varepsilon(t)$  given by (2),  $n(t, x)$  the unit outer normal of  $\Omega_\varepsilon(t)$  for every  
 132  $t \in S$  and initial conditions  $r_{\varepsilon, k}(0) = r_\varepsilon^{(0)} \in [r_{\min}, r_{\max}]^{|\mathcal{I}_\varepsilon|}$ ,  $u_\varepsilon(0, \cdot, x) = u_\varepsilon^{(0)} \in$   
 133  $L^2(\Omega_\varepsilon(0))$ .

134 We assume that  $f^p$  is Lipschitz continuous in every  $\varepsilon$ -scaled cell  $\varepsilon(k+Y)$   
 135 for every  $k \in I_\varepsilon$  and every  $n \in \mathbb{N}$ . Note that this does not necessarily  
 136 imply  $f^p \in C(\Omega)$ . We assume that there exists  $r^{(0)} \in L^2(\Omega)$  such that  
 137  $r_{\varepsilon, k_\varepsilon(\cdot, x)}^{(0)} \rightarrow r^{(0)}$  in  $L^2(\Omega)$ , where  $k_\varepsilon(x) \in I_\varepsilon$  is the index of the cell in which  $x$   
 138 is located. Moreover, we assume that there exists  $u_0^{(0)} \in L^2(\Omega)$  such that the  
 139 extension of  $u_\varepsilon^{(0)}$  by 0 to  $\Omega$  two-scale converges with respect to the  $L^2$ -norm to  
 140  $\chi_{Y_{r^{(0)}(\cdot, x)}}^*(\cdot, y) u_0^{(0)}(\cdot, x)$  for  $Y_r^* := Y \setminus \overline{B_r(x_M)}$  and we assume that  $\|u_\varepsilon^{(0)}\|_{L^\infty(\Omega_\varepsilon)} \leq$   
 141  $C$ .

### 142 2.1. Weak formulation

We multiply (13) by  $\varphi$  and integrate over  $\Omega_\varepsilon(t)$  and  $S$ . Then, we integrate the divergence term by parts and apply (14). Thus we obtain the boundary integral  $\int_S \int_{\Gamma_\varepsilon(t)} \varepsilon \partial_t r_{\varepsilon, k_\varepsilon(x)}(t) u_\varepsilon(t, x) - \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon, k_\varepsilon(x)}(t, x)) d\sigma_x dt$ . The integration by parts of  $\partial_t u_\varepsilon \varphi$  with respect to  $t$  cancels  $\int_S \int_{\Gamma_\varepsilon(t)} \varepsilon \partial_t r_{\varepsilon, k_\varepsilon(x)}(t) u_\varepsilon(t, x) d\sigma_x dt$  due to the time-dependent domain  $\Omega_\varepsilon(t)$  (cf. Reynold's transport theorem). Thus, we get (17). Furthermore, we multiply (16) by  $\phi$  and integrate over

Which gives (18). Altogether, we obtain the following weak form of (2), (13)–(16): Find  $(u_\varepsilon, r_\varepsilon) \in L^2(S; H^1(\Omega_\varepsilon(t))) \times W^{1,\infty}(S)^{|I_\varepsilon|}$  such that

$$\begin{aligned}
& - \int_S \int_{\Omega_\varepsilon(t)} u_\varepsilon(t, x) \partial_t \varphi(t, x) dx dt - \int_{\Omega_\varepsilon(0)} u_\varepsilon^{(0)}(x) \varphi(0, x) dx dt \\
& + \int_S \int_{\Omega_\varepsilon(t)} D \nabla u_\varepsilon(t, x) \cdot \nabla \varphi(t, x) dx dt = \int_S \int_{\Omega_\varepsilon(t)} f^P(t, x) \varphi(t, x) dx dt \\
& - \sum_{k \in I_\varepsilon} \int_S \int_{\Gamma_{\varepsilon, k}(t)} \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon, k}(t)) \varphi(t, x) d\sigma_x dt, \tag{17}
\end{aligned}$$

$$\int_S \partial_t r_{\varepsilon, k}(t) \phi(t) dt = \int_S \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_{\varepsilon, k}(t))} \int_{\Gamma_{\varepsilon, k}(t)} \varepsilon f(u_\varepsilon(t, x), r_{\varepsilon, k}(t)) d\sigma_x \phi(t) dt, \tag{18}$$

$$r_\varepsilon(0) = r_\varepsilon^{(0)} \tag{19}$$

143 for all  $\varphi \in C^1(\overline{\bigcup_{t \in S} \{t\} \times \Omega_\varepsilon(t)})$  with  $\varphi(T; \cdot) = 0$ , all  $k \in I_\varepsilon$ , all  $\phi \in L^1(S)^{|I_\varepsilon|}$   
144 and all  $t \in S$ . Note that  $r_\varepsilon \in W^{1,\infty}(S)^{|I_\varepsilon|} \subset C^{0,1}(S)^{|I_\varepsilon|}$ , which allows us to  
145 evaluate  $r_{\varepsilon, k}$  pointwise in time and ensures that  $\Omega_\varepsilon(t)$  is well defined for every  
146  $t \in S$ .

## 147 2.2. Transformation of the domain

148 We transform (17)–(19) from  $\bigcup_{t \in S} \{t\} \times \Omega_\varepsilon(t)$ , where  $\Omega_\varepsilon(t)$  is given by (2),  
149 on the in time cylindrical and in space periodic domain  $S \times \Omega_\varepsilon$  with  $\Omega_\varepsilon :=$   
150  $\Omega \setminus \bigcup_{k \in I_\varepsilon} \varepsilon \overline{B_{r_0}(k + x_M)}$  for fixed  $r_0$  with  $r_{\min} \leq r_0 \leq r_{\max}$ . Thus, we can show  
151 the existence and uniqueness of a solution of (17)–(19) and pass to the limit  
152  $\varepsilon \rightarrow 0$ . We define  $\Gamma_{\varepsilon, k} := \partial \varepsilon B_{r_0}(k + x_M)$  for  $k \in I_\varepsilon$  and  $\Gamma_\varepsilon := \bigcup_{k \in I_\varepsilon} \Gamma_{\varepsilon, k}$ .

153 Although the geometry of  $\Omega_\varepsilon(t)$  is already completely defined by its  
154 boundary, we need a transformation of the whole space and not only of the  
155 boundary by means of the radii, in order to apply the two-scale-transformation  
156 method. Since  $r_{\varepsilon, k} \leq r_{\max}$ , the solid obstacles remain inside their respective  
157 cells so that the transformation can be defined for each  $\varepsilon$ -scaled cell sepa-  
158 rately using a generic transformation defined on the reference cell.

### 159 2.2.1. Generic transformation of the reference cell

We define the pore space of the reference cell by  $Y^* := Y_{r_0}^*$  and the interface of the reference cell by  $\Gamma := \partial B_{r_0}(x_M)$ . We construct a generic cell



transformation  $\psi \in C^{-2}([r_{\min}, r_{\max}] \times \bar{Y})^N$ , such that

$$\psi(r_\Gamma, Y^*) = Y_{r_\Gamma}^* \quad \text{for } r_\Gamma \in [r_{\min}, r_{\max}], \quad (20)$$

$$\psi(r_\Gamma, y) = y \quad \text{for } (r_\Gamma, y) \in [r_{\min}, r_{\max}] \times (\overline{Y_{r_{\max}+\delta}^P} \cup B_{r_{\min}-\delta}(x_M)), \quad (21)$$

$$\|\psi\|_{C^2([r_{\min}, r_{\max}] \times \bar{Y})} \leq C, \quad (22)$$

$$y \mapsto \psi(r_\Gamma, y) \text{ is bijective from } \bar{Y} \text{ onto } \bar{Y}, \quad (23)$$

$$\det(D_y \psi(r_\Gamma, y)) \geq c_J > 0 \quad \text{for } (r_\Gamma, y) \in [r_{\min}, r_{\max}] \times \bar{Y} \quad (24)$$

160 for  $\delta$  small enough.

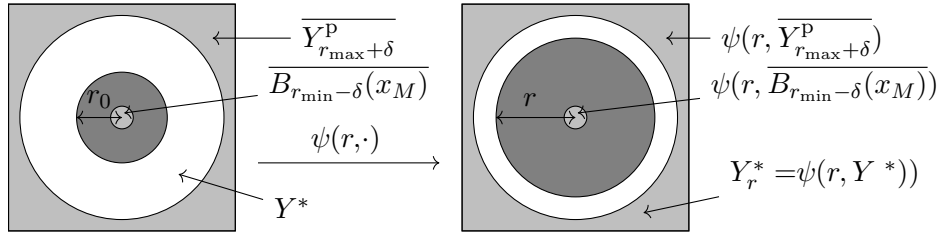


Figure 2: Generic cell transformation  $\psi(r, \cdot)$

Note that due to (21), we can glue such cell transformations  $\psi(r_\Gamma, \cdot)$  for different values of  $r_\Gamma$  next to each other. Such a generic cell transformation  $\psi$  can be easily constructed using the radial symmetry of the geometry in the reference cell. We define

$$\psi(r_\Gamma, y) := x_M + R(r_\Gamma, \|y - x_M\|) \frac{y - x_M}{\|y - x_M\|} \quad (25)$$

for a smooth function  $R \in C^\infty([r_{\min}, r_{\max}] \times [0, \infty))$ , which scales the distance of  $y$  to  $x_M$  and fulfils

$$R(r_\Gamma, r_0) = r_\Gamma \quad \text{for } r_\Gamma \in [r_{\min}, r_{\max}], \quad (26)$$

$$R(r_\Gamma, r) = r \quad \text{for } (r_\Gamma, r) \in [r_{\min}, r_{\max}] \times (\mathbb{R} \setminus [r_{\min} - \delta, r_{\max} + \delta]), \quad (27)$$

$$\|DR\|_{C^2([r_{\min}, r_{\max}] \times [0, \infty))} \leq C, \quad (28)$$

$$\partial_r R(r_\Gamma, r) \geq c > 0 \quad \text{for } (r_\Gamma, r) \in [r_{\min}, r_{\max}] \times [0, \infty). \quad (29)$$

Such a mapping  $R$  can be obtained by linear interpolation and smoothing

(cf. Figure 3). First we define

$$\check{R}(r_\Gamma, r) := \begin{cases} r & \text{for } r \leq r_{\min} - 2\tilde{\delta}, \\ c_1(r_\Gamma)(r - (r_{\min} - 2\tilde{\delta})) + r_{\min} - 2\tilde{\delta} & \text{for } r_{\min} - 2\tilde{\delta} \leq r \leq r_0 - \tilde{\delta}, \\ (r - r_0) + r_\Gamma & \text{for } r_0 - \tilde{\delta} \leq r \leq r_0 + \tilde{\delta}, \\ c_2(r_\Gamma)(r - (r_{\max} + 2\tilde{\delta})) + r_{\max} + 2\tilde{\delta} & \text{for } r_0 + \tilde{\delta} \leq r \leq r_{\max} + 2\tilde{\delta}, \\ r & \text{for } r \geq r_{\max} + 2\tilde{\delta} \end{cases} \quad (30)$$

161 for  $r_\Gamma \in [r_{\min}, r_{\max}]$  with  $c_1(r_\Gamma) := \frac{r_\Gamma - r_{\min} + \tilde{\delta}}{r_0 - r_{\min} + \tilde{\delta}}$  and  $c_2(r_\Gamma) := \frac{r_{\max} - r_\Gamma + \tilde{\delta}}{r_{\max} - r_0 + \tilde{\delta}}$  and  $\tilde{\delta} = \delta/3$ .

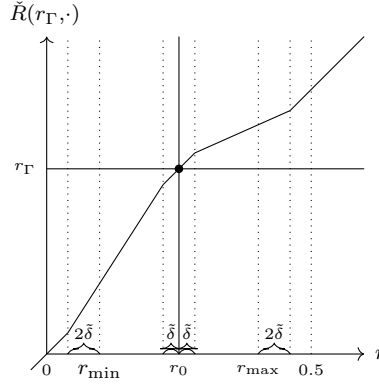


Figure 3: Construction of  $\check{R}$

162

Then, we define

$$R(r_\Gamma, r) := \int_{\mathbb{R}} \check{R}(r_\Gamma, s) \eta\left(\frac{r-s}{\tilde{\delta}}\right) ds \quad (31)$$

163 for  $\eta(x) := \left( \int_{\mathbb{R}} \exp\left(\frac{-1}{1-|y|^2}\right) dy \right)^{-1} \exp\left(\frac{-1}{1-|x|^2}\right)$ . It can be shown easily that  $R$   
 164 fulfils (26)–(29).

165 We define the corresponding displacement field by  $\check{\psi}(r_\Gamma, y) = \psi(r_\Gamma, y) - y$ .

### 166 2.2.2. $\varepsilon$ -scaling of the transformation

Scaling of  $\psi$  by  $\varepsilon$  and combining with the radii  $r_{\varepsilon, k}$  for each cell gives a transformation for the  $\varepsilon$ -scaled porous medium:

$$\psi_\varepsilon(t, x) := [x]_{\varepsilon, Y} + \varepsilon \psi(r_{\varepsilon, k_\varepsilon(x)}(t), \{x\}_{\varepsilon, Y}) \quad (32)$$

167 where  $[x]_{\varepsilon,Y} := \varepsilon \sum_{i=1}^N \lfloor \frac{x_i}{\varepsilon} \rfloor e_i$  is the position of the cell in which  $x$  is located  
 168 and  $\{x\}_{\varepsilon,Y} := \frac{1}{\varepsilon}(x - [x]_{\varepsilon,Y}(x))$  is the position inside the upscaled cell.  
 For the corresponding displacement field, we get

$$\begin{aligned} \check{\psi}_{\varepsilon}(t, x) &:= \psi_{\varepsilon}(t, x) - x = [x]_{\varepsilon,Y} + \varepsilon \psi(r_{\varepsilon, k_{\varepsilon}(x)}(t), \{x\}_{\varepsilon,Y}) - x \\ &= [x]_{\varepsilon,Y} + \varepsilon \check{\psi}(r_{\varepsilon, k_{\varepsilon}(x)}(t), \{x\}_{\varepsilon,Y}) + \varepsilon \{x\}_{\varepsilon,Y} - x = \varepsilon \check{\psi}(r_{\varepsilon, k_{\varepsilon}(x)}(t), \{x\}_{\varepsilon,Y}) \end{aligned}$$

We denote the Jacobian matrix of  $\psi_{\varepsilon}$  and its determinant by

$$\Psi_{\varepsilon}(t, x) := D_x \psi_{\varepsilon}(t, x), \quad J_{\varepsilon}(t, x) = \det(\Psi_{\varepsilon}(t, x)). \quad (33)$$

169 Moreover, we obtain the following uniform estimates for  $\psi_{\varepsilon}$ :

**Lemma 1 (Uniform boundedness of  $\psi_{\varepsilon}$ ).** *Let  $r_{\varepsilon} \in W^{1,\infty}(S)^{|I_{\varepsilon}|}$  with  $r_{\varepsilon}(t) \in [r_{\min}, r_{\max}]^{|I_{\varepsilon}|}$  for a.e.  $t \in S$  and let  $\psi_{\varepsilon}$  be defined by (32). Then,  $\psi_{\varepsilon} \in W^{1,\infty}(S; C^1(\overline{\Omega_{\varepsilon}})^N)$  and there exist constants  $C, c_{J, \alpha} > 0$  independent of  $\varepsilon$  such that*

$$\varepsilon^{-1} \|\psi_{\varepsilon} - \text{id}_{\Omega_{\varepsilon}}\|_{L^{\infty}(S \times \Omega_{\varepsilon})} + \|\Psi_{\varepsilon}\|_{L^{\infty}(S \times \Omega_{\varepsilon})} + \|J_{\varepsilon}\|_{L^{\infty}(S \times \Omega_{\varepsilon})} \leq C, \quad (34)$$

$$J_{\varepsilon}(t, x) \geq c_{J, \alpha}, \quad (35)$$

$$\varepsilon^{-1} \|\partial_t \psi_{\varepsilon}\|_{L^{\infty}(S; C(\overline{\Omega_{\varepsilon}}))} + \|\partial_t J_{\varepsilon}\|_{L^{\infty}(S; C(\overline{\Omega_{\varepsilon}}))} \leq \|\partial_t r_{\varepsilon, k_{\varepsilon}(\cdot)}\|_{L^{\infty}(S \times \Omega_{\varepsilon})}, \quad (36)$$

$$\|\Psi_{\varepsilon}^{-1}\|_{L^{\infty}(S \times \Omega_{\varepsilon})} \leq C, \quad (37)$$

$$\xi^{\top} J_{\varepsilon}(t, x) \Psi_{\varepsilon}^{-1}(t, x) \Psi_{\varepsilon}^{-\top}(t, x) \xi \geq \alpha \|\xi\|^2 \quad (38)$$

170 for a.e.  $(t, x) \in S \times \Omega_{\varepsilon}$  and every  $\xi \in \mathbb{R}^N$ .

171 **PROOF.** The estimates (34)–(35) are a direct consequence of (20)–(24) and  
 172 the cell-wise construction of  $\psi_{\varepsilon}$ . The estimate (37)–(38) follow from (34)–  
 173 (35) by simple computations. The estimate (36) follows with (20)–(24) and  
 174 the chain rule.

175 Furthermore, we obtain the following uniform Lipschitz estimates for  $\psi_{\varepsilon}$   
 176 with respect to the radii  $r_{\varepsilon}$ . Thereby, we abuse slightly the notation of  $r_{\varepsilon}$  by  
 177  $r_{\varepsilon}(t, x) := r_{\varepsilon, k_{\varepsilon}(x)}(t)$ . We will also use this notation in later proofs.

178 **Lemma 2 (Lipschitz regularity of  $\psi_{\varepsilon}$ ).** *Let  $p \in [1, \infty]$  and  $r_{\varepsilon, i} \in W^{1,p}(S)^{|I_{\varepsilon}|}$   
 179 with  $r_{\varepsilon, i}(t) \in [r_{\min}, r_{\max}]^{|I_{\varepsilon}|}$  for a.e.  $t \in S$  and  $i \in \{1, 2\}$ . Let  $\psi_{\varepsilon, i}$  be defined by*

180 (32) with  $r_{\varepsilon} = r_{\varepsilon,i}$  for  $i \in \{1, 2\}$ . Then, there exists a constant  $C$  independent  
 181 of  $\varepsilon$  such that

$$\varepsilon^{-1} \|\psi_{\varepsilon,1} - \psi_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)}, \quad (39)$$

$$\|\Psi_{\varepsilon,1} - \Psi_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)} + \|J_{\varepsilon,1} - J_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)}, \quad (40)$$

$$\|\Psi_{\varepsilon,1}^{-1} - \Psi_{\varepsilon,2}^{-1}\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)}, \quad (41)$$

$$\varepsilon^{-1} \|\partial_t(\psi_{\varepsilon,1} - \psi_{\varepsilon,2})\|_{L^p(S \times \Omega_\varepsilon)} + \|\partial_t(J_{\varepsilon,1} - J_{\varepsilon,2})\|_{L^p(S \times \Omega_\varepsilon)} \leq C \|\partial_t(r_{\varepsilon,2} - r_{\varepsilon,1})\|_{L^p(S \times \Omega_\varepsilon)}. \quad (42)$$

$$\|\nabla J_{\varepsilon,1} - \nabla J_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C \varepsilon^{-1} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty(S \times \Omega_\varepsilon)}. \quad (43)$$

182 PROOF. Lemma 2 can be proven by similar computations as in the proof of  
 183 Lemma 1.

184 2.3. Transformation of the weak form

Using the diffeomorphism  $\psi_\varepsilon$ , which is defined in (32), we define  $\hat{f}_\varepsilon^p(t, x) := f^p(t, \psi_\varepsilon(t, x))$  and note that Lemma 1 implies the uniform estimate for  $\hat{f}_\varepsilon^p$  by

$$\begin{aligned} \|\hat{f}_\varepsilon^p\|_{S \times \Omega_\varepsilon}^2 &= \int_{S \times \Omega_\varepsilon} f^p(t, \psi_\varepsilon(t, x))^2 dx dt = \int_S \int_{\Omega_\varepsilon(t)} J_\varepsilon^{-1}(t, \psi_\varepsilon^{-1}(t, x)) f^p(t, x)^2 dx dt \\ &\leq c J^{-1} \int_S \int_{\Omega_\varepsilon(t)} f^p(t, x)^2 dx dt \leq C \|f^p\|_{S \times \Omega}^2 \end{aligned}$$

185 We define  $A_\varepsilon := J_\varepsilon \Psi_\varepsilon^{-1} D \Psi_\varepsilon^{-\top}$  and  $B_\varepsilon := J_\varepsilon \Psi_\varepsilon^{-1} \partial_t \psi_\varepsilon$ . Then, we transform the  
 186 weak form (2), (17)–(19) into the following equivalent weak form:

Find  $(\hat{\mathbf{u}}, r_\varepsilon) \in L^2(S; H^1(\Omega_\varepsilon)) \times W^{1,\infty}(S)^{|I_\varepsilon|}$  such that  $\partial_t(J_\varepsilon u_\varepsilon) \in L^2(S; H^1(\Omega_\varepsilon)')$   
 and

$$\begin{aligned} &\int_S \langle \partial_t(J_\varepsilon(\tau) \hat{\mathbf{u}}(\tau)), \varphi(\tau) \rangle_{\Omega_\varepsilon} d\tau + (A_\varepsilon \nabla \hat{\mathbf{u}}, \nabla \varphi)_{S \times \Omega_\varepsilon} + (B_\varepsilon \hat{\mathbf{u}}, \nabla \varphi)_{S \times \Omega_\varepsilon} \\ &= (J_\varepsilon \hat{f}_\varepsilon^p, \varphi)_{S \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \frac{r_{\varepsilon,k}^{n-1}}{r_0^{n-1}} (\varepsilon f(\hat{\mathbf{u}}, r_{\varepsilon,k}), \varphi)_{S \times \Gamma_{\varepsilon,k}} \end{aligned} \quad (44)$$

$$\int_S \partial_t r_{\varepsilon,k}(t) \phi(t) dt = \int_S \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \int_{\Gamma_{\varepsilon,k}} \varepsilon f(\hat{\mathbf{u}}(t, x), r_{\varepsilon,k}(t)) d\sigma_x \phi(t) dt, \quad (45)$$

$$r_\varepsilon(0) = r_\varepsilon^{(0)}, \hat{\mathbf{u}}_\varepsilon(0) = \hat{\mathbf{u}}^{(0)} := u_\varepsilon^{(0)} \circ \psi_0^{-1}(0) \quad (46)$$

187 for  $\varphi \in L^2(S; H^1(\Omega_\varepsilon))$ , all  $k \in I_\varepsilon$  and all  $\phi \in L^1(S)^{|I_\varepsilon|}$ , where  $\psi_\varepsilon$  depends on  
 188  $r_\varepsilon$  and is defined by (32) and  $\Psi_\varepsilon, J_\varepsilon$  are defined by (33).

189 **Lemma 3.** *Let  $\psi_\varepsilon, \Psi_\varepsilon, J_\varepsilon$  be given by (32) and (33), respectively. Then,*  
 190  *$(u_\varepsilon, r_\varepsilon)$  is a solution of (2), (17)–(19) if and only if  $u_\varepsilon = u_\varepsilon(\cdot, t, \psi_\varepsilon(\cdot, t, \cdot, x))$  is a*  
 191 *solution of (32)–(33), (44)–(46).*

192 **PROOF.** The proof follows by a simple transformation and the density of  
 193  $C^1(S \times \Omega_\varepsilon) \subset L^2(S; H^1(\Omega_\varepsilon))$ .

### 194 3. Existence and uniform a priori estimates

195 For the existence proof, we combine a fixed-point argumentation with the  
 196 theory of monotone operators from [22].

197 **Definition 1 (Monotone operator).** Let  $V$  be a Banach space. A func-  
 198 tion  $\mathcal{A}: V \rightarrow V'$  is monotone if  $\langle \mathcal{A}(u) - \mathcal{A}(v), u - v \rangle_V \geq 0$  for every  $u, v \in V$ .

**Definition 2 (Family of regular operators).** Let  $W$  be a separable Hilbert  
 space. A family of operators  $\{B(t) | t \in \bar{S}\}$  with  $B(t) \in L(W, W')$  for each  
 $t \in \bar{S}$  and  $B(\cdot)u(v) \in L^\infty(S)$  for each pair  $u, v \in W$  is called *regular* if for  
 each pair  $u, v \in W$ , the function  $B(\cdot)u(v)$  is absolutely continuous on  $\bar{S}$  and  
 there is a  $K \in L^1(S)$  such that

$$|\frac{d}{dt} B(t)u(v)| \leq K(t) \|u\|_W \|v\|_W \quad (47)$$

199 for every  $u, v \in W$  and for a.e.  $t \in \bar{S}$ .

200 The monotone operator theory gives the following existence result for degen-  
 201 erate parabolic equations (cf. [22]).

202 **Theorem 4.** *Let  $V$  be a separable Hilbert space. Suppose that  $W$  is a Hilbert*  
 203 *space containing  $V$  with dense and continuous injection  $V \hookrightarrow W$ . Let  $\mathcal{V} :=$*   
 204  *$L^2(S; V)$  and  $\mathcal{W} := L^2(S; W)$ . We assume that for every  $t \in \bar{S}$  there are given*  
 205 *operators  $\mathcal{A}(t) \in L(V, V')$  and  $\mathcal{B}(t) \in L(W, W')$  such that  $\mathcal{A}(\cdot)u(v) \in L^\infty(S)$*   
 206 *for each pair  $u, v \in V$  and  $\mathcal{B}(\cdot)u(v) \in L^\infty(S)$  for each pair  $u, v \in W$ .*

*In addition, we assume that  $\{\mathcal{B}(t) | t \in \bar{S}\}$  is a regular family of self-  
 adjoint operators,  $\mathcal{B}(0)$  is monotone and there are numbers  $\lambda, c > 0$  such  
 that*

$$2\mathcal{A}(t)v(v) + \lambda \mathcal{B}(t)v(v) + \mathcal{B}'(t)v(v) \geq c \|v\|_V^2 \quad \text{for all } v \in V \text{ and all } t \in \bar{S}. \quad (48)$$

Then, for given  $u^{(0)} \in W$  and  $f \in L^2(0, T; V')$  there exists  $u \in \mathcal{V}$  such that

$$\frac{d}{dt}(\mathcal{B}(t)u(t)) + \mathcal{A}(t)u(t) = f(t) \text{ in } \mathcal{V}', \text{ with } (\mathcal{B}u)(0) = \mathcal{B}(0)u_0. \quad (49)$$

207 Combining Theorem 4 with a fixed point argument allows us to derive the  
 208 existence and uniqueness of the solution of the system (32)–(33), (44)–(46)  
 209 for  $\varepsilon$  small enough.

**Theorem 5.** *There exists a unique solution  $(\hat{u}_\varepsilon, r_\varepsilon) \in L^2(S; H^1(\Omega)) \times W^{1,\infty}(S)^{|I_\varepsilon|}$  with  $\partial_t(J_\varepsilon \hat{u}), \partial_t \hat{u} \in L^2(S; H^1(\Omega_\varepsilon)')$  of the system (32)–(33), (44)–(46) and thus  $\hat{u}_\varepsilon \in C^0(\bar{S}; L^2(\Omega_\varepsilon))$ . Moreover, the following uniform estimates hold*

$$\|\hat{u}\|_{C^0(\bar{S}; L^2(\Omega_\varepsilon))} + \|\nabla \hat{u}\|_{L^2(S \times \Omega_\varepsilon)} \leq C, \quad (50)$$

$$\|\hat{u}\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C, \quad (51)$$

$$r_{\varepsilon,k}(t) \in [r_{\min}, r_{\max}]^{|I_\varepsilon|} \text{ for every } t \in \bar{S} \text{ and every } k \in I_\varepsilon, \quad (52)$$

$$\|\partial_t r_{\varepsilon,k}\|_{L^\infty(S)} \leq C_f c_s^{-1} \text{ for every } k \in I_\varepsilon. \quad (53)$$

**PROOF.** In order to show the existence and uniqueness of the solution, we divide  $S$  into finitely many subintervals  $S_i := (t_i, t_{i+1})$  with  $0 = t_0 < t_1 < \dots < t_n = T$  for  $i \in \{0, \dots, N_\varepsilon\}$  and  $N_\varepsilon$  large enough. Then, we show iteratively that there exists a unique solution  $(\hat{u}|_{S_i}, r_\varepsilon|_{S_i}) \in L^2(S_i; H^1(\Omega_\varepsilon)) \times W^{1,\infty}(S_i)^{|I_\varepsilon|}$  with  $\partial_t(J_\varepsilon \hat{u}|_{S_i}), \partial_t \hat{u}|_{S_i} \in L^2(S_i; H^1(\Omega_\varepsilon)')$  such that

$$\begin{aligned} & \int_{t_i}^{t_{i+1}} \langle \partial_t(J_\varepsilon(\tau) \hat{u}|_{S_i}(\tau)), \varphi(\tau) \rangle_{\Omega_\varepsilon} d\tau + (A_\varepsilon \nabla \hat{u}|_{S_i}, \nabla \varphi)_{(t_i, t_{i+1}) \times \Omega_\varepsilon} + (B_\varepsilon \hat{u}|_{S_i}, \nabla \varphi)_{(t_i, t_{i+1}) \times \Omega_\varepsilon} \\ & = (J_\varepsilon \hat{u}|_{S_i}, \varphi)_{(t_i, t_{i+1}) \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,k}^{n-1}}{r_0^{n-1}} \varepsilon f(\hat{u}|_{S_i}, r_{\varepsilon,k}), \varphi \right)_{(t_i, t_{i+1}) \times \Gamma_{\varepsilon,k}} \end{aligned} \quad (54)$$

$$\int_{t_i}^{t_{i+1}} \partial_t r_{\varepsilon,k}(t) \phi(t) dt = \int_{t_i}^{t_{i+1}} \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \int_{\Gamma_{\varepsilon,k}} \varepsilon f(\hat{u}|_{S_i}(t, x), r_{\varepsilon,k}(t)) d\sigma_x \phi(t) dt, \quad (55)$$

210 holds for every  $(\varphi, \phi) \in L^2(S_i; H^1(\Omega_\varepsilon)) \times L^2(S_i)^{|I_\varepsilon|}$  and the initial condi-  
 211 tion  $(\hat{u}|_{S_i}(t_i), r_\varepsilon|_{S_i}(t_i)) = (\hat{u}^{(t_i)}, r_\varepsilon^{(t_i)})$  is fulfilled. For  $i \geq 1$ , the initial  
 212 values are defined by means of the solution on the previous time interval,

213 i.e.  $(\hat{\mathbf{u}}^{(t_i)}, r_\varepsilon^{(t_i)}) := (\hat{\mathbf{u}}|_{S_{i-1}}(t_i), r_\varepsilon|_{S_{i-1}}(t_i))$ . Then, we get the solution  $(\hat{\mathbf{u}}, r_\varepsilon)$   
 214 for the whole interval  $S$  by concatenating the solutions.

First, we choose  $t_1$  small enough such that we can apply Lemma 6. Then, we get a solution  $(\hat{\mathbf{u}}|_{S_0}, r_\varepsilon|_{S_0}) \in L^2(S_0; H^1(\Omega)) \times W^{1,\infty}(S_0)^{|I_\varepsilon|}$  with  $\partial_t \hat{\mathbf{u}}|_{S_0} \in L^2(S_0; H^1(\Omega_\varepsilon)')$ . Now, we proceed inductively. We assume that we have a unique solution  $(\hat{\mathbf{u}}|_{(0,t_i)}, r_\varepsilon|_{(0,t_i)})$  of (32)–(33), (44)–(46) for the time interval  $(0, t_i)$  instead of  $S$ . Then, we claim that there exists also an unique solution on the time interval  $(0, t_{i+1})$  where  $t_{i+1} - t_i \geq \sigma_\varepsilon > 0$  for a constant  $\sigma_\varepsilon$  which depends neither on the iteration number  $i$  nor on the exact time  $t_i$  as long as  $t_i \leq T$ . Hence, we obtain after finitely many steps a solution for the whole interval  $S$ . In order to show this uniform bound  $\sigma_\varepsilon$ , we use Lemma 6 and note that we have only to show that

$$\begin{aligned} r_\varepsilon|_{(0,t_i)}(t_i) &\in [r_{\min}, r_{\max}]^{|I_\varepsilon|}, \\ \|\hat{\mathbf{u}}|_{(0,t_i)}(t_i)\|_{\Omega_\varepsilon} &\leq K, \end{aligned} \quad (57)$$

215 for a constant  $K$  which is independent on the iteration number  $i$  and the  
 216 time  $t_i \leq T$ . Then, we can construct the solution on  $(t_i, t_{i+1})$  with Lemma  
 217 6 and can concatenate it with the solution on  $(0, t_i)$ . The estimate (56)  
 218 follows directly from Lemma 6 since  $r_\varepsilon|_{(0,t_i)}$  was constructed by Lemma 6.  
 219 The estimate (57) can be derived like the estimates (80)–(87) but applied on  
 220  $\hat{\mathbf{u}}|_{(0,t_i)}$ . The crucial point is that the constant in (87) does not depend on  
 221  $t$  as long as  $t \leq T$ . It depends only on the initial value. Since we do not  
 222 apply the estimates iteratively on the interval  $(t_l, t_{l+1})$  for  $l \in \{0, \dots, i-1\}$   
 223 but only once on the whole interval  $(0, t_i)$ , we do not have to take care if  
 224 the initial values multiply in a bad manner. However, we have to note that  
 225 these estimates only bound  $\|\hat{\mathbf{u}}_\varepsilon\|_{L^\infty((0,t_i); L^2(\Omega_\varepsilon))}$  uniformly. In order to get the  
 226 uniform bound not only for a.e.  $t \in (0, t_i)$  but also for  $t_i$ , we use the following  
 227 argument. Since  $\partial_t \hat{\mathbf{u}} \in L^2((0, t_i); H^1(\Omega_\varepsilon)')$ , the Lemma of Lions-Aubin gives  
 228  $\hat{\mathbf{u}} \in C(\overline{(0, t_i)}; L^2(\Omega_\varepsilon))$  and since  $\|\hat{\mathbf{u}}_\varepsilon\|_{L^\infty((0,t_i); L^2(\Omega_\varepsilon))} = \|\hat{\mathbf{u}}_\varepsilon\|_{C(\overline{(0,t_i)}; L^2(\Omega_\varepsilon))}$ , we  
 229 get the uniform bound for  $\|\hat{\mathbf{u}}|_{(0,t_i)}(t_i)\|_{\Omega_\varepsilon}$ .

230 Moreover, we note that the estimates (80)–(87) do not depend on  $\varepsilon$ . In  
 231 fact, an  $\varepsilon$ -dependency would not be a problem for the proof of the existence  
 232 and uniqueness of  $\hat{\mathbf{u}}$  on the whole time interval. However, due to their  
 233  $\varepsilon$ -independency, they give us immediately the uniform bound (50) since the  
 234 initial values  $\hat{\mathbf{u}}^{(0)}$  are uniformly bounded. Furthermore, (100) implies directly  
 235 (51).

236 **Lemma 6.** Let  $S_i = (t_i, t_{i+1})$  with  $0 \leq t_i < t_{i+1} \leq T$ . Let  $\hat{u}_\varepsilon \in L^\infty((0, t_i); L^2(\Omega_\varepsilon)) \cap$   
237  $L^2((0, t_i); H^1(\Omega_\varepsilon))$  with  $\partial_t \hat{\mathbf{u}} \in L^2((0, t_i); H^1(\Omega_\varepsilon)')$  be the solution of (44)–  
238 (46) on the time interval  $(0, t_i)$  for  $\varepsilon > 0$  and  $\hat{u}_\varepsilon^{(t_i)} := \hat{\mathbf{u}}(t_i)$ . Then, for  
239 every  $K > 0$ , there exists a constant  $\sigma_{\varepsilon, K} > 0$ , which depends only on  
240  $\varepsilon$  and  $K$ , such that (32)–(33), (54)–(55), has a unique solution  $(\hat{u}_\varepsilon, r_\varepsilon) \in$   
241  $L^2(S_i; H^1(\Omega_\varepsilon)) \times W^{1, \infty}(S)^{|I_\varepsilon|}$  with  $\partial_t (J_\varepsilon \hat{\mathbf{u}}), \partial_t \hat{\mathbf{u}} \in L^2(S; H^1(\Omega_\varepsilon)'), \hat{u}_\varepsilon(t_i) =$   
242  $\hat{\mathbf{u}}^{(t_i)}$  and  $r_\varepsilon(t_i) = r_\varepsilon^{(t_i)}$  for arbitrary  $\hat{\mathbf{u}}^{(t_i)} \in L^2(\Omega_\varepsilon)$  and  $r_\varepsilon^{(t_i)} \in [r_{\min}, r_{\max}]^{|I_\varepsilon|}$ ,  
243 if  $\left\| \hat{\mathbf{u}}^{(t_i)} \right\|_{\Omega_\varepsilon} \leq K$  and  $|S_i| \leq \max\{1, \sigma_{\varepsilon, K}\}$ . Moreover,  $r_\varepsilon(t) \in [r_{\min}, r_{\max}]^{|I_\varepsilon|}$ ,  
244  $|\partial_t r_\varepsilon(t)| \leq C_f c_s^{-1}$  for a.e.  $t \in S_i$  and  $\|\hat{u}_\varepsilon\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C$ .

PROOF. We show the existence and uniqueness by means of a fixed-point argument for  $\hat{\mathbf{u}} \in L^2(S_i; H^1(\Omega_\varepsilon))$  with the fixed-point operator  $L_\varepsilon : L^2(S_i; H^1(\Omega_\varepsilon)) \rightarrow L^2(S_i; H^1(\Omega_\varepsilon))$ . First,  $L_\varepsilon$  inserts a given function  $\zeta$  into the right-hand side of (54)–(55), which yields

$$\int_{t_i}^{t_{i+1}} \langle \partial_t (J_\varepsilon(t) \hat{\mathbf{u}}(t)), \varphi(t) \rangle_{\Omega_\varepsilon} dt + (A_\varepsilon \nabla \hat{\mathbf{u}}, \nabla \varphi)_{(t_i, t_{i+1}) \times \Omega_\varepsilon} + (B_\varepsilon \hat{\mathbf{u}}, \nabla \varphi)_{(t_i, t_{i+1}) \times \Omega_\varepsilon}$$

$$= (J_\varepsilon \hat{f}_\varepsilon^p, \varphi)_{(t_i, t_{i+1}) \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon, k}^{n-1}}{r_0^{n-1}} \varepsilon f(\zeta, r_{\varepsilon, k}), \varphi \right)_{(t_i, t_{i+1}) \times \Gamma_{\varepsilon, k}}, \quad (58)$$

$$\int_{t_i}^{t_{i+1}} \partial_t r_{\varepsilon, k}(t) \phi(t) dt = \int_{t_i}^{t_{i+1}} \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \int_{\Gamma_{\varepsilon, k}} \varepsilon f(\zeta(t, x), r_{\varepsilon, k}(t)) d\sigma_x \phi(t) dt. \quad (59)$$

245 Then, it solves (59) for  $r_\varepsilon$ . This  $r_\varepsilon$  gives  $\psi_\varepsilon, \Psi_\varepsilon, J_\varepsilon$  via (32)–(33) for (58).  
246 Then,  $L_\varepsilon(\zeta) := \hat{\mathbf{u}}$  where  $\hat{\mathbf{u}}$  is the solution of (58).

247 In order to show that  $L_\varepsilon$  is well defined and is a contraction, we rewrite  
248  $L_\varepsilon(\hat{\mathbf{u}})$  by means of the following both operators. Let  $V_{r, \varepsilon}(S_i) := \{r \in$   
249  $W^{1, 2}(S_i)^{|I_\varepsilon|} \mid r(t) \in [r_{\min}, r_{\max}]^{|I_\varepsilon|} \text{ and } |\partial_t r(t)| \leq C_f c_s^{-1} \text{ for a.e. } t \in S_i\}$  We  
250 define  $L_{\varepsilon, 1} : L^2(S_i; H^1(\Omega_\varepsilon)) \rightarrow V_{r, \varepsilon}(S_i)$  as the solution operator of (59),  
251 i.e.  $L_{\varepsilon, 1}(\zeta) := r_\varepsilon$ , where  $r_\varepsilon \in V_{r, \varepsilon}(S_i)$  is the solution of (58) for every  $k \in I_\varepsilon$   
252 and every  $\phi \in L^2(S_i)$  with initial condition  $r_\varepsilon(t_i) = r_\varepsilon^{(t_i)}$ . Moreover, we define  
253  $L_{\varepsilon, 2} : L^2(S_i; H^1(\Omega_\varepsilon)) \times V_{r, \varepsilon}(S_i) \rightarrow L^2(S_i; H^1(\Omega_\varepsilon))$  by  $L_{\varepsilon, 2}(\zeta_\varepsilon, r_\varepsilon) := \hat{\mathbf{u}}$  where  
254  $\hat{\mathbf{u}}$  is the solution of (58) for every  $\varphi \in L^2(S; H^1(\Omega_\varepsilon))$  with initial condition  
255  $\hat{\mathbf{u}}(t_i) = \hat{\mathbf{u}}^{(t_i)}$ . Hence, we get  $L_\varepsilon(\zeta) = L_{\varepsilon, 2}(\zeta, L_{\varepsilon, 1}(\zeta))$ .

256 Note, that  $\hat{\mathbf{u}}$  is a fixed point of  $L_\varepsilon$  with  $\partial_t (J_\varepsilon \hat{\mathbf{u}}), \partial_t \hat{\mathbf{u}} \in L^2(S; H^1(\Omega_\varepsilon)'),$   
257 and  $r_\varepsilon = L_{\varepsilon, 1} \hat{\mathbf{u}}$  with  $\partial_t r_\varepsilon \in L^\infty(S_i)^{|I_\varepsilon|}$  if and only if  $(\hat{\mathbf{u}}, r_\varepsilon)$  solves (54)–(55).



258 Hence, it is sufficient to show, that  $L_\varepsilon$  has a unique fixed point. First,  
 259 we show, that  $L_{\varepsilon,1}$  is well defined and Lipschitz continuous. Then, we do the  
 260 same for  $L_{\varepsilon,2}$ . Thereby, we show that the Lipschitz constants of  $L_{\varepsilon,1}$  and  $L_{\varepsilon,2}$   
 261 tend to zero for  $|S_i| \rightarrow 0$ . Thus, we obtain that  $L_\varepsilon$  is a contraction for  $|S_i|$   
 262 small enough and the contraction theorem gives the existence and uniqueness  
 263 of a fixed point of  $L_\varepsilon$ .

264 •  $L_{\varepsilon,1}$  is well defined. Since  $(t, r) \mapsto \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \int_{\Gamma_{\varepsilon,k}} \varepsilon f(\zeta(t, x), r) d\sigma_x$  is glob-  
 265 ally Lipschitz continuous with respect to  $r$  and measurable with respect to  $t$   
 266 if  $\zeta \in L^2(S; H^1(\Omega_\varepsilon))$ , Carathéodory's existence theorem yields the existence  
 267 and uniqueness of a solution  $r_\varepsilon \in W^{1,1}(S_i)^{|I_\varepsilon|}$  of (55). Moreover, the Ass-  
 268 umption (3)–(4) ensure that  $r_\varepsilon(t) \in [r_{\min}, r_{\max}]^{|I_\varepsilon|}$  for a.e.  $t \in S_i$  and (6)  
 269 that  $\left| \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \int_{\Gamma_{\varepsilon,k}} \varepsilon f(\zeta(t, x), r) d\sigma_x \right| \leq C_f c_s^{-1}$ . Thus  $L_{\varepsilon,1}$  is well defined with  
 270  $L_{\varepsilon,1}(\zeta) = r_\varepsilon \in V_{r,\varepsilon}(S_i)$ .

• *Lipschitz estimate of  $L_{\varepsilon,1}$ .* Let  $\zeta_1, \zeta_2 \in L^2(S; H^1(\Omega_\varepsilon))$ . We define  $r_{\varepsilon,i} :=$   
 $L_{\varepsilon,1}(\zeta_i)$  for  $i \in \{1, 2\}$  and test (59) for  $\zeta = \zeta_i$  for  $i \in \{1, 2\}$  with  $\chi_{(t_i, t)}(r_{\varepsilon,1,k} -$   
 $r_{\varepsilon,2,k})$  for  $t \in (t_i, t_{i+1})$ . We subtract both equations. Then, we obtain with the  
 Lipschitz condition (5) of  $f$ , the Young and the Cauchy–Schwarz inequalities

$$\begin{aligned} & \frac{1}{2} |r_{\varepsilon,1,k}(t) - r_{\varepsilon,2,k}(t)|^2 = (\partial_t(r_{\varepsilon,1,k} - r_{\varepsilon,2,k}), r_{\varepsilon,1,k} - r_{\varepsilon,2,k})_{(t_i, t)} \\ & = \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \varepsilon (f(\zeta_1, r_{\varepsilon,1,k}) - f(\zeta_2, r_{\varepsilon,2,k}), r_{\varepsilon,1,k} - r_{\varepsilon,2,k})_{(t_i, t) \times \Gamma_{\varepsilon,k}} \\ & \leq C \varepsilon^{-N+1} \int_{(t_i, t) \times \Gamma_{\varepsilon,k}} C_{L_f} (|\zeta_1(\tau, x) - \zeta_2(\tau, x)| + |r_{\varepsilon,1,k}(\tau) - r_{\varepsilon,2,k}(\tau)|) (r_{\varepsilon,1,k}(\tau) - r_{\varepsilon,2,k}(\tau)) d\sigma_x dt \\ & \leq C \varepsilon^{-N+1} \|\zeta_1 - \zeta_2\|_{(t_i, t) \times \Gamma_{\varepsilon,k}}^2 + C \|r_{\varepsilon,1,k} - r_{\varepsilon,2,k}\|_{(t_i, t)}^2 \end{aligned}$$

After collecting all the constants and applying Gronwall's inequality, we get

$$|r_{\varepsilon,1,k}(t) - r_{\varepsilon,2,k}(t)|^2 \leq C \varepsilon^{-N+1} \|\zeta_1 - \zeta_2\|_{S_i \times \Gamma_\varepsilon}^2 \quad (60)$$

for every  $t \in S_i$ , which implies with the  $\varepsilon$ -scaled trace inequality

$$\|r_{\varepsilon,1,k} - r_{\varepsilon,2,k}\|_{L^\infty((t_i, t))}^2 \leq C \varepsilon^{-N} \|\zeta_1 - \zeta_2\|_{S_i \times (\varepsilon k + \varepsilon Y^*)}^2 + C \varepsilon^{-N+2} \|\nabla(\zeta_1 - \zeta_2)\|_{S_i \times (\varepsilon k + \varepsilon Y^*)}^2 \quad (61)$$

After multiplication by  $\varepsilon^{-N}$  and summing over  $k \in I_\varepsilon$ , we get

$$\begin{aligned} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i, t); L^2(\Omega_\varepsilon))}^2 &= C \varepsilon^{-N} \sum_{k \in I_\varepsilon} \|r_{\varepsilon,1,k} - r_{\varepsilon,2,k}\|_{L^\infty((t_i, t))}^2 \\ &\leq C \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2. \end{aligned} \quad (62)$$

Moreover, (61) gives an estimate of  $r_{\varepsilon,1} - r_{\varepsilon,2}$  in the  $L^\infty$ -norm with respect to space, but at the cost of an  $\varepsilon$ -dependency in the constant:

$$\|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)} \leq C_\varepsilon \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}. \quad (63)$$

Then, we test (59) for  $\zeta = \zeta_i$  for  $i \in \{1,2\}$  with  $\partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k}$  and use again the Lipschitz condition (5):

$$\begin{aligned} & \|\partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k}\|_{S_i}^2 \\ &= \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \varepsilon (f(\zeta_1, r_{\varepsilon,1,k}) - f(\zeta_2, r_{\varepsilon,2,k}), \partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k})_{S_i \times \Gamma_{\varepsilon,k}} \\ &\leq \varepsilon^{-N+1} C (\|\zeta_1 - \zeta_2\|_{S_i \times \Gamma_{\varepsilon,k}} + \varepsilon^{(N-1)/2} \|r_{\varepsilon,1,k} - r_{\varepsilon,2,k}\|_{S_i}) \varepsilon^{(N-1)/2} \|\partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k}\|_{S_i} \\ &\leq C (\varepsilon^{-(N+1)/2} \|\zeta_1 - \zeta_2\|_{S_i \times \Gamma_{\varepsilon,k}} + C \|r_{\varepsilon,1,k} - r_{\varepsilon,2,k}\|_{S_i}) \|\partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k}\|_{S_i}. \end{aligned} \quad (64)$$

Inserting (60) in (64) and employing the continuity of the trace operator for  $\Gamma_{\varepsilon,k}$  yields

$$\begin{aligned} \varepsilon^{N/2} \|\partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k}\|_{S_i} &\leq C \varepsilon^{1/2} \|\zeta_1 - \zeta_2\|_{S_i \times \Gamma_{\varepsilon,k}} + \varepsilon^{N/2} C \|r_{\varepsilon,1,k} - r_{\varepsilon,2,k}\|_{S_i} \\ &\leq C (1 + \sqrt{|S_i|}) \varepsilon^{1/2} \|\zeta_1 - \zeta_2\|_{S_i \times \Gamma_{\varepsilon,k}} \leq C \varepsilon^{1/2} \|\zeta_1 - \zeta_2\|_{S_i \times \Gamma_{\varepsilon,k}}. \end{aligned} \quad (65)$$

After summing over  $k \in I_\varepsilon$  and applying the  $\varepsilon$ -scaled trace inequality, we get

$$\begin{aligned} \|\partial_t r_{\varepsilon,1} - \partial_t r_{\varepsilon,2}\|_{S_i \times \Omega_\varepsilon}^2 &= \varepsilon^N C \sum_{k \in I_\varepsilon} \|\partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k}\|_{S_i}^2 \\ &\leq \varepsilon C \|\zeta_1 - \zeta_2\|_{S_i \times \Gamma_{\varepsilon,k}}^2 \leq C \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2. \end{aligned} \quad (66)$$

Furthermore, we can conclude with the fundamental theorem of calculus and the Hölder inequality for every  $t \in S_i$ :

$$\begin{aligned} |r_{\varepsilon,1,k}(t) - r_{\varepsilon,2,k}(t)| &= \int_{t_i}^t \partial_t (r_{\varepsilon,1,k} - r_{\varepsilon,2,k})(\tau) d\tau \leq \|1\|_{S_i} \|\partial_t r_{\varepsilon,1,k} - \partial_t r_{\varepsilon,2,k}\|_{S_i} \\ &\leq \sqrt{|S_i|} C_\varepsilon \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}. \end{aligned}$$

Thus,

$$\|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty(S_i \times \Omega_\varepsilon)} \leq \sqrt{|S_i|} C_\varepsilon \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}. \quad (67)$$

Moreover, (65) gives with the trace inequality

$$\|\partial_t r_{\varepsilon,1} - \partial_t r_{\varepsilon,2}\|_{L^\infty(\Omega_\varepsilon; L^2(S_i))} \leq C_\varepsilon \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}. \quad (68)$$

271 •  $L_{\varepsilon,2}$  is well defined. First, we show the existence of a solution  $\hat{u}_\varepsilon \in L^2(S_i; H^1(\Omega_\varepsilon))$   
 272 with  $\partial_t(J_\varepsilon \hat{u}) \in L^2(S_i; H^1(\Omega_\varepsilon)')$  of (58) using Theorem 4. With the regular-  
 273 ity of  $J_\varepsilon$ , we can conclude  $\partial_t \hat{u} \in L^2(S_i; H^1(\Omega_\varepsilon)')$ . Afterwards we test (58)  
 274 with  $\hat{u}$ , which shows the uniqueness of the solution of (58) and thus that  
 275  $\hat{u} = L_{\varepsilon,2}(\zeta, r_\varepsilon)$  is well defined for every  $\zeta \in L^2(S_i; H^1(\Omega_\varepsilon))$ .

Using the setting of Theorem 4, we set  $V = H^{-1}(\Omega_\varepsilon)$  and  $W = L^2(\Omega_\varepsilon)$ . Let  $\psi_\varepsilon, \Psi_\varepsilon$  and  $J_\varepsilon$  be given by (32)–(33). For each  $t \in [t_i, t_{i+1}]$  and  $u, v \in V$ , we define  $\mathcal{A}_\varepsilon(t) : V \rightarrow V'$  by  $(\mathcal{A}_\varepsilon(t)u)(v) := (A_\varepsilon(t)\nabla u, \nabla v)_{\Omega_\varepsilon} + (B_\varepsilon(t)u, \nabla v)_{\Omega_\varepsilon}$ . For each  $t \in [t_i, t_{i+1}]$  and  $u, v \in W$ , we define  $\mathcal{B}_\varepsilon(t) : W \rightarrow W'$  by  $(\mathcal{B}_\varepsilon(t)u)(v) := (J_\varepsilon(t)u, v)_{\Omega_\varepsilon}$ . For  $\zeta, v \in \mathcal{V}$ , we define  $f_\varepsilon(\zeta; \cdot) : \mathcal{V} \rightarrow \mathbb{R}$  by

$$f_\varepsilon(\zeta; v) := (J_\varepsilon \hat{f}_\varepsilon^p, v)_{S_i \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \left( \frac{r_\varepsilon^{n-1}}{r_0^{n-1}} \varepsilon f(\zeta, r_{\varepsilon,k}), v \right)_{S_i \times \Gamma_{\varepsilon,k}}.$$

In order to apply Theorem 4, we verify its assumption in the following. The Lipschitz regularity of  $f$  and the continuous embedding  $H^{-1}(\Omega_\varepsilon) \hookrightarrow L^2(\Gamma_{\varepsilon,k})$  ensure that  $f_\varepsilon(\zeta; \cdot) \in \mathcal{V}'$  for every  $\zeta \in L^2(S; H^1(\Omega_\varepsilon))$ . Moreover, it is clear that  $\mathcal{A}_\varepsilon(t) \in L(V, V')$ ,  $\mathcal{B}_\varepsilon(t) \in L(W, W')$  for every  $t \in [t_i, t_{i+1}]$ . Since  $r_\varepsilon \in V_{r,\varepsilon}(S_i)$ , we can conclude with Lemma 1 that  $\mathcal{A}_\varepsilon(\cdot)u(v) \in L^\infty(S)$  for every pair  $u, v \in V$  and  $\mathcal{B}_\varepsilon(\cdot)u(v) \in L^\infty(S)$  for every pair  $u, v \in W$ . Furthermore, it is clear that  $\{\mathcal{B}_\varepsilon(t) | t \in [t_i, t_{i+1}]\}$  is a family of self-adjoint operators. From Lemma 1, we get the time regularity of  $J_\varepsilon$  which can be transferred on  $\mathcal{B}_\varepsilon$  so that  $\{\mathcal{B}_\varepsilon(t) | t \in [t_i, t_{i+1}]\}$  is a family of regular operators. Using the uniform boundedness of  $J_\varepsilon$  from below given by Lemma 1, we get that  $\mathcal{B}(0)$  is monotone. It remains to show the estimate (48). Using the coercivity of  $J_\varepsilon \Psi_\varepsilon^{-1} \Psi_\varepsilon^{-\top}$  given by Lemma 1, we obtain for every  $v \in H^{-1}(\Omega_\varepsilon)$  and every  $t \in \bar{S}$

$$(A_\varepsilon(t)\nabla v, \nabla v)_{\Omega_\varepsilon} \geq \alpha \|\nabla v\|_{\Omega_\varepsilon}^2. \quad (69)$$

Using the estimates on  $\Psi_\varepsilon, J_\varepsilon$  and  $\partial_t \psi_\varepsilon$  of Lemma 1 as well as the Hölder and Young inequalities, we get for every  $\delta > 0$  a constant  $C_\delta$  such that for every  $v \in H^{-1}(\Omega_\varepsilon)$  and every  $t \in \bar{S}$

$$-(B_\varepsilon(t)v, \nabla v)_{\Omega_\varepsilon} \leq C \|v\|_{\Omega_\varepsilon} \|\nabla v\|_{\Omega_\varepsilon} \leq C_\delta \|v\|_{\Omega_\varepsilon}^2 + \delta \|\nabla v\|_{\Omega_\varepsilon}^2 \quad (70)$$

Combing (69)–(70) with the definition of  $\mathcal{A}_\varepsilon(t)$  yields for  $\delta = \alpha/2$

$$\mathcal{A}_\varepsilon(t)v(v) = (A_\varepsilon(t)\nabla v, \nabla v)_{\Omega_\varepsilon} + (B_\varepsilon(t)v, \nabla v)_{\Omega_\varepsilon} \geq \alpha/2 \|\nabla v\|_{\Omega_\varepsilon}^2 - C_{\alpha/2} \|v\|_{\Omega_\varepsilon}^2 \quad (71)$$

The estimate on  $J_\varepsilon$  from below implies

$$\mathcal{B}_\varepsilon(t)v(v) \geq c_J \|v\|_{\Omega_\varepsilon}^2 \quad (72)$$

and the boundedness of  $\|\partial_t r_\varepsilon\|_{L^\infty(S_i)} \leq C$  together with Lemma 1 gives

$$-\mathcal{B}'(t)v(v) = (\partial_t J_\varepsilon(t)v, v)_{\Omega_\varepsilon} \leq C \|v\|_{\Omega_\varepsilon}^2 \quad (73)$$

Thus, we get

$$\lambda \mathcal{B}_\varepsilon(t)v(v) + \mathcal{B}'(t)v(v) \geq (\lambda c_J - C) \|v\|_{\Omega_\varepsilon}^2. \quad (74)$$

276 Combining (71)–(74) for  $\lambda = (\alpha/2 + C - C_{\alpha/2})/c_J$  gives (48). Thus, we have  
 277 shown that all prerequisites of Theorem 4 are fulfilled and we get a solution  
 278  $\hat{\mathbf{u}} \in L^2(S_i; H^1(\Omega_\varepsilon))$  with  $\partial_t(J_\varepsilon \hat{\mathbf{u}}) \in L^2(S_i; H^1(\Omega_\varepsilon)')$ . Then, the regularity of  
 279  $J_\varepsilon$  implies that  $\partial_t \hat{\mathbf{u}} = \langle \partial_t(J_\varepsilon \hat{\mathbf{u}}), J_\varepsilon^{-1} \cdot \rangle_{\Omega_\varepsilon} - (J_\varepsilon^{-1} \partial_t J_\varepsilon \hat{\mathbf{u}}, \cdot)_{\Omega_\varepsilon} \in L^2(S_i; H^1(\Omega_\varepsilon)')$ .

In order to show that  $L_{\varepsilon,2}$  is well defined, it remains to show the uniqueness of the solution of (58). Due to the linearity of the equation (58), it is sufficient to show that  $\hat{\mathbf{u}} = 0$ , if  $\hat{\mathbf{u}}^{(t_i)} = 0$ ,  $\hat{f}_\varepsilon^p = 0$  and  $f = 0$ . Therefore, we test (58) with the solution  $\chi_{(t_i,t)} \hat{\mathbf{u}}$  for  $t \in S_i$ , which yields

$$\int_{t_i}^t \langle \partial_t(J_\varepsilon(\tau) \hat{\mathbf{u}}(\tau)), \hat{\mathbf{u}}_\varepsilon(\tau) \rangle_{\Omega_\varepsilon} d\tau + (A_\varepsilon \nabla \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} + (B_\varepsilon \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} = 0, \quad (75)$$

We note that the left-hand side of (75) can be rewritten to

$$\int_{t_i}^t \langle \partial_t(J_\varepsilon(\tau) \hat{\mathbf{u}}(\tau)), \hat{\mathbf{u}}_\varepsilon(\tau) \rangle_{\Omega_\varepsilon} d\tau = \frac{1}{2} \left\| \sqrt{J_\varepsilon(t)} \hat{\mathbf{u}}(t) \right\|_{\Omega_\varepsilon}^2 + \frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{u}}, \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon}, \quad (76)$$

thus (75) becomes

$$\begin{aligned} & \frac{1}{2} \left\| \sqrt{J_\varepsilon(t)} \hat{\mathbf{u}}(t) \right\|_{\Omega_\varepsilon}^2 + (A_\varepsilon \nabla \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} \\ &= - (B_\varepsilon \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} - \frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{u}}, \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon}. \end{aligned} \quad (77)$$

Using the uniform boundedness from below of  $J_\varepsilon$  and the coercivity of  $A_\varepsilon$  given by Lemma 1, we can estimate the left-hand side of (77) by

$$\frac{1}{2}c_J \|\hat{\mathbf{y}}(t)\|_{\Omega_\varepsilon}^2 + \alpha \|\nabla \hat{u}_\varepsilon\|_{(t_i,t) \times \Omega_\varepsilon}^2 \leq \frac{1}{2} \left\| \sqrt{J_\varepsilon(t)} \hat{\mathbf{y}}(t) \right\|_{\Omega_\varepsilon}^2 + (A_\varepsilon \nabla \hat{\mathbf{y}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon}. \quad (78)$$

The right-hand side of (77) can be estimated with the Cauchy–Schwarz and Young inequalities for arbitrary  $\delta > 0$  and a constant  $C_\delta$  by

$$\begin{aligned} & -(B_\varepsilon \hat{\mathbf{y}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} - \frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{y}}, \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} \\ & \leq \delta \|\nabla \hat{u}_\varepsilon\|_{(t_i,t) \times \Omega_\varepsilon}^2 + C_\delta \|\hat{\mathbf{y}}\|_{(t_i,t) \times \Omega_\varepsilon}^2 + C \|\hat{u}_\varepsilon\|_{(t_i,t) \times \Omega_\varepsilon}^2 \end{aligned} \quad (79)$$

After combining (77)–(79) and collecting all the constants, we get for  $\delta = \alpha/2$

$$\frac{1}{2}c_J \|\hat{u}_\varepsilon(t)\|_{\Omega_\varepsilon}^2 + (\alpha - \alpha/2) \|\nabla \hat{u}_\varepsilon\|_{(t_i,t) \times \Omega_\varepsilon}^2 \leq (C_{\alpha/2} + C) \|\hat{u}_\varepsilon\|_{(t_i,t) \times \Omega_\varepsilon}^2$$

280 Then, Gronwall’s inequality shows  $\hat{\mathbf{y}} = 0$  which gives the uniqueness of  $\hat{\mathbf{u}}$   
281 and thus  $L_{\varepsilon,2}$  is well defined.

• *Uniform bound of  $L_{\varepsilon,2}(\zeta, r_\varepsilon)$ .* In order to derive a uniform bound for  $\hat{u}_\varepsilon$ , we test (58) with  $\chi_{(t_i,t)} \hat{\mathbf{y}}$  for a.e.  $t \in S_i$ , which gives

$$\begin{aligned} & \int_{t_i}^t \langle \partial_t (J_\varepsilon(\tau) \hat{\mathbf{y}}(\tau)), \hat{u}_\varepsilon(\tau) \rangle_{\Omega_\varepsilon} d\tau + (A_\varepsilon \nabla \hat{\mathbf{y}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} + (B_\varepsilon \hat{\mathbf{y}}, \nabla \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} \\ & = (J_\varepsilon \hat{f}_\varepsilon^P, \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,k}^{n-1}}{r_0^{n-1}} \varepsilon f_\varepsilon(\zeta, r_{\varepsilon,k}), \hat{\mathbf{u}} \right)_{(t_i,t) \times \Gamma_{\varepsilon,k}}. \end{aligned} \quad (80)$$

We rewrite the first term of (80), similar to (76), by

$$\begin{aligned} & \int_{t_i}^t \langle \partial_t (J_\varepsilon(\tau) \hat{\mathbf{y}}(\tau)), \hat{u}_\varepsilon(\tau) \rangle_{\Omega_\varepsilon} d\tau \\ & = \frac{1}{2} \left\| \sqrt{J_\varepsilon(t)} \hat{\mathbf{y}}(t) \right\|_{\Omega_\varepsilon}^2 - \frac{1}{2} \left\| \sqrt{J_\varepsilon(t_i)} \hat{\mathbf{y}}^{(t_i)} \right\|_{\Omega_\varepsilon}^2 + \frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{y}}, \hat{\mathbf{u}})_{(t_i,t) \times \Omega_\varepsilon}. \end{aligned}$$

Thus, (80) can be rewritten into

$$\begin{aligned}
& \frac{1}{2} \left\| \sqrt{J_\varepsilon(t)} \hat{\mathbf{u}}(t) \right\|_{\Omega_\varepsilon}^2 + (A_\varepsilon \nabla \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}})_{(t_i, t) \times \Omega_\varepsilon} = (J_\varepsilon \hat{f}_\varepsilon^{\text{p}}, \hat{\mathbf{u}})_{(t_i, t) \times \Omega_\varepsilon} \\
& - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon, k}^{n-1}}{r_0^{n-1}} \varepsilon f(\zeta, r_{\varepsilon, k}), \hat{\mathbf{u}} \right)_{(t_i, t) \times \Gamma_{\varepsilon, k}} - (B_\varepsilon \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}})_{(t_i, t) \times \Omega_\varepsilon} \\
& - \frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{u}}, \hat{\mathbf{u}})_{(t_i, t) \times \Omega_\varepsilon} + \frac{1}{2} \left\| \sqrt{J_\varepsilon(t_i)} \hat{\mathbf{u}}^i \right\|_{\Omega_\varepsilon}^2. \quad (81)
\end{aligned}$$

The first two terms of the right-hand side of (81) can be estimated with the Cauchy–Schwarz and Young inequalities and the  $\varepsilon$ -scaled trace operator (117) by

$$\begin{aligned}
& (J_\varepsilon \hat{f}_\varepsilon^{\text{p}}, \hat{\mathbf{u}})_{(t_i, t) \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon, k}^{n-1}}{r_0^{n-1}} \varepsilon f(\zeta, r_{\varepsilon, k}), \hat{\mathbf{u}} \right)_{(t_i, t) \times \Gamma_{\varepsilon, k}} \\
& \leq C \left\| \hat{f}_\varepsilon^{\text{p}} \right\|_{(t_i, t) \times \Omega_\varepsilon}^2 + \|\hat{\mathbf{u}}\|_{(t_i, t) \times \Omega_\varepsilon}^2 + C \varepsilon \|f_{\max}\|_{(t_i, t) \times \Gamma_\varepsilon}^2 + \varepsilon \|\hat{u}_\varepsilon\|_{(t_i, t) \times \Gamma_\varepsilon}^2 \\
& \leq C + \|\hat{u}_\varepsilon\|_{(t_i, t) \times \Omega_\varepsilon}^2 + C f_{\max}^2 \varepsilon |S_i| |\Gamma_\varepsilon| + C \delta \|\hat{\mathbf{u}}\|_{(t_i, t) \times \Omega_\varepsilon}^2 + \delta \varepsilon \|\nabla \hat{u}_\varepsilon\|_{(t_i, t) \times \Omega_\varepsilon}^2 \\
& \leq C + C \delta \|\hat{\mathbf{u}}\|_{(t_i, t) \times \Omega_\varepsilon}^2 + \delta \|\nabla \hat{u}_\varepsilon\|_{(t_i, t) \times \Omega_\varepsilon}^2 \quad (82)
\end{aligned}$$

Similarly, we obtain

$$-(B_\varepsilon \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}})_{(t_i, t) \times \Omega_\varepsilon} \leq C \delta \|\hat{\mathbf{u}}\|_{(t_i, t) \times \Omega_\varepsilon}^2 + \delta \|\nabla \hat{u}_\varepsilon\|_{(t_i, t) \times \Omega_\varepsilon}^2, \quad (83)$$

$$-\frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{u}}, \hat{\mathbf{u}})_{(t_i, t) \times \Omega_\varepsilon} \leq C \|\hat{u}_\varepsilon\|_{(t_i, t) \times \Omega_\varepsilon}^2, \quad (84)$$

$$\left\| \sqrt{J_\varepsilon(t_i)} \hat{\mathbf{u}}^i \right\|_{\Omega_\varepsilon}^2 \leq C \|\hat{\mathbf{u}}^i\|_{\Omega_\varepsilon}^2 \leq C K. \quad (85)$$

Combining the estimates (78), (82)–(85) with (81) yields for  $\delta$  small enough and after collecting all the constants

$$\|\hat{\mathbf{u}}(t)\|_{\Omega_\varepsilon}^2 + \|\nabla \hat{\mathbf{u}}\|_{(t_i, t) \times \Omega_\varepsilon}^2 \leq C K + C \|\hat{u}_\varepsilon\|_{(t_i, t) \times \Omega_\varepsilon}^2. \quad (86)$$

Then, Gronwall's inequality implies

$$\|\hat{\mathbf{u}}(t)\|_{\Omega_\varepsilon}^2 + \|\nabla \hat{\mathbf{u}}\|_{S_i \times \Omega_\varepsilon}^2 \leq C K \quad (87)$$

282 for a.e.  $t \in S_i$ .

By employing (87), we get from (58)

$$\|\partial_t(J_\varepsilon \hat{\mathbf{u}})\|_{L^2(S;H^1(\Omega_\varepsilon)')} \leq C_K \cdot (88)$$

Moreover, we get with  $\partial_t \hat{\mathbf{u}} = \langle \partial_t(J_\varepsilon \hat{\mathbf{u}}), J^{-1} \cdot \rangle_{\Omega_\varepsilon} - (\partial_t J_\varepsilon \hat{\mathbf{u}}, J_\varepsilon^{-1} \cdot)_{\Omega_\varepsilon}$

$$\begin{aligned} \|\partial_t \hat{\mathbf{u}}\|_{L^2(S;H^1(\Omega_\varepsilon)')} &\leq \|\partial_t(J_\varepsilon \hat{\mathbf{u}})\|_{L^2(S;H^1(\Omega_\varepsilon)')} \|J_\varepsilon^{-1}\|_{W^{1,\infty}(S \times \Omega_\varepsilon)} \\ &+ \|\partial_t J_\varepsilon\|_{L^\infty(S \times \Omega_\varepsilon)} \|\hat{\mathbf{u}}\|_{S \times \Omega_\varepsilon} \|J_\varepsilon^{-1}\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C_K C_\varepsilon^{-1} + C_K \leq C_{K,\varepsilon}. \end{aligned} \quad (89)$$

• *L<sup>∞</sup>-estimate of  $L_{\varepsilon,2}(\zeta, r_\varepsilon)$ .* Let  $\hat{u}_\varepsilon|_{S_i} := L_{\varepsilon,2}(\zeta, r_\varepsilon)$  for  $r_\varepsilon \in V_{r,\varepsilon}((0, t_{i+1}))$ . Then,  $\hat{\mathbf{u}}$  can be extended to a solution of (44) on the time interval  $(0, t_{i+1})$ . We define

$$\hat{\mathbf{u}}^{(k)}(t, x) := \begin{cases} \hat{\mathbf{u}}(t, x) - \text{kif } \hat{u}_\varepsilon(t, x) \geq 0, \\ 0 & \text{if } \hat{\mathbf{u}}(t, x) < 0 \end{cases} \quad (90)$$

for  $k \in \mathbb{R}$  with  $k \geq \max_{\varepsilon \in (0,1)} \|u_\varepsilon^{(0)}\|_{L^\infty(\Omega_\varepsilon)} + 1$ . Testing (44) by  $\chi_{(0,t)} \hat{\mathbf{u}}^{(k)}$  yields

$$\begin{aligned} \int_0^t \langle \partial_t(J_\varepsilon \hat{\mathbf{u}})(\tau), \hat{\mathbf{u}}^{(k)}(\tau) \rangle_{\Omega_\varepsilon} d\tau + (A_\varepsilon \nabla \hat{\mathbf{u}}^{(k)}, \nabla \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} + (B_\varepsilon \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} \\ = (J_\varepsilon \hat{f}_\varepsilon^p, \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,k}^{n-1}}{r_0^{n-1}} \varepsilon f(\hat{\mathbf{u}}, r_{\varepsilon,k}), \hat{\mathbf{u}}^{(k)} \right)_{(0,t) \times \Gamma_{\varepsilon,k}}. \end{aligned}$$

We rewrite the first term by

$$\begin{aligned} \int_0^t \langle \partial_t(J_\varepsilon \hat{\mathbf{u}})(\tau), \hat{\mathbf{u}}^{(k)}(\tau) \rangle_{H_\Gamma^1(\Omega_\varepsilon)} d\tau &= \frac{1}{2} \left\| \sqrt{J_\varepsilon}(t) \hat{\mathbf{u}}^{(k)}(t) \right\|_{\Omega_\varepsilon}^2 \\ &- \frac{1}{2} \left\| \sqrt{J}(0) \hat{\mathbf{u}}^{(k)}(0) \right\|_{\Omega_\varepsilon}^2 + \frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{u}}, \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} + \frac{1}{2} (\partial_t J_\varepsilon k, \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} \end{aligned}$$

Since  $k \geq \|u_\varepsilon(0)\|_{L^\infty(\Omega_\varepsilon)}$ , we get

$$\begin{aligned} \frac{1}{2} \left\| \sqrt{J_\varepsilon}(t) \hat{\mathbf{u}}^{(k)}(t) \right\|_{\Omega_\varepsilon}^2 + (A_\varepsilon \nabla \hat{\mathbf{u}}^{(k)}, \nabla \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} &= - (B_\varepsilon \hat{\mathbf{u}}, \nabla \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} \\ &- \frac{1}{2} (\partial_t J_\varepsilon \hat{\mathbf{u}}, \hat{\mathbf{u}}^{(k)}(\tau))_{(0,t) \times \Omega_\varepsilon} - \frac{1}{2} (\partial_t J_\varepsilon k, \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} \\ &+ (J_\varepsilon \hat{f}_\varepsilon^p, \hat{\mathbf{u}}^{(k)})_{(0,t) \times \Omega_\varepsilon} - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,k}^{n-1}}{r_0^{n-1}} \varepsilon f(\hat{\mathbf{u}}, r_{\varepsilon,k}), \hat{\mathbf{u}}^{(k)} \right)_{(0,t) \times \Gamma_{\varepsilon,k}}. \end{aligned} \quad (91)$$

Using Lemma 1, we can estimate the left-hand side of (91) by

$$\begin{aligned} & \frac{1}{2} \left\| \sqrt{J_\varepsilon} \hat{u}_\varepsilon^{(k)}(t) \right\|_{\Omega_\varepsilon}^2 + (A_\varepsilon \nabla \hat{u}_\varepsilon^{(k)}, \nabla \hat{u}_\varepsilon^{(k)})_{(0,t) \times \Omega_\varepsilon} \\ & \geq \frac{1}{2} \left\| \hat{u}_\varepsilon^{(k)}(t) \right\|_{\Omega_\varepsilon}^2 + \alpha \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2 \end{aligned} \quad (92)$$

For the right-hand side of (91), we get with Lemma 1

$$\begin{aligned} & -(B_\varepsilon \hat{u}_\varepsilon \nabla \hat{u}_\varepsilon^{(k)})_{(0,t) \times \Omega_\varepsilon} = -(B_\varepsilon \hat{u}_\varepsilon^{(k)}, \nabla \hat{u}_\varepsilon^{(k)})_{(0,t) \times \Omega_\varepsilon} - (B_\varepsilon k, \nabla \hat{u}_\varepsilon^{(k)})_{(0,t) \times \Omega_\varepsilon} \\ & \leq \varepsilon C \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon} \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon} + \varepsilon \|k\|_{\{\hat{u} \geq k\}} \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon} \\ & \leq \varepsilon C \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2 + \varepsilon C \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2 + \varepsilon \|k\|_{\{\hat{u} \geq k\}}^2, \end{aligned} \quad (93)$$

where  $\{\hat{u}_\varepsilon \geq k\} := \{(t, x) \in (0, t_{i+1}) \times \Omega_\varepsilon \mid \hat{u}_\varepsilon(t, x) \geq k\}$ . Similarly, we get

$$-\frac{1}{2} (\partial_t J_\varepsilon \hat{u}_\varepsilon^{(k)}, \hat{u}_\varepsilon^{(k)})_{(0,t) \times \Omega_\varepsilon} \leq C \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2, \quad (94)$$

$$(J_\varepsilon \hat{f}_\varepsilon^p, \hat{u}_\varepsilon^{(k)})_{(0,t) \times \Omega_\varepsilon} \leq \|C\|_{\{\hat{u} \geq k\}} \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon} \leq C \|1\|_{\{\hat{u} \geq k\}}^2 + \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2, \quad (95)$$

$$\begin{aligned} & - \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,k}^{n-1}}{r_0^{n-1}} \varepsilon f(\hat{u}, r_{\varepsilon,k}), \hat{u}_\varepsilon^{(k)} \right)_{(0,t) \times \Gamma_{\varepsilon,k}} \leq C \varepsilon \left\| \hat{u}_\varepsilon^{(k)} \right\|_{L^1((0,t) \times \Gamma_\varepsilon)} \\ & \leq C \left\| \hat{u}_\varepsilon^{(k)} \right\|_{L^1((0,t) \times \Omega_\varepsilon)} + \varepsilon C \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{L^1((0,t) \times \Omega_\varepsilon)} \\ & \leq C \|1\|_{\{\hat{u} \geq k\}} \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon} + \varepsilon C \|1\|_{\{\hat{u} \geq k\}} \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon} \\ & \leq C_\delta \|1\|_{\{\hat{u} \geq k\}}^2 + \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2 + \delta \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2. \end{aligned} \quad (96)$$

We choose delta small enough, combine (91)–(96) and collect all the constants:

$$\left\| \hat{u}_\varepsilon^{(k)}(t) \right\|_{\Omega_\varepsilon}^2 + \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2 \leq C \left\| \hat{u}_\varepsilon^{(k)} \right\|_{(0,t) \times \Omega_\varepsilon}^2 + C k^2 |\{u_\varepsilon \geq k\}| \quad (97)$$

Then, Gronwall's inequality implies

$$\left\| \hat{u}_\varepsilon^{(k)} \right\|_{L^\infty((0,t_{i+1}); L^2(\Omega_\varepsilon))}^2 + \left\| \nabla \hat{u}_\varepsilon^{(k)} \right\|_{(0,t_{i+1}) \times \Omega_\varepsilon}^2 \leq C k^2 |\{u_\varepsilon \geq k\}| \quad (98)$$



Likewise, it can be shown that

$$\|(-\hat{\mathcal{U}})^{(k)}\|_{L^\infty((0,t_{i+1});L^2(\Omega_\varepsilon))}^2 + \|\nabla(-\hat{\mathcal{U}})^{(k)}\|_{(0,t_{i+1})\times\Omega_\varepsilon}^2 \leq Ck^2|\{u_\varepsilon \geq k\}| \quad (99)$$

Thus, we can conclude with [23, Theorem 6.1]

$$\|\hat{\mathcal{U}}\|_{L^\infty((0,t_{i+1})\times\Omega_\varepsilon)} \leq C. \quad (100)$$

We note that the constant  $C$  in (100) is explicitly given in [23, Theorem 6.1] and depends also on the embedding constant of

$$L^\infty((0,T);L^2(\Omega_\varepsilon)) \cap L^2((0,T);H^1(\Omega_\varepsilon)) \hookrightarrow L^r((0,T)\times\Omega_\varepsilon)$$

283 for suitable  $r$ . However, using the extension from Corollary 14 this embed-  
 284 ding constant can be chosen independent of  $\varepsilon$  (cf. [24] for a more detailed  
 285 discussion).

• *Lipschitz estimate of  $L_{\varepsilon,2}$ .* Let  $r_{\varepsilon,i} \in V_{r,\varepsilon}(S_i)$  with  $r_{\varepsilon,1}(t_i) = r_{\varepsilon,2}(t_i)$  and  $\zeta_i \in L^2(S_i;H^1(\Omega_\varepsilon))$  for  $i \in \{1,2\}$ . We define  $\hat{u}_{\varepsilon,i} = L_{\varepsilon,2}(\zeta_i, r_{\varepsilon,i})$  for  $i \in \{1,2\}$  as well as  $\psi_{\varepsilon,i}$  and  $\Psi_{\varepsilon,i}, J_{\varepsilon,i}$  by (32)–(33) for  $r_\varepsilon = r_{\varepsilon,i}$  and  $A_{\varepsilon,i} := J_{\varepsilon,i} \Psi_{\varepsilon,i}^{-1} \Psi_{\varepsilon,i}^{-\top}$ ,  $B_{\varepsilon,i} := J_{\varepsilon,i} \Psi_{\varepsilon,i}^{-1} \partial_t \psi_{\varepsilon,i}$ . We test (58) for  $i \in \{1,2\}$  with  $\chi_{(t_i,t)}(\hat{\mathcal{U}}_1 - \hat{\mathcal{U}}_2)$  and subtract the corresponding equations:

$$\begin{aligned} & \int_{t_i}^t \langle \partial_t (J_{\varepsilon,1}(\tau) \hat{\mathcal{U}}_1(\tau) - J_{\varepsilon,2}(\tau) \hat{\mathcal{U}}_2(\tau)), \hat{\mathcal{U}}_1(\tau) - \hat{\mathcal{U}}_2(\tau) \rangle_{\Omega_\varepsilon} d\tau \\ & + (A_{\varepsilon,1} \nabla \hat{\mathcal{U}}_1 - A_{\varepsilon,2} \nabla \hat{\mathcal{U}}_2, \nabla(\hat{\mathcal{U}}_1 - \hat{\mathcal{U}}_2))_{(t_i,t)\times\Omega_\varepsilon} \\ & + (B_{\varepsilon,1} \hat{\mathcal{U}}_1 - B_{\varepsilon,2} \hat{\mathcal{U}}_2, \nabla(\hat{\mathcal{U}}_1 - \hat{\mathcal{U}}_2))_{(t_i,t)\times\Omega_\varepsilon} \\ & = (J_{\varepsilon,1} f^p(\cdot, \psi_{\varepsilon,1}(\cdot, \cdot, x)) - J_{\varepsilon,2} f^p(\cdot, \psi_{\varepsilon,2}(\cdot, \cdot, x)), \hat{\mathcal{U}}_1 - \hat{\mathcal{U}}_2)_{(t_i,t)\times\Omega_\varepsilon} \\ & - \varepsilon \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,1,k}^{n-1}}{r_0^{n-1}} f(\zeta_1, r_{\varepsilon,1,k}) - \frac{r_{\varepsilon,2,k}^{n-1}}{r_0^{n-1}} f(\zeta_2, r_{\varepsilon,2,k}), \hat{\mathcal{U}}_1 - \hat{\mathcal{U}}_2 \right)_{(t_i,t)\times\Gamma_{\varepsilon,k}}. \quad (101) \end{aligned}$$

Employing  $r_{\varepsilon,1}(t_i) = r_{\varepsilon,2}(t_i)$  and  $\hat{u}_{1,1}(t_i) = \hat{u}_{1,2}(t_i)$ , we can rewrite the first term of (101) into

$$\begin{aligned}
& \int_{t_i}^t \langle \partial_t (J_{\varepsilon,1}(\tau) \hat{u}_{1,1}(\tau) - J_{\varepsilon,2}(\tau) \hat{u}_{1,2}(\tau)), \hat{u}_{\varepsilon,1}(\tau) - \hat{u}_{\varepsilon,2}(\tau) \rangle_{\Omega_\varepsilon} d\tau \\
&= \frac{1}{2} \left\| \sqrt{J_{\varepsilon,1}}(t) (\hat{u}_{1,1}(t) - \hat{u}_{\varepsilon,2}(t)) \right\|_{\Omega_\varepsilon}^2 + \frac{1}{2} (\partial_t J_{\varepsilon,1} (\hat{u}_{1,1} - \hat{u}_{1,2}), \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})_{(t_i,t) \times \Omega_\varepsilon} \\
&+ (\partial_t (J_{\varepsilon,1} - J_{\varepsilon,2}) \hat{u}_{1,2}, \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})_{(t_i,t) \times \Omega_\varepsilon} \\
&+ \int_{t_i}^t \langle \partial_t \hat{u}_{1,2}(\tau), (J_{\varepsilon,1}(\tau) - J_{\varepsilon,2}(\tau)) (\hat{u}_{1,1}(\tau) - \hat{u}_{\varepsilon,2}(\tau)) \rangle_{\Omega_\varepsilon} d\tau
\end{aligned}$$

Thus, we can rewrite (101) by:

$$\begin{aligned}
I_1 + I_2 &:= \frac{1}{2} \left\| \sqrt{J_{\varepsilon,1}}(t) (\hat{u}_{1,1}(t) - \hat{u}_{\varepsilon,2}(t)) \right\|_{\Omega_\varepsilon}^2 + (A_{\varepsilon,1} \nabla (\hat{u}_{1,2} - \hat{u}_{\varepsilon,2}), \nabla (\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2}))_{(t_i,t) \times \Omega_\varepsilon} \\
&= -\frac{1}{2} (\partial_t J_{\varepsilon,1} (\hat{u}_{1,1} - \hat{u}_{\varepsilon,2}), \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})_{(t_i,t) \times \Omega_\varepsilon} - (\partial_t (J_{\varepsilon,1} - J_{\varepsilon,2}) \hat{u}_{1,2}, \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})_{(t_i,t) \times \Omega_\varepsilon} \\
&- \int_{t_i}^t \langle \partial_t \hat{u}_{1,2}(\tau), (J_{\varepsilon,1}(\tau) - J_{\varepsilon,2}(\tau)) (\hat{u}_{1,1}(\tau) - \hat{u}_{\varepsilon,2}(\tau)) \rangle_{\Omega_\varepsilon} d\tau \\
&- ((A_{\varepsilon,1} - A_{\varepsilon,2}) \nabla \hat{u}_{1,2}, \nabla (\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2}))_{(t_i,t) \times \Omega_\varepsilon} - (B_{\varepsilon,1} \hat{u}_{1,1} - B_{\varepsilon,2} \hat{u}_{1,2}, \nabla (\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2}))_{(t_i,t) \times \Omega_\varepsilon} \\
&+ (J_{\varepsilon,1} f^{\text{P}}(\cdot, t, \psi_{\varepsilon,1}(\cdot, t, \cdot, x)) - J_{\varepsilon,2} f^{\text{P}}(\cdot, t, \psi_{\varepsilon,2}(\cdot, t, \cdot, x)), \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})_{(t_i,t) \times \Omega_\varepsilon} \\
&- \varepsilon \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,1,k}^{n-1}}{r_0^{n-1}} f(\zeta_1, r_{\varepsilon,1,k}) - \frac{r_{\varepsilon,2,k}^{n-1}}{r_0^{n-1}} f(\zeta_2, r_{\varepsilon,2,k}), \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2} \right)_{(t_i,t) \times \Gamma_{\varepsilon,k}} \\
&=: I_3 + I_4 + I_6 + I_7 + I_8 + I_9 \tag{102}
\end{aligned}$$

286 In the next step, we estimate  $I_1, I_2$  from below and  $I_3, \dots, I_8$  from above:

$I_1, I_2$ : Lemma 1 implies:

$$\left\| \sqrt{J_{\varepsilon,1}}(t) (\hat{u}_{1,1}(t) - \hat{u}_{\varepsilon,2}(t)) \right\|_{\Omega_\varepsilon}^2 \geq c_J \left\| \hat{u}_{1,1}(t) - \hat{u}_{\varepsilon,2}(t) \right\|_{\Omega_\varepsilon}^2 \tag{103}$$

$$(A_{\varepsilon,1} \nabla (\hat{u}_{1,1} - \hat{u}_{\varepsilon,2}), \nabla (\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2}))_{(t_i,t) \times \Omega_\varepsilon} \geq \alpha \left\| \nabla (\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2}) \right\|_{(t_i,t) \times \Omega_\varepsilon}^2 \tag{104}$$

$I_3$ : Using Lemma 1, we get:

$$-\frac{1}{2} (\partial_t J_{\varepsilon,1} (\hat{u}_{1,1} - \hat{u}_{\varepsilon,2}), \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})_{(t_i,t) \times \Omega_\varepsilon} \leq C \left\| \hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2} \right\|_{(t_i,t) \times \Omega_\varepsilon}^2 \tag{105}$$

$I_4$ : Application of Lemma 2, (87), the Cauchy–Schwarz and the Young inequalities yields for every  $\mu > 0$  a constant  $C_\mu$  such that:

$$\begin{aligned}
& -(\partial_t(J_{\varepsilon,1} - J_{\varepsilon,2}) \hat{u}_2, \hat{u}_1 - \hat{u}_2)_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{L^\infty(\Omega_\varepsilon; L^2(t_i,t))} \|\hat{u}_2\|_{L^\infty((t_i,t); L^2(\Omega_\varepsilon))} \|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{L^\infty(\Omega_\varepsilon; L^2(t_i,t))} C_K \|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq \mu \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{L^\infty(\Omega_\varepsilon; L^2(t_i,t))}^2 + C_K C_\mu \|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon}^2 \quad (106)
\end{aligned}$$

$I_5$ : Application of (89), Lemma 2 the Cauchy–Schwarz and the Young inequalities yields for every  $\delta > 0$ :

$$\begin{aligned}
& - \int_{t_i}^t \langle \partial_t \hat{u}_2(\tau), (J_{\varepsilon,1}(\tau) - J_{\varepsilon,2}(\tau)) (\hat{u}_1(\tau) - \hat{u}_2(\tau)) \rangle_{\Omega_\varepsilon} d\tau \\
& \leq \|\partial_t \hat{u}_2\|_{L^2((t_i,t); H^1(\Omega_\varepsilon))} (\|J_{\varepsilon,1} - J_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)} + \|\nabla(J_{\varepsilon,1} - J_{\varepsilon,2})\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}) \\
& (\|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} + \|\nabla(\hat{u}_1 - \hat{u}_2)\|_{(t_i,t) \times \Omega_\varepsilon}) \\
& \leq C_{K,\varepsilon} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)} (\|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} + \|\nabla(\hat{u}_1 - \hat{u}_2)\|_{(t_i,t) \times \Omega_\varepsilon}) \\
& \leq C_{K,\varepsilon,\delta} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + \|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon}^2 + \delta \|\nabla(\hat{u}_1 - \hat{u}_2)\|_{(t_i,t) \times \Omega_\varepsilon}^2. \quad (107)
\end{aligned}$$

$I_6$ : We estimate similar as (107) and use (87) and Lemma 2:

$$\begin{aligned}
& -((A_{\varepsilon,1} - A_{\varepsilon,2}) \nabla \hat{u}_2, \nabla(\hat{u}_1 - \hat{u}_2))_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)} \|\nabla \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} \|\nabla(\hat{u}_1 - \hat{u}_2)\|_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq C_{K,\delta} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + \delta \|\nabla(\hat{u}_1 - \hat{u}_2)\|_{(t_i,t) \times \Omega_\varepsilon}^2 \quad (108)
\end{aligned}$$

$I_7$ : The Cauchy–Schwarz inequality gives

$$\begin{aligned}
& -(B_{\varepsilon,1} \hat{u}_1 - B_{\varepsilon,2} \hat{u}_2, \nabla(\hat{u}_1 - \hat{u}_2))_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq \|B_{\varepsilon,1} \hat{u}_1 - B_{\varepsilon,2} \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} \|\nabla(\hat{u}_1 - \hat{u}_2)\|_{(t_i,t) \times \Omega_\varepsilon} \quad (109)
\end{aligned}$$

Using (100), Lemma 1 and Lemma 2, we get

$$\begin{aligned}
& \|B_{\varepsilon,1} \hat{u}_1 - B_{\varepsilon,2} \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} = \|(B_{\varepsilon,1} - B_{\varepsilon,2}) \hat{u}_1\|_{(t_i,t) \times \Omega_\varepsilon} + \|B_{\varepsilon,2}(\hat{u}_1 - \hat{u}_2)\|_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq \|B_{\varepsilon,1} - B_{\varepsilon,2}\|_{(t_i,t) \times \Omega_\varepsilon} + \varepsilon C \|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq \varepsilon C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)} + \varepsilon C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{(t_i,t) \times \Omega_\varepsilon} \\
& + \varepsilon C \|\hat{u}_1 - \hat{u}_2\|_{(t_i,t) \times \Omega_\varepsilon} \quad (110)
\end{aligned}$$

Inserting (110) in (109) and applying the Young inequality yields

$$\begin{aligned}
& -(B_{\varepsilon,1} \hat{\mathbf{u}}_1 - B_{\varepsilon,2} \hat{\mathbf{u}}_2, \nabla(\hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2))_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq \varepsilon \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + \varepsilon C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{(t_i,t) \times \Omega_\varepsilon}^2 + \varepsilon C \|\hat{\mathbf{u}}_{\varepsilon,1} - \hat{\mathbf{u}}_{\varepsilon,2}\|_{(t_i,t) \times \Omega_\varepsilon}^2 \\
& + \varepsilon \|\nabla(\hat{\mathbf{u}}_{\varepsilon,1} - \hat{\mathbf{u}}_{\varepsilon,2})\|_{(t_i,t) \times \Omega_\varepsilon}^2. \tag{111}
\end{aligned}$$

$I_8$  : By the same procedure as in the estimate of  $I_6$  and employing that  $f^P$  is Lipschitz continuous in each  $\varepsilon$ -scaled cell, we can estimate:

$$\begin{aligned}
& (J_{\varepsilon,1} f^P(\cdot, \psi_{\varepsilon,1}(\cdot, \cdot, x)) - J_{\varepsilon,2} f^P(\cdot, \psi_{\varepsilon,2}(\cdot, \cdot, x)), \hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2)_{(t_i,t) \times \Omega_\varepsilon} \\
& = ((J_{\varepsilon,1} - J_{\varepsilon,2}) f^P(\cdot, \psi_{\varepsilon,1}(\cdot, \cdot, x)) + J_{\varepsilon,2} (f^P(\cdot, \psi_{\varepsilon,1}(\cdot, \cdot, x)) - f^P(\cdot, \psi_{\varepsilon,2}(\cdot, \cdot, x))), \hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2)_{(t_i,t) \times \Omega_\varepsilon} \\
& \leq C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + C \|\psi_{\varepsilon,1} - \psi_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + C \|\hat{\mathbf{u}}_{\varepsilon,1} - \hat{\mathbf{u}}_{\varepsilon,2}\|_{(t_i,t) \times \Omega_\varepsilon}^2 \\
& \leq C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + C \|\hat{\mathbf{u}}_{\varepsilon,1} - \hat{\mathbf{u}}_{\varepsilon,2}\|_{(t_i,t) \times \Omega_\varepsilon}^2 \tag{112}
\end{aligned}$$

$I_9$ : Using the Cauchy–Schwarz inequality gives

$$\begin{aligned}
& \varepsilon \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,1,k}^{n-1}}{r_0^{n-1}} f(\zeta_1, r_{\varepsilon,1,k}) - \frac{r_{\varepsilon,2,k}^{n-1}}{r_0^{n-1}} f(\zeta_2, r_{\varepsilon,2,k}), \hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2 \right)_{(t_i,t) \times \Gamma_{\varepsilon,k}} \\
& \leq \varepsilon \left\| \frac{r_{\varepsilon,1}^{n-1}}{r_0^{n-1}} f(\zeta_1, r_{\varepsilon,1}) - \frac{r_{\varepsilon,2}^{n-1}}{r_0^{n-1}} f(\zeta_2, r_{\varepsilon,2}) \right\|_{(t_i,t) \times \Gamma_\varepsilon} \|\hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2\|_{(t_i,t) \times \Gamma_\varepsilon}. \tag{113}
\end{aligned}$$

We estimate the first factor of the right-hand side of (113) using the Lipschitz continuity of  $f$  and the boundedness of  $r_{\varepsilon,1}$  and  $r_{\varepsilon,2}$

$$\begin{aligned}
& \left\| \frac{r_{\varepsilon,1}^{n-1}}{r_0^{n-1}} f(\zeta_1, r_{\varepsilon,1}) - \frac{r_{\varepsilon,2}^{n-1}}{r_0^{n-1}} f(\zeta_2, r_{\varepsilon,2}) \right\|_{(t_i,t) \times \Gamma_\varepsilon} \leq f \max \left\| \frac{r_{\varepsilon,1}^{n-1} - r_{\varepsilon,2}^{n-1}}{r_0^{n-1}} \right\|_{(t_i,t) \times \Gamma_\varepsilon} \\
& + C \|f(\zeta_1, r_{\varepsilon,1}) - f(\zeta_2, r_{\varepsilon,1})\|_{(t_i,t) \times \Gamma_\varepsilon} + C \|f(\zeta_2, r_{\varepsilon,1}) - f(\zeta_2, r_{\varepsilon,2})\|_{(t_i,t) \times \Gamma_\varepsilon} \\
& \leq \varepsilon^{-1/2} C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)} + \varepsilon^{-1/2} C \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}. \tag{114}
\end{aligned}$$

Combining (113)–(114), applying the Young and the  $\varepsilon$ -scaled trace inequality (117) as well as the estimate (87) yields:

$$\begin{aligned}
& \varepsilon \sum_{k \in I_\varepsilon} \left( \frac{r_{\varepsilon,1,k}^{n-1}}{r_0^{n-1}} f(\zeta_1, r_{\varepsilon,1,k}) - \frac{r_{\varepsilon,2,k}^{n-1}}{r_0^{n-1}} f(\zeta_2, r_{\varepsilon,2,k}), \hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2 \right)_{(t_i,t) \times \Gamma_{\varepsilon,k}} \\
& \leq C (\|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)} + \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}) \\
& (C_\delta \|\hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2\|_{(t_i,t) \times \Omega_\varepsilon} + \varepsilon^{1/2} \delta \|\nabla(\hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2)\|_{(t_i,t) \times \Omega_\varepsilon}) \\
& \leq C \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + \mu \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2 \\
& + C_\mu C_\delta \|\hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2\|_{(t_i,t) \times \Omega_\varepsilon}^2 + C_\mu \varepsilon^{1/2} \delta \|\nabla(\hat{\mathbf{u}}_1 - \hat{\mathbf{u}}_2)\|_{(t_i,t) \times \Omega_\varepsilon}^2 \tag{115}
\end{aligned}$$

Now, we combine (102) with (103)–(115). Then, we choose  $\varepsilon$  and  $\delta$  small enough such that the gradient terms on the right-hand side, which arise in the estimates of (107), (108) and (111) can be compensated by the gradient on the left-hand side. After collecting the constants, we get for  $\varepsilon \leq 1$

$$\begin{aligned} & \|\hat{u}_{\varepsilon,1}(t) - \hat{u}_{\varepsilon,2}(t)\|_{\Omega_\varepsilon}^2 + (1 - \varepsilon C - \delta C_\mu) \|\nabla(\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})\|_{(t_i,t) \times \Omega_\varepsilon}^2 \\ & \leq (C_{K,\mu} + C_\delta C_\mu) \|\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2}\|_{(t_i,t) \times \Omega_\varepsilon}^2 + \mu C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{L^\infty(\Omega_\varepsilon; L^2((t_i,t)))}^2 \\ & \quad + \varepsilon C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{(t_i,t) \times \Omega_\varepsilon}^2 + C_{K,\varepsilon,\delta} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty((t_i,t) \times \Omega_\varepsilon)}^2 + \mu C \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2. \end{aligned}$$

Then, Gronwall's inequality gives

$$\begin{aligned} & \|\hat{u}_{\varepsilon,1}(t) - \hat{u}_{\varepsilon,2}(t)\|_{\Omega_\varepsilon}^2 + (1 - \varepsilon C - \delta C_\mu) \|\nabla(\hat{u}_{\varepsilon,1} - \hat{u}_{\varepsilon,2})\|_{S_i \times \Omega_\varepsilon}^2 \\ & \leq \exp(|S_i|(C_{K,\mu} + C_\delta C_\mu)) \left( \mu C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{L^\infty(\Omega_\varepsilon; L^2(S_i))}^2 + \varepsilon C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{S_i \times \Omega_\varepsilon}^2 \right. \\ & \quad \left. + C_{K,\varepsilon,\delta} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty(S_i \times \Omega_\varepsilon)}^2 + \mu C \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2 \right) \quad (116) \end{aligned}$$

• *Lipschitz estimate of  $L_\varepsilon$ .* We combine (116) with (66), (67), (68) and get for  $r_{\varepsilon,i} := L_{\varepsilon,1}(\zeta_i)$  for  $i \in \{1, 2\}$ :

$$\begin{aligned} & (1 - \varepsilon C^{(1)} - \delta C_\mu^{(2)}) \|L_\varepsilon(\zeta_1) - L_\varepsilon(\zeta_2)\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2 \\ & = (1 - \varepsilon C^{(1)} - \delta C_\mu^{(2)}) \|L_{\varepsilon,2}(\zeta_1, r_{\varepsilon,1}) - L_{\varepsilon,2}(\zeta_2, r_{\varepsilon,2})\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2 \\ & \leq \exp(|S_i|(C_{K,\mu} + C_\delta C_\mu)) \left( \mu C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{L^\infty(\Omega_\varepsilon; L^2(S_i))}^2 + \varepsilon C \|\partial_t(r_{\varepsilon,1} - r_{\varepsilon,2})\|_{S_i \times \Omega_\varepsilon}^2 \right. \\ & \quad \left. + C_{K,\varepsilon,\delta} \|r_{\varepsilon,1} - r_{\varepsilon,2}\|_{L^\infty(S_i \times \Omega_\varepsilon)}^2 + \mu C \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2 \right) \\ & \leq \exp(|S_i|(C_{K,\mu}^{(3)} + C_\delta^{(4)} C_\mu^{(5)})) (\varepsilon C^{(6)} + C_{K,\varepsilon,\delta}^{(7)} |S_i| + \mu C_\varepsilon^{(8)}) \|\zeta_1 - \zeta_2\|_{L^2(S_i; H^1(\Omega_\varepsilon))}^2, \end{aligned}$$

287 where we have added the superscript at the constants in order to clarify  
 288 the following choices of the parameter  $\mu$  and  $\delta$ . First, we assume  $\varepsilon \leq$   
 289  $\max\{(4C^{(1)})^{-1}, (24C^{(6)})^{-1}\}$ . Then, we choose  $\mu \leq (24C_\varepsilon^{(8)})^{-1}$ , afterwards  
 290 we choose  $\delta \leq (4C_\mu^{(2)})^{-1}$ . Finally, we choose  $\sigma_{\varepsilon,K} \leq \max\{\ln(2)(C_{K,\mu}^{(3)} +$   
 291  $C_\delta^{(4)} C_\mu^{(5)})^{-1}, (24C_{K,\varepsilon,\delta}^{(7)})^{-1}\}$  and  $L_\varepsilon$  becomes a contraction for  $|S_i| \leq \sigma_{\varepsilon,K}$ .  
 292 Hence there exists a unique solution of (32)–(33), (54)–(55).

Rescaling the trace inequality of the reference cell onto  $\Omega_\varepsilon$  yields for every  $\delta > 0$  a constant  $C_\delta$  such that for every  $\varepsilon$  and  $u \in H^{-1}(\Omega_\varepsilon)$

$$\|u\|_{\partial\Omega_\varepsilon}^2 \leq \varepsilon \delta \|\nabla u\|_{\Omega_\varepsilon}^2 + \varepsilon^{-1} C_\delta \|u\|_{L^2(\Omega_\varepsilon)}^2. \quad (117)$$

293 **4. Derivation of the limit problem for the periodic substitute prob-**  
 294 **lem**

295 We use the notion of two-scale convergence which was introduced in [8]  
 296 and [9].

**Definition 3 (Two-scale convergence).** Let  $p, q, p_s, q_s \in (1, \infty)$  with  $\frac{1}{p} + \frac{1}{q} = 1$  and  $\frac{1}{p_s} + \frac{1}{q_s} = 1$ . We say that a sequence  $u_\varepsilon \in L^{p_s}(S; L^p(\Omega))$  two-scale converges weakly to  $u_0 \in L^{p_s}(S; L^p(\Omega \times Y))$  if

$$\lim_{\varepsilon \rightarrow 0} \int_S \int_\Omega u_\varepsilon(t, x) \varphi(t, x, \frac{x}{\varepsilon}) dx dt = \int_S \int_\Omega \int_Y u_0(t, x, y) \varphi(t, x, y) dy dx dt \quad (118)$$

297 for every  $\varphi \in L^{q_s}(S; L^q(\Omega; C_\#(Y)))$ . In this case, we write  $u_\varepsilon \xrightarrow{p_s, p} u_0$ .

298 Moreover, we say that  $u_\varepsilon$  two-scale converges strongly to  $u_0$  if additionally  
 299  $\lim_{\varepsilon \rightarrow 0} \|u_\varepsilon\|_{L^{p_s}(S; L^p(\Omega))} = \|u_0\|_{L^{p_s}(S; L^p(\Omega \times S))}$ . In this case, we write  $u_\varepsilon \xrightarrow{p_s, p} u_0$ .

300 The notion of two-scale convergence provides the following compactness  
 301 results. Proposition 7 and Proposition 8 are time dependent versions of  
 302 compactness results that can be found in [8].

303 **Proposition 7.** Let  $p_s, p \in (1, \infty)$  and let  $u_\varepsilon$  be a bounded sequence in  
 304  $L^{p_s}(S; L^p(\Omega))$ . Then, there exists a subsequence  $\varepsilon_n$  and  $u_0 \in L^{p_s}(S; L^p(\Omega \times Y))$   
 305 such that  $u_{\varepsilon_n} \xrightarrow{p_s, p} u_0$ .

306 For the sake of simplicity, let in the following proposition the domain  $\Omega_\varepsilon$  be  
 307 given as in the previous sections and  $Y^* = Y \setminus B_{r_0}(x_M)$  (for more general  
 308 domains cf. [8]). We use  $\tilde{\cdot}$  in order to denote the extension of functions which  
 309 are defined on  $\Omega_\varepsilon$  or  $\Omega_\varepsilon(t)$  by 0 to  $\Omega$ . We use it also for the extension by 0  
 310 to  $Y$  for functions which are defined on  $Y^*$  or on  $Y_r^*$  with  $r \in [r_{\min}, r_{\max}]$ .

311 **Proposition 8.** Let  $p_s, p \in (1, \infty)$  and let  $u_\varepsilon$  be a bounded sequence in  
 312  $L^{p_s}(S; W^{1,p}(\Omega_\varepsilon))$ . Then, there exists a subsequence  $\varepsilon_n$  and  $(u_0, u_1) \in L^{p_s}(S; W^{1,p}(\Omega)) \times$   
 313  $L^{p_s}(S; L^p(\Omega; W_\#^{1,p}(Y^*)/\mathbb{R}))$  such that  $\tilde{u}_\varepsilon \xrightarrow{p_s, p} u_0$  and  $\widetilde{\nabla u_\varepsilon} \xrightarrow{p_s, p} \nabla_x u_0 +$   
 314  $\widetilde{\nabla_y u_1}$ .

315 In order to have (1) commutative, we use the concept of locally periodic  
 316 transformations, which was introduced for the stationary case in [14] and is  
 317 extended to the time-dependent case here:

318 **Definition 4.** We say a sequence  $\psi_\varepsilon : S \times \bar{\Omega} \rightarrow \bar{\Omega}$ , is a sequence of locally  
 319 periodic transformations if

- 320 1.  $\psi_\varepsilon \in L^\infty(S; C^1(\bar{\Omega}))^N$ ,
- 321 2. there exists a constant  $c_J$  such that  $J_\varepsilon(t) \geq c_J$  for a.e.  $t \in S$  with  
 322  $J_\varepsilon(t, x) := \det(\Psi_\varepsilon(t, x))$  and  $\Psi_\varepsilon := D_x \psi_\varepsilon(t, x)$ ,
- 323 3. there exists a constant  $C > 0$  such that  $\varepsilon^{i-1} \|\check{\psi}_\varepsilon\|_{L^\infty(S; C^i(\bar{\Omega}))} \leq C$  for  $i \in$   
 324  $\{0, 1\}$ , where  $\check{\psi}_\varepsilon(t, x) := \psi_\varepsilon(t, x) - x$  is the corresponding displacement  
 325 mapping,
- 326 4. there exists  $\psi_0 \in L^\infty(S \times \Omega; C^1(\bar{Y}))^N$ , which we call limit transforma-  
 327 tion, such that
  - 328 (a)  $\psi_0(t, x, \cdot)_y : Y \rightarrow Y$  are  $C^1$ -diffeomorphisms for a.e.  $(t, x) \in S \times \Omega$   
 329 with inverses  $\psi_0^{-1}(t, x, \cdot)_y$  for  $\psi_\varepsilon^{-1} \in L^\infty(S \times \Omega; C^1(\bar{Y}))^N$ ,
  - 330 (b) the corresponding displacement mapping, defined for a.e.  $(t, x) \in$   
 331  $S \times \Omega$  by  $\check{\psi}_0(t, x, y) := \psi_0(t, x, y) - y$ , can be extended  $Y$ -periodically  
 332 such that  $\check{\psi}_0 \in L^\infty(S \times \Omega; C^1_{\#}(\bar{Y}))^N$ ,
  - 333 (c)  $\varepsilon^{-1} \check{\psi}_\varepsilon \xrightarrow{p, p} \check{\psi}_0$  and  $\nabla \check{\psi}_\varepsilon \xrightarrow{p, p} \nabla_y \check{\psi}_0$  for every  $p \in (1, \infty)$ .

334 For a.e.  $(t, x) \in S \times \Omega$ , we denote the Jacobian matrix and determinant of  
 335  $y \mapsto \psi_0(t, x, y)$  by  $\Psi_0(t, x, y) := D_y \psi_0(t, x, y)$  and  $J_0(t, x, y) := \det(\Psi_0(t, x, y))$ .  
 336 Moreover, we denote the displacement mappings of the back-transformations  
 337 by  $\check{\psi}_\varepsilon^{-1}(t, x) := \psi_\varepsilon^{-1}(t, x) - x$  and  $\check{\psi}_0^{-1}(t, x, y) := \psi_0^{-1}(t, x, y) - y$ .

**Notation 1.** For a function  $u$ , we introduce the following notations:

$$\begin{aligned} u_{\psi_\varepsilon}(t, x) &:= u(t, \psi_\varepsilon(t, x)), & u_{\psi_\varepsilon^{-1}}(t, x) &:= u(t, \psi_\varepsilon^{-1}(t, x)), \\ u_{\psi_0}(t, x, y) &:= u(t, x, \psi_0(t, x, y)), & u_{\psi_0^{-1}}(t, x, y) &:= u(t, x, \psi_0^{-1}(t, x, y)). \end{aligned}$$

338 **Remark 1.** Let  $\psi_\varepsilon$  be a sequence of locally periodic transformations in the  
 339 sense of Definition 4. If additionally  $\partial_t \psi_\varepsilon \in L^{p_s}(S; C(\bar{\Omega}))^N$  for  $p_s > 1$ ,  
 340 we can conclude  $\psi_\varepsilon \in C(\bar{S}; C(\bar{\Omega}))^N$ , which allows us to evaluate  $\Omega_\varepsilon(t) =$   
 341  $\psi_\varepsilon(t, \Omega_\varepsilon)$  for every  $t \in \bar{S}$ . Moreover, if  $\partial_t \psi_0 \in L^\infty(\Omega; L^{p_s}(S; C(\bar{Y})))^N$ , we  
 342 get  $\psi_0 \in L^\infty(\Omega; C(\bar{S}; C(\bar{Y})))^N$  which allows defining the local reference cell  
 343  $Y_x^*(t) := \psi_0(t, x, Y^*)$  for a.e.  $x \in \Omega$  and every  $t \in \bar{S}$ .

In our case, where  $\psi_\varepsilon$  is given by (32), we can show that  $\psi_\varepsilon$  is a locally  
 periodic transformation in the sense of Definition 4 if  $r_\varepsilon$  converges strongly.  
 In order to prove this, we use the unfolding operator

$$L^{p_s}(S; L^p(\Omega)) \rightarrow L^{p_s}(S; L^p(\Omega \times Y)), \mathcal{T}_\varepsilon u(t, x, y) := u(t, [x]_{\varepsilon, Y} + \varepsilon y).$$

It allows us to rewrite, two-scale convergence as convergence in  $L^{p_s}(S; L^p(\Omega \times Y))$ , i.e.  $u_\varepsilon \xrightarrow{p_s, p} u_0$  if and only if  $\mathcal{T}_\varepsilon u_\varepsilon \rightharpoonup u_0$  in  $L^{p_s}(S; L^p(\Omega \times Y))$ . In our case  $\mathcal{T}_\varepsilon$  is isometric, because  $\Omega$  consist only on whole  $\varepsilon$ -scaled cells. Thus,  $u_\varepsilon \xrightarrow{p_s, p} u_0$  if and only if  $\mathcal{T}_\varepsilon u_\varepsilon \rightharpoonup u_0$  in  $L^{p_s}(S; L^p(\Omega \times Y))$ . Moreover, the unfolding operator can be defined for the periodic boundary in the same way, i.e.

$$L^{p_s}(S; L^p(\Gamma_\varepsilon)) \rightarrow L^{p_s}(S; L^p(\Omega \times \Gamma)), \mathcal{T}_\varepsilon u(t, x, y) := u(t, [x]_{\varepsilon, Y} + \varepsilon y).$$

344 In the limit process, we use the following properties of  $\mathcal{T}_\varepsilon$  which can be  
 345 found in [25]: For  $u \in L^{p_s}(S; W^{1,p}(\Omega))$  it holds  $\varepsilon^{-1} \nabla_y \mathcal{T}_\varepsilon u = \mathcal{T}_\varepsilon \nabla_x u$  and for  
 346  $u \in L^{p_s}(S; L^p(\Gamma_\varepsilon))$  it holds  $\varepsilon \int_S \int_{\Gamma_\varepsilon} u(t, x) d\sigma_x dt = \int_S \int_\Omega \int_\Gamma \mathcal{T}_\varepsilon u(t, x, y) d\sigma_y dx dt$ .

**Lemma 9.** Let  $\psi$  be defined by (25) and  $\psi_\varepsilon$  by (32), where  $R$  fulfils the assumptions (26)–(29). Let  $r_{\min} \leq r_{\varepsilon, k}(t) \leq r_{\max}$  for every  $k \in I_\varepsilon$  and a.e.  $t \in S$  and assume that  $r_{\varepsilon, k_\varepsilon(\cdot, x)}$  converges strongly to  $r$  in  $L^1(S \times \Omega)$ . Then,  $\psi_\varepsilon$  is a sequence of locally periodic transformations in the sense of Definition 4 with limit transformation

$$\psi_0(t, x, y) = \psi(r(t, x), y). \quad (119)$$

347 **PROOF.** The properties 1–3 of Definition 4 follow directly from the construc-  
 348 tion of  $\psi_\varepsilon$  and the uniform boundedness of  $r_{\varepsilon, k}(t)$  from above and below. The  
 349 strong convergence of  $r_{\varepsilon, k_\varepsilon(\cdot, x)}$  to  $r$  transfers this boundedness to its limit:  
 350  $r_{\min} \leq r(t, x) \leq r_{\max}$  for a.e.  $(t, x) \in S \times \Omega$ . Thus, the properties 4a–4b of  
 351 Definition 4 follow from the construction of  $\psi_0$ .

It remains to show Property 4c, which is equivalent to the strong convergences  $\varepsilon^{-1} \mathcal{T}_\varepsilon \check{\psi}_\varepsilon \rightarrow \check{\psi}_0$  and  $\mathcal{T}_\varepsilon \nabla_x \check{\psi}_\varepsilon = \varepsilon^{-1} \nabla_y \mathcal{T}_\varepsilon \check{\psi}_\varepsilon \rightarrow \nabla_y \check{\psi}_0$  in  $L^p(\Omega \times Y)$ . Due to the strong convergence of  $r_{\varepsilon, k_\varepsilon(\cdot, x)}$ , we can pass to a subsequence  $\varepsilon$  such that  $r_{\varepsilon, k_\varepsilon(x)}(t) \rightarrow r(t, x)$  for a.e.  $(t, x) \in S \times \Omega$ . Employing the continuity of  $r \mapsto \check{\psi}(r, y)$  and  $r \mapsto \nabla_y \check{\psi}(r, y)$ , we obtain:

$$\begin{aligned} \varepsilon^{-1} \mathcal{T}_\varepsilon \check{\psi}_\varepsilon(t, x, y) &= \check{\psi}(r_{\varepsilon, k_\varepsilon([x]_{\varepsilon, Y} + \varepsilon y)}(t), \{[x]_{\varepsilon, Y} + \varepsilon y\}_{\varepsilon, Y}) = \check{\psi}(r_{\varepsilon, k_\varepsilon(x)}(t), y) \rightarrow \check{\psi}(r(t, x), y), \\ \mathcal{T}_\varepsilon \nabla_x \check{\psi}_\varepsilon(t, x, y) &= \varepsilon^{-1} \nabla_y \mathcal{T}_\varepsilon \check{\psi}_\varepsilon(t, x, y) = \nabla_y \check{\psi}(r_{\varepsilon, k_\varepsilon(x)}(t), y) \rightarrow \nabla_y \check{\psi}(r(t, x), y) \end{aligned}$$

352 for a.e.  $(t, x) \in S \times \Omega$ . Since these functions are uniformly bounded in  
 353  $L^\infty(S \times \Omega \times Y)$  we obtain the convergence of these functions in  $L^p(S \times \Omega \times Y)$ .  
 354 Because the argumentation holds for every arbitrary subsequence, we obtain  
 355 the desired convergences.



356 We obtain the strong convergence of the Jacobian matrix and determinant  
357 of the transformations:

358 **Lemma 10.** *Let  $\psi_\varepsilon$  be a locally periodic transformation in the sense of Def-*  
359 *inition 4 with limit transformation  $\psi_0$  and Jacobian matrices and determi-*  
360 *nants  $\Psi_\varepsilon, J_\varepsilon, \Psi_0, J_0$ . Then,  $\Psi_\varepsilon \xrightarrow{p,p} \Psi_0, J_\varepsilon \xrightarrow{p,p} J_0, \Psi_\varepsilon^{-1} \xrightarrow{p,p} \Psi_0^{-1}$  for every*  
361  *$p \in (1, \infty)$ .*

362 **PROOF.** Lemma 10 is the time-dependent version of [14, Lemma 3.3] and  
363 can be proven analogously to the stationary case there.

364 Using the notation of locally periodic transformations, we can show that the  
365 two-scale limit and the transformation commutes in the following sense:

366 **Proposition 11 (Two-scale transformation).** *Let  $\psi_\varepsilon$  be a locally peri-*  
367 *odic transformation in the sense of Definition 4 with limit transformation*  
368  *$\psi_0$ . Let  $p_s, p \in (1, \infty)$  and  $u_\varepsilon, \hat{u}_\varepsilon = u_\varepsilon(\cdot, t, \psi_\varepsilon(\cdot, t, \cdot, x)) \in L^{p_s}(S; L^p(\Omega))$ . Then,*  
369 *the following statements hold:*

- 370 1.  $u_\varepsilon \xrightarrow{p_s, p} 0$  for  $u_0 \in L^{p_s}(S; L^p(\Omega \times Y))$  if and only if  $\hat{u}_\varepsilon \xrightarrow{p_s, p} 0$  for  $\hat{u}$   
371  $\hat{u} = u_{0, \psi_0}$  and equivalently  $u_0 = \hat{u}_{\psi_0^{-1}}$ ,
- 372 2.  $u_\varepsilon \xrightarrow{p_s, p} u_0$  for  $u_0 \in L^{p_s}(S; L^p(\Omega \times Y))$  if and only if  $\hat{u}_\varepsilon \xrightarrow{p_s, p} \hat{u}_0$  for  
373  $\hat{u} = u_{0, \psi_0}$  and equivalently  $u_0 = \hat{u}_{\psi_0^{-1}}$ .

374 **PROOF.** Statement 1 is the time-dependent version of [14, Theorem 3.8] and  
375 statement 2 is the time-dependent version of [14, Theorem 3.14]. They can  
376 be proven analogously to the stationary case there.

377 We can apply Proposition 11 for functions defined on the porous subset  
378 by extending them by 0 to  $\Omega$ . However, this can not be transferred directly  
379 to the case of weakly differentiable functions because the extension by 0 is  
380 not regularity preserving. Therefore, we use the following transformation  
381 rule for functions defined on the porous domain.

382 For the sake of simplicity, in the following Proposition let the domains  $\Omega_\varepsilon$   
383 and  $\Omega_\varepsilon(t)$  be given as in the previous sections and  $Y_x^*(t) := \psi_0(t, x, Y^*)$ .

384 **Proposition 12 (Two-scale transformation of gradients).** *Let  $\psi_\varepsilon$  be a*  
385 *locally periodic transformation in the sense of Definition 4 with limit transfor-*  
386 *mation  $\psi_0$ . Let  $p_s, p \in (1, \infty)$  and  $u_\varepsilon \in L^{p_s}(S; W^{1,p}(\Omega_\varepsilon(t)))$ ,  $\hat{u}_\varepsilon = u_\varepsilon(\cdot, t, \psi_\varepsilon(\cdot, t, \cdot, x)) \in$*

387  $L^{p_s}(S; W^{1,p}(\Omega_\varepsilon))$ , where  $\Omega_\varepsilon(t) := \psi_\varepsilon(t, \Omega_\varepsilon)$  for a.e.  $t \in S$ . Then,  $\widetilde{\nabla} u_\varepsilon \xrightarrow{p_s, p} \dots$   
388  $\chi_{Y^*(\cdot, t)}(\cdot, y) \nabla_x u_0 + \widetilde{\nabla}_y u_1$  for  $(u_0, u_1) \in L^{p_s}(S; W^{1,p}(\Omega)) \times L^{p_s}(S; L^p(\Omega; W_{\#}^{1,p}(Y_x^*(t))/\mathbb{R}))$   
389 if and only if  $\widetilde{\nabla} \hat{u} \xrightarrow{p_s, p} \dots$  for  $\hat{u}_0 = u_0$  and  $\hat{u}_1 = u_{1, \psi_0} + \chi_{Y^*} \psi_0 \cdot$   
390  $\nabla_x u_0$ , which is equivalent to  $\hat{u}_1 = \hat{u}_{1, \psi_0^{-1}} + \chi_{Y^*(\cdot, t)} \psi_0^{-1} \cdot \nabla_x \hat{u}$ .

391 **PROOF.** Proposition 12 is the time-dependent version of [14, Theorem 3.10]  
392 and can be proven analogously to the stationary case there.

393 In order to homogenise the non-linear boundary terms of (17)–(18), we  
394 need a strong convergence of  $\hat{u}$ . This can be achieved by extending the  
395 functions with the following result (cf. [26], [27]):

**Proposition 13.** *There exists a family of extension operator  $E_\varepsilon \in L(H^1(\Omega_\varepsilon); H^1(\Omega))$  such that*

$$\|E_\varepsilon u_\varepsilon\|_\Omega \leq C \|u_\varepsilon\|_{\Omega_\varepsilon}, \|\nabla E_\varepsilon u_\varepsilon\|_\Omega \leq C \|\nabla u_\varepsilon\|_{\Omega_\varepsilon}$$

396 for every  $u_\varepsilon \in H^1(\Omega_\varepsilon)$ .

397 Applying Proposition 13 for a.e.  $t \in S$  gives the following time-dependent  
398 version of this extension operator.

**Corollary 14.** *Let  $p_s \in [1, \infty]$ . There exists a family of linear extension operators  $E_\varepsilon$  from  $L^{p_s}(S; H^1(\Omega_\varepsilon))$  to  $L^{p_s}(S; H^1(\Omega))$  such that*

$$\|E_\varepsilon u\|_{L^{p_s}(S; L^2(\Omega))} \leq C \|u\|_{L^{p_s}(S; L^2(\Omega_\varepsilon))}, \quad (120)$$

$$\|\nabla E_\varepsilon u\|_{L^{p_s}(S; L^2(\Omega))} \leq C \|\nabla u\|_{L^{p_s}(S; L^2(\Omega_\varepsilon))}, \quad (121)$$

$$\|E_\varepsilon u(t)\|_\Omega \leq C \|u(t)\|_{\Omega_\varepsilon} \quad (122)$$

399 for every  $u \in L^{p_s}(S; H^1(\Omega_\varepsilon))$  and a.e.  $t \in S$ .

400 In order to show the strong convergence of  $E_\varepsilon \hat{u}$ , we show the uniform  
401 convergence of  $\delta_h \hat{u}$  to 0 for  $h \rightarrow 0$ , where we defined  $\delta_h \varphi(t) = \varphi(t+h) - \varphi(t)$   
402 for  $h > 0$  and time dependent functions  $\varphi$ . Then, we apply the compactness  
403 result of [21]. This approach has been presented in the context of homogeni-  
404 sation in [28]. However, in our setting the uniform convergence of  $\delta_h \hat{u}$  can  
405 not be concluded from a uniform bound of the time derivative as in [28] since  
406  $\partial_t \hat{u} = \langle \partial_t (J_\varepsilon \hat{u}), J^{-1} \cdot \rangle_{\Omega_\varepsilon} - \langle \partial_t J_\varepsilon \hat{u}, J_\varepsilon^{-1} \cdot \rangle_{\Omega_\varepsilon}$  is not uniformly bounded in our  
407 setting. The critical point is the  $\varepsilon^{-1}$ -scaling of  $\nabla J_\varepsilon$ . Therefore, we derive the

408 uniform convergence of  $\delta_h \hat{u}$  to 0 from the weak form in Lemma 16. In order  
 409 to get rid of the  $\nabla J_\varepsilon$  term, we integrate the solution  $\hat{u}$  over a by  $h$ -scaled  
 410 time interval before we use it as test function in the weak form. Thus, we can  
 411 shift the time derivative on this more regular test function and it becomes  
 412 sufficient to estimate  $J_\varepsilon$  instead of  $\nabla J_\varepsilon$ .

**Lemma 15.** *Let  $u_\varepsilon$  be a sequence in  $L^2(S; H^1(\Omega_\varepsilon))$  such that*

$$\|\hat{u}\|_{L^2(S; H^1(\Omega_\varepsilon))} \leq C, \quad (123)$$

$$\|\delta_h \hat{u}\|_{(0, T-h) \times \Omega_\varepsilon} \xrightarrow{h \rightarrow 0} 0 \text{ uniformly with respect to } \varepsilon. \quad (124)$$

413 Then, there exists  $u_0 \in L^2(S \times \Omega)$  and a subsequence  $\varepsilon$  such that  $E_\varepsilon \hat{u}$  con-  
 414 verges strongly to  $u_0$  in  $L^2(S \times \Omega)$ .

PROOF. Let  $E_\varepsilon \hat{u}$  be the extension of  $\hat{u}$ . Then,

$$\|\delta_h E_\varepsilon \hat{u}\|_{(0, T-h) \times \Omega} \leq C \|\delta_h \hat{u}\|_{(0, T-h) \times \Omega_\varepsilon} \rightarrow 0 \quad (125)$$

converges uniformly (with respect to  $\varepsilon$ ) to zero for  $h \rightarrow 0$ . Moreover, we can estimate for every  $0 \leq t_1 < t_2 \leq S$  with the Hölder inequality

$$\begin{aligned} & \left\| \int_{t_1}^{t_2} E_\varepsilon \hat{u}(t) dt \right\|_{H^1(\Omega)}^2 = \int_{\Omega} \left( \int_{t_1}^{t_2} E_\varepsilon \hat{u}(t, x) dt \right)^2 dx + \int_{\Omega} \left( \int_{t_1}^{t_2} \nabla E_\varepsilon \hat{u}(t, x) dt \right)^2 dx \\ & \leq \int_{\Omega} \|1\|_S^2 \int_S (E_\varepsilon \hat{u})^2(t, x) dt dx + \int_{\Omega} \|1\|_S^2 \int_S (\nabla E_\varepsilon \hat{u})^2(t, x) dt dx \\ & = |S| \|E_\varepsilon \hat{u}\|_{L^2(S; H^1(\Omega))}^2 \leq C \|u_\varepsilon\|_{L^2(S; H^1(\Omega_\varepsilon))}^2 \leq C. \end{aligned}$$

415 Since  $\int_{t_1}^{t_2} E_\varepsilon \hat{u}(t) dt$  is uniformly bounded in  $H^1(\Omega)$ , it is compact in  $L^2(\Omega)$ .

416 Thus, we can conclude with [21, Theorem 1] that  $E_\varepsilon \hat{u}$  is compact in  $L^2(S; L^2(\Omega)) =$   
 417  $L^2(S \times \Omega)$ .

**Lemma 16.** *Let  $u_\varepsilon$  be the solution of (32)–(33), (44)–(46), then*

$$\|\delta_h \hat{u}\|_{(0, T-h) \times \Omega_\varepsilon} \rightarrow 0 \quad (126)$$

for  $h \rightarrow 0$  uniformly with respect to  $\varepsilon$ , i.e. there exists a continuous monotonically decreasing function  $\omega: [0, \infty) \rightarrow \mathbb{R}$  with  $\omega(0) = 0$  such that

$$\|\delta_h \hat{u}\|_{(0, T-h) \times \Omega_\varepsilon} \leq \omega(h)$$

418 for every  $\delta > 0$ .

PROOF. First we note that

$$\delta_h(J_\varepsilon \hat{\mathbf{u}}) = J_\varepsilon \delta_h \hat{\mathbf{u}} + \delta_h J_\varepsilon \hat{\mathbf{u}}(\cdot + h).$$

Thus,

$$\begin{aligned} c_J \|\delta_h \hat{\mathbf{u}}\|_{(0, T-h) \times \Omega_\varepsilon}^2 &\leq (J_\varepsilon \delta_h \hat{\mathbf{u}}, \delta_h \hat{\mathbf{u}})_{(0, T-h) \times \Omega_\varepsilon} \\ &\leq |(\delta_h(J_\varepsilon \hat{\mathbf{u}}), \delta_h \hat{\mathbf{u}})_{(0, T-h) \times \Omega_\varepsilon}| + |(\delta_h J_\varepsilon \hat{\mathbf{u}}(\cdot + h), \delta_h \hat{\mathbf{u}})_{(0, T-h) \times \Omega_\varepsilon}|. \end{aligned} \quad (127)$$

Since  $\|\partial_t r_\varepsilon\|_{L^\infty(S \times \Omega_\varepsilon)} \leq C$ , Lemma 1 implies  $\|\partial_t J_\varepsilon\|_{L^\infty(S; C(\overline{\Omega_\varepsilon}))} \leq C$  and thus, we can estimate the last term of (127) by

$$\begin{aligned} |(\delta_h J_\varepsilon \hat{\mathbf{u}}(\cdot + h), \delta_h \hat{\mathbf{u}})_{(0, T-h) \times \Omega_\varepsilon}| &\leq |Ch(\hat{u}_\varepsilon(\cdot + h), \delta_h \hat{\mathbf{u}})_{(0, T-h) \times \Omega_\varepsilon}| \\ &\leq Ch \|\hat{u}_\varepsilon(\cdot + h)\|_{(0, T-h) \times \Omega_\varepsilon} \|\hat{\mathbf{u}}\|_{(0, T-h) \times \Omega_\varepsilon} \leq Ch. \end{aligned}$$

419 Hence, it is sufficient to show that  $(\delta_h(J_\varepsilon \hat{\mathbf{u}}), \delta_h \hat{\mathbf{u}})_{(0, T-h) \times \Omega_\varepsilon}$  converges uni-  
420 formly to zero for  $h \rightarrow 0$ .

We note that we can rewrite the first term in (44) for  $\varphi \in L^2(S; H^1(\Omega_\varepsilon))$  with  $\partial_t \varphi \in L^2(S; H^1(\Omega_\varepsilon))$  by

$$\begin{aligned} &\int_S \langle \partial_t (J_\varepsilon(t) \hat{\mathbf{u}}(t)), \varphi(t) \rangle_{\Omega_\varepsilon} dt \\ &= -(\partial_t \varphi, J_\varepsilon \hat{\mathbf{u}})_{S \times \Omega_\varepsilon} + (J_\varepsilon(T) \hat{\mathbf{u}}(T), \varphi(T))_{\Omega_\varepsilon} - (J_\varepsilon(0) \hat{\mathbf{u}}(0), \varphi(0))_{\Omega_\varepsilon}. \end{aligned}$$

Now, we assume that  $\varphi \in H^1((-h, T); H^1(\Omega_\varepsilon))$  with  $\varphi(-h) = \varphi(T) = 0$ . Then, we test (44) with  $\delta_{-h} \varphi$  and use

$$(\partial_t \varphi(\cdot - h), J_\varepsilon \hat{\mathbf{u}})_{S \times \Omega_\varepsilon} = (\partial_t \varphi, J_\varepsilon(\cdot + h) \hat{\mathbf{u}}(\cdot + h))_{(-h, T-h) \times \Omega_\varepsilon}, \quad (128)$$

which yields

$$\begin{aligned} &(\partial_t \varphi, \delta_h(J_\varepsilon \hat{\mathbf{u}}))_{(0, T-h) \times \Omega_\varepsilon} \\ &= -(\partial_t \varphi, J_\varepsilon(\cdot + h) \hat{\mathbf{u}}(\cdot + h))_{(-h, 0) \times \Omega_\varepsilon} + (\partial_t \varphi, J_\varepsilon \hat{\mathbf{u}})_{(T-h, T) \times \Omega_\varepsilon} \\ &\quad + (J_\varepsilon(0) \hat{\mathbf{u}}(0), \varphi(0))_{\Omega_\varepsilon} - (J_\varepsilon(T) \hat{\mathbf{u}}(T), \varphi(T-h))_{\Omega_\varepsilon} \\ &\quad + (A_\varepsilon(t) \nabla \hat{\mathbf{u}}(t), \nabla \delta_{-h} \varphi)_{S \times \Omega_\varepsilon} + (B_\varepsilon(t) \hat{\mathbf{u}}(t), \nabla \delta_{-h} \varphi)_{S \times \Omega_\varepsilon} \\ &\quad - (J_\varepsilon(t) \hat{f}_\varepsilon^p(t), \delta_{-h} \varphi)_{S \times \Omega_\varepsilon} + \sum_{k \in I_\varepsilon} \frac{r_{\varepsilon, k}^{n-1}}{r_0^{n-1}} (\varepsilon f(\hat{\mathbf{u}}(t), r_{\varepsilon, k}(t)), \delta_{-h} \varphi)_{S \times \Gamma_{\varepsilon, k}} \\ &=: M_1 + \dots + M_8. \end{aligned} \quad (129)$$

Now we choose

$$\varphi(t) = h^{-1} \int_t^{t+h} \hat{u}(\tau) d\tau \quad (130)$$

where we implicitly extend  $\hat{u}(\tau)$  by 0 for  $\tau > T$  and for  $\tau < 0$ . Thus, we get for a.e.  $t \in S$

$$\partial_t \varphi(t) = \begin{cases} h^{-1} \hat{u}(t+h) & t < 0, \\ h^{-1} (\hat{u}(t+h) - \hat{u}_\varepsilon(t)) & 0 < t < T-h, \\ -h^{-1} \hat{u}(t) & t > T-h. \end{cases} \quad (131)$$

Then, the left-hand side of (129) can be rewritten by

$$(\partial_t \varphi, \delta_h(J_\varepsilon \hat{u}))_{(0, T-h) \times \Omega_\varepsilon} = h^{-1} (\delta_h \hat{u}, \delta_h(J_\varepsilon \hat{u}))_{(0, T-h) \times \Omega_\varepsilon}. \quad (132)$$

421 Hence, it is sufficient to show that  $M_1, M_2, \dots, M_8$  are uniformly bounded  
422 for  $\varphi$  given by (130).

•  $M_1, \dots, M_4$ . Since  $\|\hat{u}_\varepsilon\|_{C^0(\bar{S}; L^2(\Omega_\varepsilon))} \leq C$ , we can estimate

$$\begin{aligned} M_1 &= -(h^{-1} \hat{u}(\cdot+h), J_\varepsilon(\cdot+h) \hat{u}(\cdot+h))_{(-h, 0) \times \Omega_\varepsilon} \leq C, \quad (133) \\ M_2 &= (h^{-1} \hat{u}, J_\varepsilon \hat{u})_{(T-h, T) \times \Omega_\varepsilon} \leq C, \end{aligned} \quad (134)$$

$$M_3 = \left( J_\varepsilon(0) \hat{u}(0), h^{-1} \int_0^h \hat{u}(\tau) d\tau \right)_{\Omega_\varepsilon} \leq C, \quad (135)$$

$$M_4 = - \left( J_\varepsilon(T) \hat{u}(T), \int_{T-h}^T \hat{u}(\tau) d\tau \right)_{\Omega_\varepsilon} \leq C. \quad (136)$$

•  $M_5, M_6$  and  $M_7$ . We show the estimate for  $M_5$ . The estimate for  $M_6$  follows analogously and the estimate for  $M_7$  is similar. We rewrite

$$\begin{aligned} M_5 &= h^{-1} \int_S \left( A_\varepsilon(t) \nabla \hat{u}(t), \int_{t-h}^t \nabla \hat{u}(\tau) d\tau \right)_{\Omega_\varepsilon} dt \\ &\quad - h^{-1} \int_S \left( A_\varepsilon(t) \nabla \hat{u}(t), \int_t^{t+h} \nabla \hat{u}(\tau) d\tau \right)_{\Omega_\varepsilon} dt =: M_{5a} + M_{5b}. \end{aligned}$$

Then, we get with the Hölder inequality

$$\begin{aligned} M_{5a} &\leq h^{-1} \int_0^h \int_S C \|\nabla \hat{u}_\varepsilon(t)\|_{\Omega_\varepsilon} \|\nabla \hat{u}_\varepsilon(t-h+\tau)\|_{\Omega_\varepsilon} dt d\tau \\ &\leq Ch^{-1} \int_0^h \|\nabla \hat{u}_\varepsilon\|_{S \times \Omega_\varepsilon} \|\nabla \hat{u}_\varepsilon(\cdot - h + \tau)\|_{S \times \Omega_\varepsilon} d\tau \leq C \|\nabla \hat{u}_\varepsilon\|_{S \times \Omega_\varepsilon}^2 \leq C \end{aligned}$$

423 and by the same argumentation we can estimate  $M_{5b}$ .

•  $M_8$ . We split  $M_8$  into two sums as we already did for  $M_5$ . We show the estimate for the first summand. The estimate for the second summand can be done in the same way. With the  $\varepsilon$ -scaled trace inequality, the uniform bound of  $r_\varepsilon$  and we get

$$\begin{aligned} &h^{-1} \sum_{k \in I_\varepsilon} \left( \varepsilon \frac{r_\varepsilon^{n-1}}{r_0^{n-1}} f(\hat{u}_\varepsilon(t), r_{\varepsilon,k}(t)), \int_{t-h}^t \hat{u}_\varepsilon(\tau) d\tau \right)_{S \times \Gamma_{\varepsilon,k}} \\ &\leq h^{-1} \int_0^h C \sum_{k \in I_\varepsilon} \varepsilon \int_{S \times \Gamma_{\varepsilon,k}} |\hat{u}_\varepsilon(t-h+\tau, x)| d\tau dx dt \\ &\leq \varepsilon C \|\hat{u}_\varepsilon\|_{L^1(S \times \Gamma_\varepsilon)} \leq C \|\hat{u}_\varepsilon\|_{L^1(S \times \Omega_\varepsilon)} + C\varepsilon \|\nabla \hat{u}_\varepsilon\|_{L^1(S \times \Omega_\varepsilon)} \leq C. \end{aligned}$$

424 Combining the estimates of  $M_1, M_2, \dots, M_8$  shows, that  $h^{-1}(\delta_h \hat{u}_\varepsilon \delta_h (J_\varepsilon \hat{u}_\varepsilon))_{(0, T-h) \times \Omega_\varepsilon}$   
425 is uniformly bounded and hence that  $(\delta_h \hat{u}_\varepsilon \delta_h (J_\varepsilon \hat{u}_\varepsilon))_{(0, T-h) \times \Omega_\varepsilon}$  converges uni-  
426 formly to 0.

**Theorem 17.** Let  $(\hat{u}_\varepsilon, r_\varepsilon)$  be the unique solution of (32)–(33), (44)–(46). Then, there exists for every subsequence a further subsequence  $\varepsilon$  such that

$$\hat{u}_\varepsilon \xrightarrow{2,2} \chi_{Y^*} \hat{u} \quad \text{with respect to the } L^2 \text{-norm, (137)}$$

$$\widehat{\nabla \hat{u}_\varepsilon} \xrightarrow{2,2} -\nabla_{Y^*} \chi \hat{u} + \widehat{\nabla_y \hat{u}} \quad \text{with respect to the } L^2 \text{-norm, (138)}$$

$$r_{\varepsilon, k_\varepsilon(\cdot, x)} \rightarrow r \text{ in } L^\infty(S; L^p(\Omega)) \text{ for every } p \in [1, \infty), \text{ (139)}$$

$$\partial_t r_{\varepsilon, k_\varepsilon(\cdot, x)} \rightarrow \partial_t r \text{ in } L^p(S \times \Omega) \text{ for every } p \in [1, \infty), \text{ (140)}$$

427 where  $(\hat{u}, \hat{u}, r) \in L^2(S; H^1(\Omega)) \times L^2(S; L^2(\Omega; H^1_{\#}(Y^*)/\mathbb{R})) \times W^{1,\infty}(S; L^2(\Omega))$   
428 is a solution of (141)–(142).

Find  $(\hat{\boldsymbol{\mu}}, \hat{\boldsymbol{u}}, r) \in L^2(S; H^1(\Omega)) \times L^2(S; L^2(\Omega; H^1_{\#}(Y^*)/\mathbb{R})) \times W^{1,\infty}(S; L^2(\Omega))$  such that

$$\begin{aligned} & \int_{\Omega} (1 - V_N(r(0, x))) \hat{\boldsymbol{\mu}}^{(0)}(x) \varphi(0, x) dx - \int_S \int_{\Omega} (1 - V_N(r(t, x))) \hat{\boldsymbol{\mu}}(t, x) \partial_t \varphi(t, x) dx dt \\ & + \int_S \int_{\Omega} \int_{Y^*} A_0(t, x, y) (\nabla_x \hat{\boldsymbol{\mu}}(t, x) + \nabla_y \hat{\boldsymbol{u}}(t, x, y)) \cdot (\nabla_x \varphi(t, x) + \nabla_y \varphi_1(t, x, y)) dy dx dt \\ & = \int_S \int_{\Omega} (1 - V_N(r(t, x))) f^p(t, x) \varphi(t, x) - \partial_t V_N(r(t, x)) c_s \varphi(t, x) dx dt \end{aligned} \quad (141)$$

$$\int_S \int_{\Omega} \partial_t r(t, x) \phi(t, x) dx dt = \int_S \int_{\Omega} \frac{1}{c_s} f(\hat{\boldsymbol{\mu}}(t, x), r(t, x)) \phi(t, x) dx dt \quad (142)$$

429 for every  $(\varphi, \varphi_1, \phi) \in H^1(S \times \Omega) \times L^2(S; L^2(\Omega; H^1_{\#}(Y^*)/\mathbb{R})) \times L^2(S \times \Omega)$  with  
430 initial values  $r(0) = r^{(0)}$  and  $\hat{\boldsymbol{\mu}}^{(0)} = \boldsymbol{u}^{(0)}$ .

PROOF. Having the uniform estimates (50), we can apply Proposition 8, which gives  $\hat{\boldsymbol{\mu}} \in L^2(S; H^1(\Omega))$ ,  $\hat{\boldsymbol{u}} \in L^2(S \times \Omega; H^1_{\#}(Y^*)/\mathbb{R})$  such that for a subsequence:

$$\widetilde{\boldsymbol{u}} \xrightarrow{2,2} \boldsymbol{\mu} \quad \widetilde{\nabla u_{\varepsilon}} \xrightarrow{2,2} \nabla \boldsymbol{\mu} + \widetilde{\nabla_y \hat{\boldsymbol{u}}} \quad (143)$$

With (50) and (126), we can apply Lemma 15 and get (after passing to a further subsequence and identifying the limits)

$$E_{\varepsilon} \hat{\boldsymbol{u}} \rightarrow \hat{\boldsymbol{u}} \in L^2(S \times \Omega). \quad (144)$$

Thus the first convergence of (143) is strong. Moreover, this implies

$$\mathcal{T}_{\varepsilon} E_{\varepsilon} \hat{\boldsymbol{u}} \rightarrow \hat{\boldsymbol{u}} \text{ in } L^2(S \times \Omega \times Y) \quad (145)$$

and we get with  $\mathcal{T}_{\varepsilon} \nabla E_{\varepsilon} \hat{\boldsymbol{u}} = \varepsilon^{-1} \nabla_y \mathcal{T}_{\varepsilon} \hat{\boldsymbol{u}}$ , the isometry of  $\mathcal{T}_{\varepsilon}$  and the uniform boundedness of  $\|\nabla \hat{u}_{\varepsilon}\|_{S \times \Omega}$ :

$$\|\nabla_y \mathcal{T}_{\varepsilon} E_{\varepsilon} \hat{\boldsymbol{u}}\|_{S \times \Omega \times Y} = \varepsilon \|\mathcal{T}_{\varepsilon} \nabla E_{\varepsilon} \hat{\boldsymbol{u}}\|_{S \times \Omega \times Y} = \varepsilon \|\nabla E_{\varepsilon} \hat{\boldsymbol{u}}\|_{S \times \Omega} \leq C \varepsilon \|\nabla \hat{u}_{\varepsilon}\|_{S \times \Omega} \rightarrow 0.$$

Thus, we can conclude with the trace operator on  $\Gamma$

$$\begin{aligned} & \|\mathcal{T}_{\varepsilon} \hat{\boldsymbol{u}} - \hat{\boldsymbol{u}}\|_{S \times \Omega \times \Gamma} = \|\mathcal{T}_{\varepsilon} E_{\varepsilon} \hat{\boldsymbol{u}} - \hat{\boldsymbol{u}}\|_{S \times \Omega \times \Gamma} \\ & \leq C \|\mathcal{T}_{\varepsilon} E_{\varepsilon} \hat{\boldsymbol{u}} - \hat{\boldsymbol{u}}\|_{S \times \Omega \times Y^*} + C \|\nabla_y \mathcal{T}_{\varepsilon} E_{\varepsilon} \hat{\boldsymbol{u}} - \nabla_y \hat{\boldsymbol{u}}\|_{S \times \Omega \times Y^*} \rightarrow 0. \end{aligned} \quad (146)$$

In order to pass to the limit  $\varepsilon \rightarrow 0$  in the non-linear bulk and boundary terms, we show the strong convergence  $r_{\varepsilon, k_{\varepsilon}(\cdot, x)} \rightarrow r$  at first. We define  $r \in W^{1, \infty}(S; L^2(\Omega))$  as the unique solution of (142) with initial value  $r(0) = r^{(0)}$  and  $\hat{y}$  given by (144). Then, we test (45) by  $\chi_{(0, t)}(r_{\varepsilon, k_{\varepsilon}(x)} - r(x))$  for a.e.  $t \in S$ , a.e.  $x \in \varepsilon k + \varepsilon Y$  and every  $k \in I_{\varepsilon}$ , integrate over  $\varepsilon k + \varepsilon Y$  and sum over  $k \in I_{\varepsilon}$ :

$$\begin{aligned} & (\partial_t r_{\varepsilon, k_{\varepsilon}(\cdot, x)}, r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r)_{(0, t) \times \Omega} \\ &= \int_0^t \int_{\Omega} \frac{\varepsilon^{-N}}{c_s S_{N-1}(r_0)} \int_{\Gamma_{\varepsilon, k_{\varepsilon}(x)}} \varepsilon f(\hat{y}(\tau, y), r_{\varepsilon, k_{\varepsilon}(x)}) d\sigma_y (r_{\varepsilon, k_{\varepsilon}(x)}(\tau) - r(\tau, x)) dx d\tau \\ &= \left( \frac{1}{c_s S_{N-1}(r_0)} f(\mathcal{T}_{\varepsilon} \hat{y}, r_{\varepsilon, k_{\varepsilon}(\cdot, x)}), r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r \right)_{(0, t) \times \Omega \times \Gamma} \end{aligned} \quad (147)$$

We test (142) with  $\chi_{(0, t)}(r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r)$  and subtract it from (147):

$$\begin{aligned} & (\partial_t (r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r), r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r)_{(0, t) \times \Omega} \\ &= \frac{1}{c_s S_{N-1}(r_0)} (f(\mathcal{T}_{\varepsilon} \hat{y}, r_{\varepsilon, k_{\varepsilon}(\cdot, x)}) - f(\hat{u}_0, r), r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r)_{(0, t) \times \Omega \times \Gamma} \end{aligned}$$

Then, we rewrite the left-hand side and estimate the right-hand side using the Cauchy–Schwarz inequality, the Lipschitz condition (5) and the Young inequality:

$$-\frac{1}{2} \left\| r_{\varepsilon, k_{\varepsilon}(\cdot, x)}^{(0)} - r^{(0)} \right\|_{\Omega}^2 + \frac{1}{2} \left\| r_{\varepsilon, k_{\varepsilon}(\cdot, x)}(t) - r(t) \right\|_{\Omega}^2 \leq C \|\mathcal{T}_{\varepsilon} \hat{y} - u_0\|_{(0, t) \times \Omega \times \Gamma}^2 + C \left\| r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r \right\|_{(0, t) \times \Omega}^2$$

We estimate further with Gronwall's inequality and pass to the limit using (146) and the strong convergence of the initial values:

$$\left\| r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r \right\|_{L^{\infty}(S; L^2(\Omega))}^2 \leq C \|\mathcal{T}_{\varepsilon} \hat{y} - u_0\|_{S \times \Omega \times \Gamma}^2 + C \left\| r_{\varepsilon, k_{\varepsilon}(\cdot, x)}^{(0)} - r^{(0)} \right\|_{\Omega}^2 \rightarrow 0.$$

431 Since  $r_{\varepsilon, k_{\varepsilon}(\cdot, x)}$  and  $r_0$  are uniformly bounded in  $L^{\infty}(S \times \Omega)$ , we get  $\left\| r_{\varepsilon, k_{\varepsilon}(\cdot, x)} - r_0 \right\|_{L^{\infty}(S; L^p(\Omega))}$   
432 for every  $p \in [1, \infty)$ . Thus, Lemma 9 shows that  $\psi_{\varepsilon}$  are locally periodic trans-  
433 formations in the sense of Definition 4 and we can conclude with Lemma 10  
434 the strong two-scale convergence of  $J_{\varepsilon}, \Psi_{\varepsilon}, \Psi_{\varepsilon}^{-1}$ , which we need in order to  
435 pass to the limit  $\varepsilon \rightarrow 0$  in (44). Moreover, Definition 4, Proposition 11 and 10  
436 can be also formulated for the two-scale convergence without time parameter  
437 (cf. [14]). Thus, we can conclude the strong two-scale convergence also for  
438 the initial data, i.e.  $J_{\varepsilon}(0)$  two-scale converges strongly to  $J_0(0)$  and  $\widehat{y}^{(0)}$  two  
439 scale converges to  $\chi_{Y^*(\cdot, x)}(\cdot, y) \hat{y}^{(0)}(\cdot, x)$  with  $\hat{y}^{(0)} = u_0^{(0)}(\cdot, x, \psi_0(0, \cdot, x, \cdot, y))$ .



440 The strong convergence  $\partial_t r_{\varepsilon, k_\varepsilon(\cdot, x)} \rightarrow \partial_t r$  follows similarly. By testing (45)  
441 and (142) with  $\partial_t (r_{\varepsilon, k_\varepsilon(\cdot, x)} - r)$  and then subtracting the equations, we can  
442 conclude the strong convergence in  $L^2(S \times \Omega)$ . Subsequently, the boundedness  
443 in  $L^\infty(S \times \Omega)$  implies the strong convergence in  $L^p(S \times \Omega)$  for every  $p \in [1, \infty)$ .  
444 However, we do not need this strong convergence in order to pass to the  
445 limit, although the term  $B_\varepsilon = J_\varepsilon \Psi_\varepsilon^{-1} \partial_t \psi_\varepsilon$  contains the time derivative of  
446  $\psi_\varepsilon$ . The reason is that  $\|\partial_t \psi_\varepsilon\|_{L^\infty(S \times \Omega)} \leq \varepsilon C \|\partial_t r_{\varepsilon, k_\varepsilon(\cdot, x)}\|_{L^\infty(S \times \Omega)}$  and thus the  
447 boundedness of  $\|\partial_t r_{\varepsilon, k_\varepsilon(\cdot, x)}\|_{L^\infty(S \times \Omega)}$  is already sufficient for the limit process.

In order to pass to the limit in (44), we test it by  $\varphi(\cdot, t, \cdot, x) + \varepsilon \varphi_1(\cdot, t, \cdot, x, \frac{x}{\varepsilon})$   
for  $(\varphi, \varphi_1) \in C^\infty(S; C^\infty(\Omega)) \times D(S; C^\infty(\Omega; C^\infty_\#(Y)))$  with  $\varphi(T) = 0$  and  
integrate the time derivative term by parts:

$$\begin{aligned}
& \int_{\Omega_\varepsilon} J_\varepsilon(t, x, y) \hat{\mathbf{y}}^{(0)}(x) (\varphi(0, x) + \varepsilon \varphi_1(0, x, \frac{x}{\varepsilon})) dx \\
& - \int \int_{S \times \Omega_\varepsilon} J_\varepsilon(t, x) \hat{\mathbf{y}}(t, x) (\partial_t \varphi(t, x) + \partial_t \varphi_1(t, x, \frac{x}{\varepsilon})) dx dt \\
& + \int \int_{S \times \Omega_\varepsilon} A_\varepsilon(t, x, y) \nabla \hat{\mathbf{y}}(t, x) \cdot (\nabla_x \varphi(t, x) + \varepsilon \nabla_x \varphi_1(t, x, \frac{x}{\varepsilon}) + \nabla_y \varphi_1(t, x, \frac{x}{\varepsilon})) dx dt \\
& + \int \int_{S \times \Omega_\varepsilon} B_\varepsilon(t, x, y) \hat{\mathbf{y}}(t, x) \cdot (\nabla_x \varphi(t, x) + \varepsilon \nabla_x \varphi_1(t, x, \frac{x}{\varepsilon}) + \nabla_y \varphi_1(t, x, \frac{x}{\varepsilon})) dx dt \\
& = \int \int_{S \times \Omega_\varepsilon} J_\varepsilon(t, x) \hat{f}_\varepsilon^p(t, x) (\varphi(t, x) + \varepsilon \varphi_1(t, x, \frac{x}{\varepsilon})) dx dt \\
& - \sum_{k \in I_\varepsilon} \int \int_{S \times \Gamma_{\varepsilon, k}} \varepsilon \frac{r_{\varepsilon, k}^{n-1}(t)}{r_0^{n-1}} f(\hat{\mathbf{y}}(t, x), r_{\varepsilon, k}(t)) (\varphi(t, x) + \varepsilon \varphi_1(t, x, \frac{x}{\varepsilon})) d\sigma_x dt.
\end{aligned}$$

We rewrite the boundary integral with the unfolding operator  $\mathcal{T}_\varepsilon$ , so that we  
can pass to the limit  $\varepsilon \rightarrow 0$  using the strong convergences of  $\mathcal{T}_\varepsilon u_\varepsilon$  and  $r_{\varepsilon, k_\varepsilon(\cdot, x)}$

and the continuity of  $f$ :

$$\begin{aligned}
& \sum_{k \in I_\varepsilon} \int_S \int_{\Gamma_{\varepsilon,k}} \varepsilon \frac{r_{\varepsilon,k}^{n-1}(t)}{r_0^{n-1}} f(\hat{\mathbf{u}}(t, x), r_{\varepsilon,k}(t)) (\varphi(t, x) + \varepsilon \varphi_1(t, x, y)) d\sigma_y dx dt \\
&= \int_S \int_\Omega \int_\Gamma \frac{r_{\varepsilon, k_\varepsilon(x)}^{n-1}(t)}{r_0^{n-1}} f(\mathcal{T}_\varepsilon \hat{\mathbf{u}}(t, x, y), r_{\varepsilon, k_\varepsilon(x)}(t)) \\
&\quad (\mathcal{T}_\varepsilon \varphi(t, x) + \varepsilon \mathcal{T}_\varepsilon (\varphi_1(\cdot, \cdot, \frac{\cdot}{\varepsilon}))(t, x, y)) d\sigma_y dx dt \\
&\rightarrow \int_S \int_\Omega \int_\Gamma \frac{r^{n-1}(t, x)}{r_0^{n-1}} f(\hat{\mathbf{u}}(t, x), r(t, x)) \varphi(t, x) d\sigma_y dx dt \quad (148)
\end{aligned}$$

Using (142) and  $S_{n-1}(r) = \partial_r V_N(r)$ , we can rewrite the right-hand side of (148):

$$\int_S \int_\Omega \int_\Gamma \frac{r^{n-1}(t, x)}{r_0^{n-1}} f(\hat{\mathbf{u}}(t, x), r(t, x)) \varphi(t, x) d\sigma_y dx dt = \int_S \int_\Omega \partial_t V_N(r(t, x)) c_s \varphi(t, x) dx dt$$

Moreover, the uniform boundedness of  $\partial_t r_\varepsilon$  given by (52) implies  $\partial_t \psi_\varepsilon \rightarrow 0$  in  $L^\infty(S \times \Omega)$ . Thus,  $B_\varepsilon \hat{\mathbf{u}}$  vanishes in the limit  $\varepsilon \rightarrow 0$  of (148) and we obtain

$$\begin{aligned}
& \int_\Omega \int_{Y^*} J_0(0, x, y) \hat{\mathbf{u}}^{(0)}(x) \varphi(0, x) dy dx - \int_S \int_\Omega \int_{Y^*} J_0(t, x, y) \hat{\mathbf{u}}(t, x) \partial_t \varphi(t, x) dy dx dt \\
&+ \int_S \int_\Omega \int_{Y^*} A_0(t, x, y) (\nabla_x \hat{\mathbf{u}}(t, x) + \nabla_y \hat{\mathbf{u}}(t, x, y)) \cdot (\nabla_x \varphi(t, x) + \nabla_y \varphi_1(t, x, y)) dy dx dt \\
&= \int_S \int_\Omega \int_{Y^*} (J_0(t, x, y) f^P(t, x) dy - \partial_t V_N(r(t, x)) c_s) \varphi(t, x) dx dt
\end{aligned}$$

448 which can be rewritten into (141). By a density argument it holds for every  
449  $(\varphi, \varphi_1) \in H^1(S \times \Omega) \times L^2(S; L^2(\Omega; H^1_\#(Y^*)/\mathbb{R}))$ .

## 450 5. Backtransformation

451 Now we transform the two-scale limit problem back from its substitute do-  
452 main to its actual two-scale domain and obtain the following transformation-  
453 independent weak two-scale formulation.

**Theorem 18 (Two-scale limit problem).** Let  $(u_\varepsilon, r_\varepsilon)$  be the solution of (2), (17)–(19). Then, there exists for every subsequence a further subsequence  $\varepsilon$  such that

$$\widetilde{u_\varepsilon} \xrightarrow{2,2} \chi_{Y_{r(t,x)}^*}(\cdot, y) u_0 \quad \text{with respect to the } L^2 \text{ - norm, (149)}$$

$$\widetilde{\nabla u_\varepsilon} \xrightarrow{2,2} \chi_{Y_{r(t,x)}^*}(\cdot, y) \nabla_x u_0 + \widetilde{\nabla_y} u_1 \quad \text{with respect to the } L^2 \text{ - norm (150)}$$

454 and the convergences (139)–(140) hold, where  $(u_0, u_1, r) \in L^2(S; H^1(\Omega)) \times$   
 455  $L^2(S; L^2(\Omega; H^1_{\#}(Y_{r(t,x)}^*)/\mathbb{R})) \times L^2(S; L^2(\Omega))$  is a solution of the following weak  
 456 form:

Find  $(u_0, u_1, r) \in L^2(S; H^1(\Omega)) \times L^2(S; L^2(\Omega; H^1_{\#}(Y_{r(t,x)}^*)/\mathbb{R})) \times W^{1,\infty}(S; L^2(\Omega))$   
 with  $\partial_t((1 - V_N(r))u_0) \in L^2(S; H^1(\Omega)')$  such that

$$\begin{aligned} & \int_S \langle \partial_t((1 - V_N(r(t)))u_0(t)), \varphi(t) \rangle_{\Omega} dt \\ & + \int_S \int_{\Omega} \int_{Y_{r(t,x)}^*} (\nabla_x u_0(t, x) + \nabla_y u_1(t, x, y)) \cdot (\nabla_x \varphi(t, x) + \nabla_y \varphi_1(t, x, y)) dy dx dt \\ & = \int_S \int_{\Omega} (1 - V_N(r(t, x))) f^p(t, x) \varphi(t, x) - \partial_t V_N(r(t, x)) c_s \varphi(t, x) dx dt \end{aligned} \quad (151)$$

$$\int_S \int_{\Omega} \partial_t r(t, x) \phi(t, x) dx dt = \int_S \int_{\Omega} \frac{1}{c_s} f(u_0(t, x), r(t, x)) \phi(t, x) dx dt \quad (152)$$

457 hold for every  $(\varphi, \varphi_1, \phi) \in L^2(S; H^1(\Omega)) \times L^2(S \times \Omega; H^1(Y_{r(t,x)}^*)/\mathbb{R}) \times L^2(S \times \Omega)$   
 458 with initial values  $r(0) = r^{(0)}$  and  $((1 - V_N(r))u_0)(0) = (1 - V_N(r^{(0)}))u_0^{(0)}$ .

PROOF. We test (141) with  $(\varphi, \varphi_1, \psi_0 + \check{\psi}_0 \cdot \nabla_x \varphi)$  for  $(\varphi, \varphi_1) \in C^\infty(S; C^\infty(\Omega)) \times C^\infty(S; C^\infty(\Omega; H^1_{\#}(Y)))$  with  $\varphi(T) = 0$ . Then, we transform the  $Y^*$  integral in (141) with  $\psi_0^{-1}(t, x)$  by

$$\begin{aligned} & \int_S \int_{\Omega} \int_{Y^*} A_0(t, x, y) (\nabla_x \hat{\vartheta}(t, x) + \nabla_y \hat{\vartheta}(t, x, y)) \\ & \cdot (\nabla_x \varphi(t, x) + \nabla_y (\varphi_1, \psi_0 + \check{\psi}_0(t, x, y) \cdot \nabla_x \varphi(t, x))) dy dx dt \\ & = \int_S \int_{\Omega} \int_{Y_{r(t,x)}^*} (\Psi_{0, \psi_0^{-1}}^{-1}(t, x, y) \nabla_x \hat{\vartheta}(t, x) + \nabla_y \hat{\vartheta}_{\psi_0^{-1}}(t, x, y)) \\ & \cdot (\Psi_{0, \psi_0^{-1}}^{-1}(t, x, y) \nabla_x \varphi(t, x) + \nabla_y (\varphi_1(t, x, y) + \check{\psi}_{0, \psi_0^{-1}}(t, x, y) \cdot \nabla_x \varphi(t, x))) dy dx dt \end{aligned}$$

Using  $\Psi_{0,\psi_0^{-1}}^{-1}(t, x, y) = \mathbb{1} + \nabla_y \check{\psi}_0^{-1}(t, x, y)$ , we can rewrite

$$\begin{aligned} & \Psi_{0,\psi_0^{-1}}^{-1}(t, x, y) \nabla_x u_0(t, x) + \nabla_y \hat{\mathfrak{u}}_{\psi_0^{-1}}(t, x, y) \\ &= \nabla_x \hat{\mathfrak{u}}(t, x) + \nabla_y (\hat{\mathfrak{u}}_{\psi_0^{-1}}(t, x, y) + \check{\psi}_0^{-1}(t, x, y) \cdot \nabla_x u_0(t, x)) \\ &= \nabla_x u_0(t, x) + \nabla_y u_1(t, x, y) \end{aligned}$$

for a.e.  $(t, x) \in S \times \Omega$  and a.e.  $y \in Y_{r(t,x)}^*$  with  $u_0 = \hat{\mathfrak{u}}$  and  $u_1 = \hat{\mathfrak{u}}_{\psi_0^{-1}} + \chi_{Y_{r(t,x)}^*} \check{\psi}_0^{-1} \cdot \nabla_x \hat{\mathfrak{u}}$ . Using the fact that

$$\begin{aligned} \check{\psi}_{0,\psi_0^{-1}}(t, x, y) &= \check{\psi}_0(t, x, \psi_0^{-1}(t, x, y)) = \psi_0(t, x, \psi_0^{-1}(t, x, y)) - \psi_0^{-1}(t, x, y) \\ &= y - \psi_0^{-1}(t, x, y) = -\check{\psi}_0^{-1}(t, x, y) \end{aligned}$$

we get

$$\begin{aligned} & \Psi_{0,\psi_0^{-1}}^{-1}(t, x, y) \nabla_x \varphi(t, x) + \nabla_y (\varphi_1(t, x, y) + \check{\psi}_{0,\psi_0^{-1}}(t, x, y) \cdot \nabla_x \varphi(t, x)) \\ &= \nabla_x \varphi(t, x) + \nabla_y (\check{\psi}_0^{-1}(t, x, y) \cdot \nabla_x \varphi(t, x) + \varphi_1(t, x, y) + \check{\psi}_{0,\psi_0^{-1}}(t, x, y) \cdot \nabla_x \varphi(t, x)) \\ &= \nabla_x \varphi(t, x) + \nabla_y \varphi_1(t, x, y). \end{aligned}$$

Thus, we get

$$\begin{aligned} & \int_S \int_{\Omega} \int_{Y^*} A_0(t, x, y) (\nabla_x \hat{\mathfrak{u}}(t, x) + \nabla_y \hat{\mathfrak{u}}(t, x, y)) \\ & \cdot (\nabla_x \varphi(t, x) + \nabla_y (\varphi_1(t, x, y) + \check{\psi}_0(t, x, y) \cdot \nabla_x \varphi(t, x))) dy dx dt \\ &= \int_S \int_{\Omega} \int_{Y_{r(t,x)}^*} (\nabla_x u_0(t, x) + \nabla_y u_1(t, x, y)) \cdot (\nabla_x \varphi(t, x) + \nabla_y \varphi_1(t, x, y)) dy dx dt, \end{aligned}$$

459 which allows us to rewrite (141) into (151) after integrating the second term  
 460 of (141) by parts with respect to time. By a density argument (151) holds  
 461 for all  $(\varphi, \varphi_1) \in L^2(S; H^1(\Omega)) \times L^2(S \times \Omega; H^1(Y_{r(t,x)}^*)/\mathbb{R})$ . Then, the two-  
 462 scale-convergences (149)–(150) follows from Proposition 11 and Proposition  
 463 12.

**Theorem 19 (Homogenised limit problem).** *A tuple  $(u_0, r)$  is part of a solution of the two-scale limit problem (151)–(152) given by Theorem 18 if*

and only if it solves

$$\begin{aligned} & \int_S \langle \partial_t(1-V_N(r(t))u_0(t)), \varphi(t) \rangle_\Omega dt + (A_{\text{hom}}(r) \nabla_x u_0, \nabla_x \varphi)_{S \times \Omega} \\ & = ((1-V_N(r))f^p - \partial_t V_N(r(t, x))c_s, \varphi)_{S \times \Omega} \end{aligned} \quad (153)$$

and (152) for every  $(\varphi, \phi) \in L^2(S; H^1(\Omega)) \times L^2(S \times \Omega)$  with initial value  $(1-V_N(r(0)))u_0(0) = (1-V_N(r^{(0)}))u_0^{(0)}$ , where  $A$  is given by

$$(A_{\text{hom}})_{ij}(r) := \int_{Y_r^*} \delta_{ij} + \partial_{y_i} w_j(r; y) dy \quad (154)$$

and  $w_j(r)$  is the unique solution in  $H^1_{\#}(Y_r^*)/\mathbb{R}$  such that

$$\int_{Y_r^*} (\nabla_y w_j(r; y) + e_j) \cdot \nabla_y \varphi(y) dy = 0. \quad (155)$$

464 for every  $\varphi \in H^1_{\#}(Y_r^*)$ .

465 PROOF. Choosing  $\varphi = 0$  in (151) implies  $u_1(t, x, y) = \sum_{i=1}^N \partial_{x_j} u_0(t, x) w_j(r(t, x), y)$ .

466 Inserting this in (151) yields (153) for  $A_{\text{hom}}$  given by (154).

467 Note that we formulate the initial condition in Theorem 18 and Theorem  
468 19 only for  $(1-V_N(r))u_0$  and not for  $u_0$ . The reason is that  $1-V_N(r)$  is a  
469 priori not regular enough in space in order to transfer the time regularity of  
470  $(1-V_N(r))u_0$  on  $u_0$ . However, this is not a drawback since  $(1-V_N(r))u_0$  is  
471 the actual physically measurable quantity.

In our model the total mass is given by the sum of the mass in the pore space and the mass in the solid space. Thus, the conservation of mass reads  $\partial_t((1-V_N(r))u_0) + \partial_t V_N(r)c_s =$  density of external sources. Testing our limit model (153) with  $\varphi \in C^\infty(S)$  yields exactly this

$$\partial_t((1-V_N(r))u_0) = (1-V_N(r))f^p - \partial_t V_N(r)c_s. \quad (156)$$

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