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Determining non-radiative decay rates in TADF compounds using coupled transient and steady state optical data

Stefano Sem,^a Sandra Jenatsch,^a Kleitos Stavrou,^c Andrew Danos,^c Andrew P. Monkman^c and Beat Ruhstaller^{ad}

Thermally-activated delayed fluorescence (TADF) compounds are promising materials used in emissive layers of organic light-emitting diodes (OLEDs). Their main benefit is that they allow the internal quantum efficiency of the OLED to reach up to 100% by converting non-radiative triplet states into radiative singlets. Besides the importance of having a high reverse intersystem-crossing rate, which governs triplet conversion, minimizing the non-radiative decay processes is also extremely important to reach high efficiency. In this study we provide a new method to quantify not only the most important decay rates involved in the TADF process, but also the non-radiative decay rates of both singlet and triplet states individually from transient and steady state experimental optical data. In addition, the different contribution that the two non-radiative decay pathways have on the internal quantum efficiency is investigated. Finally, the method is applied to experimental data from two TADF materials.

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Introduction

Thermally-activated delayed fluorescence (TADF) materials have been the subject of considerable recent scientific progress in the OLED community.^{1,2} While with simple fluorescent emitters the physical limit of internal quantum efficiency (IQE) is 25%, TADF emitters can reach 100% because of the efficient recycling of excitons from non-radiative triplet states to radiative singlets.^{3,4} Such a large IQE can be obtained by designing emissive materials with high reverse intersystem crossing rate (k_{risc}) and low non-radiative decay rate (k_{nr}).⁵

In recent years, the TADF mechanism has been deeply investigated and the underlying processes elucidated. The transition from triplet to singlet state, which governs the TADF process, has been found to occur in a complex manner where multiple excited states are involved (charge-transfer and local-excited states) and additional phenomena play a crucial role (spin-orbit and vibronic-coupling).^{6,7}

Despite the complexity of the TADF process, the emissive properties of new materials are frequently characterized using a simpler model where only three states are considered: the ground state (S_0), the first excited singlet (S_1) and triplet states

(T_1).⁸ The three-state model was considered in detail by Haase *et al.* and used to directly fit transient photoluminescence (TrPL) decay measurements on TADF films.^{9,10} With their method they can quantify the key rates involved in the process (k_f , k_{isc} , k_{risc}) as parameters in a set of ordinary differential equations (ODE), providing a simple method to evaluate TADF emitters. Nonetheless, their method assumes no non-radiative decay from the triplet state, and while that was confirmed experimentally for the specific materials they examined, it is unlikely to be true in general.

Another recent study based on the three-level model by Tsuchiya *et al.* addresses the same topic by deriving the analytic exact solution of the model. However, when applying this to experimental results, the non-radiative decay rates of singlet or triplet states cannot be fit uniquely without additional experimental data or are simply assumed to be absent.¹⁰

The work of Vázquez *et al.* reports on a proposed new method to calculate rISC rates,¹¹ but this more specialised technique was not used in this work.

In this study we investigate the effect of TADF rates on the device efficiency. We start our analysis by writing the expression of the EQE of an OLED:

$$\text{EQE} = \eta_{\text{out}} \eta_{\text{rec}} \eta_{\text{S/T}} \text{PLQY}$$

where η_{out} is the optical outcoupling factor, η_{rec} is the probability of electrons (e) and holes (h) to recombine instead of escaping through the opposite electrode, $\eta_{\text{S/T}}$ is the fraction of exciton leading to an emissive state and the PLQY is the

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photoluminescence quantum yield of the material.¹² The presence of non-radiative decays influences the $\eta_{S/T}$ term as well as the PLQY, and the multiplication of the two terms can be referred to as electroluminescence quantum yield (ELQY), or maximum IQE (when η_{rec} equals 1).

In the optimal case, TADF emitters can exhibit a PLQY equal to 100% when there is no significant non-radiative decay from either singlet or triplet states, which implies an ELQY of 100%.^{13,14} Instead, when non-radiative decays are present (either from a singlet or triplet state) both PLQY and $\eta_{S/T}$ decrease, causing a reduction of the EQE.^{15,16} In this more realistic case, it is important to be able to allocate where the non-radiative decay is originating from.

In the first part of the study, we highlight the importance of considering non-radiative decay processes and its influence on the EQE. Interestingly, we find that the ELQY may decrease to 50% with respect to the PLQY depending strongly on the relative distribution of non-radiative rates between singlet and triplet. Hence, knowing those rates is essential to predict the potential performance of TADF emitters. In a second step we define a fitting method to do this, which takes both TrPL and steady state PLQY data as input to determine all the excitonic rates. Finally, the method is applied to experimental data of two recent TADF emitters: 25ACA (2,5-bis(9,9-dimethyl-9,10-dihydroacridin-10-yl)benzonitrile) and 26ACA (2,6-bis(9,9-dimethyl-9,10-dihydroacridin-10-yl)benzonitrile).¹⁷ From the extracted rates we observe that all the rates are similar apart from the singlet non-radiative decay rate which is almost two orders of magnitude larger in 25ACA than in 26ACA.

Model and methods

As a first step, we define the system of ODEs describing the time dependent TADF process where, for simplicity, we consider the involvement of only two excited states, singlet and triplet (see Fig. 1)^{8,10} wherein k_{nrs} and k_{nrt} denote the non-radiative transfer rates for singlet and triplet states, respectively.

In Table 1 we compare the ODEs describing the evolution of the singlet and triplet populations ($S(t)$ and $T(t)$) for both optical and electrical excitation and provide an expression for

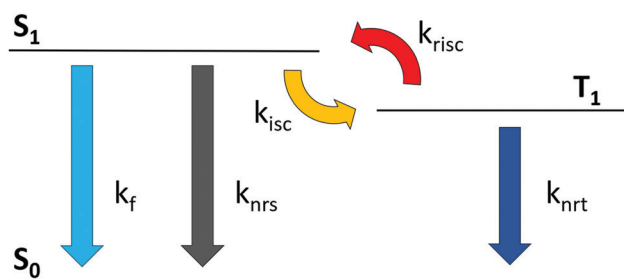


Fig. 1 Schematic illustration of the TADF model described in this study. S_0 is the singlet ground state, S_1 and T_1 are the singlet and triplet excited states. Five processes are considered: radiative and non-radiative decay of S_1 (k_f ; k_{nrs}), intersystem-crossing (k_{isc}), reverse intersystem-crossing (k_{risc}) and non-radiative decay of T_1 (k_{nrt}).

the steady-state singlet population ($\bar{S}$) as well as for the PLQY and ELQY in the two cases. In Table 1 the term A has been introduced for better readability ($A = (k_{risc} + k_{nrt})/k_{isc}$). The steady state solutions, indicated in the second line of Table 1, can be easily calculated by imposing the steady state condition to the systems. The quantum yield is defined as the number of emitted photons divided by the number of generated excitons G . The emitted photons can be expressed by the sum of the multiplication between the steady state population of each emissive state and its radiative decay rate, in our case we have assumed phosphorescence to be absent and therefore only the singlet state contributes to photon emission ($k_f \times \bar{S}$, as indicated in the third line of Table 1). In the case of optical excitation, G generates only singlet states, while under electrical excitation one quarter of the generated exciton are singlets and three quarters are triplets. Having different generation terms in the two systems has the effect of modifying the steady state population of singlet $\bar{S}$ and triplet $\bar{T}$ states, and this causes the PLQY and ELQY to be different in the two cases. Imposing steady-state conditions gives expressions for the relevant singlet state populations and corresponding ELQY and PLQY.

The equations shown in Table 1 are solved numerically with Python. The global fit described in the next section is performed using the Trust Region Reflective algorithm. The numerical analysis of the 0D ODEs presented here is a simpler alternative to the full electro-optical models in Setfos, where coupled 1D partial differential equations (PDEs) are solved for studying exciton dynamics and their interaction with electrical charges and the optical cavity.^{18–20}

Result and discussion

In this section we first show the change between PLQY and ELQY calculated with specific decay rates. Afterwards, we define the systems of equations describing two additional typical experimental technique used to characterize TADF films, PLQY with oxygen and TrPL. Finally, we use global fitting, with which we can estimate the entire set of decay rates from the three experimental results.

Dependence of ELQY/PLQYs on $k_{nrad,s/t}$ and populations.

In this section we will analyse the dependence of the PLQY and ELQY with k_{nrs} and k_{nrt} . The crucial point of the analysis is that the rate of non-radiative decay events is defined as the multiplication between rate constant and population, namely $k_{nrs}S$ or $k_{nrt}T$, respectively. In TADF OLEDs the population of triplets is usually much larger than the population of singlets,³ and therefore k_{nrt} produces a larger number of non-radiative decay events with respect to k_{nrs} . Moreover, as we have already seen, the steady state populations in case of optical and electrical excitation are different and therefore the number of non-radiative decay events will also change. In other words, conclusions drawn from the popular analysis of the luminescence quantum yield by optical excitation may be misleading and the electrical excitation present in OLED display and lighting



Table 1 Comparison of mathematical formulas describing the system under optical and electrical excitation: rate equation system, singlet population solution at steady state and luminescence quantum yield formula. A is defined as $(k_{\text{risc}} + k_{\text{nrt}})/k_{\text{isc}}$

Optical excitation	Electrical excitation
$\begin{cases} \frac{dS(t)}{dt} = G - (k_f + k_{\text{isc}} + k_{\text{nrs}}) \cdot S(t) + k_{\text{risc}} \cdot T(t) \\ \frac{dT(t)}{dt} = -(k_{\text{risc}} + k_{\text{nrt}}) \cdot T(t) + k_{\text{isc}} \cdot S(t) \end{cases}$ $\bar{S} = \frac{G \cdot A}{(k_f + k_{\text{nrs}}) \cdot A + k_{\text{nrt}}}$ $\text{PLQY} = \frac{k_f \cdot \bar{S}}{G}$	$\begin{cases} \frac{dS(t)}{dt} = \frac{1}{4}G - (k_f + k_{\text{isc}} + k_{\text{nrs}}) \cdot S(t) + k_{\text{risc}} \cdot T(t) \\ \frac{dT(t)}{dt} = \frac{3}{4}G - (k_{\text{risc}} + k_{\text{nrt}}) \cdot T(t) + k_{\text{isc}} \cdot S(t) \end{cases}$ $\bar{S} = \frac{G}{4} \cdot \left(1 + \frac{3 \cdot k_{\text{risc}}}{k_{\text{risc}} + k_{\text{nrt}}}\right) \cdot \left(\frac{A}{A \cdot (k_f + k_{\text{isc}} + k_{\text{nrs}}) - k_{\text{risc}}}\right)$ $\text{ELQY} = \frac{k_f \cdot \bar{S}}{G}$

applications will be affected more strongly by realistic values of non-radiative decay rates.

The main message delivered in this section is: supposing a certain PLQY is measured experimentally, which value of ELQY is expected?

To reply to this question, we assume the PLQY to be known and, from the PLQY formula in Table 1, we calculate the non-radiative decay rates which lead to this PLQY value. Subsequently, the ELQY

is calculated with the rates found. In this analysis we assume k_f , k_{isc} , k_{risc} to be known.

To calculate the non-radiative decay rates from the optical system we can simply revert the PLQY equation in Table 1 and express k_{nrs} as a function of k_{nrt} (eqn (1)). The solution of this equation is not unique since both k_{nrs} and k_{nrt} are unknown, however, since the goal of this work is to give an idea about the

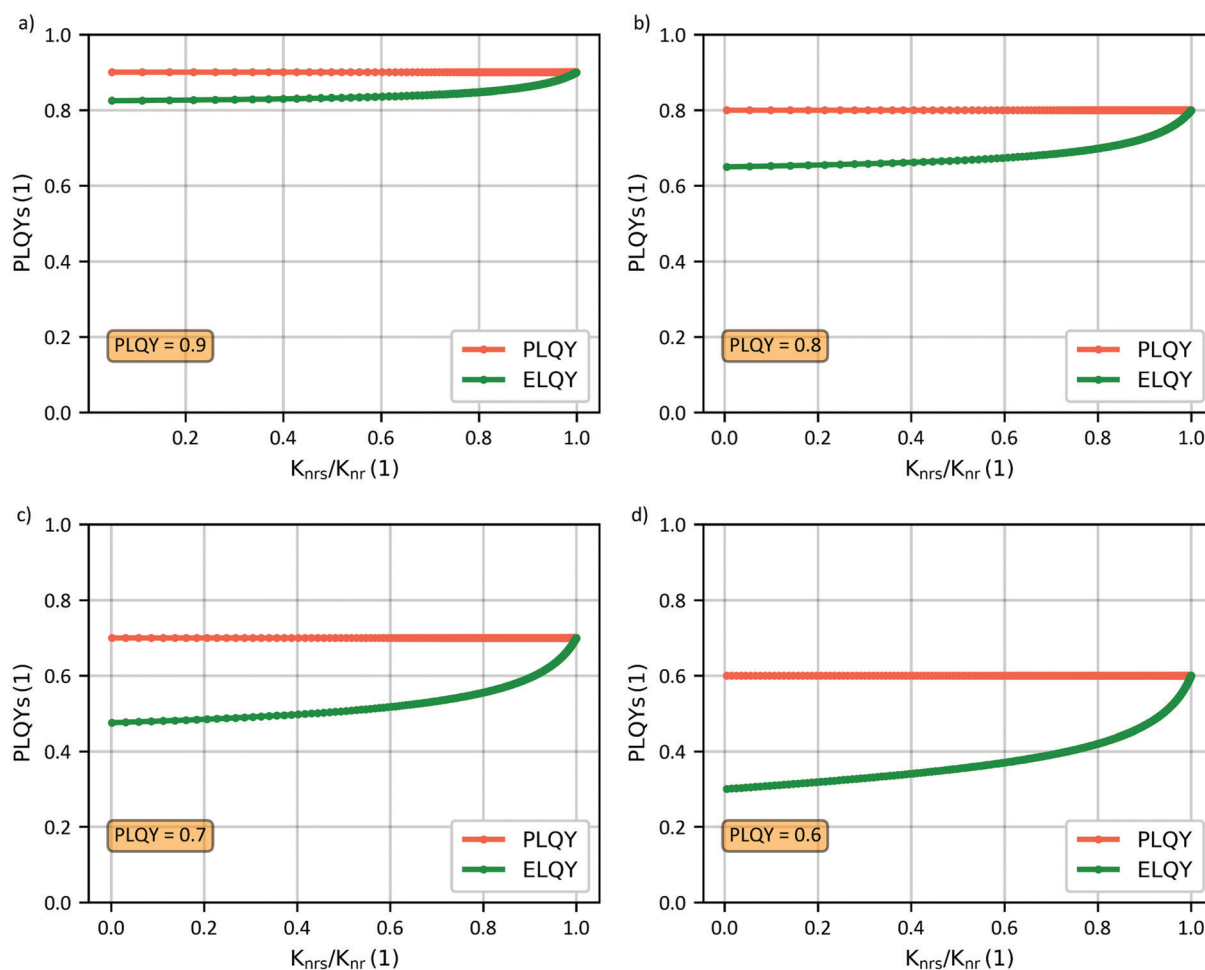


Fig. 2 For a fixed PLQY of 0.9 (a), 0.8 (b), 0.7 (c), 0.6 (d) the ELQY is calculated for all possible couples $k_{\text{nrs}}-k_{\text{nrt}}$ which are solutions of eqn (1). In this calculation the other rates have been supposed known ($k_f = 10^7 \text{ s}^{-1}$, $k_{\text{isc}} = 10^7 \text{ s}^{-1}$ and $k_{\text{risc}} = 10^6 \text{ s}^{-1}$).



impact of the two rates on the yields, we can simply solve this equation with a fixed k_{nrt} finding the corresponding k_{nrs} . In this way we will end up with many different couples (k_{nrs} ; k_{nrt}) which are solutions of the equation. The ELQY is then calculated with the formula in Table 1 for each couple.

$$k_{\text{nrs}} = k_{\text{f}} \cdot \left[\frac{1 - \text{PLQY}}{\text{PLQY}} \right] - \frac{k_{\text{nrt}}}{A} \quad (1)$$

In Fig. 2 the result of this analysis considering four PLQYs is shown. On the x-axis the quantity $k_{\text{nrs}}/k_{\text{nr}} = k_{\text{nrs}}/(k_{\text{nrs}} + k_{\text{nrt}})$ is used, denoting the relative strength of non-radiative singlet decay. Obviously, the PLQY remains constant at the chosen value for each point on the x-axis. We can observe that when $k_{\text{nrs}} = 0 \rightarrow k_{\text{nr}} = k_{\text{nrt}}$ we have the lowest ELQY while when $k_{\text{nrt}} = 0 \rightarrow k_{\text{nr}} = k_{\text{nrs}}$ the ELQY is maximized and coincides with the PLQY. It is important to note that in the case of high PLQY values, the difference with the ELQY is small (Fig. 2a), 82–90% in case of PLQY = 90%. Instead, considering a PLQY of 60%, as in Fig. 2d, we can see that the calculated ELQY decreases significantly, from 60% to 31% (roughly a 50% reduction). This means that a film with an experimentally measured PLQY of 60% might exhibit an ELQY as low as 31%.

The result of this parameter variation illustrates the importance of non-radiative triplet decay as it strongly affects the luminescence quantum yield for electrical excitation. This effect must be considered when estimating the EQE of TADF devices, especially when PLQY differs significantly from 100%.

PLQY with oxygen (PLQY_{O2})

In the previous analysis we solved one equation with two unknowns, ending up with many k_{nrs} – k_{nrt} solutions of the system. To continue our analysis and extract all excitonic parameters we need to take into account additional experimental results.

A common experiment used to characterize TADF compounds is the measurement of the PLQY_{O2}.^{21,22} The presence of oxygen molecules has the effect of quenching the triplet states and therefore the contribution of delayed emission is not present, causing the PLQY_{O2} to be lower than the PLQY. In this condition, the equation of triplet can be removed from the optical system indicated in Table 1 and thus the sole equation of singlet states remains.

$$\frac{dS(t)}{dt} = G - (k_{\text{f}} + k_{\text{isc}} + k_{\text{nrs}}) \cdot S(t) \quad (2)$$

As before, the PLQY can be calculated from the steady state solution.

$$\text{PLQY}_{\text{O2}} = \frac{k_{\text{f}}}{k_{\text{f}} + k_{\text{isc}} + k_{\text{nrs}}} \quad (3)$$

In the above formula we made the approximation that the entire triplet population is quenched by oxygen. We must note that this is a good approximation when the host permeability to oxygen is high.²³ If this condition is not met the calculated PLQY will underestimate the value measured experimentally. Singlet quenching in the presence of oxygen is also possible^{24,25}

but in a much smaller scale compared to triplet quenching. Therefore this effect is not taken into consideration.

Modelling of a TrPL experiment

Until now, we have assumed knowledge of the other rates involved in the ODE systems, namely k_{f} , k_{isc} and k_{risc} . An established way to estimate these quantities is to perform a TrPL experiment and perform a mathematical fit.

Following the analysis of Haase *et al.*⁹ we defined the system indicated in eqn (4). In contrast to their work we introduce non-radiative decay for singlets and triplets in the ODE system.

$$\begin{cases} \frac{dS(t)}{dt} = -(k_{\text{f}} + k_{\text{isc}} + k_{\text{nrs}}) \cdot S(t) + k_{\text{risc}} \cdot T(t) \\ \frac{dT(t)}{dt} = -(k_{\text{risc}} + k_{\text{nrt}}) \cdot T(t) + k_{\text{isc}} \cdot S(t) \end{cases} \quad (4)$$

Global fit

We have now a set of three systems of differential equations which describes three experiments: PLQY (Table 1-optical excitation-3rd line), PLQY_{O2} (eqn (3)) and TrPL (eqn (4)). The estimation of the decay rates (k_{f} , k_{isc} , k_{risc} , k_{nrs} and k_{nrt}) can now be performed with an appropriate fitting algorithm.

The best approach when dealing with different experiments described by equations having several parameters in common is to perform a global fit, where the three sets of experimental data are fitted at the same time. This approach allows the extracted parameters to be more reliable since a potential correlation between them will be reduced.²⁶

In Fig. 3 a schematic representation of the inputs/outputs of the fitting algorithm is shown.

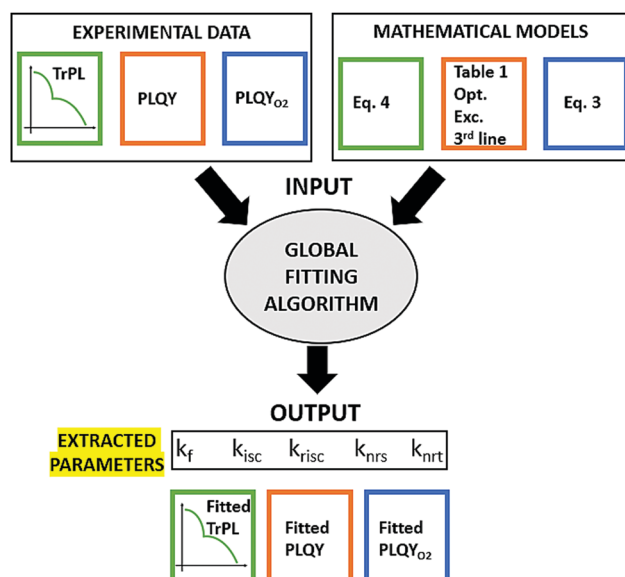


Fig. 3 The global fitting algorithm uses the parametrized mathematical model in order to minimize the difference between experimental (target) and fitted PLQY, PLQY_{O2} and TrPL data by adjusting the 5 excitonic parameters.



It is important to mention that the three experimental results are of different shape from a numerical point of view, the TrPL consist of a curve with several data points, while the PLQYs have only one data point each. Clearly, the optimization algorithm will tend to reach a solution where the TrPL experiment is well fitted at the expenses of the other two if each fitting target has the same weight. In order to obtain a well-balanced fit, it is necessary to include different weights of the error for the three experiments, essentially, we should give more importance to the PLQY values.

Experimental results

The methodology is applied to experimental data from two host-guest systems, in the form of films, one containing 25ACA and the other 26ACA as emissive TADF molecule at 1 wt% in Zeonex as host.

The experimental data for PLQY and PLQY_{O2} for the two films are indicated in Table 2. Fig. 4 shows the experimental data and the resulting fit. The fit reproduces almost perfectly the TrPL and PLQYs of the experimental data. In both cases, but most predominantly in the case of 26ACA, the PLQY_{O2} fit shows a discrepancy with the experimental value (0.12 instead of 0.15 in 25ACA and 0.21 instead of 0.41 in 26ACA). A possible explanation could be that in eqn (2) and (3) we considered the entire population of triplets to be quenched by oxygen, while in the experiment this might not be entirely the case.²⁷ Consistent with this possibility the fit of PLQY_{O2} has considerably larger error.

The extracted parameters are indicated in Table 3 and shown in Fig. 5 for a direct comparison. The results suggest that k_f , k_{isc} , and k_{risc} are all higher in 26ACA, similar to what was previously reported in DPEPO (25ACA: $k_f = 3.6E6 \text{ s}^{-1}$; $k_{isc} = 1.5E7 \text{ s}^{-1}$; $k_{risc} = 0.6E6 \text{ s}^{-1}$. 26ACA: $k_f = 4.3E6 \text{ s}^{-1}$; $k_{isc} = 2.7E7 \text{ s}^{-1}$; $k_{risc} = 1.8E6 \text{ s}^{-1}$).¹⁷ Uniquely to this work, we are also able to estimate the non-radiative decay rate of the singlet and triplet states, showing that k_{nrs} in 26ACA is almost two orders of magnitude smaller than in 25ACA while k_{nrt} is quite similar between the two. We must observe that the error associated to the non-radiative decay rate of singlet states is quite large compared to the other parameters. This fact is however expected because the non-radiative decay rate is the parameter which influences the experimental results the least: k_{nrs} mainly influences the prompt decay of the TrPL and PLQY_{O2} but since k_f and k_{isc} are usually two or three orders of magnitude larger, k_{nrs} has a comparably smaller effect.

Table 2 Experimental values of PLQY and PLQY_{O2} for the two films, 25ACA and 26ACA. These two values represent two targets of the fitting algorithm

	PLQY	PLQY _{O2}
25ACA	0.42	0.15
26ACA	0.71	0.41

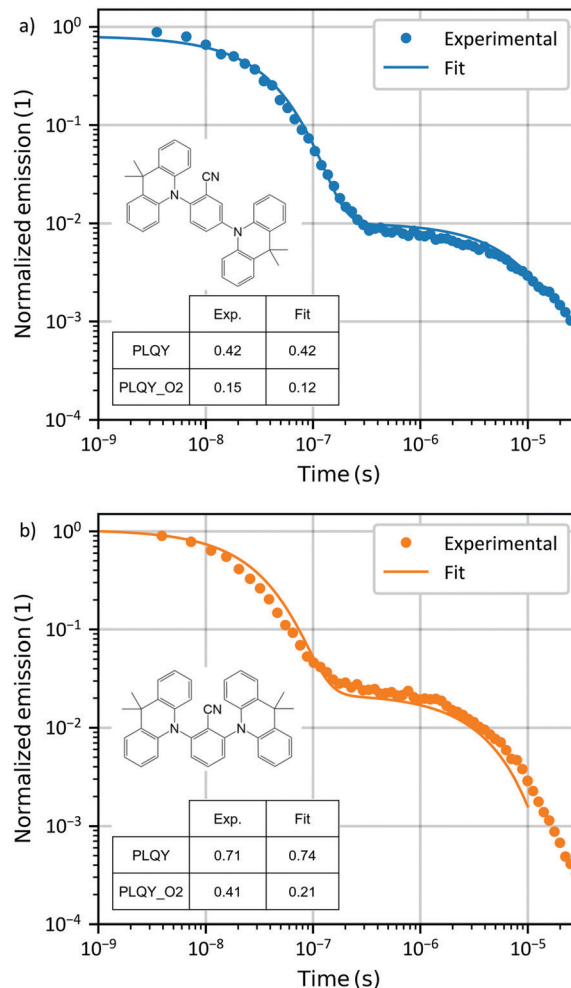


Fig. 4 Result of the global fit applied to two TADF films: 25ACA (a) and 26ACA (b). The experimental TrPL decay is shown with the resulting fit. The inset table indicates the experimental and fitted values for PLQY and PLQY_{O2}.

Table 3 Decay rates extracted from the fitting algorithm. The error is calculated from the Jacobian matrix, which is an output of the fitting algorithm

Extracted parameters	25ACA	26ACA
$k_f [\text{s}^{-1}]$	$(3.2 \pm 0.3) \cdot 10^6$	$(7.2 \pm 0.1) \cdot 10^6$
$k_{isc} [\text{s}^{-1}]$	$(23 \pm 0.1) \cdot 10^6$	$(26.8 \pm 0.5) \cdot 10^6$
$k_{risc} [\text{s}^{-1}]$	$(3.5 \pm 0.1) \cdot 10^5$	$(8.5 \pm 0.2) \cdot 10^5$
$k_{nrs} [\text{s}^{-1}]$	$(9.1 \pm 36) \cdot 10^4$	$(1.1 \pm 5.8) \cdot 10^3$
$k_{nrt} [\text{s}^{-1}]$	$(8.0 \pm 0.1) \cdot 10^4$	$(8.9 \pm 0.5) \cdot 10^4$

Therefore, it is more difficult for the fitting algorithm to estimate it properly.

As a final step we can calculate the expected ELQY for the two films, using the formula indicated in Table 1 with the extracted rates. The resulting ELQY, or maximum IQE, is 0.36 for 25ACA and 0.69 for 26ACA. The traditional way of calculating the IQE, assuming $\eta_{ST} = 1$, would have predicted values of 0.42 and 0.71 for 25ACA and 26ACA, respectively. It is important



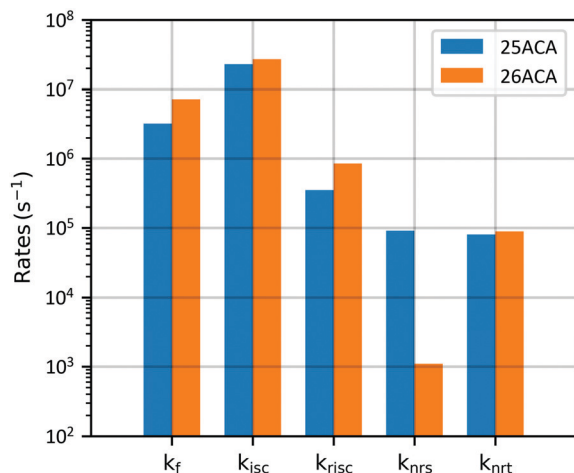


Fig. 5 Plot of the decay rates extracted from the fitting algorithm for 25ACA and 26ACA.

to note that in this case the difference between PLQYs and ELQYs is minimal, but as showed in Fig. 2 it can be much larger depending on the specific rates considered.

Additional analysis and further modelling with a full electro-optical model

The model we defined is not particularly complex, only two excited states are considered, and phosphorescence is assumed to be absent. The complexity of the system can be increased by including additional excited states. However, this possibility requires new equations to be defined, which will increase the number of unknown parameters significantly. Having a large number of free parameters in a fitting algorithm will probably result in overfitting which would decrease the confidence of the extracted values. We therefore followed the premise of making the model as complex as necessary but as simple as possible. Nevertheless, we would like to briefly discuss here how the proposed model can be extended in future studies.

Additional complexity can be introduced by including the radiative decay of the triplet state. In case phosphorescence is found to contribute significantly to the emission (*i.e.*, if clearly observed from transient spectral data at low temperatures) it could be easily considered in the model by modifying the triplet equation with a k_{ph} term.

Other phenomena that could be included in the model are annihilation processes such as singlet-singlet, singlet-triplet and triplet-triplet annihilation. As these processes are exciton-density dependent, it would be beneficial to include experiments performed with different laser intensities. Moreover, in this case the equations become non-linear and therefore it is necessary to quantify the exciton density from independent experiments or simulations.²⁸

Finally, the effect of exciton-polaron quenching should be considered for a complete analysis and powerful prediction of the maximum IQE in an OLED device. The model extension would be feasible. But clearly, additional data such as transient electroluminescence from full devices^{29,30} or TrPL on full or

single-carrier devices²⁹ would be required as fitting target. Also, the number of charge carriers would need to be provided, for example by using device simulations.³¹

Once the entire set of excitonic parameters are extracted with this simple ODE method, they could be used in a 1D full electro-optical model such as Setfos.²⁰ This option would allow to simulate the OLED by taking into account the actual optical characteristics of the entire stack and important phenomena like spatial dependency of the radiative decay rates (Purcell factor) and charge/exciton distribution, which is required when calculating the annihilation and exciton-quenching losses.^{1,26} Further details can be added by including the 3D Master-Equation model, which consider non-local exciton energy transfer (Förster, Dexter), energy transfer across layer interfaces and correlated/uncorrelated energetic disorder.³²

Conclusions

In this work we studied a TADF system described as a three-levels model including non-radiative decays of singlet and triplet states. The influence of non-radiative processes on the EQE has been deeply investigated and quantified.

A global fitting algorithm which takes as input data from transient and steady state experiments (TrPL, PLQY and PLQY_{O2}) was introduced. Besides the determination of k_f , k_{isc} and k_{risc} , which themselves are easily inferable with a simple TrPL fit, this algorithm allows the extraction of k_{nrs} and k_{nrt} , which are usually more difficult to assess separately.

Finally, we applied this fitting method to experimental results of two emissive films. The result of our analysis shows that the rates are quite similar among the two materials apart from the non-radiative decay of singlet states which is almost two orders of magnitude larger in 25ACA than in 26ACA.

This study aims to provide a new and simple method to estimate all the relevant processes in TADF emitter systems, allowing a better estimation of the maximal EQE in a real TADF OLED.

Author contributions

S. S. performed writing – original draft, conceptualization, formal analysis and methodology. S. J. performed writing – original draft, conceptualization, supervision. K. S. and A. D. performed data curation and writing – review and editing. A. P. M. performed supervision and writing – review and editing. B. R. performed writing – original draft and supervision.

Conflicts of interest

There are no conflicts to declare.

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