Modelling User Affect and Sentiment in Intelligent User Interfaces
[A Tutorial Overview]

Björn W. Schuller

1Department of Computing
Imperial College London
SW7 2AZ London, United Kingdom
+44-207-59-48357
bjoern.schuller@imperial.ac.uk
2Chair of Complex Systems Engineering
University of Passau
94032 Passau, Germany

ABSTRACT

The computer-based automatic analysis of human sentiment, and affect are broadly expected to play a major role that will likely make ‘that difference’ in future Intelligent User Interfaces, as they bear the promise to lend interactive systems emotional intelligence. Such comprise intelligent digital games, e.g., for empowerment and inclusion, tutoring systems, information systems or virtual companions, e.g., in the car to name but a few. This tutorial aims to give a good introduction into the related fields of user Sentiment Analysis and user Affect Modelling. Its intention is to show the general technology, and its current reliability, the ways for technical integration and efficient embedding of solutions in a user interface context, and the latest trends in this young and ever emerging field. Emphasis is laid on highlighting the range of toolkits available at this moment with the aim of empowering one to immediately craft own solutions. This description contains the general motivation, goals, objectives, and topics.

Author Keywords

Sentiment Analysis, Opinion Mining, Affective Computing, Intelligent Interaction

ACM Classification Keywords

H.1.2 User / Machine systems: Human information processing; H.5.5 Sound and Music Computing: Methodologies and techniques, Modeling, Signal analysis, synthesis, and processing; I.2.10 Vision and scene understanding: Video analysis; I.5.2 Design Methodology: Feature evaluation and selection, Classifier design and evaluation; I.5.4 Applications: Signal processing

General Terms

Human Factors, Signal processing, Feature evaluation and selection, Classifier design and evaluation, Experimentation

INTRODUCTION

The computer-based automatic analysis of human sentiment [1, 12, 4], and affect [20, 10] are researched since more than a decade and a half by now, and are recently reaching increasing maturity. In fact, they are expected to play a major role that will likely make ‘that difference’ in future intelligent User Interfaces (IUIs), as they bear the promise to lend them emotional intelligence: Interfaces that ‘know’ and can react appropriately to, e.g., the satisfaction or anger of their users can lead us away from the often prevailing connotation of ‘cold’ and ‘mechanical’ that the interfaces of the current generation are still partially faced with. The information is thereby increasingly accessed from multiple modalities [15, 17] – both, in affect recognition and sentiment analysis – and ‘in the wild’ [33, 9], thanks to the availability of increasingly large and realistic resources [24] and improved algorithms [21] including deep learning [26] and long-short-term memory architectures [14] and weakly supervised learning methods [25]. Besides a certain focus on analysis in research to date, a system that emulates emotion is likely to be perceived as even more ‘emotionally intelligent’ given that it manages to overcome the uncanny valley.

In this light, this tutorial1 aims to give a good introduction into the fields of user Sentiment Analysis and user Affect Modelling. Its intention is to show the general technology, and its current reliability, the ways for technical integration and efficient embedding of solutions in a user interface context, and the latest trends in this young and ever emerging field. Going one step further with a ‘dash of tea leaf reading’ it aims to distill likely future directions in and for this exciting

and potentially game-changing discipline. In particular, emphasis is laid on highlighting the range of toolkits available at this moment to enable those interested in enriching current user interfaces by according social skills to immediately craft their own solutions.

In the following, the motivation, goals and objectives, and content related to a tutorial on modelling user affect and sentiment in the context of intelligent interfaces will be laid out.

**GENERAL MOTIVATION**
Socially aware and competent systems in general tend to be perceived as ‘intelligent’ and the connection and relevance to intelligent User Interfaces appears thus straightforward: Next generation IUIs will likely be increasingly expected to be able to understand factors such as user affect and exploit the automatic analysis of their users’ sentiment for adaptation or related purposes.

The fields of Affective Computing and Sentiment Analysis both offer suitable approaches and methods to assess human states and are recently gaining much attention such as in workshops (even commonly, cf., e.g., [22]) or special issues (cf., e.g., [2, 3]) on the research level, but also more and more in real-world products.

**GOALS AND OBJECTIVES**
In detail, the goals and ambitions of this tutorial are three-fold:

1. Introducing the existing efforts and major accomplishments in automatic, dimensional, and continuous analysis of emotions from multiple cues and user modalities;
2. Demonstrating and discussing the practical aspects, available frameworks, tools, databases, and automatic analysers, that can be easily used by user interface researchers around the world;
3. Encouraging the integration of the recent developments in the field into intelligent user interfaces.

The need for a tutorial arises thus from the demand to prepare the user interface community for an ongoing change of IUIs becoming more and more emotion-aware.

**TOPICS**
The topics to be touched upon can be roughly divided into theoretical and practical aspects besides aiming to distill future directions. They will be laid out in the following.

**Theoretical Aspects**
The theoretical aspects include in particular the ‘savoir faire’ when it comes to representation of affect and sentiment, different modalities, data, and the actual recognition framework including the feature extraction and classification.

**Setting**
The requirements needed are best derived from the specific use cases such as video gaming [16, 13] or other IUIs. In addition, definitions of the most relevant terms are required. The setting is complemented by benefits and limitations of sentiment and affect modelling per se.

**Affect and Sentiment Representation**
A number of different representation forms exist, predominantly those based on some type of categories – even in ordinal problems such as sentiment, e.g., by sentiment polarity or three classes (‘low’, ‘middle’, and ‘high’), etc. [5], or with multiple categories per instance such as in complex models, and tagging. Further popular representations include the circumplex model, the value-continuous Power-Arousal-Valence model, and the appraisal model with varying benefits and limitations.

**Modalities and Cues**
The major modalities for the measurement and synthesis in the domain of IUIs are speech and language, visual signals, and bio signals – the latter, however, rather for analysis so far. In addition, haptic interaction analysis has ever since been considered with lower attention. Besides a range of different (dis-)advantages, these different modalities are known to be highly synergistic in terms of affect modelling.

**User Data**
Data is one of the major bottlenecks in this field. Major attention is thus given to efficient procedures of data acquisition and annotation, such as by weakly supervised [28] or even unsupervised [34] learning approaches. As it is mostly the labels that are sparse rather than the data, crowd-sourcing and cooperative learning [35] are further popular recent assets to lead quickly to rich resources of annotated affective usage data. Similarly, transfer learning [7] can be used to adapt ‘similar’ data to the current IUI case. In fact, a number of benchmarks and important databases exist today and are worth discussing with respect to the different types of user data and the challenges involved with them (cf. also in relation with the next subsection). Arguably there is a wide range of sentiment data for reviews, but less annotated video data or bio signals. A dominating problem is usually the amount of noise.

**Automatic Analysis and Prediction**
As outlined above, a number of information-bearing signals and methods exist to analyse users’ affective state, including most notably speech emotion recognition [31], opinion and sentiment analysis from text or also multiple modalities, or the interpretation of visual signals, motion capture, and thermal imaging signals [11], and bio signals which are best (all) combined in a synergistic modality fusion. A number of different classification schemes are available to obtain best results depending on the application context and can be optimised in the loop with an according performance evaluation. More recently, distribution [36] of a recognition system’s entities is becoming of interest, to provide also access on mobile IUIs. Further, to provide more information to the IUI than just the ‘best guess’ of a user’s state, confidence measurement [6] can give an additional information on how reliable this ‘guess’ likely is.

**Practical Aspects**
To set up an ‘affective’ IUI, one has the option to go with existing toolkits and even to test it against a number of standardised benchmarks that will be touched upon next.
Frameworks and Tools
Today, luckily a number of tools for user sentiment and affect modelling exist [27]. To name but a very few, these include the openSMILE [8] audio/visual feature extractor, which has been and still is the official baseline feature extractor of the AVEC, INTERSPEECH ComParE, and MAPTRAITS comparative research competitions in the field of affect analysis (cf. also below). A further interesting piece of software particularly suited for IUI design can be the publicly available Semaine system [18] that offers a virtual agent sensing and synthesising affect and behaviour. In particular, for machine learning, deep and recurrent neural networks as provided by the CURRENNT toolkit [29] are at the state-of-the-art. However, a broad range of machine learning toolkits such as WEKA [32] offer a broad selection of alternative intelligence algorithms. A range of suitable and necessary and needed tools include, e.g., such for enhancement of the signal of interest as by blind source separation [30].

Competitions and Data Presentations
Interestingly, in addition to the increasing availability of data and tools, there has been an increasing number of competitions in the field. The majority of these have dealt with the analysis of sentiment and affect rather than their synthesis. This is likely due to the comparative ease with which analysis measures can be objectively compared. An overview on competitions includes the INTERSPEECH ComParE 2009 – 2015 competition series, the 2011 – 2014 Audio/visual Emotion Challenges (AVEC), the Emotion Recognition In The Wild challenges, a number of tasks within the MediaEval series, and those dealing also with traits such as the personality of users, e.g., the MAPTRAITS 2014 Challenge. In fact, most of these allow free access to the data that has been used, enabling one to experiencing the selected data in practice.

Future Directions
The future potential in this field is still huge owing to its comparably young age of roughly a decade and a half and the need for further improvements. Some of the current trends include more integration of user traits [23], also to better understand the user state, and gathering experience in ‘the wild’, best with integration of contextual knowledge. However, many further issues such as language and cultural independence are still far from being solved. Similarly, standardisation for the embedding in user interfaces is still needed in many ways, but is making good progress, such as by recent recommendations and discussion groups of the W3C [19].

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